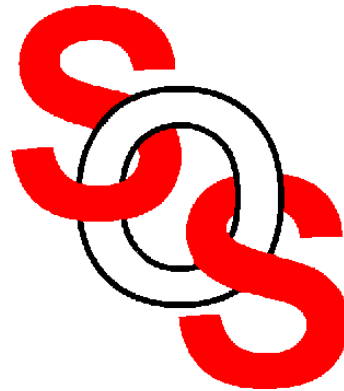


Optimal Design of High-Fidelity Engineering Device Models Through Space Mapping

John W. Bandler and S. Koziel

Simulation Optimization Systems Research Laboratory
McMaster University, www.sos.mcmaster.ca, bandler@mcmaster.ca



Bandler Corporation, www.bandler.com, john@bandler.com
Technical University of Denmark, www.dtu.dk, km@imm.dtu.dk



presented at

THE EIGHTH SIAM CONFERENCE ON OPTIMIZATION
Stockholm, Sweden, May 15-19, 2005



Optimal Design of High-Fidelity Engineering Device Models Through **Space Mapping**

J.W. Bandler

Q.S. Cheng

D.M. Hailu

S.Koziel

A.S. Mohamed

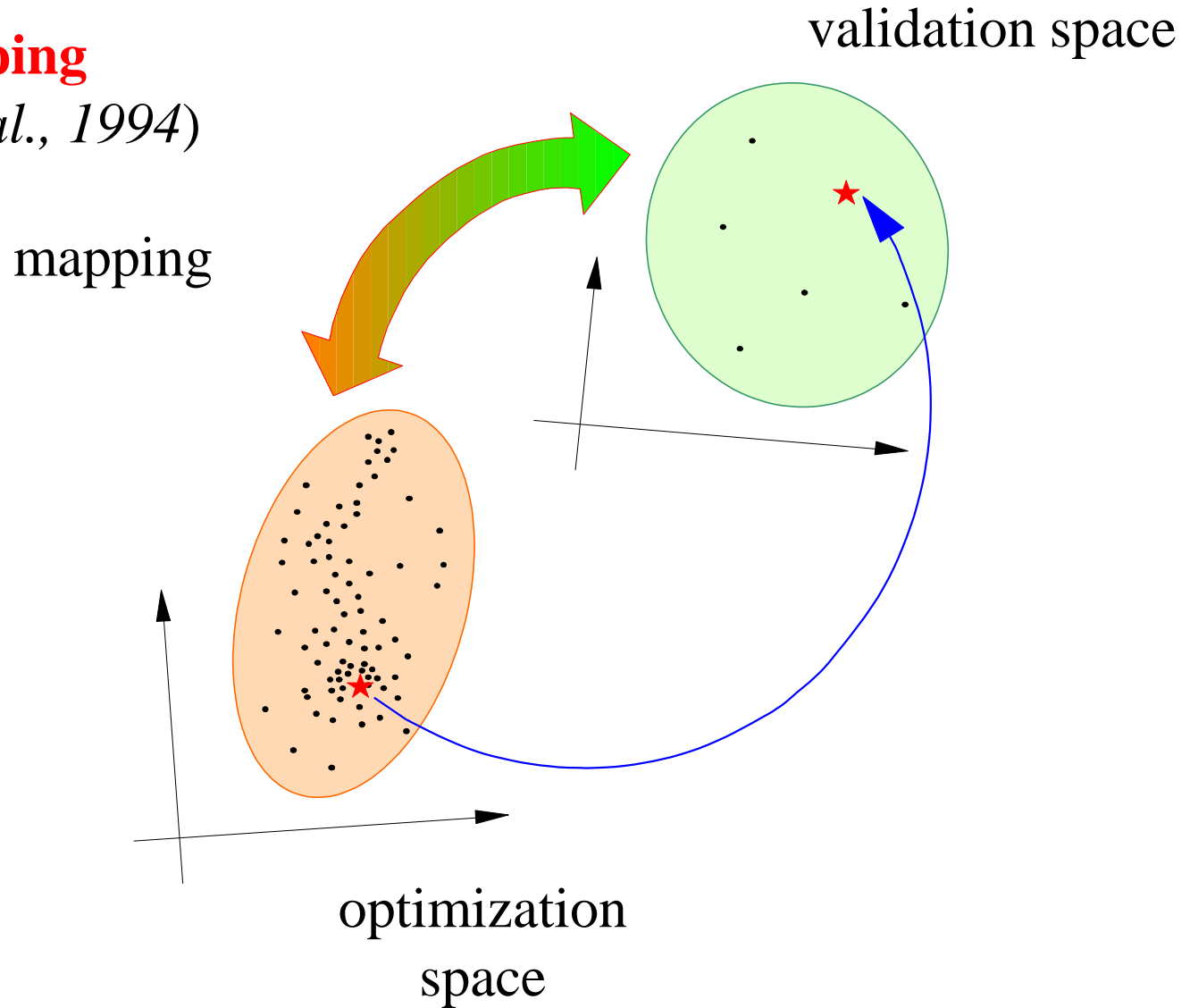
F. Pedersen

K. Madsen



Space Mapping

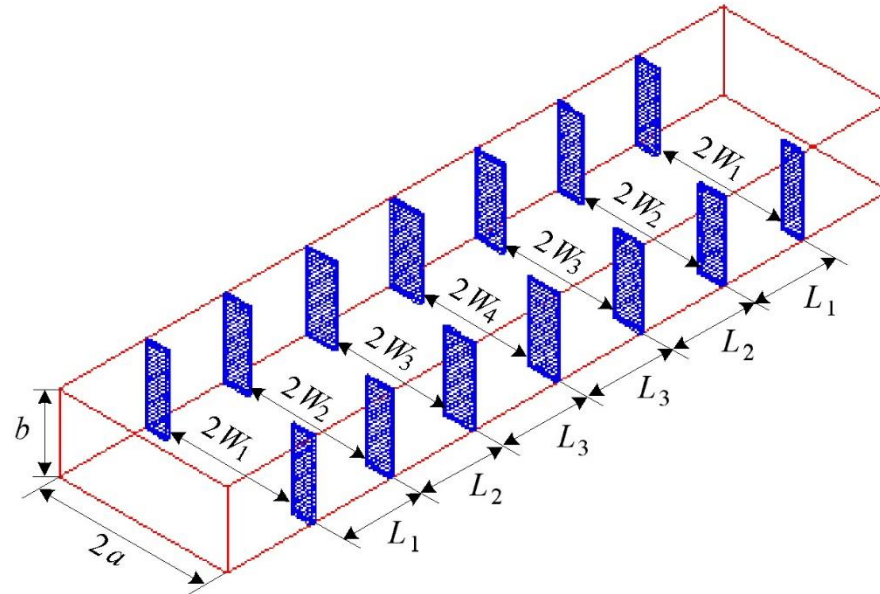
(Bandler et al., 1994)



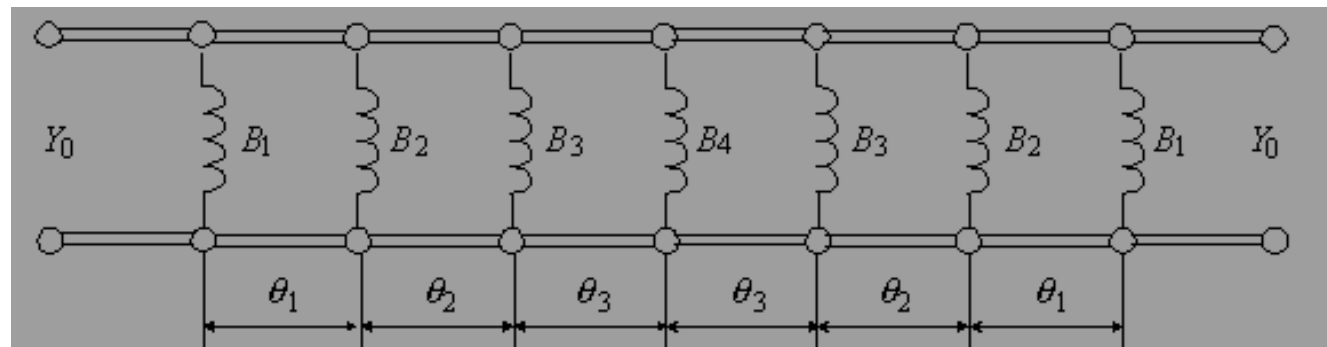


H-plane Waveguide Filter Design (*Young et. al., 1963, Bakr et al., 1999*)

H-plane filter



circuit model
(*Marcuvitz, 1951*)



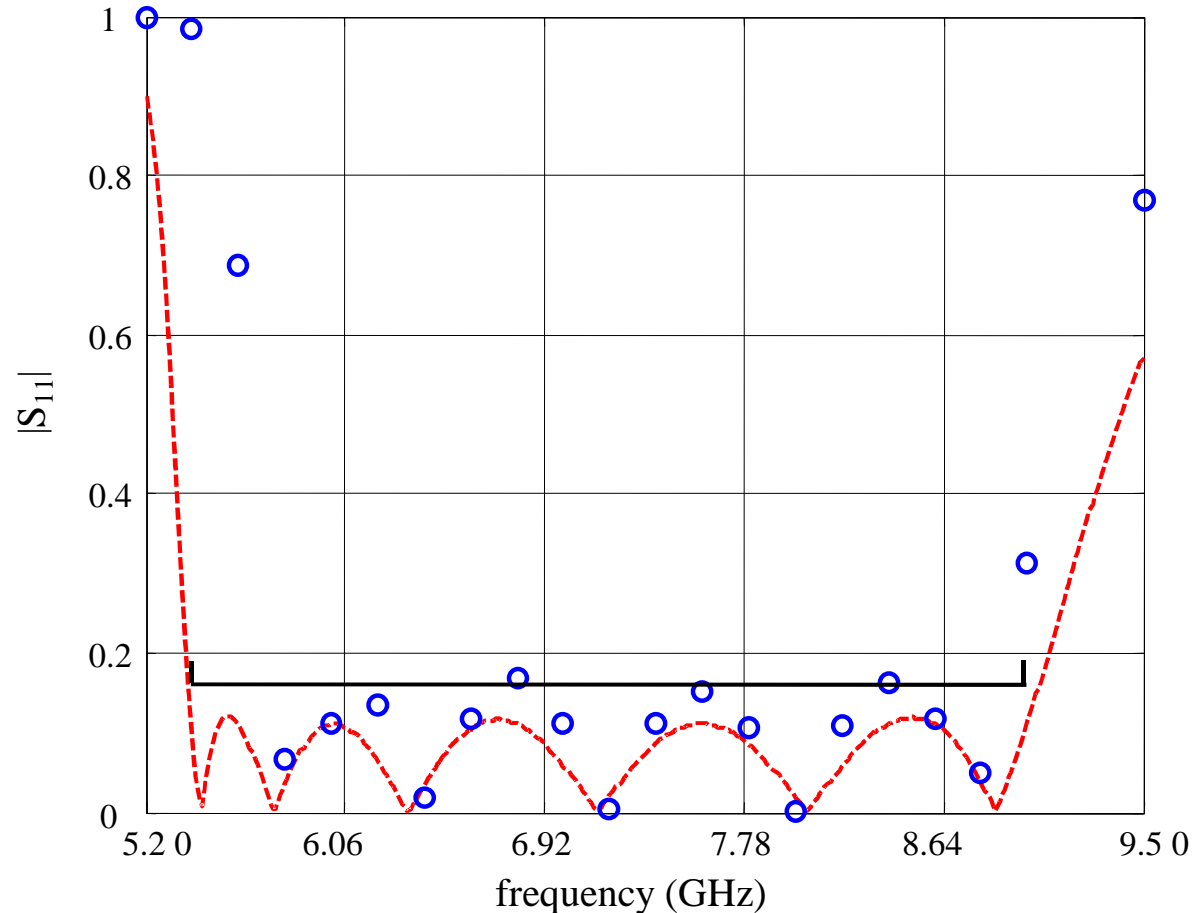


H-plane Waveguide Filter **Space Mapping** Design

(Bandler et al., 2004)

optimal coarse model
response (---)

initial fine model*
response (○)



