# COMPLEMENTARY, ALTERNATIVE, AND INTEGRATIVE MEDICINE, NATURAL HEALTH PRODUCTS, AND MEDICAL CANNABIS: PATIENT PREFERENCE AND PREVALENCE OF USE, QUALITY OF PATIENT HEALTH INFORMATION, AND SAFETY AND EFFECTIVENESS CONCERNS

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A Thesis Submitted to the School of Graduate Studies in Partial Fulfilment of the Requirements for the Degree Doctor of Philosophy

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### Abstract

The thesis is comprised of three separate studies that each relate to one of the aforementioned therapy types: complementary, alternative, and integrative medicine (CAIM), natural health products (NHPs), and medical cannabis. Parallels can be drawn across these therapy types in general including patient preference and prevalence of use, quality of patient health information, and safety and effectiveness concerns. Knowledge of these parallels both informed the development of these three studies and emerged across findings. Chapter 1 provides a comprehensive introduction to these parallels in the context of CAIM, NHPs, and medical cannabis. Chapter 2 comprises a cross-sectional survey determining NHP use disclosure to primary care physicians among patients attending a Canadian naturopathic clinic. Chapter 3 comprises a qualitative interview study identifying attitudes towards medical cannabis among family physicians practicing in Ontario, Canada. Chapter 4 comprises a sentiment analysis of Twitter data to understand how CAIM is mentioned during the COVID-19 pandemic. Lastly, chapter 5 serves as the conclusion of this thesis, and summarizes the most important findings, addresses study strengths and limitations, and discusses future directions from this work.

### Acknowledgements

"I may not be there yet, but I'm closer than I was yesterday" was the guiding philosophy that I adopted on the day that I began my PhD studies. It motivated me to appreciate the journey, as opposed to unnecessarily long for the destination, which at the time seemed immensely distant. Each day I made a promise to myself that at the very least I would make an attempt to chip away at my tasks at hand, regardless of whether those attempts were successful, and despite the amount of progress made. At times, the journey was incredibly trying, and filled with both the mental and physical exhaustion and ailments that are married to the goal of creating knowledge, yet other moments were filled with elation provided through the opportunities to share my findings emerging from such a dynamic and complex field with the world. Nonetheless, completing a PhD has been an incredible privilege that has been afforded to me, and for that I am, and forever will be, grateful.

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**Research Interviews** 

## List of Abbreviations

API: application programming interface
AUC: area under the ROC curve
CAIM: complementary, alternative, and integrative medicine
CAM: complementary and alternative medicine
CBD: cannabidiol
CCNM: Canadian College of Naturopathic Medicine
CI: confidence interval
COREQ: consolidated criteria for reporting qualitative research checklist
COVID-19: coronavirus disease 2019
DTM: document term matrix
EBM: evidence-based medicine
MD: medical doctor
NCCIH: National Centre for Complementary and Integrative Health
NHP: natural health product
NLP: natural language processing
NRC: National Research Council Canada
OR: odds ratio
ROC: receiver operator characteristic
RSNC: Robert Schad Naturopathic Clinic
SARS-CoV-2: severe acute respiratory syndrome coronavirus 2
THC: tetrahydrocannabinol

### **Declaration of Academic Achievement**

I declare that the PhD thesis contained herein is my own work. This document has been prepared in the format of a "sandwich thesis" whereby it contains three individual studies prepared for publication in scholarly, peer-reviewed journals. At the time of writing, the first two studies have been accepted for publication or published, Chapter 2 in Complementary Therapies in Clinical Practice, and Chapter 3 in CMAJ Open. The third study, while completed, has yet to be published but has been preprinted. The citations for all three studies can be found below. With the guidance received by my primary supervisor, Dr. Jason Busse, and my two committee members, Dr. Mitchell Levine and Dr. Cynthia Lokker, I contributed to all three projects as follows: designing and conceptualizing the studies, collecting and analysing data, drafting the manuscripts, giving final approval of the manuscript versions and submitting them to the journals, addressing the peer-reviewed feedback received on the manuscript submissions, and proofing manuscripts accepted for publication. To varying extents, my co-authors on the manuscripts, assisted with the following tasks: collecting and analysing data, critically revising the manuscripts, and giving final approval of the manuscript versions to be submitted to the journals. The studies comprising this thesis were conducted between September 2018 and January 2021.

Thesis Study Citations:

 Study 1: Ng JY, Garber A, Luong M, Cooley K, Busse JW. No improvement in disclosure of natural health product use to primary care medical doctors in the last 15 years: A survey of naturopathic patients. Complementary therapies in clinical practice. 2020 May 1;39:101106. <u>https://doi.org/10.1016/j.ctcp.2020.101106</u>.

- Study 2: Ng JY, Gilotra K, Usman S, Chang Y, Busse JW. Attitudes toward medical cannabis among family physicians practising in Ontario, Canada: a qualitative research study. CMAJ open. 2021 Apr;9(2):E342. <u>https://doi.org/10.9778/cmajo.20200187</u>.
- Study 3: Ng JY, Abdelkader W, Lokker C. Tracking Discussions of Complementary, Alternative, and Integrative Medicine in the Context of the COVID-19 Pandemic: A Monthby-Month Sentiment Analysis of Twitter Data. Research Square. [Preprint] <u>https://doi.org/10.21203/rs.3.rs-352428/v1</u>.

# Chapter 1

# Introduction

### **Chapter 1: Introduction**

Complementary, alternative, and integrative medicine (CAIM), natural health products (NHPs), and medical cannabis represent three categories of therapies which contain a number of overlapping characteristics relating to patient preference and prevalence of use, quality of patient health information, and safety and effectiveness concerns, from which parallels can be drawn. The definitions and importance of each are first defined in this introductory chapter, followed by three research studies that each contribute to these parallels. This thesis ends with a conclusion chapter which summarizes the most important findings, addresses study strengths and limitations, and discusses future directions from this work.

# Complementary, Alternative, and Integrative Medicine, Natural Health Products, and Medical Cannabis: Definitions and Importance

Complementary and alternative medicine (CAM) is generally defined as a group of diverse medical and healthcare interventions, practices, products or disciplines that are not considered as part of conventional medicine [1]. Specifically, the National Center for Complementary and Integrative Health (NCCIH) defines "complementary" as a non-mainstream practice used together with conventional medicine, whereas "alternative" refers to a nonmainstream practice used in place of conventional medicine [2]. In contrast, "integrative health" is defined as the coordinated delivery of conventional and complementary approaches together [2]. For the purpose of this thesis, these therapies will be referred to collectively as CAIMs hereafter. Most CAIMs can generally be categorized into one of the following: whole medical systems (i.e. naturopathy, homeopathy); mind-body medicine (i.e. biofeedback, meditation); biologically based practices not usually used in conventional medicine (i.e. botanical medicine,

chelation therapy); manipulative and body-based practices (i.e. chiropractic, massage); and energy medicine (i.e. acupuncture, tai chi) [3]. CAIM is perceived to be of value by its proponents for its emphasis on a holistic, patient-focused approach to healthcare, which include mental, emotional, functional, spiritual, economic, and social aspects [2,4].

Natural health products (NHPs) are defined by Health Canada according to the following six categories: probiotics; herbal remedies; vitamins and minerals; homeopathic medicines; traditional medicines such as Chinese medicines; and other products like amino acids and essential fatty acids [5]. Some NHPs are considered CAIMs (i.e. the use of herbal products outside of mainstream conventional care), while others comprise conventional medical practices (i.e. the use of a given vitamin for vitamin deficiency) [6]. Furthermore, while many NHPs are used as therapeutic agents themselves, others have been used to develop pharmaceutical medications [7]. For example, aloe vera is used topically for acne, skin rash, burns, and is also used orally for weight loss, diabetes, and hepatitis [8]. Metformin, a common drug used to treat type 2 diabetes, is derived from French lilac (*Galega officinalis*), which itself was historically used as the remedy dating back to the Middle Ages [9]. Beyond serving as the starting material for pharmaceutical medicines, NHPs hold importance due to the fact that they are relied upon by up to 80% of the world's population as a source of healthcare and traditional medicine [10].

Cannabis refers to a flowering plant in the family Cannabaceae; while the exact number of species within the genus is disputed, the following three are generally recognized: *Cannabis sativa*, *Cannabis indica*, and *Cannabis ruderalis*. The cannabis plant contains about 540 chemical substances [11], and over 100 of them are classified as cannabinoids, of which tetrahydrocannabinol (THC) is primarily responsible for the plant's psychoactive effects [11,12]. The term "marijuana" refers to the parts of or the products from the cannabis plant that contain

THC, while industrial hemp refers to plants that have minimal THC [11]. Cannabidiol (CBD) is the prominent cannabinoid that is of particular interest to healthcare researchers and clinicians, as this specific compound is responsible for cannabis' purported therapeutic value [13]. In this context, medical cannabis is considered both a CAIM and an NHP, although this is not universally agreed upon by experts. It should also be noted that under Health Canada's updated Natural Health Products Regulations, certain parts of the cannabis plant can be included in NHPs provided that they do not contain more than 10 parts per million tetrahydrocannabinol, or phytocannabinoids that have been isolated or concentrated [14]. Cannabinoids are known to affect cell receptors in the brain and body, changing how they behave and communicate [12]. Cannabinoids may serve as a promising therapy in treating and/or managing epilepsy, nausea and vomiting induced by cancer chemotherapy and weight loss, loss of appetite associated with HIV AIDS, chronic pain, and muscle spasticity associated with multiple sclerosis [11].

Three major parallels can be drawn across CAIMs, NHPs, and medical cannabis, with respect to their context in healthcare. These relate to the following: 1) patient preference and prevalence of use, 2) quality of patient health information, and 3) safety and effectiveness concerns. Each parallel is described in the paragraphs to follow.

### **Patient Preference and Prevalence of Use**

Many patients use CAIM, NHPs, and/or medical cannabis in conjunction with, and a minority in lieu of, conventional care. The use of CAIM is highly prevalent globally, with 88% of World Health Organization member states (170 countries) having acknowledged their use of CAIM (including NHPs), having, for example, formally developed policies, laws, regulations, programs and offices for CAIM [15,16]. The prevalence of CAIM use among many Western

# PhD Thesis - JY Ng; McMaster University - Health Research Methods, Evidence and Impact countries is high; for example, among Canadians it is approximately 80% [16]. The use of CAIM is also known to be highly prevalent among patients living with wide-range of diseases/conditions; in cancer patients, as many as 90% report using some form of CAIM [17,18, 19]. Various reasons motivate patients to use CAIM, including symptom relief, improved quality of life, to augment conventional therapy, support one's philosophical orientations towards health, and achieve a sense of control over one's care [20,21,22]. Increasingly, many patients and practitioners have recognized the value of integrative medicine (the combination of complementary and conventional therapies) [23,24], and sub-specializations of integrative care for specialized diseases/conditions have been established, such as integrative oncology [25,26]. Health Canada has reported that 71% of Canadians have used NHPs [5]; some studies have reported even higher rates, including a cross-sectional survey in British Columbia, Canada, which identified that 85% of patients were taking one or more NHPs [27]. Furthermore, approximately half of the respondents believed that NHPs were safer than prescription medications [27]. This aligns with the 70-95% of the global population that rely on traditional medicines, including NHPs [28]. While the change in global prevalence of medical cannabis use may be more difficult to ascertain, many countries in the Western world have in recent years moved to legalize its use [29]. Canada was the second country in the world to legalize cannabis for all purposes in October 2018 [30, 31], and reported users of medical cannabis have increased dramatically since this time [32]. The number of medical client registrations with federally licensed sellers grew from 345 520 in October 2018 to 377 024 in September 2020. Additionally, the number of individuals registered with Health Canada for personal and designated cultivation of cannabis for their own medical purposes increased from 25 945 in October 2018 to 43 211 in September 2020 [32]. Patients report using cannabis for a wide-range of conditions, which

PhD Thesis – JY Ng; McMaster University – Health Research Methods, Evidence and Impact include pain relief, anxiety, and depression [33, 34], and many believe that medical cannabis should be integrated into conventional healthcare settings [34]. Similar to the motivations for CAIM use, patients using medical cannabis cite their beliefs that it is natural and therefore safer than prescription medicine, provides symptom relief, and improves their quality of life [35].

### **Quality of Patient Health Information**

The quality of publicly available health information surrounding CAIM, NHPs, and medical cannabis has been found to be variable depending on the source; more concerningly, it has been found that some sources contain information that are misleading or inaccurate, and therefore, pose a potential risk to patients' health. Apart from consulting their healthcare providers directly, patients tend to seek CAIM information offline (i.e. magazines, newspapers), and more increasingly common, online (i.e. health blogs, social media) [36-40]. Patients are at a high risk of encountering false and unfounded information [38], coupled with the fact that they also may not disclose their information-seeking behaviours to their healthcare providers [39]. Furthermore, the variable quality of information online presents additional challenges to patients [38,39,40]. With regards to how information on the labels of NHPs impact consumer decision making, it has been found that most consumers did not regularly read product labels, nor did they understand the information on them [41]. Furthermore, evidence of NHP companies making claims on product labels for ingredients known not to be safe, and NHP mislabeling whereby the chemical contents did not match the ingredients listed on the label has been found [42,43]. More recent efforts have been made to create databases that provide greater transparency surrounding NHP labelling and contents for the benefit of researchers, healthcare practitioners, and patients alike [43,44,45]. Consumer health information about medical cannabis has also suffered from

similar issues. Studies evaluating the accuracy and quality of medical cannabis claims online have found that a large proportion of claims made are inaccurate and based on low-quality evidence [45,46]. Another study found that edible cannabis products sold in metropolitan areas did not comply with pharmaceuticals' basic label accuracy standards. They found that more than 50% of the analyzed products contained significantly less cannabinoid content than labelled, with certain products having negligible quantities of THC [47]. Information regarding CAIM, NHPs, and medical cannabis, may not necessarily always be true, accurate, or reliable.

### Safety and Effectiveness Concerns

Similar safety and effectiveness concerns exist with respect to CAIM, NHPs, and medical cannabis. All three therapy types generally tend to be under-researched in comparison to conventional therapies such as pharmaceuticals and surgery. While some CAIMs (including NHPs) have undergone more careful examination and have been found to be generally safe and effective, such as meditation and yoga [48,49], others have not been adequately researched to determine their effectiveness, and some have been found to be potentially harmful or interact adversely with conventional medicines [49,51-53]. A well-documented, common belief among patients is the idea that "natural means safe and better" [54], however, this is untrue as, for example, many herbal NHPs can be harmful when taken in large quantities. It has also been documented that at therapeutic doses certain weight loss and bodybuilding supplements for example, can result in hepatotoxicity or even hepatic failure [55].

On a national and international scale, systemized pharmacovigilance of CAIMs is poorly coordinated, and NHPs are generally not regulated to standards as high as that of pharmaceutical medicines with respect to quality, effectiveness or safety [51]. Patients taking cannabis for

# PhD Thesis – JY Ng; McMaster University – Health Research Methods, Evidence and Impact medical purposes containing high THC levels may be at risk of increased mental illness and cognitive impairment, or risk of developing psychotic disorders later in life [56-58]. Furthermore, medical cannabis is known to negatively interact with certain pharmaceutical medicines when taken together [59]. The use of medical cannabis can also result in transaminase elevations, sedation, sleep disturbances, infection, and anemia [59].