*the fine model exploits Agilent HFSS



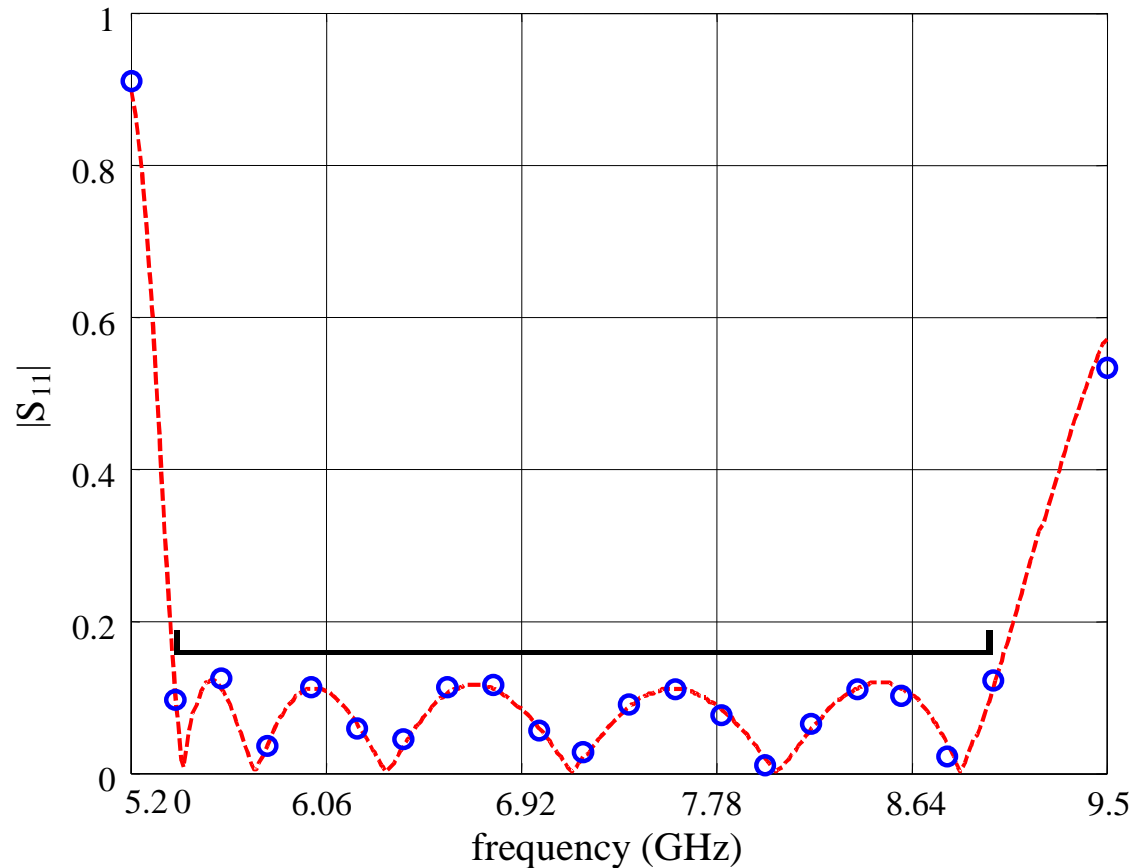
H-plane Waveguide Filter **Space Mapping** Design

(Bandler et al., 2004)

optimal coarse model
response (---)

fine model* (○)
SMIS algorithm,

3 iterations,
4 frequency sweeps
(excluding Jacobian
estimations)

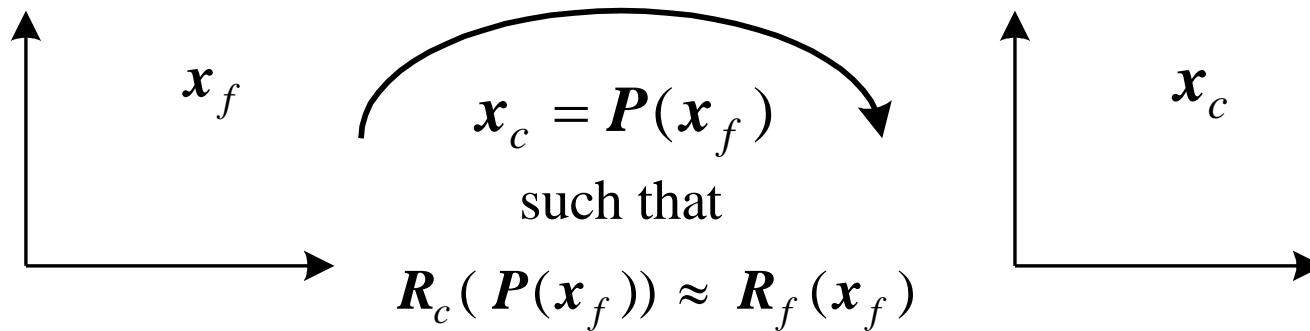
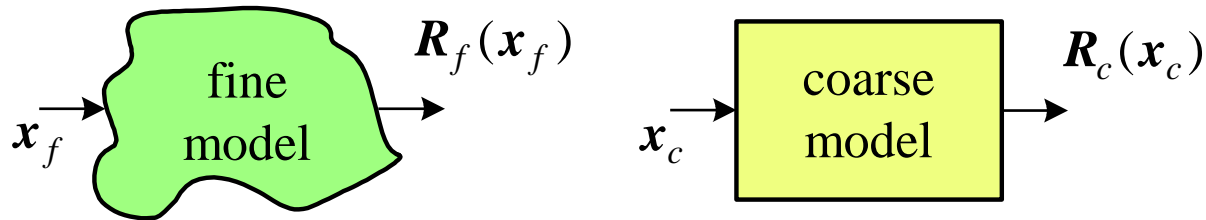


*the fine model exploits Agilent HFSS



The Space Mapping Concept

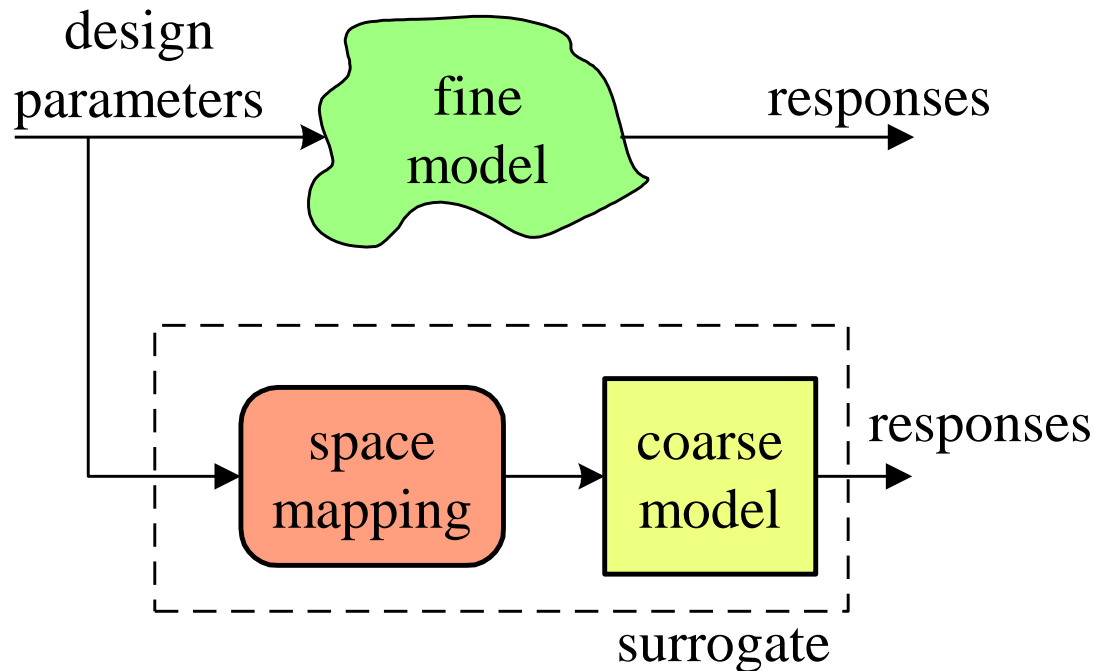
(Bandler et al., 1994-)





Explicit **Space Mapping** Concept

(Bandler et al., 1994-)



used in the microwave industry (e.g., Com Dev, 2003-2004, for optimization of dielectric resonator filters and multiplexers)



Space Mapping: a Glossary of Terms

Space Mapping	transformation, link, adjustment, correction, shift (in parameters or responses)
Coarse Model	simplification or convenient representation, companion to the fine model, auxiliary representation, cheap model, idealized model
Fine Model	accurate representation of system considered, device under test, component to be optimized, expensive model



Space Mapping: a Glossary of Terms

Surrogate	model, approximation or representation to be used, or to act, in place of, or as a substitute for, the system under consideration
Updated Surrogate	mapped or enhanced coarse model corrected coarse model
Surrogate Model	alternative expression for Surrogate
Target Response	response the fine model should achieve, (usually) optimal response of an idealized “coarse” model, an enhanced coarse model, or surrogate



Space Mapping: a Glossary of Terms

(Parameter/input) Space Mapping ¹	mapping, transformation or correction of design variables
(Response) Output Space Mapping ²	mapping, transformation or correction of responses
Response Surface Approximation	linear/quadratic/polynomial approximation of responses w.r.t. design variables

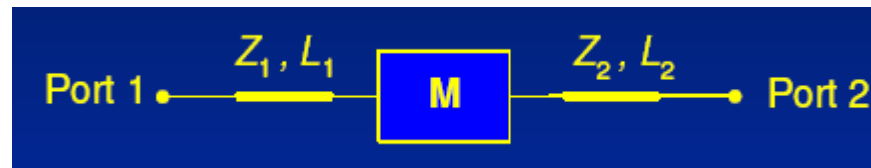
¹concept used by Giunta *et al.* (May 16)

²Natalia Alexandrov's "high-order model management" (May 16)

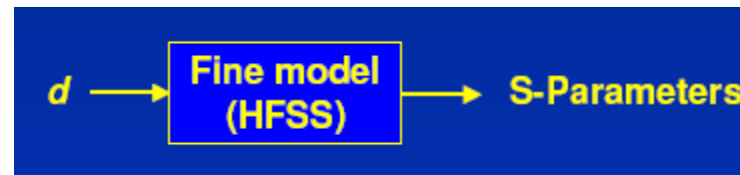


Space Mapping Design of Dielectric Resonator Multiplexers (Ismail et al., 2003, Com Dev, Canada)

channel coarse model (equivalent circuit)



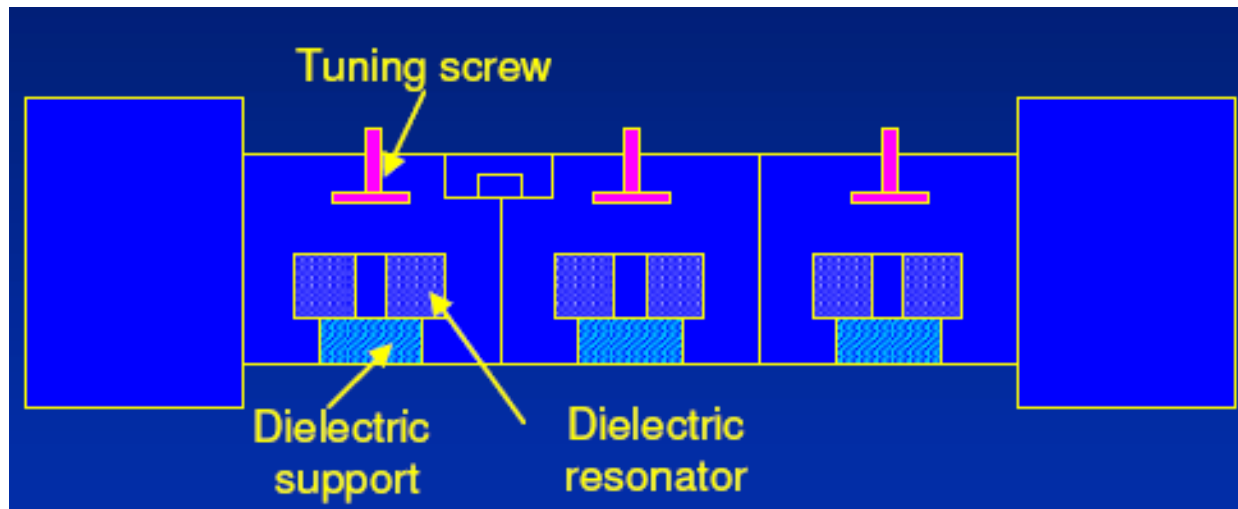
channel fine model (HFSS finite element)





Space Mapping Design of Dielectric Resonator Multiplexers (Ismail et al., 2003, Com Dev, Canada)