These concerns are compounded by the fact that patients do not tend to disclose their use of these therapies to their conventional healthcare providers, the latter of whom have received little if any training and education on these topics. One meta-analysis of 86 observational studies reported that patients only disclosed their CAIM use to their physicians 33% of the time [43]. The reasons for non-disclosure include conventional healthcare providers not asking about CAIM use, fear of provider disapproval, perception that disclosure is unimportant, and beliefs that providers lacked CAIM knowledge or time, as well as beliefs that CAIM use is safe [43,44]. Patients are more likely to disclose CAIM use if they are asked by their primary physician, however, such discussions rarely occur [60-63]. These findings largely also pertain to patient disclosure of NHPs [64,65]. While medical cannabis itself is derived from an NHP, an added layer of stigma is attached to it by both healthcare providers and patients alike as a result of its long and complex history as an illicit substance. A study of 221 healthcare providers found that increased positive attitudes toward the use of medical cannabis was associated with lower stigmatization of patients who used it [66]. Among patients using cannabis for medical purposes, approximately one-third do not disclose their use to their physician [67,68]. Not surprisingly, patients living in jurisdictions where medical cannabis use is illegal are even less likely to disclose their use to their physician [67], and even among those using it legally, they may hide this information to avoid judgement [68].

One of the primary reasons why conventional healthcare practitioners fail to inquire about CAIM, NHP, or medical cannabis use, however, is simply because they lack the knowledge and training on these topics themselves. One study involving 1247 health professionals found that most respondents did not feel prepared to address patient questions regarding CAIM [69]. It is also documented that healthcare practitioners admit to having little if any educational training on the topic of CAIM [70-72]. Despite their widespread use, healthcare professionals also possess varied training or knowledge regarding herb toxicology and adverse drug-herb interactions [73-76]. In some countries with deeply rooted cultures of traditional medicine, the healthcare providers trained in conventional medicine may have adjunct training in their country's traditional medicine system (i.e. traditional Chinese medicine in China or Ayurveda in India) [4,77], but this knowledge is not standardized across different regions of the world. Additionally, physicians lack the training and knowledge surrounding the safety and effectiveness of medical cannabis [79-81]. More promisingly, however, is that healthcare practitioners who lack knowledge of CAIM [69], NHPs [73-76] and medical cannabis [80-84], are increasingly open to the potential value of these interventions and generally express positive attitudes towards gaining education on this topic.

### **Identified Parallels and Relationship to Thesis Studies**

This thesis is comprised of three separate studies that each relate to one of the aforementioned therapy types: CAIM, NHPs, and medical cannabis. Parallels can be drawn across these therapy types in general, as outlined in Chapter 1, including patient preference and prevalence of use, quality of patient health information, and safety and effectiveness concerns. Knowledge of these parallels both informed the development of these three studies and emerged

across findings. Chapter 2 reports a cross-sectional survey determining NHP use disclosure to primary care physicians among patients attending a Canadian naturopathic clinic. Chapter 3 details a qualitative interview study identifying attitudes towards medical cannabis among family physicians practicing in Ontario, Canada. Chapter 4 provides a sentiment analysis of Twitter data to understand how CAIM is mentioned during the COVID-19 pandemic. Chapter 5 serves as the conclusion of this thesis, and summarizes the most important findings, addresses the studies' strengths and limitations, and discusses future directions from this work.

### **Study Designs and Theoretical Underpinnings**

As this thesis is comprised of three studies each informed by a different research methodology, it is worthwhile to briefly discuss the theoretical underpinnings considered, as all research is, to some extent, social. Three major theoretical underpinnings include positivism, constructivism, and realism. Positivism can be described as a philosophical system that is premised on the belief that there is a truth to be discovered and a real world that can be observed, analyzed, and understood [85]. In contrast, constructivism reflects a belief that there is no single, specific, nor certain truth, but instead that truth is socially constructed and historically situated [85]. Realism falls between these two aforementioned philosophical systems whereby individuals' (i.e. researchers') interpretations of that truth are shaped by a variety of social and interpersonal forces [85]. By this definition, it can be acknowledged that the cross-sectional study design is an example of a positivist research methodology, as is the sentiment analysis. Qualitative interviews, in contrast, can be informed by any or all of these philosophical systems, however, in the present study we adopted a realist approach whereby we took practitioners' reports at face value assuming they would report truthfully about their beliefs and attitudes.

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## Chapter 2

## **Study 1: No Improvement in**

## **Disclosure of Natural Health**

## **Product Use to Primary Care**

## **Medical Doctors in the Last**

**15 Years: A Survey of Naturopathic Patients** 

## Chapter 2: No improvement in disclosure of natural health product use to primary care medical doctors in the last 15 years: A survey of naturopathic patients

### Abstract

**Background:** The use of natural health products (NHPs) is common in North America. In 2003, we found that 42% of NHP users had not disclosed this information to their primary care medical doctors (MDs). We repeated our survey in 2018/2019 to explore if the rate of NHP use disclosure had improved.

**Methods:** From November 2018–February 2019, a 21-item survey about NHP use and disclosure was administered to adult patients who visited the Robert Schad Naturopathic Clinic in Toronto, Canada.

**Results:** Almost all patients surveyed were using NHPs (99%), and 46% were using NHPs and prescription medication concurrently. Consistent with our 2003 findings, 42% of respondents who used NHPs did not disclose this information to their MD.

**Conclusion:** Disclosure of NHP use to MDs by naturopathic patients is limited and remained unchanged over the past 15 years. Future research should explore primary care MDs' hesitancy to inquire about patient NHP use.

### Background

Natural health products (NHPs), which include vitamins and minerals, herbal remedies, homeopathic medicines, traditional medicines, and probiotics, are used by 80% of people worldwide [1]. Individuals using NHPs often report the belief they are safe because they are natural [2-4]. However, NHPs used in excess or in conjunction with certain drugs have the potential to cause toxic effects or, in rare cases, death [2-8]. For example, usnic acid (promoted for weight loss) can cause severe acute hepatitis or liver failure [2], St. John's Wort increases the risk of stroke or heart attack when used with digoxin [9], and a third of NHP users who are on warfarin therapy are at risk for excessive bleeding [3]. It is therefore desirable for medical doctors (MDs) to be aware of their patients' NHP use.

Despite the high prevalence of NHP use, anywhere between 20%–90% of patients do not disclose use to their MD [3,8-14]. Lack of disclosure has been associated with patients' fear of judgement from MDs and belief that disclosure is unnecessary [3,4,9-13,15]. In 2003, we surveyed 198 Canadian naturopathic patients (88% response rate) and found that while 93% of respondents used NHPs, 42% did not disclose use to their MD [15]. The single factor most strongly associated with disclosure of NHP use was whether or not the patient's primary care MD asked about NHP use. In the Province of Ontario, Canada, it should be noted that "naturopathic doctors" (i.e. NDs) and "medical doctors" (i.e. MDs) comprise two separate healthcare professions. In this study, we repeated our study, 15 years later, at the same naturopathic college clinic to explore if patients' rate of NHP disclosure rate to their MDs had changed.

### Methods

From November 15 to 24, 2019 and January 24 to February 2, 2019, all adult patients (18+ years) who visited the Robert Schad Naturopathic Clinic (RSNC) were given the opportunity to participate in our study. The RSNC is a naturopathic teaching clinic located at the Canadian College of Naturopathic Medicine (CCNM) in Toronto, Canada. This was the same clinic that we surveyed in 2003 [16]. A consecutive sampling technique was used, meaning that on days when the surveys were administered, members of our study team approached each patient attending the clinic. A verbal, scripted invitation was used alongside signs that advertised that a survey on NHP disclosure was being conducted. Patients who provided informed consent were given a 21-item questionnaire to complete that inquired about demographics, health care (including use of NHPs and prescription medication), and disclosure of NHP use to their primary care MD. The participant information letter and informed consent form is provided in **Appendix 1**. As per the survey's instructions and informed consent, participants were allowed to skip or not answer any questions that they did not wish to or feel comfortable answering. This questionnaire is available in Appendix 2. Questionnaires were completed anonymously, and once completed, participants deposited their questionnaire directly into a secure box. Ethical approval for this study was provided by the CCNM Research Ethics Board.

As participants were permitted to skip or not answer questions, missing values were present and only data that was reported were included in analyses. Frequencies were generated for all collected data. We compared respondent characteristics between the 2003 and 2019 surveys using an unpaired *t*-test for age and a chi-squared test for dichotomous and categorical factors. We created univariable and multivariable logistic regression models to explore factors associated with: (1) patient disclosure of NHP use to their primary care MD and (2) MD inquiry

about NHP use. Independent variables were: (1) age, (2) sex, (3) level of education, (4) length of time attending RSNC, (5) number of visits per year to RSNC, (6) patient concern regarding NHP-drug interaction and for NHP disclosure only, (7) whether or not their MD asked about NHP use. Results are presented as odds ratios (OR) with 95% confidence intervals (CI). An OR greater than 1 indicates an increased association. All analyses were two-tailed and statistical significance was defined as p < 0.05. All analyses were performed using IBM SPSS Statistics software, version 24.

### Results

A total of 25% of patients approached completed the questionnaire (277 of 1112). The mean age of respondents was 40.5 years (range 16–84) and most were female (77%, 211 of 274) employed (54%, 140 of 258), white (48%, 131 of 270), and had a university degree (68%, 185 of 273) (**Table 1**). Compared to the 2003 survey, respondents were similar in age, sex and employment status, but less likely to be white and more likely to report higher levels of formal education (**Appendix Table 1**). Only 7% of respondents (19 of 273) had been referred for naturopathic care by their MD, and 54% (148 of 275) had attended the RSNC for more than one year. Forty-percent (107 of 271) visited the naturopathic clinic at least 11 times a year, whereas most (62%, 168 of 272) saw their primary care MD 0-3 times a year.

Almost all respondents (99%, 274 of 277) used NHPs, 46% (126 of 274) used NHPs and prescription drugs concurrently, and 54% (148 of 274) used NHPs alone. Patients reported use of the following NHP categories: vitamins and minerals (87%, 240 of 276), herbs (59%, 164 of 276), probiotics (51%, 141 of 276), homeopathic remedies (25%, 69 of 276), traditional Chinese medicines (15%, 42 of 276), and medical cannabis (9%, 25 of 276). The most common NHPs

included vitamin D (68%, 187 of 276), magnesium (55%, 151 of 276), and omega-3 fatty acids (50%, 138 of 276) (**Table 2**). The most commonly used prescription medication types were thyroid hormone (17%, 21 of 121), anti-hypertensive agents (16%, 19 of 121), birth control (15%, 18 of 121), and anti-depressants (13%, 16 of 121) (**Table 3**).

### Disclosure of NHP Use to Medical Doctors

Forty-two percent (114 of 269) of respondents did not disclose NHP use to their primary care MD. Yet, 78% (209 of 268) discussed prescription drug use with their naturopathic doctor, and only 27% (72 of 268) were concerned about potential interactions between prescription medications and NHPs. The most common reasons provided for not disclosing NHP use were: (1) MDs do not approve of complementary and alternative medicine use (21%, 27 of 131); (2) MDs would not understand (21%, 27 of 131); (3) patients were uncomfortable talking about NHP use (5%, 7 of 131); and (4) it was not relevant (5%, 6 of 131).

In the adjusted model, the only factor associated with disclosure of NHP use to MDs was whether the latter asked about NHP use (OR 5.27, 95% CI 2.57 to 10.78; p < 0.001) (**Table 4**). However, 75% (201 of 268) of respondents stated their MD did not ask about NHP use. None of the independent variables explored showed a significant association with whether primary care MDs inquire about patients' NHP use (**Table 5**).

### Discussion

Our survey of naturopathic patients found that almost all reported use of NHPs, but less than half (42%) disclosed use of these products to their primary care MD. The most common reason for non-disclosure was concerns that MDs would not approve. MD inquiry about NHP

use was strongly associated with disclosure, but the majority of MDs did not instigate such discussions. Almost half of the patients we surveyed combined prescription medication with NHP use, but only a quarter were concerned about interactions.

### Similarities and Differences to Survey Administered 15 Years Ago

The characteristics of survey respondents were relatively similar to that of the survey administered 15 years ago. The mean age was significantly higher 40.5 (SD=17.2) in 2019 vs. 37.3 (SD=14.7) in 2003 (p=0.04), and comprised of more females (77.0% vs. 71.8%) although not significantly (p=0.26). Participants in the recent survey were significantly more multi-ethnic than 15 years ago, though still predominantly White (48.3% vs. 76.8%) (p<0.001). Education level was relatively similar across both surveys. A significantly lesser proportion of participants were employed in the recent survey (54.3% vs. 66.5%) (p=0.01). Generally speaking, more participants in the recent survey attended the CCNM clinic for a longer period of time. Number of visits to the CCNM clinic per year as well as number of visions to primary care family doctor were relatively similar across both surveys.

While we added a number of additional NHPs to the recent survey, in terms of those listed in both surveys, we found that vitamins, garlic, echinacea, chamomile, and licorice remained the most commonly taken NHPs. A considerably larger proportion of patients in the recent survey reported using omega-3 fatty acids (50.0% vs. 1.9%), probiotics (44.2% vs. 2.5%; though only written as *Lactobacillus acidophilus* in the 2005 version), and iron supplements (24.3% vs. 1.2%), compared to the survey 15 years ago. A number of participants in the recent survey, similar to the first survey, reported taking kava kava (*Piper methysticum*) (2.9% vs. 3.1%) and St. John's Wort (*Hypericum perforatum*) (5.8% vs. 5.0%), despite the fact that Health

Canada has issued advisories on both regarding safe use and potential for drug-herb interactions. In a scenario of optimal safe consumption, disclosure rates ought to mirror use of NHPs that have more significant safety considerations.

In regards to medication use reported by survey respondents, medications used for thyroid disease (17.4% vs. 11%), hypertension (15.7% vs. 6%), birth control (14.9% vs. 20%), depression (13.2% vs. 13%), and hyper-cholesterol (12.4% vs. 4%) remained as the six most common categories of prescription medications across both surveys.

### Implications and Importance of the Current Survey

Prior studies have reported NHP nondisclosure rates ranging from 15% to 74%, with the reasons for nondisclosure being lack of inquiry from MDs, lack of time, belief that their MDs would not know about NHPs, patients' fear of being judged, and patients perceiving disclosure as irrelevant because NHPs are safe [3,11,17-20]. Yet, patients' failure to disclosure NHP use to a healthcare provider makes it difficult to recognize or report drug-herb interactions or adverse events, which occurs with increasing likelihood with long-term use and polypharmacy [21]. This study allowed us to evaluate a unique population that almost entirely uses NHPs of some sort (i.e. 99%), which is considerably higher than other populations that have been studied in the literature including Health Canada's report that that 71% of Canadians used NHPs [22].

The 42% NHP nondisclosure rate we found is identical to results at the same naturopathic clinic 15 years earlier [16]. Among the general population, a recent study found that most respondents would prefer to take an NHP versus a prescription drug and that half of respondents believe that NHPs are safer [21], despite the literature finding otherwise whereby in some cases even serious harm can arise from taking NHPs, such as hepatotoxicity or heavy metal poisoning

[23,24]. Considering misleading health claims and lack of information about NHP-prescription drug interactions, there is merit in patients involving their MD in decisions to initiate or continue NHP use [4,25,26]. For instance, one study of 1118 patients found that 59% concurrently used NHPs and prescription drugs, which increased their risk 6.4 times for experiencing severe bruising, cardiac arrest, seizures, and shallow breathing [27]. Some NHPs can increase perioperative patient risk of bleeding (i.e. garlic), hypertension (i.e. ginseng, ephedra), or prolongation of anaesthetic effects (i.e. kava kava, ginseng) [28]. NHP use is common among cancer patients where there is a potential risk of adverse events [29]. Aside from providing such information, MDs who are aware of their patients' NHP use can report adverse events to Health Canada to help inform removal of unsafe products or modifications to safety information [25].

Particular to this study, certain adverse effects and drug interactions are known among NHPs commonly taken by our patient population. More than half of all patients took one or more vitamin supplements in our study. In recent years, the consumption of multivitamins has increased globally and the common assumption among patients is that they are generally safe, even if not necessarily effective [30]. Patients taking high dosages of vitamin C can experience osmotic diarrhea and gastrointestinal upset [31] while overdosing on vitamin A can result in hypervitaminosis and hepatotoxicity [32,33], as examples. Nearly 30% of patients surveyed took turmeric which can cause gastrointestinal issues [34-36]. Green tea was also another commonly taken NHP, and while generally safe, in large quantities can lead to hepatotoxicity [37]. It is also known that green tea contains compounds that have the potential to alter absorption and metabolism of other substances which may result in adverse interactions between catechin and prescribed drugs [38]. Concerns also exist surrounding NHPs that have been associated with serious health risks identified by Health Canada; notably, we asked survey participants to report

whether they took St. John's Wort and kava kava, to which approximately 6% and 3% responded "yes" respectively. Advisories regarding both have been issued by Health Canada in the past (St. John's Wort in 2000, kava kava in 2002). In the advisories, it was warned that St. John's Wort may interfere with medications taken for HIV infection, heart conditions, blood clots, asthma, depression and migraines [39], and kava kava was banned in August 2002 over concerns of hepatotoxicity [40], as well as uncertainty of how to extrapolate evidence from various populations and forms of consumption (e.g. as a tea, standardized extract, traditional preparations) [41]. Ultimately, the ban on kava kava was lifted in Canada and in other jurisdictions, with the provision of label warnings regarding the potential for drug-herb interactions, and directions to consult a health care provider if pregnant, lactating, or suffering from liver disease or epilepsy.

Standards associated with NHP manufacturing, packaging, labelling, licensing and regulation continue to develop and evolve in recognition of gaps and opportunities for improvement [42]. For example, there is ongoing debate regarding how to manage competing types of evidence from both scientific and traditional sources with respect to health claims [43]. Uncertainty arises stemming from issues in the global NHP market regarding product quality including findings of inconsistency in ingredients (presence of fillers, adulterants, contamination or inaccurate undeclared constituents) or inaccurate labels based on contents or claims [44-46]. Although these issues, and others, are not necessarily unique to NHPs, there is some convergence with the regulation, and regulatory issues, of pharmaceutical products while acknowledging the differences in accessing these products [47-49]. Patients who disclose their NHP use, may be able to receive help from their healthcare provider in navigating these complexities and make an informed decision, as well as access quality products they can trust.

Patients often rely on family, friends, or the internet for information regarding NHPs, rather than their MD(s) or other healthcare providers [49-52]. As our study found, patients more frequently disclose NHP use to their naturopathic doctor in comparison to their MD. As these patients have all actively sought out naturopathic care, however, it could be inferenced that they have greater trust in naturopathic doctors in comparison to the general public. The majority of MDs, pharmacists, and nurses have minimal, if any, training in complementary medicines, including NHPs [53]. Providing MDs with a live tutorial can significantly improve their knowledge of complementary medicine [54] although the viability of this approach remains uncertain amidst existing resources and expertise in alternate providers. Future research should explore healthcare practitioners' reasons for not inquiring about patients' NHP use, identify strategies to encourage inquiry, and facilitate decision-making with patients.

### Strengths and Limitations

Use of a previously validated survey strengthens our findings, as does the use of a consecutive sampling technique to reduce sampling bias. There were a number of limitations to our study, including a modest response rate of 25%, reliance on self-report for NHP use, and restriction of our sample to a single naturopathic teaching clinic. Thus, our results may not be generalizable to other patient populations using NHPs.

### Conclusions

The use of NHPs is common among patients in North America, and these products can interact with prescription medications and cause adverse effects. Disclosure of NHP use provides MDs with the opportunity to educate patients on NHP-drug interactions. It is therefore important

for MDs to be aware of patient NHP use. Almost all naturopathic patients in our survey used NHPs, but less than half disclosed this information to their primary care MD. The low rate of disclosure in the population we surveyed has remained constant over the past 15 years. Patient disclosure is strongly associated with MD inquiry; however, most do not ask. Future research should explore strategies to encourage MD inquiry regarding NHP use and how best to ensure productive discussions following disclosure by patients.

**Ethics approval and consent to participate:** Approval for our survey was granted by the CCNM Ethics Review Board. All participants were provided with a letter of consent to participate prior to completing their survey.

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## Chapter 3

# Study 2: Attitudes towards Medical Cannabis among Family Physicians Practicing in Ontario, Canada: A Qualitative Research Study

## **Chapter 3: Attitudes towards Medical Cannabis among Family Physicians Practicing in Ontario, Canada: A Qualitative Research Study**

### Abstract

**Background:** Medical cannabis has been legally available in Canada since 2001, but the benefits and harms remain uncertain. We explored attitudes toward medical cannabis among Ontario family physicians.

**Methods:** Between January and October 2019, we conducted a qualitative study of Ontario family physicians through semi-structured telephone interviews. We applied thematic analysis to interview transcripts and identified representative quotes.

**Results:** Eleven physicians agreed to be interviewed, and 3 themes regarding medical cannabis emerged: (1) reluctance to authorize use, (2) concern over harms, and (3) lack of practical knowledge. Participants raised concerns about the limited evidence, and their lack of education, to guide therapeutic use of cannabis; particularly as this relates to harms associated with neurocognitive development, exacerbation of mental illness, and drug-interactions in the elderly. Some physicians felt medical cannabis was overly accessible and questioned their role following legalization of recreational cannabis.

**Interpretation:** Despite increasing availability, family physicians expressed reluctance to authorize medical cannabis due to lack of knowledge and concerns regarding harms. Family physicians may benefit from guidance and education that addresses concerns they have surrounding medical cannabis.

### Introduction

Cannabis has been legally available for select medical conditions in Canada since 2001 [1]; however, use by patients has been limited until recently as initial regulations made access difficult. Licensed healthcare practitioners can provide authorization for patients to acquire medical cannabis, who can then register with Health Canada to produce a limited amount of cannabis for personal use, designate another individual to produce their medical cannabis, or acquire cannabis for medical purposes through a licensed producer [2]. On October 17, 2018, the Cannabis Act came into force legalizing the sale and use of recreational cannabis across Canada [3]. The number of Canadians authorized to use medical cannabis increased from 23 930 in June 2015 to 303 221 by June 2020 [4]. Increased use of medical cannabis was likely the result of easing of regulations [5-7], greater availability through growing numbers of producers and cannabis clinics [8-10], and reduced stigma around use of cannabis for therapeutic purposes [11-14]. One online patient resource now lists 100 medical cannabis clinics in Ontario alone [15].

Market data from 2017 to 2019 shows that Ontario ranks the highest among all provinces regarding the amount of medical cannabis sold to clients and the total number of client registrations [16]. Patients increasingly seek guidance from physicians regarding benefits and harms of therapeutic cannabis; however, the evidence for medical cannabis is limited and often conflicting [17,18]. For example, the most common indication for medical cannabis is chronic pain [19]. The National Academies of Sciences, Engineering, and Medicine concluded that patients treated with cannabis are more likely to experience a clinically significant reduction in pain symptoms [20]; however, the National Institute for Health Care and Excellence has made a strong recommendation against use of cannabis for chronic pain [21]. Physicians receive minimal education regarding cannabis during their training and in 2019 the Canadian Medical

Association issued their policy on medical marijuana stating that while cannabis may offer patients relief when conventional therapies fail, a lack of evidence surrounding the risks and benefits of its use makes it difficult for physicians to properly advise patients [22]. The purpose of this qualitative study was to investigate attitudes toward medical cannabis among Ontario family physicians.

### Methods

### Study Design

We contacted family physicians with the intention to stop recruitment once data saturation was achieved. We conducted a descriptive qualitative research study [23] and used thematic analysis [24] to explore attitudes toward medical cannabis among family physicians. We followed the consolidated criteria for reporting qualitative research (COREQ) checklist in reporting our findings [25]. The participant information letter and informed consent form provided to all participants in the study is found in **Appendix 1**.

### **Participant Sampling and Recruitment**

Interview transcripts were reviewed after every interview was conducted, and recruitment was stopped after data saturation was reached. Family physicians practicing in Ontario, Canada, were eligible for our study and recruited between January and October 2019. We acquired our participants through snowball sampling [26]. This process began with one of us reaching out to a family physician to provide contact information of colleagues that held variable views toward medical cannabis. We then asked physicians who agreed to participate for contact information of their colleagues we could approach to interview. This continued until we interviewed enough

physicians to achieve saturation of themes and subthemes on perspectives regarding medical cannabis [27]. Prior to being interviewed, each participant was sent an information letter and a consent form outlining the purpose of the study and how confidentiality would be maintained.

### **Data Sources**

Participant interviews were facilitated with an interview guide (**Appendix 2**) consisting of open-ended questions; we developed and tested our guide in accordance with McGrath and colleagues' (2019) method, which provides 12 recommendations for conducting qualitative research interviews (**Appendix 3**) [28]. Furthermore, we consulted qualitative studies on related topics to inform our interview guide [29-32], and engaged a family physician to review our interview guide for clarity/completeness. For each participant, we collected demographic information including gender, age, specialization, years of practice, location of medical school training, location of residency training and site of practice (urban or rural). We also asked participants to state whether they authorized or prescribed medical cannabis.

### Data Analysis

After providing consent, each physician was interviewed by phone; all interviews were audio-recorded. JYN has training in qualitative interviewing and provided supervision/training to two research assistants. We stopped recruitment when 3 members of our team agreed that saturation of themes had been achieved. Transcripts were not returned to participants and no follow up interviews were conducted. The two research assistants transcribed all audio-taped interviews verbatim. We ensured participant anonymity by replacing names with an identification number in all transcribed documents/interview notes. We analyzed all interview

data applying inductive thematic analysis, which has been shown to be effective in investigating and describing a range of experiences [33]. We adopted a realist approach whereby we took practitioners' reports at face value assuming they would report truthfully about their beliefs and attitudes [34]. Two of us read the interview transcripts and notes several times. Next, the same individuals coded and aggregated transcribed text into meaningful themes/subthemes and labelled constructs. We used an open coding process to establish the primary categories of information from each transcript, independently and in duplicate, and then connected the categories to derive main themes/subthemes. Any disagreements were resolved by discussion. Based on the codes generated from the analysis, the same 2 individuals generated a set of theoretical propositions, independently and in duplicate, and achieved consensus. Lastly, all team members reviewed the results and confirmed the main themes/subthemes of our study findings, which were accompanied by supporting quotes. Both manual coding and NVivo 12 Software (QSR International) were used.

### **Ethics** Approval

The Hamilton Integrated Research Ethics Board approved our study (project no. 5458).

### Results

We contacted a total of 21 family physicians in total; eight declined to participate, and 2 were found to be ineligible (not actively practicing), while the remaining 11 agreed to participate in our study. We did not continue recruitment after interviewing these 11 physicians as data saturation was reached. Our participants included 6 men and 5 women, who had been in practice for a median of 3.5 years (range = 1-33). Eight attended medical school in Canada, 3 abroad, and

all had completed their family medicine residency in Canada. One participant held additional specialization in public health and preventive medicine (**Table 1**). The average interview time was approximately 30 minutes.

### Main Themes

We identified 3 main themes: (1) reluctance to authorize medical cannabis, (2) concern over harms associated with medical cannabis, and (3) lack of knowledge surrounding administration of medical cannabis. Each theme contained 4 subthemes; participant quotes supporting thematic analysis are shown in **Table 2**.

### Theme 1: Reluctance to Authorize Medical Cannabis

### Subtheme: Lack of Evidence

Eight physicians felt the evidence supporting the use of cannabis for medical purposes was limited. Specifically, they perceived that clinical trials were often poorly designed, followed patients for short time-periods and did not inform long-term effects, and benefits in trials demonstrating statistical significance was typically modest. Two physicians felt more research on effectiveness was needed before cannabis should be offered to patients. Respondents noted evidence gaps regarding cannabis harms among children, emerging adults and the elderly, cannabis effects on driving capacity, and whether the net benefit-to-harm ratio was favourable for management of mental illness (e.g., post-traumatic stress disorder, anxiety).

### Subtheme: Indications for Therapeutic Use

All participants felt that cannabis may be helpful for managing chronic pain, particularly neuropathic pain. Five perceived a therapeutic role for anxiety, 4 for insomnia, and individual physicians endorsed multiple sclerosis, relief from chemotherapy-induced nausea/vomiting, and appetite stimulation as indications. Medical cannabis was not participant physicians' first line of treatment for any condition and was considered only after other treatment options had failed, or on request by patients.

#### Subtheme: Discomfort with Therapeutic Use of Cannabis

Six physicians avoided authorizing medical cannabis altogether, and 2 prescribed synthetic cannabis (i.e., Nabilone). Physicians that supported therapeutic use of cannabis reported a lack of knowledge regarding what cannabis type should be used and how to pursue dosing and optimal monitoring; they preferred to instead refer patients to colleagues with an interest in cannabis.

### Subtheme: Openness to Emerging Evidence

Despite the perceived lack of evidence and reluctance to authorize medical cannabis, 3 participants noted it was important to keep an open mind. They were willing to consider that cannabis may have a role in healthcare, acknowledged that patients were increasingly asking about medical cannabis, and were aware of the need to address their own biases when engaging in discussions.
#### Theme 2: Harm Associated With the Use of Cannabis

#### Subtheme: Effect on Neurocognitive Development

Four physicians raised concerns about the effect of cannabis on neurocognitive development and queried whether cannabis use among adolescents/young adults may predispose them to mental illness. Some physicians felt that setting the legal age for use of recreational cannabis at 18 may give the impression that therapeutic use was safe at this age.

#### Subtheme: Harms in the Elderly

Two physicians raised concerns about cannabis use among the elderly, including the potential for drug interactions given the common occurrence of polypharmacy in this population. They also raised concerns regarding adverse events associated with cannabis use, such as dizziness and sedation, and how this may affect elderly patients' quality of life, ability to drive, and capacity to care for themselves.

#### Subtheme: Exacerbation of Mental Illness

Three physicians expressed concern over the impact of cannabis use on individuals with pre-existing, or at high risk for, mental illness. Participants noted that cannabis use may exacerbate patient's symptoms of depression/anxiety or interfere with sleep, and acknowledged evidence to implicate cannabis use in early onset psychosis among emerging adults.

#### Subtheme: Concerns Regarding Cannabis Clinics

Although physicians largely referred patients who were interested in pursuing medical cannabis to practices that focused on this therapy, 3 raised concerns about the quality of care

provided through cannabis clinics. There was a perception that very few interested patients were denied cannabis, and that most patients were not provided with a detailed explanation of possible harms. One physician highlighted their experience that patients with co-morbid mental illness, including substance use disorder, found it easy to access medical cannabis though these clinics.

#### Theme 3: Knowledge about Medical Cannabis

#### Subtheme: Inadequate Training

Five physicians described their knowledge of medical cannabis as insufficient in regard to clinical indications, dosing, or monitoring. Older physicians were not exposed to information on medical cannabis in medical school or residency, while more recent graduates encountered some lectures but were not well-versed on the topic. Our participants felt that acquiring training in the use of medical cannabis required them to seek out online courses and conferences.

#### Subtheme: Continuing Education

Nine physicians expressed an interest in receiving education regarding medical cannabis. When asked what kind of training/education they wished to receive, answers were mixed and often related to their patient population. Some physicians expressed an interest in general topics, such as clinical indications for cannabis and dosing.

#### Subtheme: Physician's Role Regarding Medical Cannabis

Two physicians expressed frustration regarding their role with medical cannabis due to the atypical nature of the intervention and the limited impact of their involvement. Specifically, medical cannabis does not have to be dispensed by a pharmacist, authorizing cannabis does not

lower the cost for patients, and physicians cannot control the composition of cannabis used for therapeutic purposes. One physician felt that there was no role for the medical profession to remain involved in therapeutic cannabis following legalization for recreational purposes.

#### Subtheme: Recreational Versus Medical Cannabis

Six physicians raised the issue of how legalization of recreational cannabis affected its therapeutic use. Ten participants felt there was merit to preserving a separate stream for medical use, due to the higher likelihood of more rigorous regulation for medical cannabis: specifically, more consistent products adhering to higher safety standards.