5-pole dielectric resonator filter



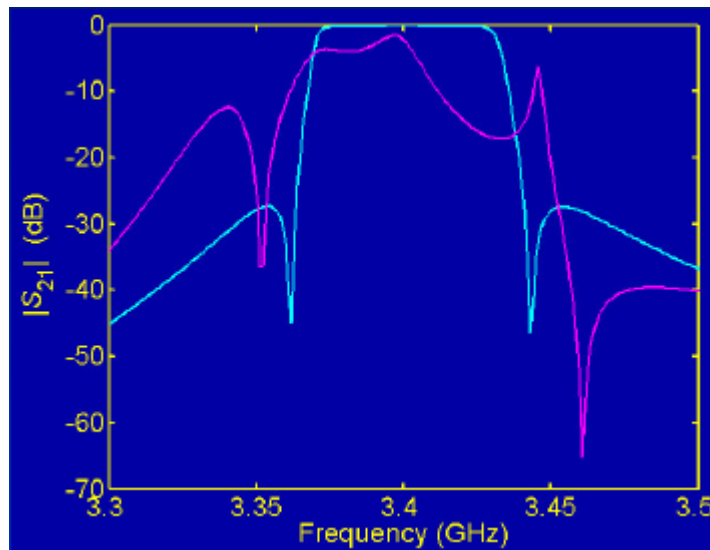


Space Mapping Design of Dielectric Resonator Multiplexers

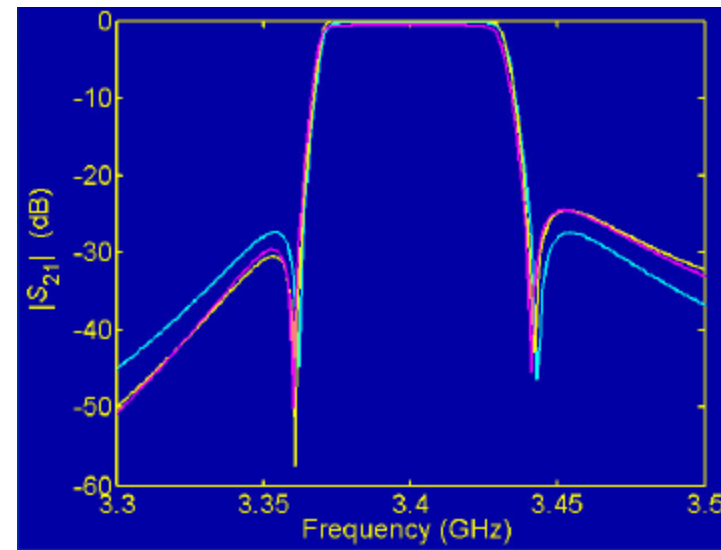
(Ismail et al., 2003, Com Dev, Canada)

channel design

initial response



final response

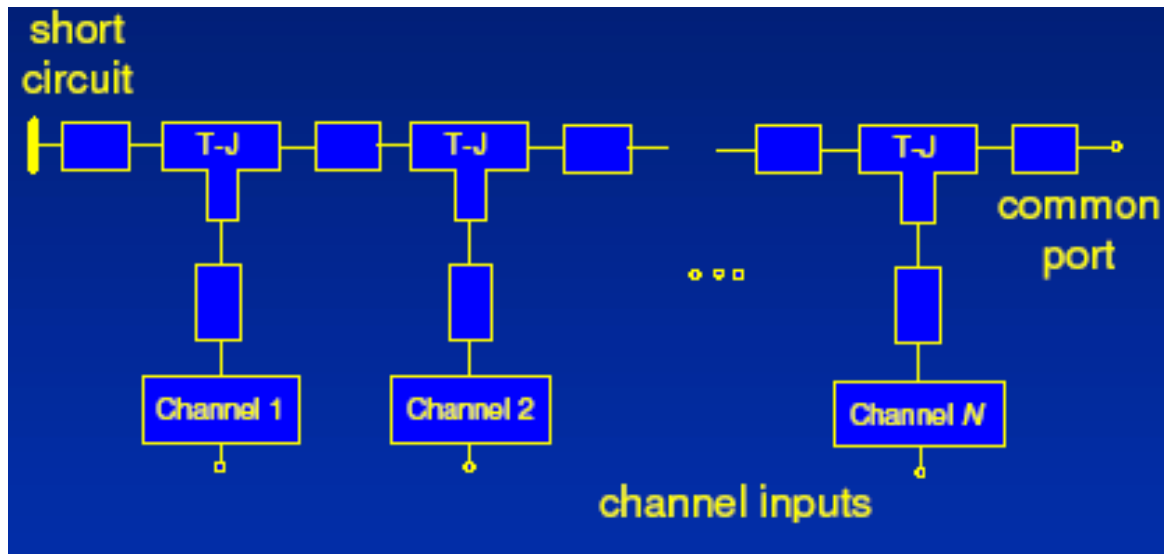




Space Mapping Design of Dielectric Resonator Multiplexers

(Ismail et al., 2003, Com Dev, Canada)

manifold multiplexer: coarse channel model (equivalent circuit)
fine channel model (HFSS finite element)

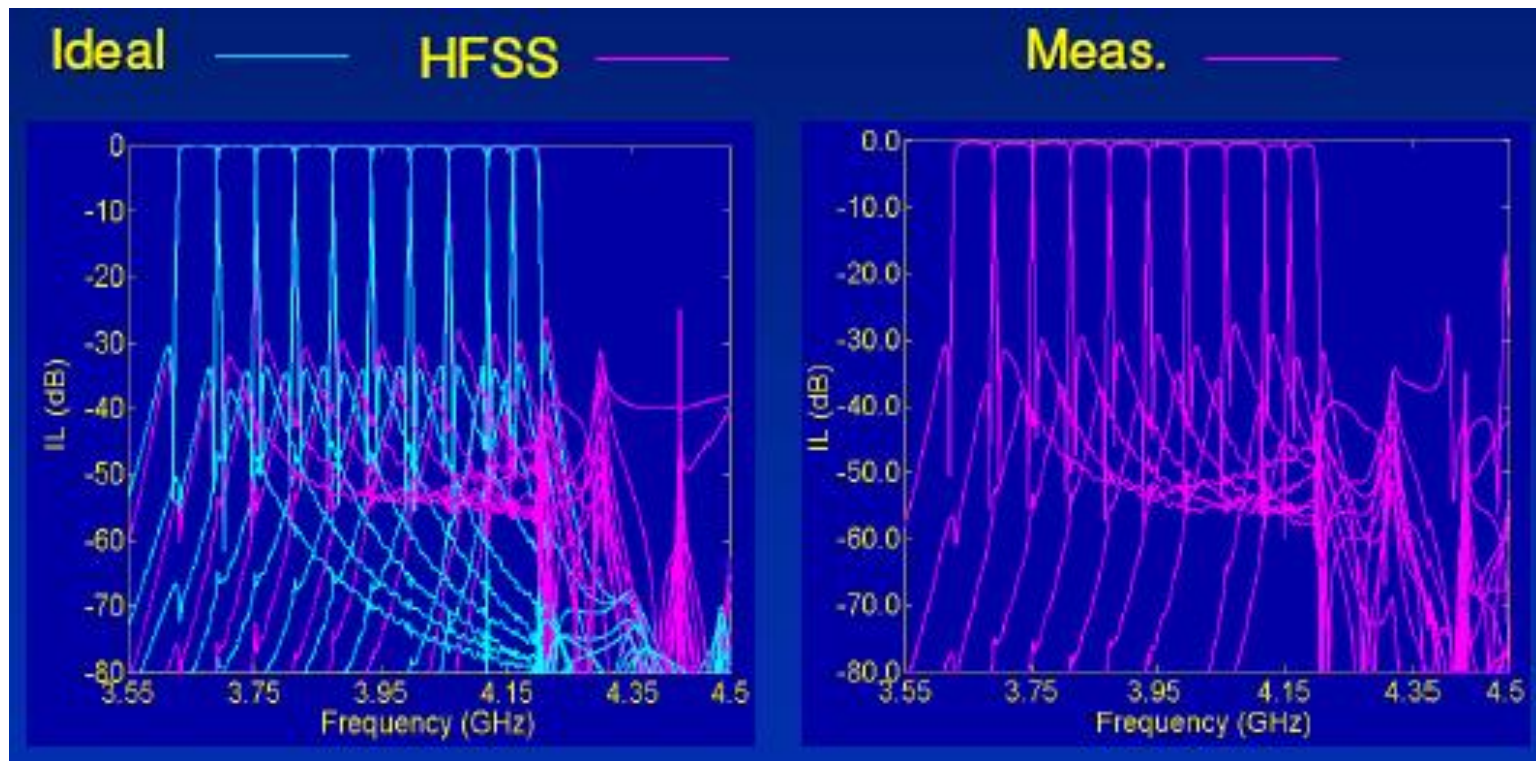




Space Mapping Design of Dielectric Resonator Multiplexers

(Ismail et al., 2003, Com Dev, Canada)

10-channel output multiplexer

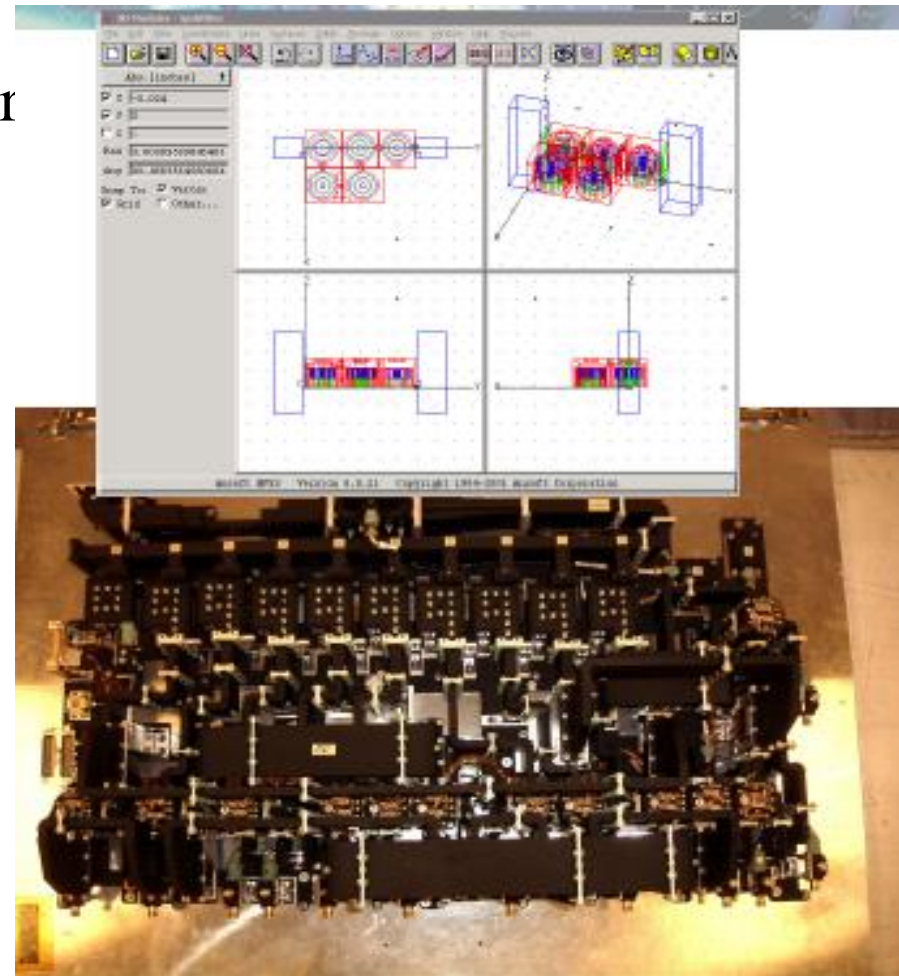




Space Mapping Design of Dielectric Resonator Multiplexers

(Ismail et al., 2003, Com Dev, Canada)

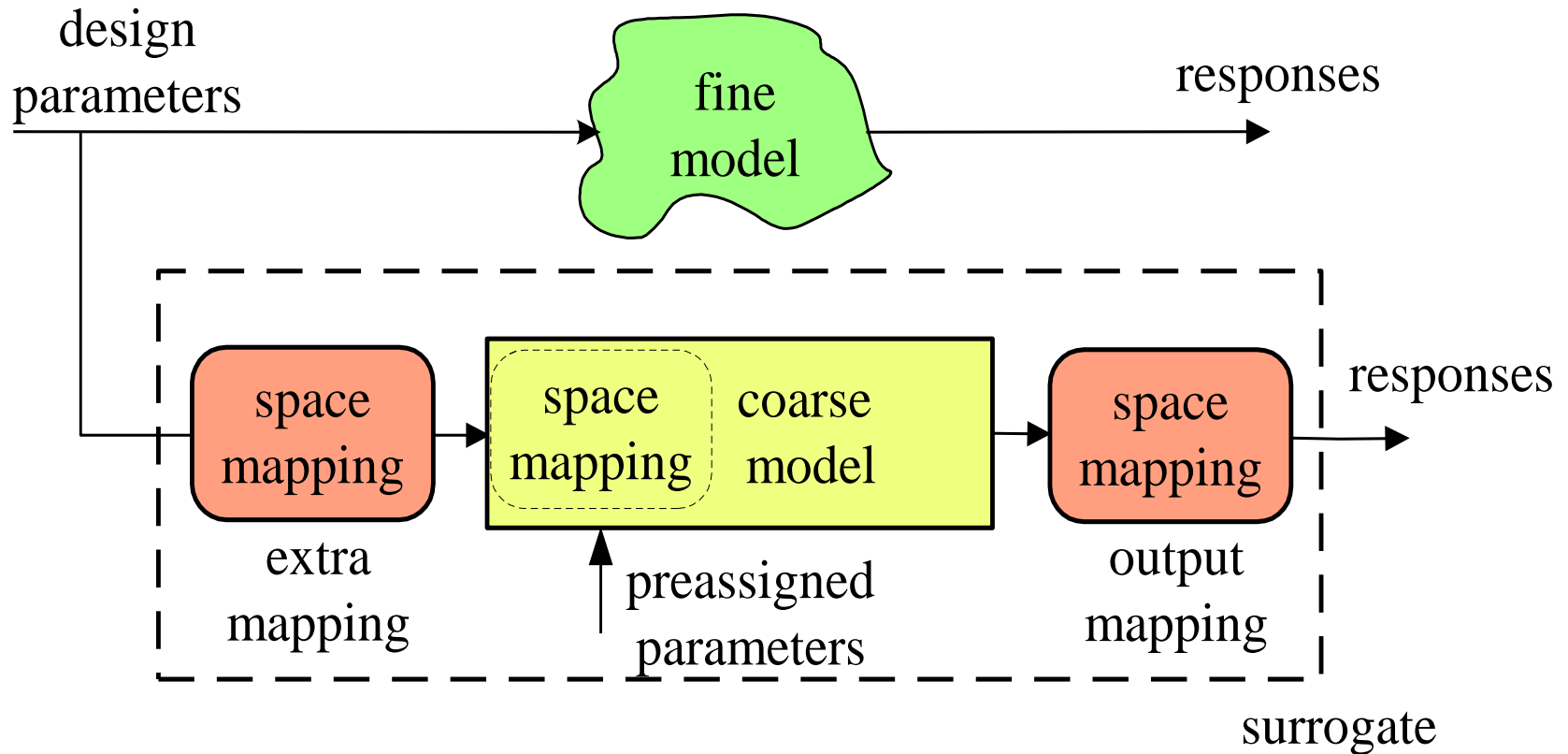
10-channel output multiplexer





Implicit, Extra and Output Space Mappings

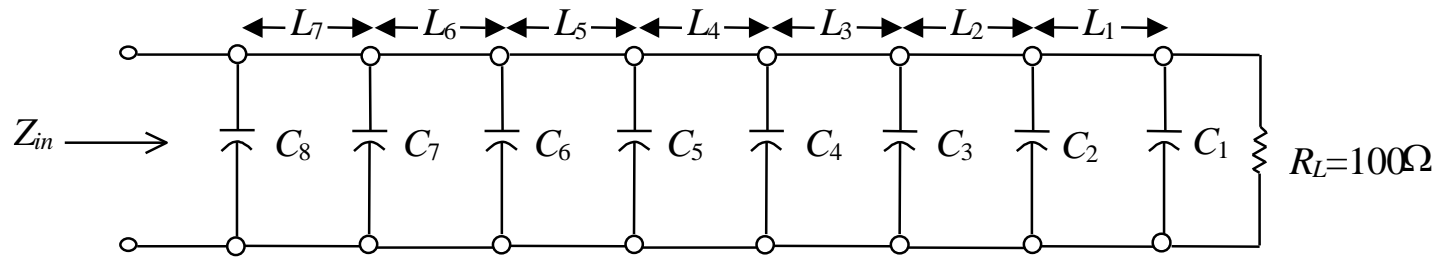
(Bandler et al., 2003)



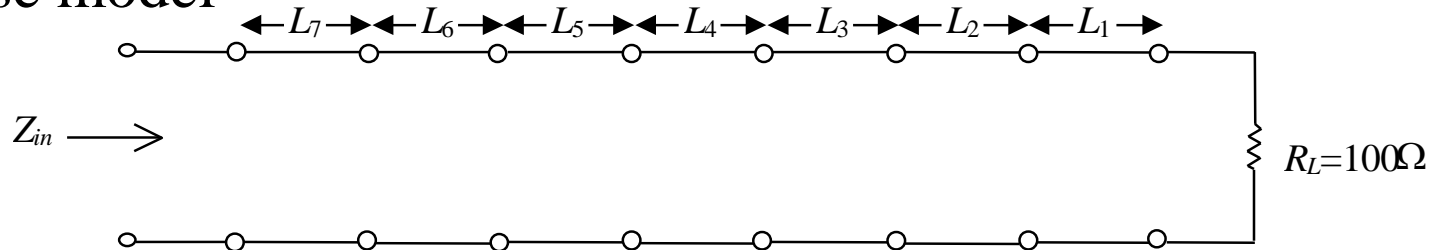


Seven-Section Capacitively-Loaded Impedance Transformer Matlab Implementation (*Bandler, 2001*)

fine model



coarse model



$R_g = 50 \Omega$, $C_1, \dots, C_8 = 0.025 \text{ pF}$
68 point frequency sweep

specifications
 $|S_{11}| \leq 0.07$ for $1 \text{ GHz} \leq \omega \leq 7.7 \text{ GHz}$

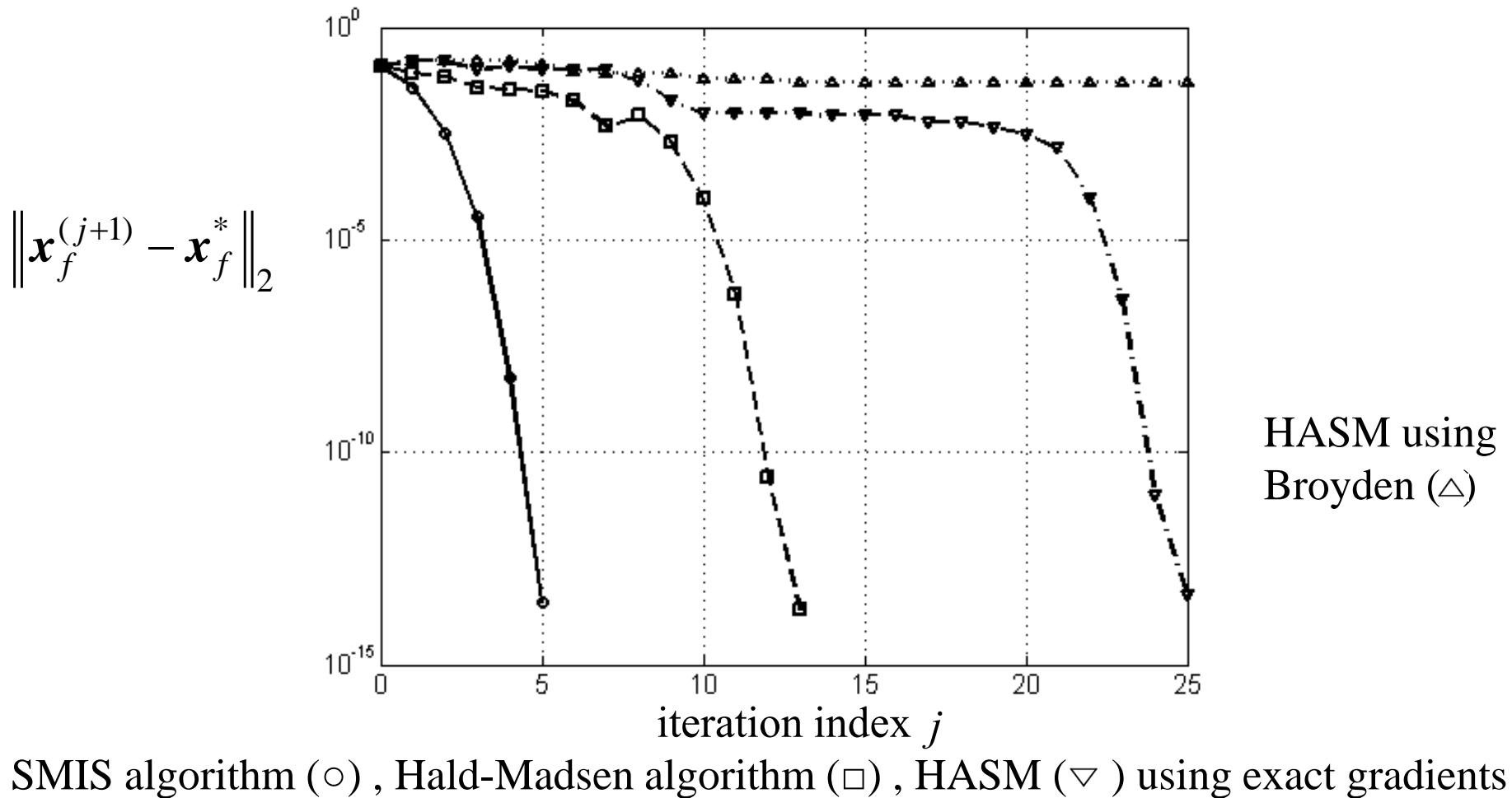


Seven-Section Capacitively-Loaded Impedance Transformer Matlab Implementation (*Bandler et al., 2004*)

parameter	initial solution (m)	solution reached by the SMIS algorithm (m)	solution reached by direct optimization (m)
L_1	0.01724138	0.01564205	0.01564205
L_2	0.01724138	0.01638347	0.01638347
L_3	0.01724138	0.01677145	0.01677145
L_4	0.01724138	0.01697807	0.01697807
L_5	0.01724138	0.01709879	0.01709879
L_6	0.01724138	0.01723238	0.01723238
L_7	0.01724138	0.01625988	0.01625988



Seven-Section Capacitively-Loaded Impedance Transformer Matlab Implementation (*Bandler et al., 2004*)





Optimization methods used on the Section Capacitively-Loaded Impedance Transformer (*Bandler et al., 2004*)

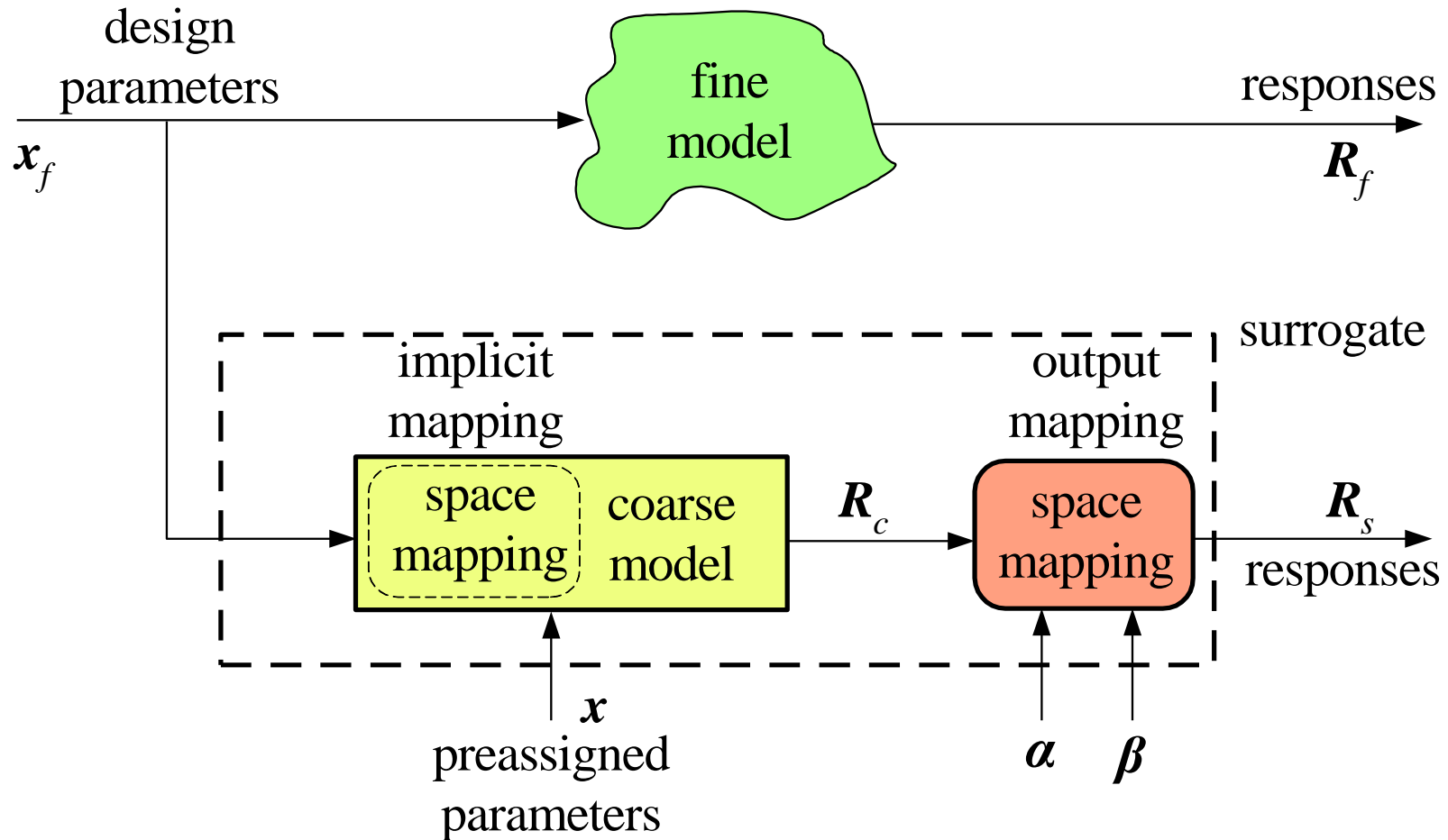
method	number of iterations	number of fine model evaluations
fminimax*	14	153
HASM	25	26
Hald-Madsen	13	13
SMIS	5	6