#### Interpretation

Family physicians in our study were reluctant to authorize medical cannabis due to perceptions of limited supporting evidence, uncertainty regarding clinical indications, and associated harms. Those willing to consider use of medical cannabis typically referred interested patients to clinics that focused on this therapy but were concerned that such clinics may provide cannabis indiscriminately without comprehensive discussion of the possible benefits and harms. One participant questioned whether there remained a role for medical cannabis after legalization for recreational purposes, but most physicians acknowledged that medicinal cannabis would likely adhere to more rigorous quality standards. This belief requires confirmation through formal study. Participants were largely supportive of both research and continuing education to inform the role of medical cannabis for their patients.

Our findings are similar to other published studies that found physicians lack a consolidated perspective regarding whether cannabis is a medicine, and have concerns regarding

the limited evidence base for medical cannabis [19-22,30,35,36]. Regardless, many participants stressed the importance of keeping an open mind and considering patients' values/preferences. We found that physicians had multiple concerns associated with patients' medical cannabis use, and there is evidence to suggest possible harms regarding neurocognitive development [37-39], drug interactions particularly among elderly users [40-44], exacerbation of mental illness [44,45], and lack of standards and quality of care provided through cannabis clinics [46,47]. Physicians felt their training in medical cannabis was lacking, and their interest in continuing medical education in this area is consistent with other surveys [29,30,32,35,48-51]. Of note, some observational data has suggested cannabis may be substituted for prescription medication, including opioids, anxiolytics/benzodiazepines, sedatives, and antidepressants [52,53], however, this issue was not raised by our participants.

One of our participants questioned whether physicians should remain involved with medical cannabis after legalization of recreational use; however, the Canadian Federation of Medical Students has released a position statement calling for increased cannabis education during medical training [54]. The increasing use of cannabis by Canadians suggests that family physicians should continue to address the challenge of discussing use of medical cannabis with interested patients [55]. Open discussions may promote shared decision-making and provide opportunities to assist patients in differentiating evidence from hyperbole [56].

Our study highlights the importance of addressing family physicians' knowledge gaps and concerns surrounding medical cannabis. Further research should further investigate needs of family physicians, as well as medical students and residents, regarding cannabis education [35,57]. Increased knowledge of the evidence for benefits and harms of medicinal cannabis may

PhD Thesis – JY Ng; McMaster University – Health Research Methods, Evidence and Impact improve physicians comfort with discussing this topic with interested patients and reduce reliance on cannabis clinics which may not always provide impartial advice [58-60].

#### Limitations

We interviewed a modest number of physicians to inform our qualitative study; however, we sampled to saturation and only stopped recruiting new participants when no additional themes emerged in our last interview. We used snowball sampling to recruit physicians, which is prone to sampling bias [61], and we only captured the views of physicians practicing in urban settings. Few of our participants authorized medical cannabis, and those against physicians authorizing medical cannabis may have been overly represented in our sample. Participants may have censored their answers in order to appear as 'good participants' (social desirability bias); however, many physicians we spoke with were forthcoming regarding their concerns about medical cannabis. We did not implement member checking to verify our findings. To ensure trustworthiness and rigor of our study results, 2 members of our team who are familiar with qualitative research methods conducted open coding and theme generalization independently and in duplicate. No members of our study team have used medical cannabis or have any financial or intellectual conflicts of interest in this area and had no motivation to encourage positive or negative answers.

#### Conclusion

Family physicians in our study were uncertain regarding the therapeutic potential of medical cannabis, except for chronic pain and particularly neuropathic pain for which all felt the evidence supported effectiveness. Most physicians did not provide therapeutic cannabis to their

PhD Thesis – JY Ng; McMaster University – Health Research Methods, Evidence and Impact patients and expressed uncertainty regarding harms and appropriate use. Family physicians may benefit from guidance and education that addresses concerns they have surrounding medical cannabis.

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## Chapter 4

# Study 3: How is Complementary, Alternative, and Integrative Medicine Mentioned during the COVID-19 Pandemic? A Sentiment Analysis of Twitter Data

### Chapter 4: How is Complementary, Alternative, and Integrative Medicine Mentioned during the COVID-19 Pandemic? A Sentiment Analysis of Twitter Data

#### Abstract

**Background**: Coronavirus disease 2019 (COVID-19) is a novel infectious disease caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2). Despite a paucity of evidence, various complementary, alternative and integrative medicines (CAIMs) have been being touted as both preventative and curative. We conducted sentiment and emotion analysis with the intent of understanding CAIM content related to COVID-19 being generated on Twitter.

**Methods:** Tweets relating to CAIM and COVID-19 were extracted from the George Washington University Libraries Dataverse Coronavirus tweets dataset from March 03 to June 10, 2020. We trained and tested a machine learning classifier using a large, pre-labelled Twitter dataset, which was applied to predict the sentiment of each CAIM-related tweet, and we used a natural language processing package to identify the emotions based on the words contained in the tweets.

**Results:** Our dataset included 17528 English-language Tweets. The highest CAIM-related tweet count was during the 2nd to 3rd week of May followed by the period around late March. Most tweets (n=9344, 54.6%) were classified as positive, 30.6% were neutral (n=5242) and 14.8% were classified as negative (n=2529). The most frequent emotions expressed across tweets were trust, followed by fear, while surprise and disgust were the least frequent.

**Conclusion:** The use of sentiment and emotion analysis of Twitter data provides insight into the kinds of information being disseminated. Our findings warrant further qualitative investigation of

PhD Thesis – JY Ng; McMaster University – Health Research Methods, Evidence and Impact the identified emotions in the analysed tweets, which could be used to combat misinformation and improve public health strategies surrounding the use of social media information.

#### Background

Coronavirus disease 2019 (COVID-19) is a novel infectious disease caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) [1]. In December 2019 it was first discovered, having originated from Wuhan, China, and has since rapidly spread across the globe, with 220 countries reporting cases. As of December 10, 2020, over 68.1 million cases and 1.55 million deaths have been reported [2]. Common symptoms associated with COVID-19 include fever, tiredness, and dry cough, but can also include aches and pains, nasal congestion, runny nose, sore throat or diarrhea. While some patients infected with the disease do not exhibit symptoms, COVID-19 is of great concern to global public health as approximately 5% of people who are infected will become seriously ill and develop difficulty breathing [1]. Certain health precautions such as frequent and thorough hand washing, social distancing, wearing masks, and self-isolation have been shown to reduce the spread of COVID-19 [3]. There are no proven drugs to prevent or cure COVID-19 [4,5], and newly approved vaccines are just becoming available in certain countries [6,7]. Despite this, some complementary, alternative, and integrative medicines (CAIMs) are being touted as the solution [8].

According to the National Centre for Complementary and Integrative Health (NCCIH), complementary and alternative medicine is defined as "health care approaches that are not typically part of conventional medical care or that may have origins outside of usual Western practice". "Complementary" refers to care in combination with conventional medicine, whereas "alternative" refers to care in place of it. "Integrative medicine" refers to bringing conventional

and complementary approaches together in a coordinated way [9]. While the use of CAIMs in the context of some diseases have been shown to be effective or promising, it is also welldocumented in the research literature that CAIM is sometimes promoted as a remedy for which the evidence-base is lacking [10,11]. This is further compounded by the fact that many patients assume that CAIM is both safe and effective, even though both CAIM therapies and practitioners are generally subject to less regulation [12]. There is a growing movement of conventional and CAIM practitioners working together to support the safer and more effective uses of CAIM therapies, but concerns remain about misinformation circulated online [13,14,15]. Of particular interest is social media, as the body of literature that has considered its impact and growing significance as a source of health information for the general public has grown over the recent years [16,17,18]. Emerging methodologies that have been employed to study social media content include the utilization of natural language processing (NLP), which is defined by Liddy as "a theoretically motivated range of computational techniques for analyzing and representing naturally occurring texts at one or more levels of linguistic analysis for the purpose of achieving human-like language processing for a range of tasks or applications" [19]. One of the subfields of NLP is sentiment analysis, which automatically classifies text according to the polarity (positive to negative) of the sentiments expressed therein [20]. A positive and negative sentiment can be defined as a favourable and unfavourable expression towards a subject, respectively, while a neutral sentiment represents an expression that is neither favourable nor unfavourable.

In the context of recently past pandemics, such as influenza-A (H1N1), NLP analyses of social media content (i.e. Twitter) served multiple purposes, including monitoring, predicting, and tracking levels of infection, and identifying the kinds of information circulated, distilled into content categories [21,22,23,24].

To our knowledge, a very limited amount of research has been conducted at the intersection of CAIM and social media [25,26], while no studies have ever investigated what information surrounding CAIM is communicated across social media during any pandemics that have occurred since the inception of the Internet. In the present study, we conducted a sentiment analysis with the intent of understanding what kind of CAIM content related to COVID-19 is being generated on Twitter during the pandemic. We identified Twitter as our social media platform of choice since it is easy to use, cheap, and accessible, and the data can be easily collected in comparison with other platforms that have more restrictive privacy policies [20]. As the first study of its kind, our findings provide insight into a previously unexplored environment in the context of CAIM, that is both popular and free to patients, yet rife with quickly and continuously generated information of unassessed quality.

#### Methods

#### Approach

We used a supervised machine learning approach, in which the machine algorithm is given labelled data—a dataset that has been classified—to be used for predicting the classification of the targeted unlabelled data, in our case CAIM-related tweets [27]. Overall, our approach consisted of the following 2 phases: 1a) training and testing a machine learning classifier using a large, pre-labelled Twitter dataset, 1b) using the trained classifier to predict the sentiment class of each tweet, and 2) utilizing an NLP package to identify the emotions based on the words contained in the tweets. We first searched for CAIM-related tweets from within a set of COVID-19-filtered tweet dataset using CAIM-related search terms. All Tweets analysed in this study, therefore, contained at least one CAIM-related word/term and at least one COVID-19-

related word/term. We then obtained the training dataset; a large dataset of tweets that have been pre-labelled based on positive and negative sentiments created by Go et al. [28] and made publicly available through the Sentiment140 website [29]. In short, a sentiment can be defined as a "positive or negative feeling", and thus training data hand-labeled by humans can be subject to a great degree of subjectivity. The use of Sentiment140 training data mitigates this to an extent, however, as it consists of tweets with emoticons. For example, ":)" in a tweet indicates that the tweet contains positive sentiment and ":(" indicates that the tweet contains negative sentiment. We used two supervised machine learning approaches to conduct both a sentiment analysis (using the GLMnet trained classifier [30]) and an emotion analysis (using Syuzhet NLP package in R [31]) of our CAIM-tweets dataset. Study steps are detailed in the following sections and depicted in a flowchart in **Figure 1**.

#### **Development of Search Strategy**

Preliminary searches of Twitter-related sentiment analyses yielded no consistent nor standardized method for identifying search terms. In preparation for conducting searches across a large dataset of tweets, we first searched the Twitter platform using a number of CAIM-related and COVID-19-related terms to identify the most frequently used terms. Commonly used COVID-19-related terms were relatively simple to identify, as most Twitter users used the terms "COVID", "coronavirus" or "COVID-19. Given the lack of consensus on a complete or comprehensive operational definition of CAIM [32], we browsed MeSH headings on MEDLINE and selected the most commonly used terms to refer to CAIM [33], and common CAIM systems and their respective practitioners (i.e. "homeopathy" vs. "homeopath", etc.) [9].We excluded highly specific or specialized types of CAIM that would not typically be used by the general

public (i.e. "electroacupuncture" as opposed to "acupuncture", the specific genus and species of herbs as opposed to a generic term such as "herbal medicine", etc.). A shortlist of 44 CAIMrelated terms were combined with the 3 COVID-19-related terms, resulting in 132 unique Twitter searches. After applying these searches to Twitter, we looked at the recency of the use of terms to identify those most relevant to include in our final search strategy. Based on this approach, our final CAIM search strategy included the following terms: "Ayurveda", "Ayurveda medicine", "dietary supplement", "herbal", "herbal medicine", "herbal supplement", "herbal therapy", "herbalism", "herbs", "homeopathy", "homeopathic", "natural medicine", "natural medicines", "natural therapies", "natural therapy", "naturopathic medicine", "naturopathy", "traditional medicine", "traditional medicines", "vitamins", and "vitamin".

#### **Data Collection**

To collect tweets at the intersection of COVID-19 and CAIM, we applied our CAIM search strategy to a COVID-19 filtered tweets dataset made available by the TweetSets website [34,35]. TweetSets is an open-source online platform from the George Washington University (GWU) that archives Twitter datasets for research purposes. GWU Dataverse is part of the Harvard Dataverse, a free data repository open to all researchers from any discipline, both inside and outside of the Harvard community [36]. TweetSets allows users to select, generate, and download tweet IDs from publicly available filtered tweets datasets by allowing for querying on keywords, hashtags, mentions, users, embedded media, and type of tweet (original, retweet, quotes, or reply). Through TweetSets, we accessed the Coronavirus dataset, created by Kerchner and Wrubel [37], which contained 239 613 930 COVID-19 related tweets from March 03, 2020 to June 10, 2020, as of June 27, 2020. GWU compiled the tweets by applying the keywords

#Coronavirus, #Coronaoutbreak, #COVID19 using the post statuses/filter method of the Twitter stream application programming interface (API). We applied our CAIM-related search strategy to filter the Coronavirus dataset, thus identifying tweets containing both CAIM and COVID-19related content. We limited tweets to original English-language tweets that included one or more of the CAIM-related search terms.

The TweetSets output was a condensed series of tweet IDs relating to the identity of each included tweet. To extract the text of the tweet, date of posting, user account identifiers, and tweet metadata (i.e. location coordinates, hashtags, tweets URL, retweet status, and language code), a "Hydrator" software [38] was used. This software allowed us to extract the tweet details from the tweet IDs in our search results. The output dataset was a comma-separated values (csv) file that was imported into Microsoft Excel for data cleaning and analysis, which is described in further detail below.

#### Sentiment Analysis of CAIM-related Tweets

Contextual polarity sentiment analysis involves determining the polarity of the opinion resulting in an output of positive, neutral, and negative values [39]. Sentiment analyses of the collected tweets was performed in Rstudio software. The contextual polarity sentiment analysis was conducted using the GLMnet package [39] for the classifier and theText2Vec package [40], an R package which provides a framework for text analysis and NLP. We used a supervised machine learning approach whereby the learning capabilities of the model was determined by a labelled training dataset. For this training, we used the Sentiment140 tweets dataset [29], which is a labelled dataset of 1.6 million twitter messages created by Go et al. [28] using machine learning to classify tweets into positive and negative based on their sentiments. The training

dataset, Sentiment140, contained the targeted correct attributes (sentiment) from which the learning machine algorithm found patterns that mapped the input data attributes to the target (sentiment e.g., positivity, neutrality, negativity). The machine learning model functions by analysing the input (our tweet dataset) based on knowledge acquired from the training set, and then returning a predicted value related to the sentiment of each identified CAIM-related tweet. The training dataset was split into training and testing (evaluation) datasets in an 80:20 ratio. Words in the training dataset were tokenized using the itoken() function in Text2Vec Package, a process of reducing a text into phrases or words called tokens. The aim of this process is to identify meaningful words in a given sentence since textual data is a stream of characters [41]. Prior to the tokenization, we applied some text pre-processing procedures to the training and testing datasets: each word was converted to lowercase, and symbols, numbers, and non-words were removed.

N-grams was used as our feature selection (i.e. the process of selecting a subset of relevant features (words, variables, attributes, or predictors) for use in model construction. N-grams is a space reduction method that selects a subset of the dataset to identify more relevant features from the pre-processed text to improve classification quality and reduce computational complexity. N-gram is the sequence of a given number of words (N), and it is a probability model to predict the most probable word that might follow a certain sequence while preserving the word locality information and we have used bi-grams which is the sequence of two words [42,43]. For the machine to understand the text within our dataset, the text had to be vectorized in a process called text vectorization; in other words, this process transformed text into an array of numbers (vectors) to make it understandable by the machine [44]. Vectorized bi-grams were organized in a document-term matrix (DTM) —a mathematical matrix that describes the

frequency of terms in a collection of texts [45]. A machine learning classifier, the algorithm for prediction of the target class label, was fit to the created DTM for training. The classifier output was set to generate fitted probabilities values for each tweet, with a score ranging between 0 and 1 (0 tending towards the most negative, 1 tending towards the most positive, and values between 0.35 and 0.65 being considered neutral [46]). We selected the regularized generalized linear model, GLMnet, as our classifier; this is an extension of the generalized linear model with built-in variable selection making them helpful in real world datasets. To decrease bias in the results of the classifier, we have used the 5-fold cross validation. To evaluate the performance of our machine learning model as applied to the evaluation dataset, we determined the receiver operator characteristic (ROC) curve and area under the ROC curve (AUC).

#### **Emotion Analysis of CAIM-related Tweets**

To further identify the emotions relayed within our tweet dataset, we used the Syuzhet R package, which is capable of extracting sentiment and sentiment-derived plot arcs from text using a variety of sentiment dictionaries within the package [31]. Syuzhet employs a lexicon dictionary of emotions based on the National Research Council Canada (NRC) Emotion Lexicon [47,48]. This lexicon was created by manual annotation of a list of English words and their associations with eight basic emotions (anger, fear, anticipation, trust, surprise, sadness, joy, and disgust) and two sentiments (negative and positive) accomplished by crowdsourcing. IBM SPSS version 25 was used for the statistical analysis and visual representation of the results in terms of frequencies, means, time series and count summaries for the eight emotions.

#### Results

#### Tweet Dataset

With our search terms, we identified 25 191 original tweets, of which 17 528 (69.6%) were posted in the English language. The most commonly used CAIM-related hashtags were #vitamin followed by #ayurveda. "Vitamin" was overwhelmingly the most common CAIM-related term followed by "herbal" and "Ayurveda", as shown in **Table 1**. The number of CAIM-related tweets during our study period peaked between the second and third week of May 2020 followed by the period around late March 2020; the fewest CAIM-related tweets were collected during the fourth week of May 2020 followed by the period around mid-April 2020 (**Figure 2**).

#### Sentiment Analysis

Our sentiment analysis algorithm using the GLMnet classifier for direction of the tweet sentiments had an AUC of 0.894 as shown in **Figure 3A**, which indicates a good ability for our classifier to distinguish between different classes. Sentiments across all tweets analysed were classified as positive (54.6%, n = 9344), neutral (30.6%, n = 5242), and negative (14.8%, n = 2529), as shown in **Figure 3B**.

#### **Emotion Analysis**

When applying the algorithm employing the emotion lexicon to our tweet dataset, we were able to crosslink these emotions with text words within the tweets. The most prevalent emotion identified in the tweets was related to trust, which was associated with a total of 13 575 words as shown in **Table 2**. This was followed by fear, and sadness. Anger, disgust, and surprise were the least represented emotions in our dataset. **Figure 4** is a word cloud that depicts the most

PhD Thesis – JY Ng; McMaster University – Health Research Methods, Evidence and Impact common words found across our subset of tweets analysed categorized by emotion, whereby the larger the size of the word, the more frequently it was used across all tweets. It is important to note that the emotions are reflective of a word itself, and not a tweet. In **Table 3**, we provide illustrative examples of tweets with a positive, neutral, and negative sentiments.

#### Discussion

Over recent years, social media has become an increasingly popular generator and source of data that has interested a wide range of researchers [49]. The use of internet (including social media) data in studies, such as content and sentiment analyses, overcome some of the limitations of traditional social science research methods that rely on time-consuming, costly, retrospective, time-lagged, and small-scale approaches that rely on surveys and interviews [24,50,51]. In the context of pandemics, some research has even found that social media can be used to predict and detect one [52,53,54]. Further to this, once a pandemic has been identified, social media data can also be used to track public perceptions of the disease in question [22,24,55,56]. One topic in the context of a pandemic, which has not been well-studied across social media, is the mention of CAIM. Yet, this topic is arguably of great interest given that a wide variety of CAIMs are being touted as preventative or curative against COVID-19 [57,58,59]. In fact, WHO Director General Tedros Adhanom Ghebreyesus at the Munich Security Conference on February 15, 2020 is quoted saying "We're not just fighting an epidemic; we're fighting an infodemic" in reference to the rampant spread of misinformation, most notably across social media platforms [60].

In the present study, we conducted a sentiment and emotion analysis of Twitter data to explore what is said about CAIM in the context of COVID-19. To our knowledge, this is the first study to provide insights into the sentiments expressed by Twitter users at the intersection of

CAIM and COVID-19. The majority of the tweets we identified and analyzed carried a generally positive sentiment. This was reflected in the emotional representation of "trust" with the highest word count in the dataset, an emotion that is frequently considered positive. We need to note the difference between the sentiment analysis of a tweet and the lexicon analysis using the Syuzhet package, as sentiment analysis is a whole tweet representation while the emotion lexicon is a word-based analysis. The latter algorithm compares words in the dataset to the NRC Sentiment and Emotional Lexicon, and it correlates words to eight standard emotions (anticipation, trust, joy, surprise, fear, sadness, anger, and disgust). From these patterns, the CAIM-related content being shared via Twitter would indicate support for CAIM interventions for COVID-19. This is in line with a plethora of published research studies that have found that the general public, across a number of different countries, tend to view CAIMs favourably and their usage continues to increase [61,62,63,64,65]. From **Table 1** and **Figure 4**, as well as the illustrative tweets in **Table 3**, we see a focus on vitamins for prevention and treatment, which is also not entirely surprising given that across various surveys vitamins are the most commonly used CAIMs [66,67]. In fact, the 2012 National Health Interview Survey found that across all types of CAIM, natural health products (including vitamins) were the most commonly used among Americans [68].

To date, a limited but growing number of studies involving social media data have been published relating to COVID-19. Some of these provide a more generalized overview of public COVID-19 discussions. Xue et al. [69] used unsupervised machine learning, qualitative analysis, and sentiment analysis to understand Twitter users' discourse and psychological reactions to COVID-19, finding that while information relating to treatments and symptoms were not prevalent topics, fear of the unknown nature of the disease was dominant across all identified

themes. Hung at al. [70] also applied machine learning methods to analyze data collected from Twitter including to identify the social network's dominant topics and whether the tweets expressed positive, neutral, or negative sentiments. They identified 5 main themes including: health care environment, emotional support, business economy, social change, and psychological stress. Of approximately 900 000 tweets analyzed, their sentiment analysis classified 48% of tweets as having a positive sentiment, 21% as neutral, and 31% as negative. Abd-Alrazaq et al. [71] leveraged latent Dirichlet allocation (a type of NLP) for topic modelling to identify topics discussed in the tweets relating to the COVID-19 pandemic, in addition to conducting a sentiment analysis. They identified four main themes associated with their subset of included tweets including: origin of the virus; its sources; its impact on people, countries, and the economy; and ways of mitigating the risk of infection. They also found that the mean sentiment was positive for 10 topics and negative for 2 topics (COVID-19-caused deaths and an increase in racism). Based on their findings, they noted that a more proactive and agile public health presence on social media is warranted to combat the spread of misinformation.

Other studies have focused their objectives on identifying types or prevalence of misinformation. Mackey et al. [72] used NLP and deep learning to detect and characterize illicit COVID-19 product sales using Twitter and Instagram data. They identified a few hundred tweets and posts, respectively, containing questionable immunity-boosting treatments or involving suspect testing kits, as well as a small number of posts about pharmaceuticals that had not been approved for COVID-19 treatment. Kouzy et al. [73] conducted searches on Twitter-related to COVID-19, then summarized and assessed individual tweets for misinformation in comparison to verified and peer-reviewed resources, ultimately concluding that medical misinformation and unverifiable content were being propagated at an alarming rate. In contrast, Singh et al. [74] also

analysed COVID-19-related Twitter content but found that while discussions surrounding myths and links to poor quality information did exist, their presence was less dominant than other crisis-specific themes. Lastly, Krawchuk et al. [75] conducted a descriptive study which detailed Twitter activity regarding spinal manipulative therapy and claims that it increases or boosts immunity. They found that misinformation linking spinal manipulation and increased immunity increased dramatically at the onset of the COVID-19 crisis.

#### **Future Directions**

Several future directions could be followed, based on the present study as well as emerging research in this topic area. As misinformation surrounding the COVID-19 pandemic is both rampant and pervasive on Twitter, among other social media platforms, several researchers have begun developing tools to track such misinformation. Sharma et al. [76] designed a dashboard to track misinformation on Twitter, which aims to identify false, misleading, and clickbait contents from collected tweets. Al-Rakhami et al. [77] has proposed an ensemblelearning-based framework for verifying the credibility of a vast number of tweets, which classifies tweet information based on tweet- and user-level features into two categories, either "credible" or "non-credible". Tools such as these can be applied to Twitter datasets containing information at the intersection of CAIM and COVID-19 to both compare with and validate our findings. Additionally, while our sentiment and emotion analysis provides us with insight into the polarity of sentiment and the emotions expressed in our dataset, a qualitative content analysis could identify: specific themes pertaining to this intersection of topics, trending topics, ideas most commonly linked in the text, and characterize who is generating and sharing related tweets.

#### Strengths and Limitations

We extracted a large number of Tweets that were posted at the height of the first wave of the COVID-19 pandemic between March 03, 2020 and June 10, 2020 inclusive and applied two different methods to analyze the tweet dataset. We employed a supervised machine learning approach utilizing the Text2Vec package for our sentiment analysis. The purpose of this method was to acquire generalizable results built on labelled data which provided results for each tweet as a whole based on the combination of words (respecting their locality and relation to each other), rather than a lexicon-based analysis which treats each word as a separate entity. Using the highly-cited Sentiment140 dataset for training our sentiment analysis model is a strength as the dataset contains 1.6 Million machine-labelled tweets into positive and negative. Finally, the Syuzhet package in R is considered a good machine learning technique to provide an emotion representation of the words within the tweets based on the NRC emotion lexicon database. We applied a fair amount of rigor in developing our search strategy by consulting reviews of CAIM, MeSH terms, and conducting trial searches within Twitter to ensure that we identified the most relevant and used terms.

Limitations include the fact that we did not account for all CAIMs, as they represent a dynamic and wide range of therapies. This was mitigated by the preliminary searches of Twitter for the CAIMs most commonly mentioned in tweets that informed our decision on what terms to include. A further limitation is that sentiment has been classified along the continuum of positive to negative, without additional approaches to detect such linguistic elements as sarcasm, context, and complex emotions or sentiment, which are evident in the tweets illustrated in Table 3 [78]. On balance, our algorithm had an AUC of .89 which is considered a good performance for a classifier. During the initial phases of the study we relied on the Twitter rest/standard API, which

does not allow a tweet retrieval past a certain time. Due to this limitation within the Twitter API, we relied on the Harvard Dataverse COVID-19 dataset, which had not been updated past June 10, 2020 at the time of study completion. As such, we have a narrow window of time reflected in the analyzed tweets. If a new dataset becomes available, we could apply our methods to discern how the sentiments and emotions in tweets have evolved as the pandemic has progressed. We limited our tweets to originals and in English; given the global nature of the pandemic and the regional differences in CAIM treatments, tweets posted in other languages undoubtedly also contain information of value that was omitted from our analysis. Future research on the amplification of messaging via retweets could also lead to new insights into the spread of CAIM-related content in the context of this pandemic.

#### Conclusions

We conducted a sentiment analysis with the objective of understanding what was being mentioned about CAIM in the context of the COVID-19 pandemic on Twitter. A total of 17 528 English-language tweets were analyzed. The most common CAIM-related hashtag used was #vitamin followed by #ayurveda. Most of the tweets, 54.6%, were classified as positive, followed by neutral (30.6%) and negative (14.8%). The most frequent emotions expressed across tweets was trust, followed by fear. Social media continues to be an important source of data that provides a range of advantages over traditional data sampling techniques, such as surveys and interviews. The use of sentiment analysis on Twitter data at the intersection of CAIM and COVID-19 provides insight into how such data is being disseminated. Our findings warrant further qualitative investigation of the emotions identified across tweets analysed, which could

be used to combat against misinformation and inform improved public health strategies surrounding the use of social media information.

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# Chapter 5

# Conclusion

## **Chapter 5: Conclusion**

The thesis is comprised of three separate studies that relate to CAIM, NHPs, and medical cannabis. Parallels can be drawn across these therapy types in general, as previously outlined in Chapter 1, including patient preference and prevalence of use, quality of patient health information, and safety and effectiveness concerns. Indeed, knowledge of these parallels both informed the development of these three studies and emerged across findings. This conclusion chapter first summarizes the most important findings and addresses the strengths and limitations of each study. This is followed by a discussion of future directions and the associated methodological challenges of conducting research relating to CAIM, NHPs, and medical cannabis.

#### **Contributions to the Health Research Literature**

The first study comprises a descriptive cross-sectional survey determining NHP use disclosure to primary care physicians among patients attending a Canadian naturopathic clinic and is detailed in Chapter 2. In contrast to analytical cross-sectional studies, which involve the collection of data for both exposures and outcomes at a specific point in time in order to compare outcome differences between exposed and unexposed participants, the objective of a descriptive cross-sectional study is to characterize the prevalence of a health outcome in a select population [1,2]. Conducting this survey at a naturopathic clinic provided an opportunity to study a patient population with a high prevalence of NHP use (99%). This provided a clear picture of how this population uses NHPs and discloses this use to their healthcare providers. The study was conducted on the premise that misleading health claims and a lack of information about NHP-prescription drug interactions are rampant, and that patients often rely on family, friends, or the

internet for information regarding NHPs, rather than their MD(s) or other healthcare providers [3-6]. The non-disclosure rate was found to be 42% which was nearly identical to a study conducted at the same clinic 15 years prior [7]. Reasons for non-disclosure included the following: MDs do not approve of complementary and alternative medicine use (21%, 27 of 131); MDs would not understand (21%, 27 of 131); patients were uncomfortable talking about NHP use (5%, 7 of 131); and it was not relevant (5%, 6 of 131). Numerous safety and effectiveness concerns surrounding NHPs were also brought to light through conducting this survey, as a subset of patients, albeit small, reported using a number of herbal therapies that were issued consumer advisories by Health Canada in the past, namely kava kava and St. John's wort [8,9]. One value of a cross-sectional study is that it is relatively inexpensive and less timeconsuming than other types of studies, such as those with an experimental design [1,2]. The administration and collection of surveys proved to be relatively straightforward, as an organized team of staff at the naturopathic clinic ensured to verbally invite each patient attending the clinic to participate in the survey. Furthermore, cross-sectional studies allow for data from a comparatively-large pool of participants to be collected and compared, allowing for numerous characteristics to be viewed all at once (age, gender, etc.) [1,2]. Cross-sectional studies are not without their methodological limitations, however, as they only capture data from a single point in time, and therefore, cannot be used to analyze behaviour over a period of time or establish long-term trends [1,2]. Though not necessarily applicable to descriptive cross-sectional studies, it is also worth mentioning that it is difficult to establish cause-and-effect relationships between variables based on this research method [1,2]. Furthermore, in cross-sectional studies, participants are susceptible to recall bias [1]; in other words, the findings are dependent on their self-reporting of NHP use (among other items collected) and are based on the assumption that

previous events or experiences were remembered and reported accurately. Limitations specific to this research, included a moderate response rate of 25%, and the restriction of the participant sample to a single naturopathic teaching clinic. As a result, these findings might not necessarily be generalizable to other patient groups using NHPs. In the survey, patients were asked to explicitly respond to questions which included the term "family physician" which may be perceived as a limitation, as some patients may not have had a family physician, or instead may have consulted another healthcare provider such as a nurse practitioner or pharmacist. In terms of future research, it would be worthwhile to investigate the reasons why healthcare practitioners do not ask about the use of NHP in patients, identify methods to promote inquiry, and facilitate decision-making with patients. Nevertheless, while some studies have investigated the rate of NHP use disclosure to primary care practitioners, this work provides updated findings specific to the Canadian naturopathic patient population.