*the fminimax routine available in the Matlab Optimization Toolbox



Implicit and Output Space Mappings

(Bandler et al., 2003)

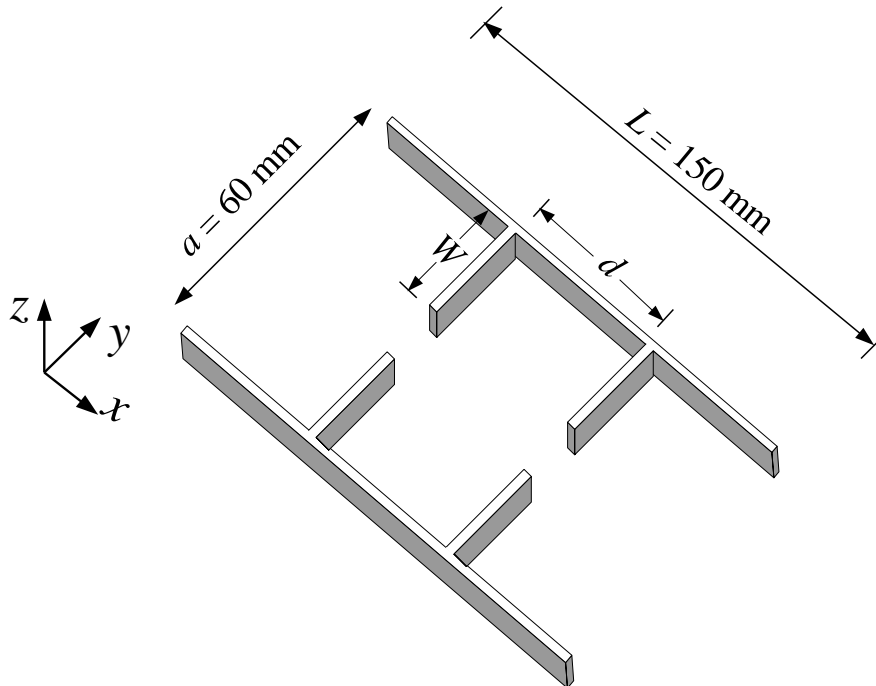




Single Resonator Filter (*Bakr et. al, 2002*)

design of d and W with the waveguide dimensions fixed
($a = 60$ mm and $L = 150$ mm)

Matlab implemented 2D TLM simulator is used (*Bakr 2004*)





Single Resonator Filter **SM** Design (*Bandler et al., 2005*)

3.0 GHz $\leq \omega \leq$ 5.0 GHz with 0.1GHz step (21 points)

design parameters $x_f = [d \ W]^T$

preassigned parameter $x = \varepsilon_r$

Fine Model

$$dx = dy = 1 \text{ mm}$$

$$\Delta d = 2dx, \Delta W = dy$$

$$N_x = 150$$

$$N_y = 30$$

Johns boundary

Coarse Model

$$dx = dy = 5 \text{ mm}$$

$$\Delta d = 2dx, \Delta W = dy$$

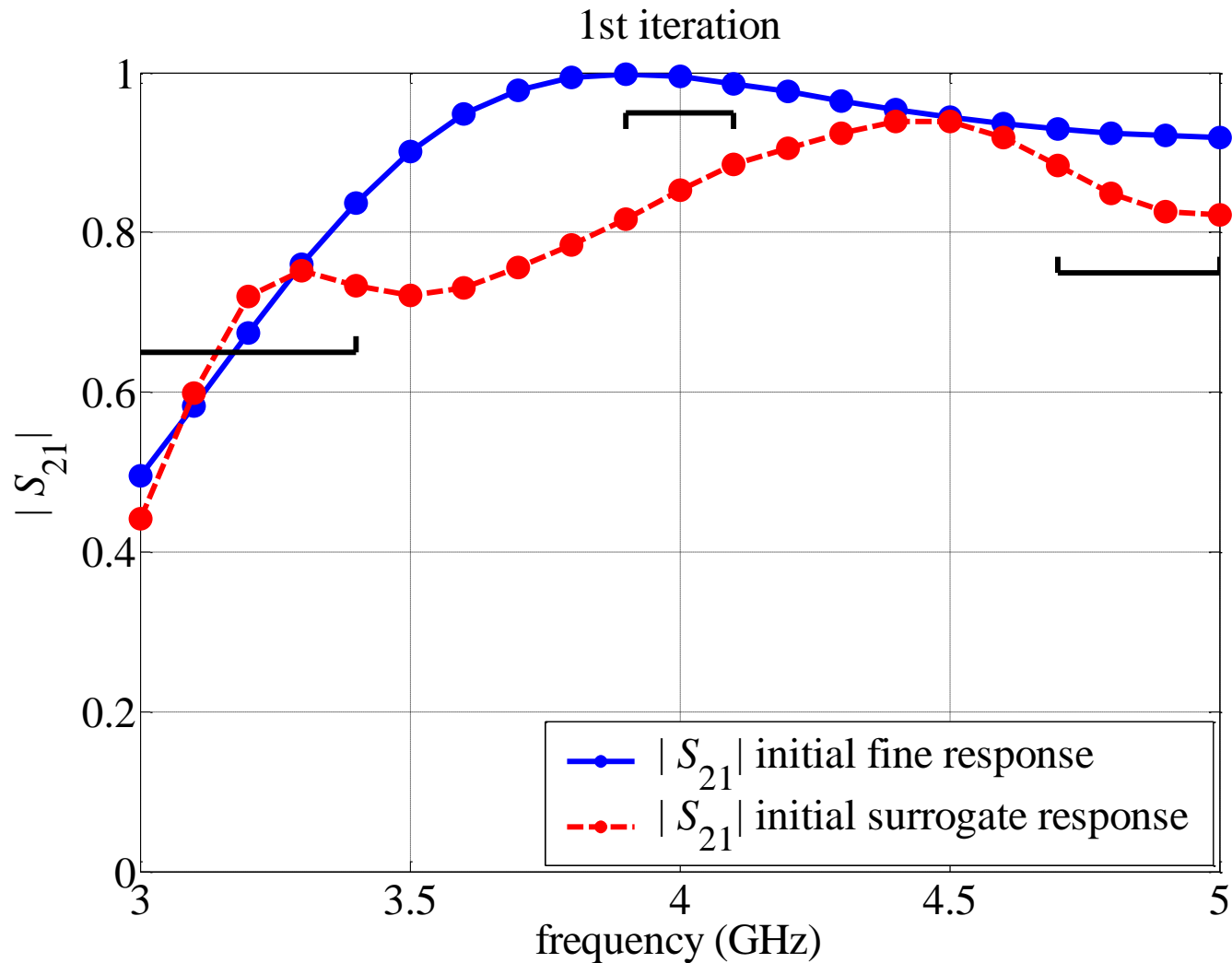
$$N_x = 30$$

$$N_y = 6$$

absorbing boundary at 4 GHz

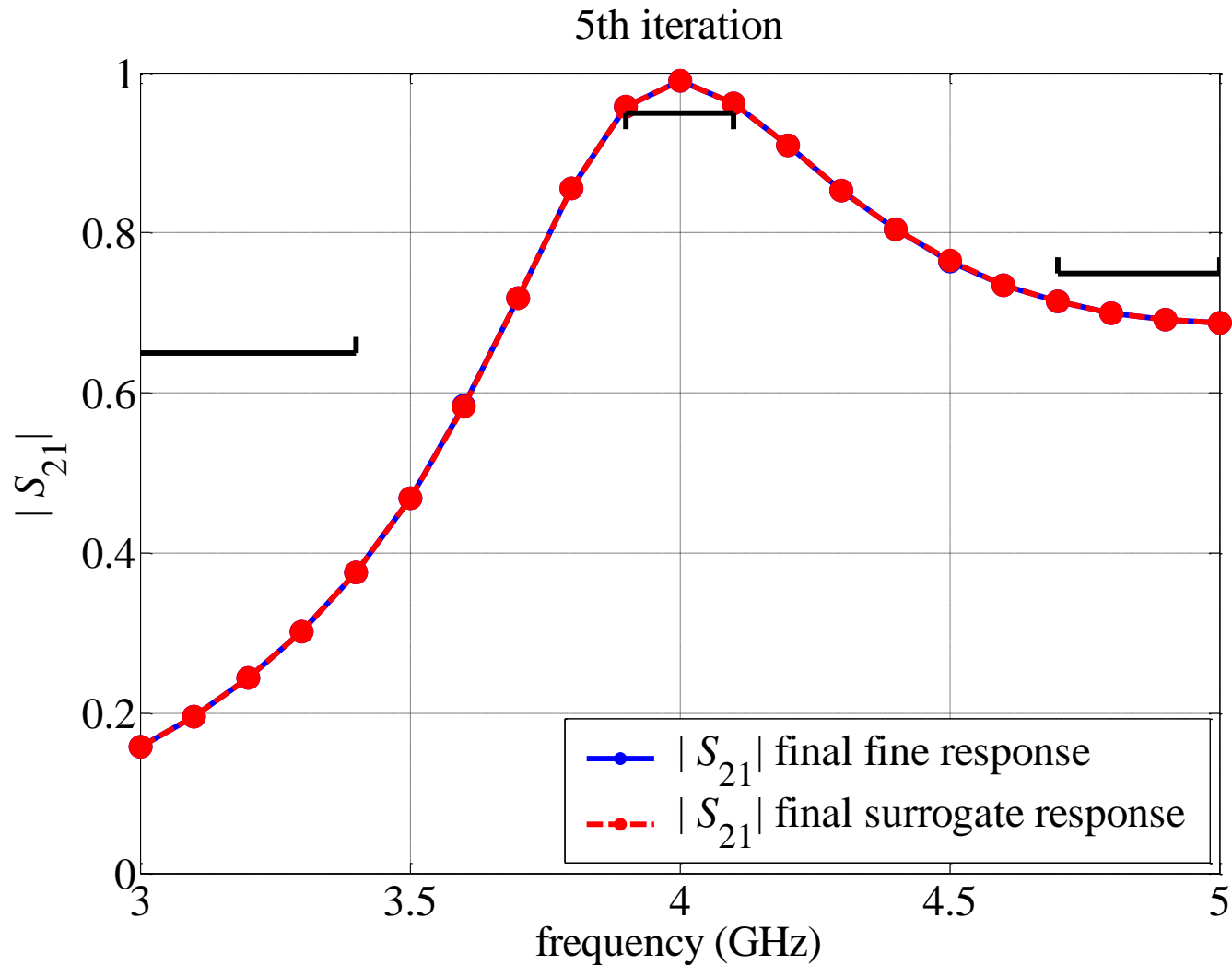


Single Resonator Filter **SM** Design (*Bandler et al., 2005*)



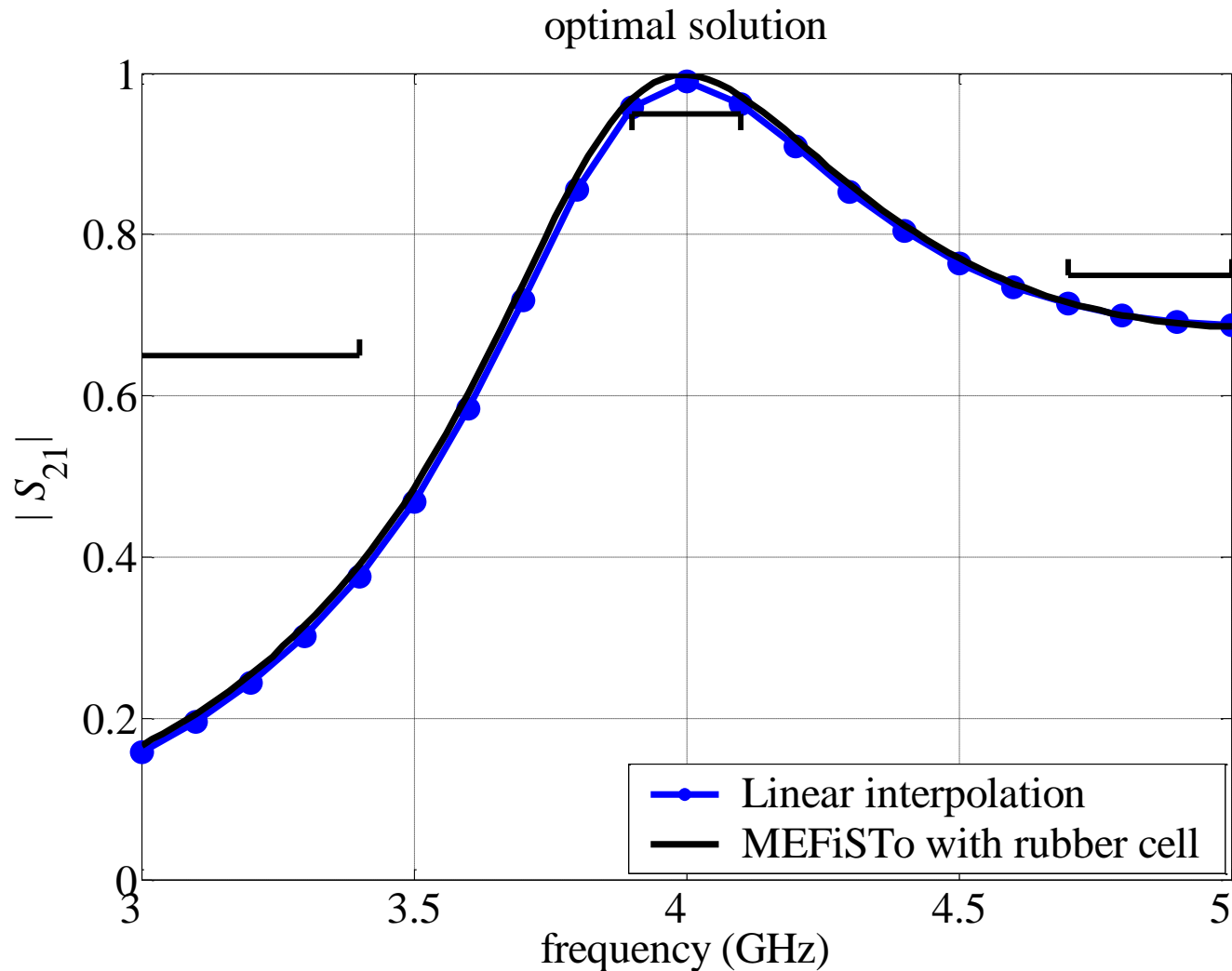


Single Resonator Filter **SM** Design (*Bandler et al., 2005*)





Single Resonator Filter Final **SM** Design (*Bandler et al., 2005*)

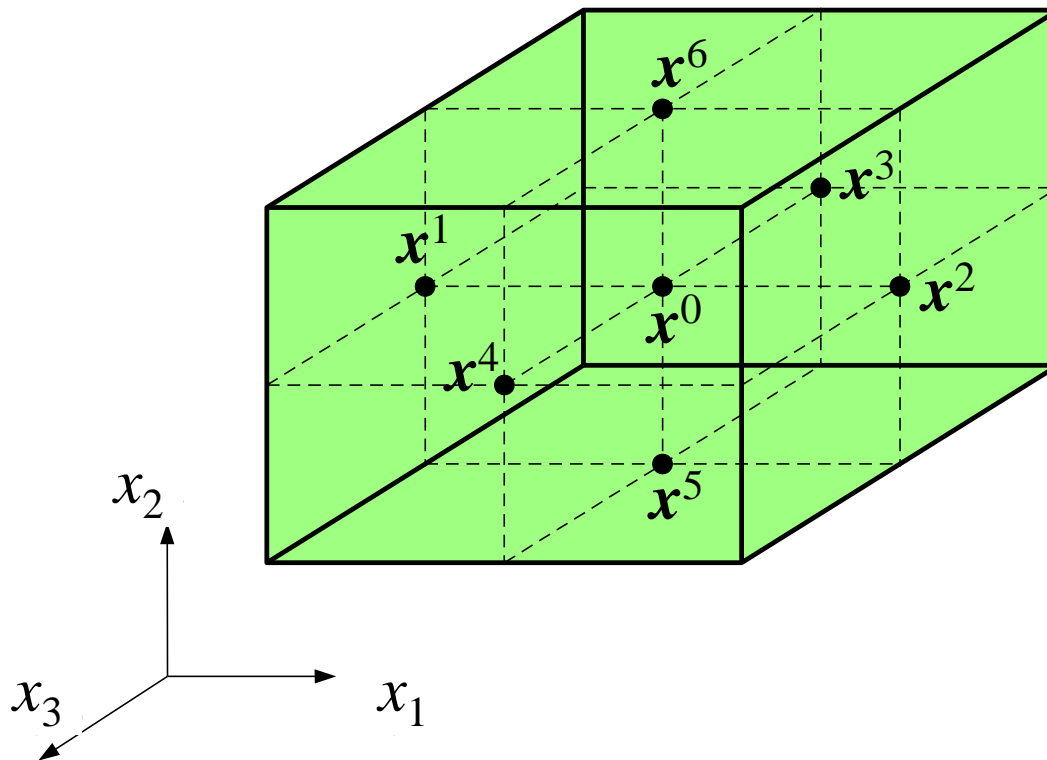




Star Distribution for **SM**-based Modeling

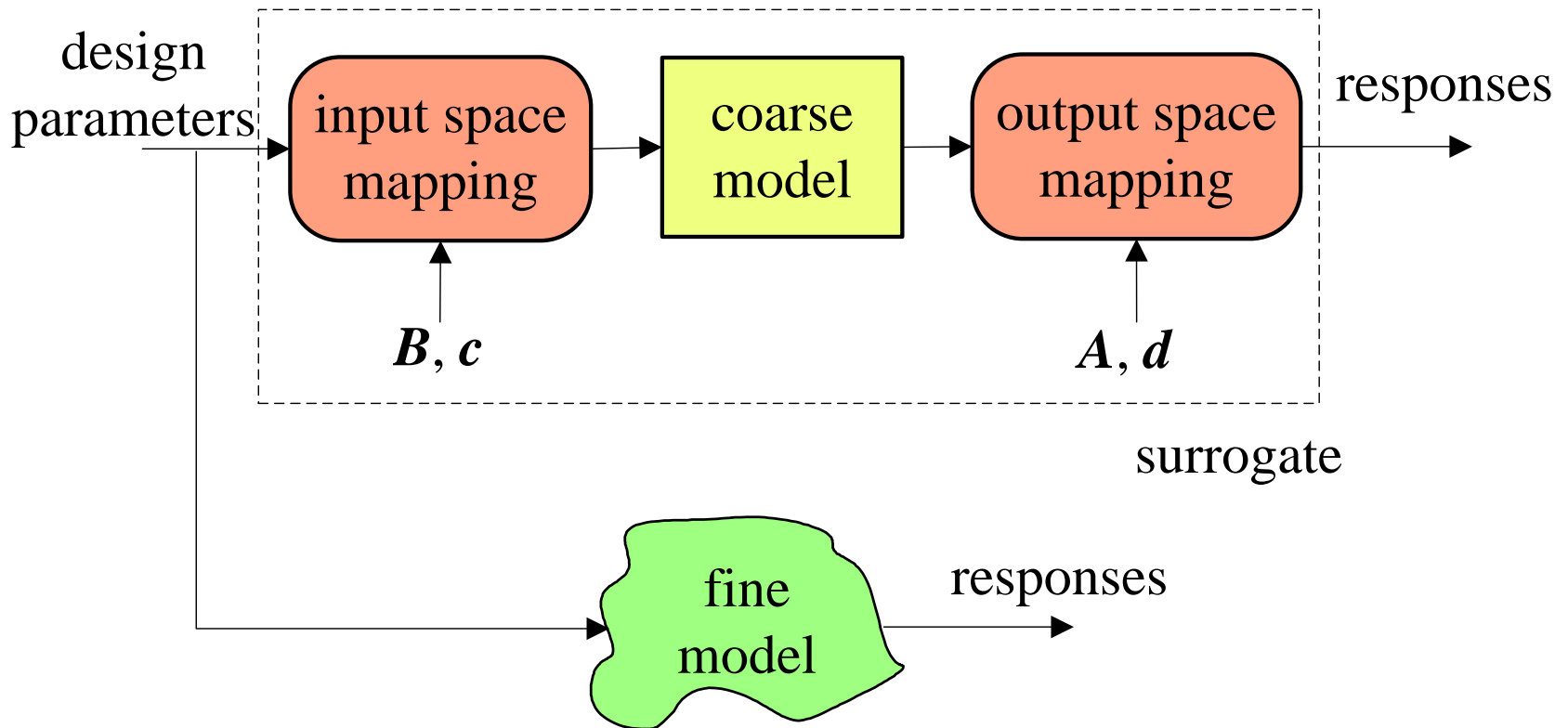
(Bandler et al., 2001)