The second study comprises a qualitative interview study that identified attitudes towards medical cannabis among family physicians practicing in Ontario, Canada and is presented in Chapter 3. A qualitative interview can be defined as a research method that resembles a conversation with a study participant, and is characteristically designed to allow respondents to share information in their own words. This method is particularly useful for gathering detailed information and understanding social processes (i.e. attitudes, opinions, perceptions of a given topic) [10-12]. In speaking with physician participants, it was revealed that their patients were increasingly inquiring about medical cannabis, with some patients disclosing use even prior to consulting with their physician. This was a cause of concern for many physicians interviewed, based on their beliefs that more research on medical cannabis is warranted before they would feel more comfortable in recommending it to their patients. Physicians also expressed concern

that they were being placed in a difficult situation, whereby, if they did not authorize it, their other option would be to refer their patients to cannabis clinics, yet they felt that such clinics provided patients with medical cannabis too liberally and without advising them in enough detail about the potential risks associated with its use. Other safety and effectiveness concerns highlighted by the physicians interviewed included the potential for interactions between medical cannabis and pharmaceutical medications as well as stand-alone adverse effects, potential harms specific to youth (i.e. brain development) and the elderly (ability to drive, capacity to care for themselves). Physicians felt that they lacked the necessary knowledge/training to counsel patients effectively on medical cannabis and expressed desires to learn more about the topic.

One major advantage of the qualitative interview study design is that it allows for the collection of highly-detailed information. Researchers are afforded the opportunity to explore topics in great depth through qualitative interviews, as participants elaborate on the details of their lived experiences, which cannot be captured by other methods which ask participants to "fit" their perspectives into the limited response options provided by the researcher (i.e. multiple-choice survey) [10-12]. Qualitative interviewing also provides the researcher with the opportunity to make observations beyond the participant's oral responses; for example, the respondent's vocal tone, delivery, and emphasis [10-12]. Although we interviewed a small number of physicians, sampling was conducted until saturation and recruitment of new participants was stopped when no additional themes appeared. To ensure the reliability and rigour of study findings, open coding and theme generalization were conducted by two experienced researchers independently and in duplicate, which reflects two strengths specific to the present study.

General disadvantages associated with the qualitative interview method include recall bias, and the fact that many steps comprising this type of study, including recruiting and interviewing participants, transcribing and reviewing transcripts, and coding data, can be timeintensive and costly [10,11]. Though not necessary pertinent to this study, it should also be noted that qualitative interviews involving sensitive or taboo topics and/or vulnerable populations, may also be emotionally taxing or even pose a safety risk to both the interviewers and interviewees [10,13,14]. Limitations specific to the present study included the fact that participants were recruited using snowball sampling which is prone to sampling bias. In addition, this study only captured the views of physicians working in urban settings and results may not be generalizable to rural physicians. Few participants approved medical cannabis, and therefore, this view may have been over-represented in our study. Participants may have censored their responses to report answers that would be perceived as more socially desirable, however, many of the physicians expressed their concerns about medical cannabis. Future research should explore the needs of family physicians, among other healthcare practitioners such as nurse practitioners and pharmacists, with respect to medical cannabis education. Increased awareness of the evidence of the benefits and harms of medical cannabis may allow physicians to feel more comfortable in discussing this issue with interested patients, and reduce their reliance on cannabis clinics, which may not always provide unbiased advice.

The third and final study comprises a sentiment analysis of Twitter data to explore how CAIM is mentioned in the context of the COVID-19 pandemic and is described in Chapter 4. A sentiment analysis can be defined as the examination of the polarity (i.e. positive, neutral or negative) of opinions and emotions contained in free-text natural language (i.e. words and symbols) [15,16]. Sentiment analyses have multiple applications and are commonly used in

business marketing to better understand consumers' opinions of a product [15,16]; however, their applications in the context of health-related topics discussed on social media have also gained popularity [16, 17]. The present sentiment analysis study was initiated based on the premise that there is not only a large patient preference for CAIM and a high prevalence of use of these therapies, but that sentiments surrounding such activities are being disseminated across Twitter in high volumes. Furthermore, it is known that social media is a common avenue to circulate (mis)information to many individuals rapidly, effectively, and with ease. Of 17 528 tweets analysed, the most popular hashtag related to CAIM used was #vitamin followed by #ayurveda. Most of the tweets were rated as positive (54.6%), followed by neutral (30.6%), and negative (14.8%). The most frequent emotions expressed through tweets were confidence, followed by fear. These findings indicate support for CAIM interventions for the prevention/treatment of COVID-19, despite the fact that none of these therapies have yet to demonstrate effectiveness for this disease. The use of sentiment analysis on Twitter data at the intersection of CAIM and COVID-19 offers insight into how these data are disseminated. The usefulness of the sentiment analysis research method is that it helps researchers to understand the conversations and discussions taking place between individuals on a given platform (i.e. Twitter) [17,18]. This can enable researchers to identify the spread of misinformation and understand how patients feel about their healthcare experiences, which can be used to identify gaps in patient/public perceptions of health-related topics and implement corrective measures [19-24]. Sentiment analysis also has the capability to overcome some of the limitations of traditional social science methods that rely on time-consuming, costly, retrospective, time-lagged, and smaller-scale approaches (i.e. surveys and interviews), which achieving similar if not equivalent results [25-27].

The disadvantages associated with sentiment analyses primarily revolve around limitations of machine-learning. In most cases, sentiment analyses cannot completely replace the need for a researcher to read (at least a subset of) the free-text natural language being analyzed. Often, useful nuances in sentences themselves exist which fail to be captured by sentiment analysis [15,28]. Furthermore, the expression of sarcasm, irony, jokes, and exaggerations, are often challenging to capture by programs designed to carry out sentiment analysis; failing to recognize or account for these can skew study results [15,28]. Study-specific limitations include the fact that not all CAIMs could be accounted for, however, this was mitigated by conducting preliminary searches of Twitter for the CAIMs most mentioned in tweets that enabled informed decision-making on what terms to include. A further limitation is that sentiments were classified along the continuum of positive to negative, without additional approaches to detect linguistic elements such as sarcasm or context, among other complex emotions or sentiments. On balance, the algorithm had an AUC of .89 which is considered a good performance for a classifier. Tweets were collected from the Harvard Dataverse COVID-19 dataset, which had not been updated past June 10, 2020 at the time of study completion. Lastly, included tweets were limited to original material posted in English.

As misinformation about the COVID-19 pandemic is both rampant and pervasive on Twitter, among other social media platforms, several researchers have begun creating tools to track misinformation. Tools such as these can be applied to Twitter data containing information at the intersection of CAIM and COVID-19 to both compare with and validate this study's findings. In addition, while this sentiment and emotion analysis provides insight into the polarity of thoughts and emotions reflected in our dataset, a qualitative content analysis could further

identify specific themes pertaining to this intersection of topics, trending topics, ideas most commonly linked in the text, and characterize who is generating and sharing related tweets.

#### **Field-Specific Future Directions and Challenges**

The global popularity of CAIM, NHPs and medical cannabis have all increased considerably over the past decades [29-32], with Canadians having been no exception [33,34]. Along with this surge in interest, the evidence-base surrounding these types of therapies has been increasingly scrutinized, and calls have been made to demonstrate their safety and efficacy. In comparison to many conventional medical therapies, limited research has been conducted on CAIM, NHPs, and medical cannabis. Several factors exist which impede this research [35-37], such as negative attitudes towards such therapies [38], a lack of dedicated funding (more specifically with regards to CAIM and NHPs) [39], and even disagreement within the practitioners of, and research community studying, these therapies as to what constitutes best research evidence [40,41]. In addition to the challenges shared in researching CAIM and NHPs, the study of medical cannabis is further complicated by the fact that its use is mired by a long history of stigma and politicization, and it is regarded by many, even today, as an illegal recreational drug [44-46].

The conduct and application of research about these types of therapies face a number of unique challenges, primarily because the very cultural and philosophical underpinnings differ fundamentally between proponents of CAIM (inclusive of NHP and medical cannabis) therapies versus conventional healthcare [47-55]. While evidence-based medicine (EBM) has been discussed in the medical literature for many decades and is widely-regarded and accepted as the golden standard approach among conventional healthcare practitioners [56], the integration of EBM into the training and education of CAIM providers is comparatively more recent. This has

been the case because proponents of CAIM have historically favoured empiricism as the basis of obtaining knowledge and skills [47-55]. Differences in fundamental theories, philosophies, and cultures, have culminated in many CAIM stakeholders altogether opposing the EBM movement [52]. This inability of CAIM proponents to collaborate and agree with the dominant medical system has ultimately resulted in continued skepticism of both CAIM therapies and practitioners by those representing conventional healthcare [56-58]. Although the identification of EBM has been growing inside CAIM communities [59,60], this has been limited by a lack of credible, reliable and varied sources of evidence for research [61,62].

Beyond informing the development of the three studies comprising this thesis, knowledge of the parallels that can be drawn across CAIM, NHPs, and medical cannabis, can also be used to inform field-specific future directions based on the studies' findings. Across the three studies, data was captured from three key stakeholder groups, as follows: patients (study 1), physicians (study 2), and the general public (study 3). Despite the application of different research methods across these studies, the collective findings highlight that further research is warranted with respect to patient preference and prevalence of use, quality of patient health information, and safety and effectiveness concerns. The cross-sectional study of NHP use disclosure highlights a select patient population with a high prevalence of NHP use, combined with a willingness to consume products that may lack an evidence-profile for safety and efficacy. The qualitative interview study of family physician's attitudes towards medical cannabis identified that while interview study of clinical evidence. The sentiment analysis study exploring the polarity and emotions of text containing mention of CAIM and COVID-19 found on Twitter, suggests

PhD Thesis – JY Ng; McMaster University – Health Research Methods, Evidence and Impact that the public perceives CAIM therapies favourably, based on a proportionately higher quantity of positive sentiments and feelings expressed.

With these findings in mind, future research should target the exploration of information literacy and training/education surrounding CAIM, NHPs, and medical cannabis. The need for research investigating the development and implementation of CAIM, NHPs, and medical cannabis-specific training and education for conventional healthcare practitioner is necessary [60, 63-68], given the high patient preference and prevalence of use of these therapies. While some initiatives have taken root in more recent years [70-74], the standardization of such curriculum across medical schools, even across a single country such as Canada, is far from achieved [75]. At present, a need also exists to ensure that actively practicing conventional healthcare practitioners are aware of, and are provided with, the necessary resources to acquire the knowledge they require to counsel a patient on these therapy types. More recently, a number of eHealth tools, including signal detection systems [76-78], clinical decision support tools [79-80], and personal digital assistants [81-82], have been developed to incorporate aspects of CAIM, NHPs, and medical cannabis. Despite this, little research has explored how the delivery of these resources can be ameliorated by eHealth, which therefore comprises a warranted future direction.

Based on the findings that patients and the general public actively express positive interest in using, or at least considering, CAIM, NHPs, and medical cannabis, further research is justified in the area of understanding patient health literacy with respect to these types of therapies. In fact, typical characteristics of patients who actively choose to incorporate these types of therapies into their medical care include being well-educated (i.e. have earned a university degree) and having made attempts to educate themselves about the therapies they are

considering using [83,84]. The demographics of this patient population are often difficult to reconcile with the fact that the research evidence-base surrounding the safety and efficacy of CAIMs, NHPs, and MC are well-documented to be much less than that of conventional medicines (i.e. pharmaceuticals, surgery) [61,62]. While there has been considerable research that has been conducted that identified the reasons for using these therapies [85-87], there have been fewer studies that have investigated whether or how a patient could be guided to make evidence-informed decisions around these therapies [88-91], and even less research verifying whether such strategies are effective. For example, it remains to be seen which strategies developed to persuade a patient against taking a potentially harmful therapy, are effective. Lastly, proponents of CAIM, NHPs, and medical cannabis (i.e. CAIM practitioners) are philosophically in conflict with stakeholders in conventional healthcare [47-55]. Views held by opposing groups differ at the most fundamental levels, whereby some proponents of CAIM outright reject the scientific method (and therefore, disagree on the very definition of what constitutes "safe", "efficacious" or "effective"), let alone the processes of preventing, diagnosing, treating or managing disease [47-55]. To address this fundamental barrier, arguably the most important future directions involve not only understanding relationships between stakeholders from both groups, but also exploring how they can be improved to first generate, then facilitate continuous and respectful dialogue. Fortunately, a trend towards the achievement of this goal appears visible as conventional healthcare providers are increasingly being taught to approach these therapies, their traditions, and their practitioners with respect [92-94]. Further, many CAIM practitioners are embracing the integrative medicine movement that combines their care with that of conventional healthcare practitioners [95-98]. In conclusion, it must be acknowledged that CAIM, NHPs, and medical cannabis differ from conventional medical

practices with respect to history, culture, and philosophy, therefore, a continuing need exists to develop improved and mutually-agreed upon methods for studying these therapies.

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# Figures

#### Figures

## Figure 1: Flowchart Depicting the Steps Taken for the Sentiment and Emotion



#### Figure 2: Frequency of CAIM-Related Tweets between March 03, 2020 and



June 10, 2020 Shown Across 4-Day Intervals

### Figure 3A: Receiver Operator Curve Showing Performance of the Sentiment Analysis Classifier



### Figure 3B: Distribution of Sentiment Scores, from 0 (Negative) to 1 (Positive); Values between 0.35 and 0.65 are Considered Neutral



#### Figure 4: Word Cloud Depicting the Eight Emotions from the NRC Lexicon and

#### Affiliated Terms from CAIM-Related COVID-19 Tweets



## **Tables**

#### Tables

#### **Chapter 2 Tables**

#### Table 1. Characteristics of Survey Respondents<sup>a</sup>

Mean $\pm$ SD Age (range) (y) (n = 267)	$40.5 \pm 17.2$ (range = 16 to 84)
Female Sex $(n = 274)$	211 (77.0%)
Ethnicity (n = 270)	
White	131 (48.3%)
Asian	29 (10.7%)
Mixed	26 (9.6%)
Jewish	17 (6.3%)
Southeast Asian	15 (5.5%)
Other <sup>b</sup>	52 (19.1%)
Education $(n = 273)$	<u>.</u>
Did Not Graduate High School	12 (4.4%)
Graduated High School	28 (10.3%)
Graduated College	48 (17.6%)
Graduated University	185 (67.8%)
Employed (n = $258$ )	140 (54.3%)

Referral Source ( $n = 273$ )	
Medical Doctor	19 (7.0%)
Self	157 (57.5%)
Other	97 (35.5%)
Time Attending the CCNM Clinic (n = 275)	
First Visit	26 (9.5%)
<1 Month	27 (9.8%)
1–3 Months	35 (12.7%)
3–6 Months	21 (7.6%)
6–12 Months	18 (6.5%)
1–2 Years	48 (17.5%)
2–3 Years	33 (12.0%)
>3 Years	67 (24.4%)
No. of Visits to the CCNM Clinic per Year ( $n = 27$	1)
First vVisit	32 (11.8%)
1-3	40 (14.8%)
4-6	38 (14.0%)
7-10	54 (19.9%)

11-15	31 (11.4%)			
16-20	27 (10.0%)			
>20	49 (18.1%)			
No. of Visits to Primary Care MD per Year ( $n = 27$	22) <u>c</u>			
0-3	168 (61.8%)			
4-6	48 (17.6%)			
7-10	26 (9.6%)			
11-15	9 (3.3%)			
16-20	3 (1.1%)			
>20	4 (1.5%)			
<sup>a</sup> Not all respondents answered every question. Data are number (percentage) of respondents				
unless indicated otherwise. CCNM = Canadian College of Naturopathic Medicine.				
<sup>b</sup> Includes African American (n = 12, 4.4%), Middle Eastern (n = 10, 3.7%), Latin American				
(n = 9, 3.3%), Native North American $(n = 2, 0.7%)$ , and "other" $(n = 20, 7.4%)$ .				
<sup>c</sup> Fourteen respondents (5.1%) reported that they did not have a primary care MD.				