$2n+1$ points are used for a problem with n design parameters



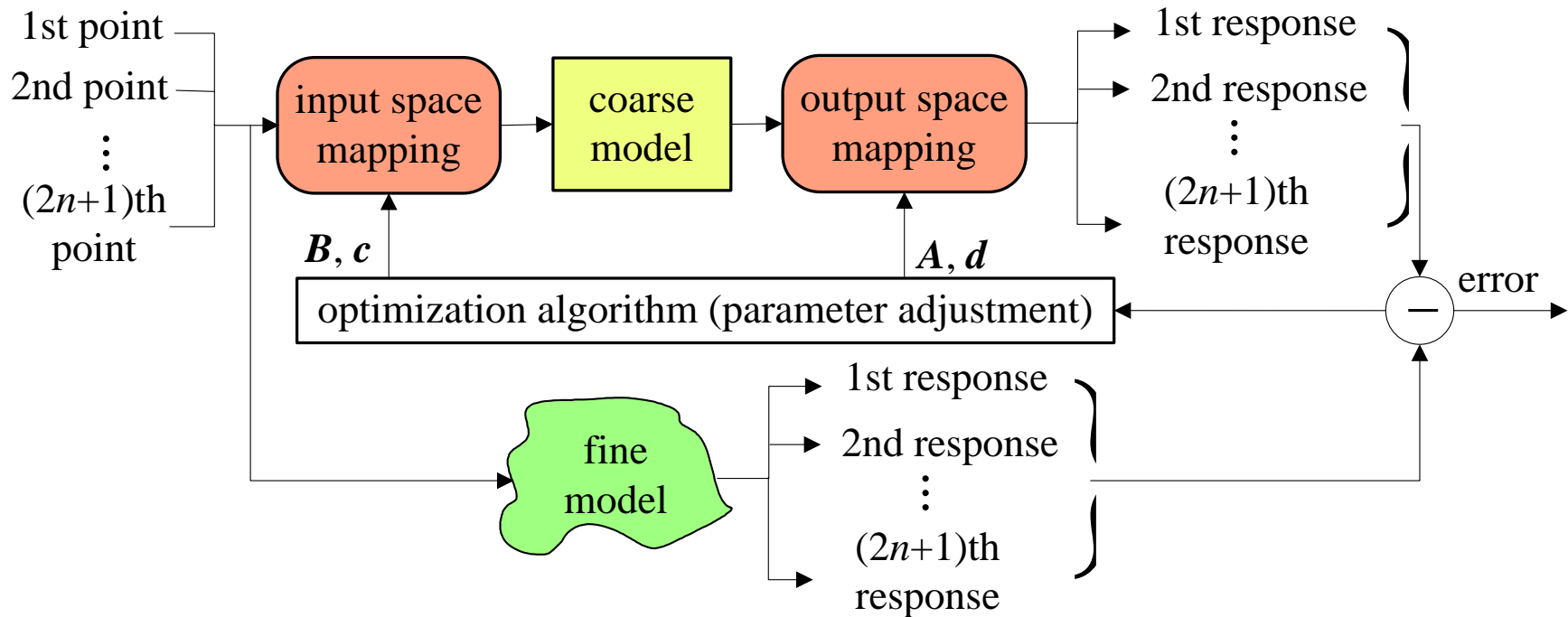


SM-based Model



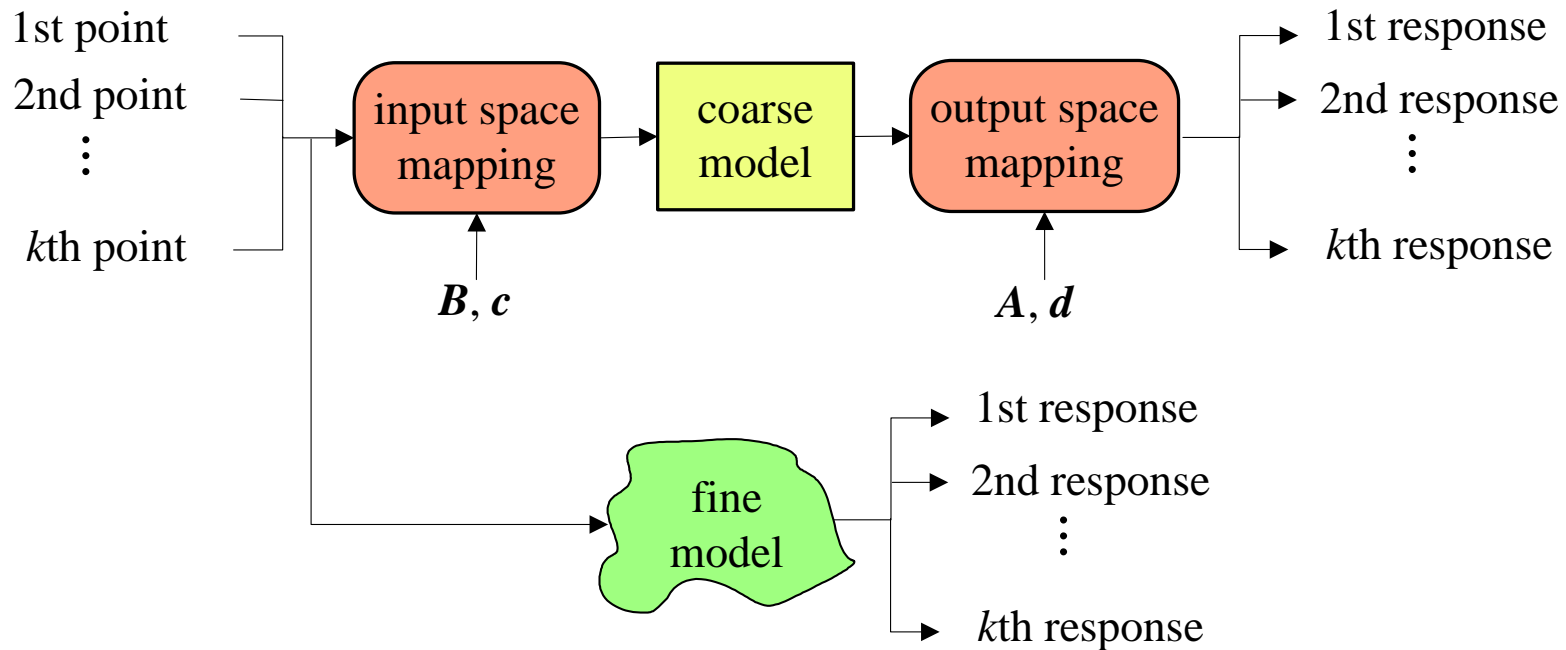


SM-based Modeling: Optimization (Parameter Extraction)





SM-based Modeling: Test Phase





Generic **SM** Surrogate (Mapped Coarse Model) (Bandler et al., 2005)

$$\mathbf{R}_s(\mathbf{x}, \mathbf{A}, \mathbf{B}, \mathbf{c}, \mathbf{d}) = \mathbf{A} \cdot \mathbf{R}_c(\mathbf{B} \cdot \mathbf{x} + \mathbf{c}) + \mathbf{d}$$

parameter extraction

$$(\bar{\mathbf{A}}, \bar{\mathbf{B}}, \bar{\mathbf{c}}, \bar{\mathbf{d}}) = \arg \min_{(\mathbf{A}, \mathbf{B}, \mathbf{c}, \mathbf{d})} \sum_{k=0}^{2n} \|\mathbf{R}_f(\mathbf{x}^{(k)}) - \mathbf{R}_s(\mathbf{x}^{(k)}, \mathbf{A}, \mathbf{B}, \mathbf{c}, \mathbf{d})\|$$

all the models are defined as

$$\mathbf{R}_{si}(\mathbf{x}) = \mathbf{R}_s(\mathbf{x}, \bar{\mathbf{A}}, \bar{\mathbf{B}}, \bar{\mathbf{c}}, \bar{\mathbf{d}})$$

for $i = 1, 2, 3, 4$



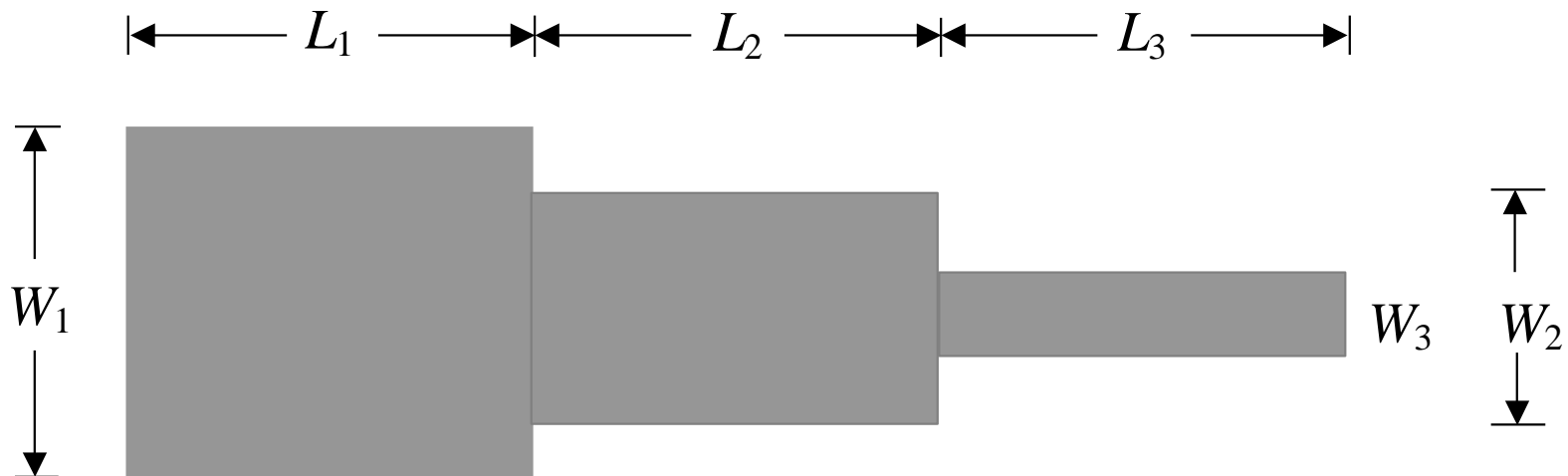
SM-based Model Enhancement (*Bandler et al., 2005*)

model	constraint	PE parameters
R_c	$B = I_n, c = \mathbf{0}_{n \times 1},$ $A = I_m, \text{ and } d = \mathbf{0}_{m \times 1}$	N/A
R_{s1}	$A = I_m, \text{ and } d = \mathbf{0}_{m \times 1}$	B and c
R_{s2}	$d = \mathbf{0}_{m \times 1}$	$B, c, \text{ and } A$
R_{s3}	$A = I_m$	$B, c, \text{ and } d$
R_{s4}	unconstrained	$B, c, A, \text{ and } d$

$$A = \text{diag}\{a_1, \dots, a_m\}$$



Space Mapping Example Using Agilent ADS: Microstrip Transformer (*Bandler et al., 2004*)

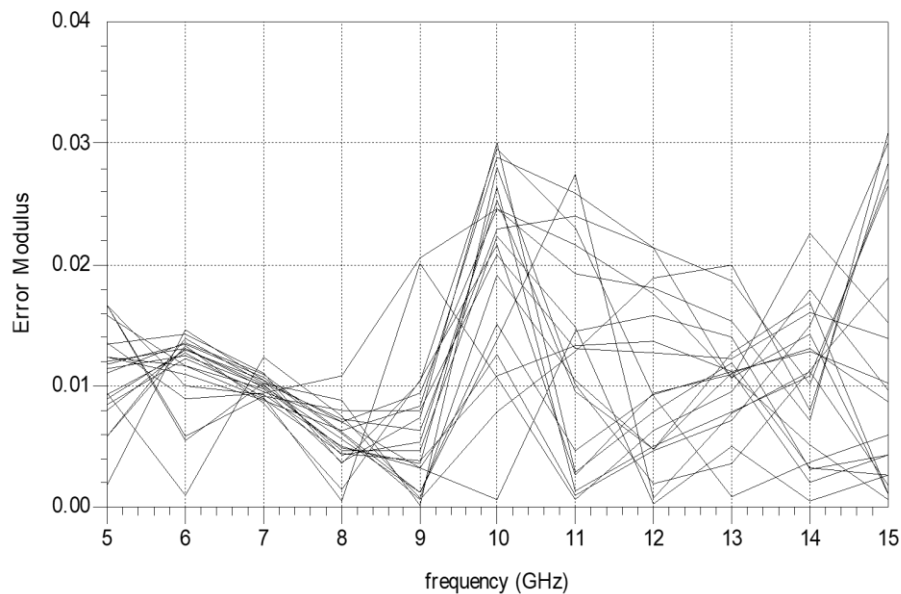




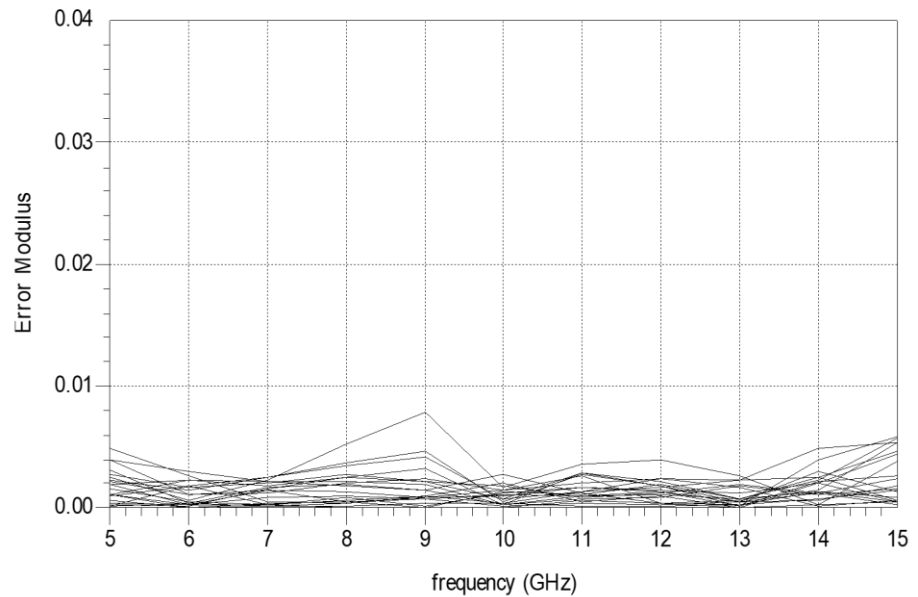
Microstrip Transformer **SM** Modeling Error

w.r.t. Sonnet *em* fine model

ADS coarse model R_c



SM-based surrogate R_{s4}



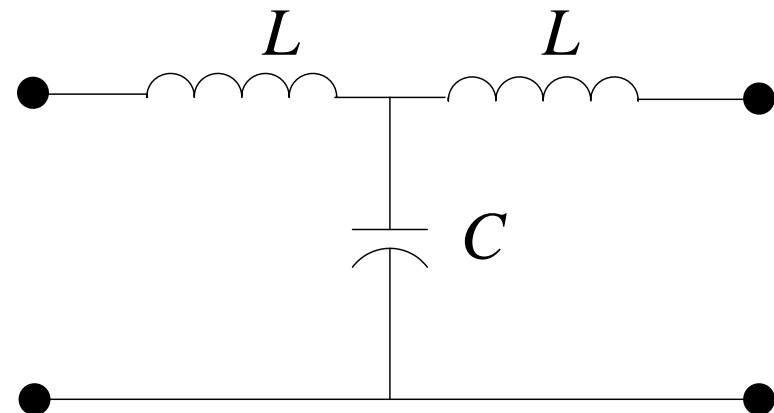
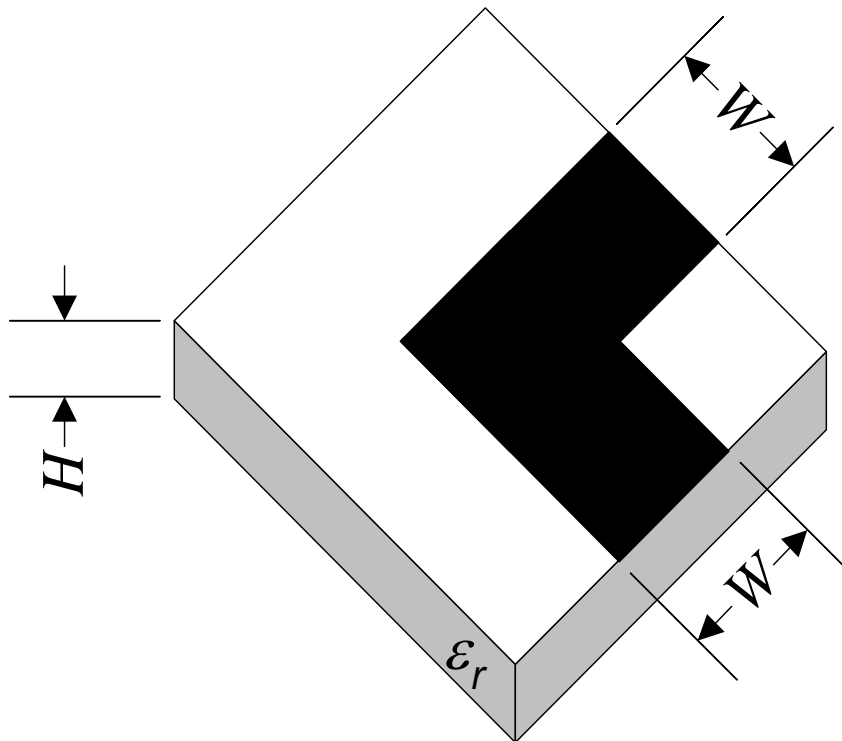


Microstrip Right-Angle Bend

(Bandler et al., 2001)

Sonnet *em* fine model

coarse model (Kirschning et al., 1983)



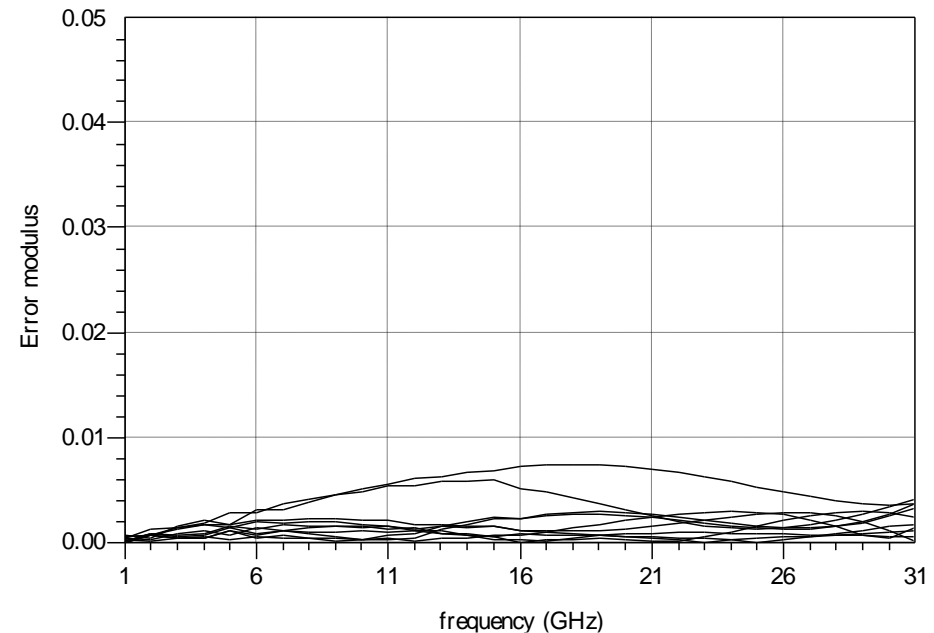
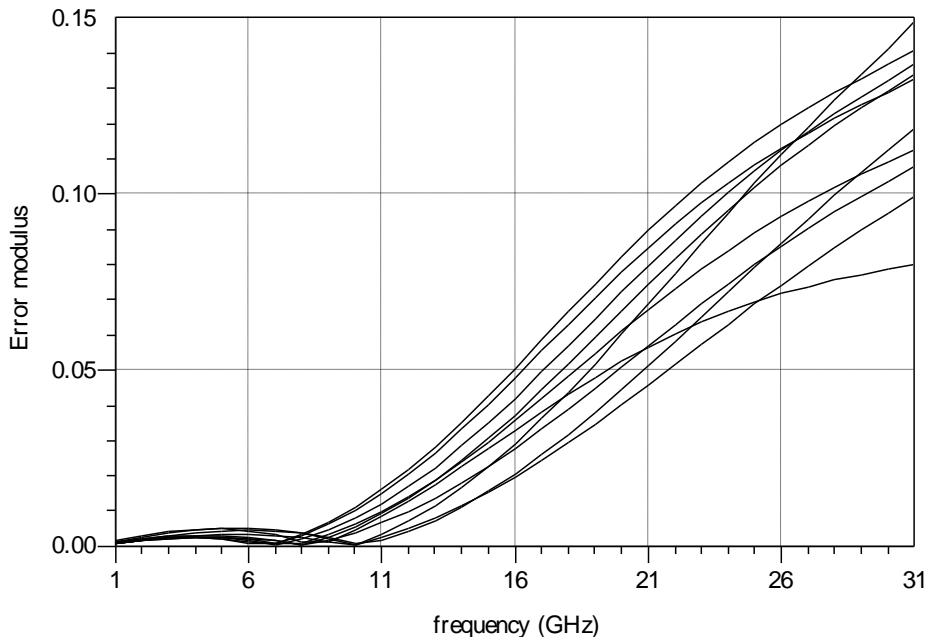


Microstrip Right-Angle Bend (*Bandler et al., 2005*)

10 random test points response error w.r.t. Sonnet *em* fine model

coarse model R_c

SM-based surrogate R_{s4}





Agilent Technologies ADS **Space Mapping Framework for Microwave Modeling**

SM-based surrogate methodology for RF and microwave CAD

implemented and verified entirely in ADS

easy to switch between the surrogates in the ADS schematic

easy to use as a library model

good accuracy



Work in Progress: Convergence Theory, Algorithms and Software for **SM**-based Optimization Algorithms

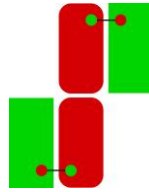
to obtain convergence results for the original, the output and the implicit **SM**

to unify the formulation of the **SM** optimization concept and to classify algorithms

to formulate new and robust **SM** optimization algorithms

to develop new **SM** modeling methodologies

to develop a microwave engineering oriented and general purpose tool for **SM** optimization/modeling (*Bandler Corporation, 2005*)



Preliminary Announcement

**SECOND INTERNATIONAL WORKSHOP ON
SURROGATE MODELING AND SPACE MAPPING FOR
ENGINEERING OPTIMIZATION**

John Bandler and Kaj Madsen, Organizers

Thursday, November 9, to Saturday, November 11, 2006

Technical University of Denmark

Lyngby, Denmark

Invited speakers to be announced



References 1

M. Kirschning, R.H. Jansen, and N.H.L. Koster, “Measurement and computer-aided modeling of microstrip discontinuities by an improved resonator method,” *1983 IEEE MTT-S Int. Microwave Symp. Dig.* (Boston, MA, 1983), pp. 495–497.

J.W. Bandler, R.M. Biernacki, S.H. Chen, P.A. Grobelny and R.H. Hemmers, “Space mapping technique for electromagnetic optimization,” *IEEE Trans. Microwave Theory Tech.*, vol. 42, pp. 2536–2544, 1994.

J.W. Bandler, R.M. Biernacki, S.H. Chen, R.H. Hemmers and K. Madsen, “Electromagnetic optimization exploiting aggressive space mapping,” *IEEE Trans. Microwave Theory Tech.*, vol. 43, pp. 2874–2882, 1995.

M.H. Bakr, J.W. Bandler and N. Georgieva, “Modeling of microwave circuits exploiting space derivative mapping,” *IEEE MTT-S Int. Microwave Symp. Dig.* (Anaheim, CA, 1999), pp. 715–718.

J.W. Bandler, M.A. Ismail, J.E. Rayas-Sánchez and Q.J. Zhang, “Neuromodeling of microwave circuits exploiting space mapping technology,” *IEEE Trans. Microwave Theory and Tech.*, vol. 47, pp. 2417–2427, 1999.

J.W. Bandler, R.M. Biernacki and S.H. Chen, “Parameterization of arbitrary geometrical structures for automated electromagnetic optimization,” *Int. J. RF and Microwave Computer-Aided Engineering*, vol. 9, pp. 73-85, 1999.

J.W. Bandler, N. Georgieva, M.A. Ismail, J.E. Rayas-Sánchez and Q. J. Zhang, “A generalized space mapping tableau approach to device modeling,” *IEEE Trans. Microwave Theory and Tech.*, vol. 49, pp. 67–79, 2001.



References 2

- J.W. Bandler, M.A. Ismail and J.E. Rayas-Sánchez, “Expanded space-mapping EM-based design framework exploiting preassigned parameters,” *IEEE Trans. Circuits and Systems—I*, vol. 49, pp. 1833–1838, 2002.
- M.B Steer, J.W. Bandler and C.M. Snowden, “Computer-aided design of RF and microwave circuits and systems,” *IEEE Trans. Microwave Theory and Tech.*, vol. 50, pp. 996–1005, 2002.
- J.W. Bandler, Q. S. Cheng, N. K. Nikolova and M. A. Ismail, “Implicit space mapping optimization exploiting preassigned parameters,” *IEEE Trans. Microwave Theory Tech.*, vol. 52, pp. 378–385, 2004.
- J.W. Bandler, Q.S. Cheng, S.A. Dakroury, A.S. Mohamed, M.H. Bakr, K. Madsen and J. Søndergaard, “Space mapping: the state of the art,” *IEEE Trans. Microwave Theory and Tech.*, vol. 52, pp. 337–361, 2004.
- J.W. Bandler, D.M. Hailu, K. Madsen and F. Pedersen, “A space-mapping interpolating surrogate algorithm for highly optimized EM-based design of microwave devices,” *IEEE Trans. Microwave Theory and Tech.*, vol. 52, pp. 2593–2600, 2004.
- J.W. Bandler, Q.S. Cheng, D.M. Hailu and N.K. Nikolova, “A space-mapping design framework,” *IEEE Trans. Microwave Theory and Tech.*, vol. 52, pp. 2601–2610, 2004.
- S. Koziel, J.W. Bandler and K. Madsen, “Towards a rigorous formulation of the space mapping technique for engineering design,” *Proc. Int. Symp. Circuits Syst. ISCAS (Kobe, Japan, 2005)*.



References 3

S. Koziel, J.W. Bandler, A.S. Mohamed and K. Madsen, “Enhanced surrogate models for statistical design exploiting space mapping technology,” *IEEE MTT-S Int. Microwave Symp. Digest* (Long Beach, CA, 2005).

J.W. Bandler, Q.S. Cheng and S. Koziel, “Implementable space mapping approach to enhancement of microwave device models,” *IEEE MTT-S Int. Microwave Symp. Digest* (Long Beach, CA, 2005).

Agilent ADS, Version 2003A, Agilent Technologies, 1400 Fountaingrove Parkway, Santa Rosa, CA 95403-1799, 2003.

*em*TM Version 9.52, Sonnet Software, Inc., 100 Elwood Davis Road, North Syracuse, NY 13212, USA.