Natural Product	Frequency, No. (%)
	(n = 276)
Vitamins	240 (87.0%)
Vitamin D	187 (67.8%)
Vitamin B(s)	128 (46.4%)
Vitamin C	105 (38.0%)
Multivitamin	77 (27.9%)
Herbs	164 (59.4%)
Tumeric	81 (29.3%)
Green Tea (or extract)	76 (27.5%)
Garlic	66 (23.9%)
Chamomile	62 (22.5%)
Echinacea	56 (20.3%)
Licorice	47 (17.0%)
Astragalus	26 (9.4%)
Milk Thistle	20 (7.2%)
St. John's Wort	8 (2.9%)

Table 2. Specific Natural Health Products Used By Survey Respondents

Natural Product	Frequency, No. (%)	
	(n = 276)	
Gingko Biloba	14 (5.1%)	
Valarian	10 (3.6%)	
Kava Kava	8 (2.9%)	
Other CAM Products		
Magnesium	151 (54.7%)	
Omega-3 fatty acids	138 (50.0%)	
Probiotics	122 (44.2%)	
Iron Supplement	67 (24.3%)	
Topical (applied to skin) Natural Health Products	48 (17.4%)	
Melatonin	47 (17.0%)	
Calcium	44 (5.9%)	
Other <sup>a</sup>	126 (45.7%)	
Medical Cannabis	25 (9.1%)	

CAM = complementary and alternative medicine.

<sup>a</sup>This category includes alpha lipoic acid, creatine, fibre, flaxseed oil, IPG gold, mushroom

extracts, powder supplements, protein powder, royal jelly, sports supplements, tinctures,

traditional medicines such as traditional Chinese medicines.

Type of Medication	Medications Listed <sup>a</sup>	Frequency,
or Target Condition		No. (%)
		(n = 121)
Thyroid Disease	Levothyroxine, Dessicated thyroid hormone	21 (17.4%)
Hypertension	Amlodipine Besylate, Atenolol, Bisoprolol,	19 (15.7%)
	Candesartan, Furosemide, Hydrochlorothiazide,	
	Irbesartan, losartan, Perindopril, Trandolapril	
Birth Control	Desogestrel - Ethinyl Estradiol, Levonorgestrel-	18 (14.9%)
	Ethinyl Estrad, Levonorgestrel	
Depression	Bupropion HCL, Citalopram, Fluoxetine, Paroxetine	16 (13.2%)
	HCL, Sertraline, Duloxetine, Amitriptyline,	
	Escitalopram, Nortriptyline, Fluvoxamine	
Hyper-cholesterol	Atorvastatin, Rosuvastatin, Simvastatin	15 (12.4%)
Epilepsy	Carbamazepine, Clonazepam, Gabapentin,	11 (9.1%)
	Lacosamide, Lamotrigine, Oxazepam, Pregabalin	
Asthma	Fluticasone, Albuterol, Formoterol – Mometasone,	9 (7.4%)
	Beclomethasone Dipropionate, Albuterol,	
	Glycopyrronium	
Diabetes	Insulin, Metformin	7 (5.8%)

Table 3. Medication Use Reported By Survey Respondents

Type of Medication	Medications Listed <sup>a</sup>	Frequency,		
or Target Condition		No. (%)		
		(n = 121)		
Nonsteroidal Anti-	Acetylsalicylic Acid, Celecoxib	7 (5.8%)		
inflammatory Drugs				
Ulcer	Dexlansoprazole, Esomeprazole, Omeprazole,	6 (5.0%)		
	Pantoprazole, Rabeprazole			
Attention Deficit	Dextroamphetamine-Amphetamine,	5 (4.1%)		
Hyperactivity	Lisdexamfetamine			
Disorder				
Pain	Hydromorphone, Oxycodone-Acetaminophen,	4 (3.3%)		
	Tramadol			
Menopause	Estrogen, Natural Progesterone, Progesterone,	4 (3.3%)		
	Bioidentical Progesterone, Estradiol			
Psychosis	Aripiprazole, Lurasidone, Risperidone, Quetiapine	3 (2.5%)		
	Fumarate			
Other <sup>b</sup>	Other	27 (22.3%)		
<sup>a</sup> Some respondents reported their medication by name, and others by the condition targeted				
by their medication. Some respondents reported use of more than one medication. The				

Type of Medication	Medications Listed <sup>a</sup>	Frequency,		
or Target Condition		No. (%)		
		(n = 121)		
reported frequency represents an aggregate of these data. Whenever possible, generic names				
are provided for reported medications.				

<sup>b</sup>The following medications taken for their respective disease/conditions were also reported, however, each only by less than 2% of all participants reporting to take medications: alcohol abuse (Naltrexone), allergy (Diphenhydramine, Mometasone) anxiety (Lorazepam), erectile dysfunction (Tadalafil), immunosuppressant (Azathioprine, Leflunomide, Methotrexate), unspecified hormonal therapy (Testosterone, Dehydroepiandrosterone), acne (Tretinoin), breast cancer (Trastuzumab), chest pain (Diltiazem), coagulant (Rivaroxaban), gout (Allopurinol), infection (Antibiotics), infertility (Clomiphene citrate), male pattern baldness (Minoxidil), muscle spasms, (Cyclobenzapine), osteoporosis (Alendronate), ovarian cancer (Lynparza), Parkinson's disease (Pramipexole), probiotic (Lactobacillus helveticus R0052, Bifidobacterium longum R0175), sleep disorder (Zopiclone), and urinary leakage (Fesoterodine).

#### Table 4. Predictors of Medical Doctor-Patient Discussion of Natural Product

#### Use

Variable	Univariable	р-	Multivariable	р-
	Analysis	value	Analysis	value
	OR (95% CI)		OR (95% CI)	
Older Age	1.02 (1.01–	0.01	1.02 (1.00–1.04)	0.08
	1.03)			
Female Gender	0.96 (0.55–	0.87	1.26 (0.64–2.45)	0.51
	1.66)			
Level of Education	0.93 (0.70–	0.60	0.91 (0.65–1.29)	0.60
	1.23)			
Duration of Time Attending the	1.17 (1.06–	0.002	1.11 (0.97–1.27)	0.13
CCNM Clinic	1.30)			
Number of Visits per Year to the	1.15 (1.06–	0.03	1.09 (0.92–1.29)	0.32
CCNM Clinic	1.30)			
Number of Visits per Year to One's	1.13 (0.90–	0.28	0.96 (0.73–1.27)	0.77
Primary Care MD	1.42)			
Patient Concern over Interactions	2.10 (1.19–	0.01	1.81 (0.96–3.42)	0.07
between Prescription Medication and	3.68)			
Natural Products				

Variable	Univariable	р-	Multivariable	p-
	Analysis	value	Analysis	value
	OR (95% CI)		OR (95% CI)	
Primary Care MD Asks about Natural	5.89 (2.97–	< 0.001	5.27 (2.57–10.78)	< 0.001
Product Use	11.66)			
CCNM = Canadian College of Naturopathic Medicine; OR = odds ratio; 95% CI = 95%				
confidence interval.				

#### Table 5. Predictors of Whether a Primary Care Medical Doctor Asks About

#### Natural Product Use

VARIABLE	Univariable	р-	Multivariable	р-
	Analysis	value	Analysis	value
	OR, 95% CI		OR, 95% CI	
Older Age	1.01 (0.99–1.03)	0.32	1.01 (0.99–1.03)	0.32
Female Sex	0.95 (0.49–1.81)	0.87	0.96 (0.47–2.00)	0.92
Level of Education	1.15 (0.81–1.62)	0.44	1.21 (0.82–1.79)	0.34
Duration of Time Attending the	1.11 (0.99–1.25)	0.08	1.09 (0.94–1.27)	0.23
CCNM Clinic				
Number of Visits per Year to the	1.12 (0.97–1.30)	0.11	1.07 (0.90–1.28)	0.45
CCNM Clinic				
Number of Visits per Year to	1.16 (0.91–1.48)	0.24	1.10 (0.83–1.46)	0.50
Primary Care MD				
Use of Prescription Medication	1.25 (0.72–2.17)	0.44	1.20 (0.63–2.28)	0.58
Patient Concern of Interactions	1.32 (0.71–2.44)	0.38	1.16 (0.60–2.24)	0.66
between Prescription Medication and				
Natural Products				

VARIABLE	Univariable	р-	Multivariable	р-
	Analysis	value	Analysis	value
	OR, 95% CI		OR, 95% CI	
OR = odds ratio; 95% CI = 95% Confidence Interval; N/S = p-values for all ethnic groups				
were greater than 0.05; CCNM = Canadian College of Naturopathic Medicine; and				
ND = naturopathic doctor.				

#### Appendix Table 1. Demographic Characteristics of Respondents from the 2003

#### and 2019 Surveys

	2003 Survey	2019 Survey	
Mean $\pm$ SD age (y)	37.7 ± 14.6	$40.5 \pm 17.2$	p = 0.09
	(n = 156)	(n = 262)	
Female Sex (n, %)	112 (72%)	211 (77%)	p = 0.16
	(n = 156)	(n = 274)	
White Ethnicity (n, %)	111 (71%)	131 (47%)	p < 0.001
	(n = 156)	(n = 278)	
Education	(n = 156)	(n = 273)	p = 0.01
Did not Graduate High School	9 (6%)	12 (4%)	
Graduated High School	31 (20%)	28 (10%)	
Graduated College	33 (21%)	48 (18%)	
Graduated University	83 (53%)	185 (68%)	
Employed (n, %)	102 (66%)	140 (54%)	p = 0.09
	(n = 155)	(n = 260)	

#### **Chapter 3 Tables**

Table	1:	Participant	<b>Demographics</b>
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Demographic	Value
Gender, no. (%)	
Male	6 (54.5)
Female	5 (45.5)
Years of age, median (range)	32 (27–74)
Specialization	
Family medicine only	10 (90.1)
Family medicine + public health/preventative medicine	1 (9.9)
Years in practice, median (range)	3.5 (1-33)
Location of medical school training, no. (%)	
Canada	8 (72.7)
Abroad (2 Caribbean; 1 United States)	3 (27.3)
Location of residency training, no. (%)	
Canada	11 (100.0)
Abroad	0 (0.0)
Urban or rural medical practice, no. (%)	
Urban	11 (100.0)
Rural	0 (0.0)
Authorizes or prescribes cannabis, no. (%)	
Yes	4 (36.4)

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No	7 (63.6)

Theme/subtheme	Representative quote(s)
Theme 1:	
Authorization of	
medical cannabis	
Subtheme 1: lack of	I don't think most of the results of studies are that strong, they're not
evidence	really well-designed studies for the most part(MC001)
	some studies show that it's really effective and some studies show
	that it's not very effective. I suspect we've reached the point where
	we're probably a little too liberal for the conditions we prescribe it for.
	(MC009)
Subtheme 2:	It's an okay adjunct, but it wouldn't be my first line for pain control at
indications for	all. (MC002)
therapeutic use	" it's more of a second- or third-line treatment certain patients
	will find it helpful. (MC004)
	"There's very few things, if any, that I would go to medical cannabis
	as my first medication to treat. (MC008)
Subtheme 3:	I'm not 100% comfortable prescribing it, I do feel it might be helpful
discomfort with	for some conditions, but I'm just not sure of the entire process of how
therapeutic use of	to go about prescribing it and monitoring its use. (MC001)
cannabis	I don't prescribe it at all, I usually refer it out to clinics who are
	specialized in that. (MC002)

 Table 2: Participant Quotes Supporting Thematic Analysis

	I would be happy if it went away, in terms of [the] physician's
	responsibility toward it. I do not want to be prescribing marijuana.
	(MC006)
Subtheme 4:	I think you have to keep an open mind, and you have to be attuned to
openness to	what your patients are telling you if you're not willing to listen to
emerging evidence	what patients are telling you about what they're using, and you don't
	present an unbiased front, then people aren't going to tell you what
	they're doing. (MC009)
	I think that we need to make sure we are providing our patients with
	access to evidence-based treatment and addressing any financial
	barriers and any stigma that may exist around particular treatments. I
	think we need to be careful of that when we are thinking about
	prescribing medical marijuana. (MC011)
Theme 2: Harm	
associated with the	
use of cannabis	
Subtheme 1: Effect	There is so much research saying that the brain is still changing and the
on neurocognitive	reality is we don't know what happens to kids' brains when they take
development	marijuana at the age of 15. And you know, I have so many patients
	who are young, who are like, "oh well now that is legalized for the age
	of 18, [and] I'm 14 and I'm so close, I'm sure it is fine". And I think
	the fact that legalization, especially at such a young age, gives the
	message to a lot of people that it's safe. (MC010)

Subtheme 2: Harms	Maybe sort of looking at long-term effects on older people. All the
in the elderly	medications we prescribe, there are certain geriatric populations that
	take various medications so I just want to know if there's anything in
	particular or things to watch for. (MC002)
	" What is the effect of adding a cannabis product into a geriatric
	population that tends to be already medically more complex and
	already on lots of other medications and have multiple comorbidities?
	So, what impact does that have potentially, on their quality of life,
	ability to continue to drive a car, ability to continue to take care of
	themselves, and maybe dependence issues. (MC009)
Subtheme 3:	Our patients have mental health issues, ranging from depression to
Exacerbation of	anxiety to schizophrenia, and you know, you worry about harms for
mental illness	people especially for people who have [mental] illnesses. (MC006)
	So some of the [symptoms] I've noticed so far have been an increase in
	anxiety, difficulty with sleep, even cases of potential psychosis.
	(MC007)
Subtheme 4:	Cannabis clinics are fairly easy to access for most of these [patients].
Concerns regarding	(MC004)
cannabis clinics	I would, for the most part, send patients to marijuana [clinics]; there
	are a couple in Hamilton. Everyone wound up getting it. Most people
	that did had addiction issues and mental health issues. (MC006)
	I've had patients who've come in and were prescribed medical
	cannabis and I would be like "oh you are so young, did the people go

	through the risks with you?" and they were like "no not really" and so I
	think that concerns me because it seems like even depending on which
	cannabis clinic certain people are referred to, they are not necessarily
	being presented with both the pros and the cons. (MC010)
Theme 3: Lack of	
knowledge	
surrounding medical	
cannabis	
Subtheme 1:	I'd say my knowledge of [medical cannabis] is pretty average
Inadequate training	average enough to know that I would refer someone else to [authorize]
	medical cannabis if I thought it would help. And also enough to say
	that it won't help with your kind of pain or your set of conditions. So, I
	would know enough about that, but in terms of dosing and things like
	that I am not as comfortable, but it's not something I sought to really
	train in. (MC002)
	I went to medical school 35 years ago, there was zero training about
	cannabis and anything I learned about cannabis has been through
	continuing education that consists of online courses and information,
	position statements and summaries, sessions at conferences So, the
	training has been whatever I chose to participate in, there's nothing
	required of me. (MC005)
	We did have some lectures from physicians in residency, [and] we also
	read a few articles during that time as well. I definitely don't know all

	of the up to date research that's ongoing in marijuana, it's just the
	things that I'm coming across. (MC007)
Subtheme 2: Need	I just don't know what the regulations are in terms of how that's
for further training	monitored, so my [further] education would hopefully help me figure
and education	out where I can direct patients to, sort of more, reputable sources of
	marijuana once it's been prescribed. (MC002)
	Something that comes out from time to time, that would actually be
	very helpful to get updates about what's [new] with medical cannabis.
	(MC007)
Subtheme 3:	[Regarding medical cannabis], it is still not a prescription. A
Physicians' role	prescription includes the name of a substance, exactly what is in it, it
regarding cannabis	includes a dosage, frequency, and duration. And it is dispensed by a
	pharmacist. None of those criteria are being fulfilled by cannabis. I am
	a little bit lost right now about what my form actually does for anyone.
	I think anyone and his dog can walk into a drug store and get whatever
	they want without approval from a physician. (MC004)
	Pretending that marijuana/cannabis is a prescription has been a joke
	There is nothing about dosing or actual content; the traditional
	approach to making cannabis accessible really has just been to say this
	person has a medical condition and I believe this person may benefit
	from medical cannabis Right now, it's very confusing why I have
	any role in making cannabis accessible to anyone. Whatever I write or
	say on a form does not decrease the cost of it. It does not make it

	funded, and it still does not provide any instructions that have to be
	followed I would love to see [the] medical profession removed from
	the transaction completely and to make [cannabis] more like alcohol.
	(MC005)
Subtheme 4:	I'm not sure how [recreational] products are regulated and if they know
Recreational vs.	how much THC or CBD is in it, so I am not sure if [using recreational
medicinal cannabis	cannabis for therapeutic purposes] would be a good idea. (MC003)
	I think it's still helpful being prescribed medical cannabis because a
	lot of people are not sure what's the best time to take it for medical
	reasons. I still think there's a role for medical cannabis even if
	recreational cannabis is approved for use now. (MC004)
1	

#### **Chapter 4 Tables**

#### Table 1: Top 10 Most Frequent Terms from the Dataset of 17 528 CAIM-related

#### COVID-19 Tweets

Term	Tweet Count
vitamin	10472
herbal	2532
vitamins	2477
Ayurveda	1594
herbs	1588
homeopathy	819
traditional medicine	470
homeopathic	416
herbal medicine	348
naturopathy	113

#### Table 2: Number of Words Identified in CAIM-Related COVID-19 Tweets

Emotion	Word Count
Trust	13,575
Fear	10,436
Anticipation	9,636
Joy	7,432
Sadness	6,176
Anger	5,515
Disgust	3,961
Surprise	3,771

#### Associated with the Eight Emotions from the NRC Lexicon

### Table 3: Illustrative Examples of Tweets with a Positive, Neutral, and Negative

#### Sentiments

Tweet Text	Sentiment Score
If yall put MORE Trust in Herbal Remedies instead of ALL this	positive
#BigPharma Prescription Shit MAYBE you could see that the only	
ones who will PROFIT from this #COVID19 PLANdemic IS them	
and the Banks! https://t.co/63G6THEgTH	
Even in these troubled times, do not underestimate the benefits of a	positive
simply daily #walk. Choose your location carefully but take every	
opportunity you can to enjoy some fresh air, sunlight and vitamin D.	
Learn more on Sarahs Style & amp; Dcor #blog #COVID19	
https://t.co/KFx28wcasX https://t.co/63v73iMiOH	
Everything you need to know about #COVID19 but your government	neutral
is too afraid to tell you. Get some sunshine on your skin. Eat vitamin	
D rich foods, and/or supplement. Wear a mask if somewhere crowded.	
https://t.co/AomlgyAeTChttps://t.co/KimgsPW7K7	
https://t.co/xigTQ8SK5E https://t.co/SdKvDBcV1Q	
It still surprises me that there is not more media and doctors on	neutral
television telling us to strengthen our immune system, take vitamins,	
eat healthily, get sunlight They only seem to be talking about vaccine	
and drugs that are in the distant future #COVID19 #coronavirusuk	
A positive test doesn't mean a healthy person is going to be sick. Also	negative
it doesn't say for the sick persons if this virus is responsible for the	

illness. Fear creates diseases. Be cautious but not fearful. Boost your	
immune system get vitamin D3 or sunlight once a day #coronavirus	
Disgusting NHS in go-slow on Hydroxychloroquine trials to "justify"	negative
the #Lockdown. Delays will probably needlessly kill 100's of patients.	
Only 2 hospitals No Zinc No Z-Pak or other antibiotic No mention of	
Vitamins C or D https://t.co/yJunw9PFAE #COVID19 #Covid19	

# Appendices

#### **Chapter 2 Appendix 1: Participant Information Letter and Informed Consent**

Form



October 2018

#### Re: Disclosure of Natural Product Use to Medical Doctors: Cross-sectional Survey of Naturopathic Clinic Attendees: An Update

Dear Madam/Sir,

I am requesting your voluntary participation in a research study that investigates the **Disclosure** of Natural Product Use to Medical Doctors: Cross-sectional Survey of Naturopathic Clinic Attendees: An Update.

My name is Jeremy Ng and I am a PhD student affiliated with the Department of Health Research Methods, Evidence, and Impact at McMaster University. I am conducting this survey as a research project, which will fulfill partial requirement for my doctoral thesis. This research project is supervised by my two co-investigators, Dr. Kieran Cooley (CCNM) and Dr. Jason Busse (McMaster University). We can be reached at any time should you have any questions or concerns about this project. Our contact information is listed at the bottom of this letter.

The purpose of my research project is to learn more about what factors might predict patientmedical doctor disclosure regarding the use of natural products.

What I learn as a result of this research may benefit medical doctors and their patients. By determining the factors that predict nondisclosure of natural product use, this knowledge can assist medical doctors in doing a thorough assessment of patients' use of medicinal products. This is of concern as medical doctors can then be aware of the effects natural products can have on their patients' health. In addition, patients can benefit as medical doctors can then individualize and appropriate the care they will provide and anticipate possible adverse natural product-prescription drug reactions.

If you are a patient who is above the age of 18 visiting the CCNM Robert Schad Naturopathic Clinic (RSNC) and consent to participating in the study, we would appreciate your help in voluntarily completing our questionnaire. This survey asks questions about your demographics, how frequently you visit the RSNC and other medical doctor(s) each year, or if it was your first
time visiting, prescription and natural product use, and the extent to which your medical doctor discussed your use of natural products. The project will begin in October 2018. We aim to recruit responses from 350 individuals from RSNC for this research study.

As indicated in the opening sentence above, participation in this research project is voluntary and not binding. You have not been selected to participate for any reason other than being a patient of RSNC during times that the study is in process. If you choose to participate, you may decline or withdraw from further participation at any time during the research project without negative consequences. No member of your RSNC care team (intern, supervising ND) or your medical doctor will be made aware of your decision regarding participation in this study, and they will absolutely not be made aware of any information that is conveyed.

As a participant in this research project, you will be asked to do the following: complete an 18item questionnaire that you will submit in a sealed envelope to the receptionist for collection while in the waiting area prior to meeting with your naturopathic intern.

Completing the questionnaire will provide background and demographic information such as age, gender, education level, employment status, and how you found out about the Naturopathic clinic. It will also ask for use of health care patterns such as the length of use of the Naturopathic clinic, frequency of visits to the Naturopathic clinic and family medical doctor, and if you are currently using of prescription medicine or natural products. Lastly, you will be asked if your medical doctor discussed your use of natural products or prescription medicine and why if not, if you are concerned about potential natural product-prescription drug interactions, and if you believe your complementary and alternative medicine therapist should be in contact with your medical doctor. This information will be collected to help us understand what predicts patient nondisclosure of natural product use to their medical doctors.

Your participation in completing the questionnaire will take approximately 10 minutes. Participation is completely voluntary and there will not be any remuneration (payment, reward, prize, honorarium) for participating.

There are no known harms that can arise from participating in this study. As you are only to read and respond to the questions outlined in the survey, this poses no risk of physical harm. The questionnaire also does not ask personal questions that may cause any emotional discomfort, eliminating the risk for psychological harm. Additionally, there are no social risks as you will not be identified after completing the survey. While there may be an understandable self-imposed pressure to participate in the survey or attach judgement-value-based associations regarding nondisclosure, the informed consent will take steps to normalize non-participation and nondisclosure in a manner to reduce any of these potential risks.

Every effort will be made to maintain confidentiality and protect your privacy. We will not use your name or any information that would allow you to be identified in any presentation or publication that results from this study. Your signed informed consent will not be stored near or in association with any of the survey information you provided. In the highly unlikely event that this research information is required by court, you will be notified by a member of this study team. The information you provide will be kept in a locked cabinet where only members of the study team will have access to it. Information kept on a computer will be protected by a password.

Once the study is complete, an archive of the data, without identifying information, will be deposited in a locked cabinet at CCNM for a period of five years. After this period of time, these records will be shredded.

I have listed my contact information for you below. Should you choose to participate in the research, you can contact me at any time during the research project with any questions that you may not have yet considered. Also listed is the contact information of my co-investigators whom you can contact at any time to verify the accuracy of this information letter. If you have any concerns about your rights as a research participant or in the research process, please contact the Chair of CCNM's Research Ethics Board at REBChair@ccnm.edu.

Thank you for considering participation in my research project.

Sincerely,

#### Jeremy Y. Ng, MSc, PhD Student, Principal Investigator

Doctoral Student, Department of Health Research Methods, Evidence, and Impact, Faculty of Health Sciences, McMaster University Address: Michael G. DeGroote National Pain Centre McMaster University, MDCL-2112, 1280 Main Street West Hamilton, Ontario L8S 4K1 Canada Email: ngjy2@mcmaster.ca

#### Dr. Kieran Cooley, ND, Co-Investigator

Director of Research, Department of Research, Canadian College of Naturopathic Medicine. Address: 1255 Sheppard Avenue East, Toronto, Ontario M2K 1E2 Canada Email: <u>kcooley@ccnm.edu</u> Phone: (416) -498-1255 Ext. 324

#### Jason W. Busse, DC, PhD, Co-Investigator

Assistant Professor, Departments of Anaesthesia and Clinical Epidemiology & Biostatistics McMaster University Address: Michael G. DeGroote National Pain Centre McMaster University, MDCL-2111, 1280 Main Street West Hamilton, Ontario L8S 4K1 Canada Email: <u>bussejw@mcmaster.ca</u> Phone: (905) 525-9140 Ext. 21731

#### Free and Informed Consent Form for

#### Disclosure of Natural Product Use to Primary Care Medical doctors: Cross-sectional Survey of Naturopathic Clinic Attendees: An Update

I, \_\_\_\_\_\_ (your full name), have carefully read and understood the attached Information Letter for the Disclosure of Natural Product Use to Primary Care Medical doctors: A Replication Study. I understand that if I have additional questions, I can contact Jeremy Ng or one of the co-investigators at any time during the research project. I also understand that I may decline or withdraw from participation at any time without negative consequences.

My signature below verifies that I have agreed to participate in the "Disclosure of Natural Product Use to Primary Care Medical doctors: Cross-sectional Survey of Naturopathic Clinic Attendees: An Update" as it has been described in the Information Letter. My signature below also verifies that I am fully competent to sign this Consent Form and that I have received a copy of the Information Letter and the Informed Consent Form for my files.

#### **Agreement to Participate**

Participant's Signature

Date

Print Name

#### Chapter 2 Appendix 2: 21-Item Patient Survey

NATURAL PRODUCT USE DISCLOSURE

Supplementary File 1. APPENDIX. The 21-Item Patient Survey

This survey is being conducted as a study for PhD student's project at McMaster University. The goal of this study is to enable all forms of health care to better serve your needs. No identification is required for this survey, and answers will be kept strictly confidential.

UPON COMPLETION PLEASE SUBMIT SEALED IN ENVELOPE TO RECEPTIONIST/RESEARCHER Note: If you have already completed this survey, please do not submit again.

□ I choose not to complete this survey Selecting this option will not affect your treatment in any way.

*Please answer the following*: 1. Sex: Female□ Male□ Other□

2. Age (yrs): \_

3. Are you currently employed? Yes No

4. What is your ethnic background?

- African American
- Asian
- Jewish
- Latin American
- Middle Eastern
- Native North American
- Southeast Asian
- □ White
- Mixed
- □ Other

5. What is your educational level:

- Not completed high school
- □ High school graduate
- □ College graduate
- □ University degree

6. What brought you to the Naturopathic clinic:

- Referred by physician
- □ Advertising
- Searched out yourself
- Other

7. How long have you been accessing the Naturopathic clinic?

First visit

- □ Less than one month
- □ 1-3 months
- □ 3-6 months
- □ 6-12 months
- □ 1-2 years
- □ 2-3 years
- □ More than 3 years

8. How many visits do you make to the Naturopathic clinic per year?

- First visit
  1-3 visits per year
  3-6 visits per year
  6-10 visits per year
  10-15 visits per year
  15-20 visits per year
- □ More than 20 visits per year

9. Which of the following do you take on a regular basis (please circle):

- · Vitamins and minerals
- · Herbal remedies / Herbs
- · Homeopathic medicines
- · Traditional medicines such as Traditional
- Chinese Medicines
- Probiotics
- Other

10. Do you currently take any of the following (as single ingredients or part of a combination)n and how often?

Astragalus	
Calcium	
<ul> <li>Chamomile</li> </ul>	
Echinacea	
Garlic	
Ginkgo biloba	

Green tea (or extract)

• Iron	
Licorice	
• Kava kava	
Magnesium	
Melatonin	
Milk thistle	
Multivitamin	
Omega-3 fatty acids	
Probiotics	
• St. John's wort	
Turmeric (or curcumin extract)	

Valerian

- Vitamin B(s)
- Vitamin C
- Vitamin D
- · Topical (applied to skin) natural health products

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11. Do you take any other natural health products NOT already listed in Question #10? If yes, please list them and how often for each. Yes No

12. Do you currently take medical cannabis? If yes, what condition(s) do you take it for?

13. How many visits do you make to your family physician per year?

- □ I do not have a family physician
- □ 0-3 visits per year
- □ 3-6 visits per year
- □ 6-10 visits per year
- □ 10-15 visits per year
- □ 15-20 visits per year
- Greater than 20 visits per year

14. Are you on any medications? Yes No

Please list (if applicable):

15. Has your physician asked you about your use of natural health products?

Yes No

16. Do you discuss your alternative medicine product use with your physicians (i.e. non-naturopathic doctor)?

- □ Yes
- 🗆 No
- □ Sometimes
- Other

NATURAL PRODUCT USE DISCLOSURE

17. If you answered question 16 NO, why not? (check all that apply)

- □ They do not approve of alternative medicine use
- □ They would not understand
- I am uncomfortable talking about this with my physician
- □ It is none of their business
- Other

18. Do you discuss your prescription medication use with your alternative medicine provider (i.e. naturopathic doctor)?

- □ Yes
- 🗆 No
- □ Sometimes
- Other\_\_\_\_

19. If you answered question 18 NO, why not?

- They do not approve of medication use
- They would not understand
- I am uncomfortable talking about this with my complementary and alternative medicine therapist
- □ It is none of their business
- Other

20. Are you concerned about potential herb or nutrient interactions with your medications?

- □ Yes
- □ No
- Unsure
- Other

21. I believe that my complementary and alternative medicine therapist should be in contact with my physician:

- Strongly agree
- □ Moderately agree
- Disagree
  - Strongly disagree
  - □ Uncertain

This is the end of the survey. Thank you for your participation in our research study!

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# **Chapter 3 Appendix 1: Participant Information Letter and Informed Consent**

#### Form



March 04, 2019

**Title of Study:** Attitudes and perceptions towards medical cannabis among family physicians practicing in Ontario

Locally Responsible Investigator and Principal Investigator, Department/Hospital/Institution: Jason W. Busse, DC, PhD, Co-Investigator Assistant Professor, Departments of Anaesthesia and Clinical Epidemiology & Biostatistics

Dear Ontario Family Physician:

I am requesting your voluntary participation in my research project, which is entitled **Attitudes** and perceptions towards medical cannabis among family physicians practicing in Ontario

My name is Jeremy Ng and I am a doctoral student at the Michael G. DeGroote National Pain Centre at McMaster University. I am a part of a research team that will be conducting a qualitative research project, which will fulfill partial requirement for my doctoral studies. This research will be conducted along with my supervisor and co-investigator Dr. Jason Busse. We both can be reached at any time during this research project to verify everything that I outline in this information letter and to answer any questions about the project that you may have. Our contact information is listed at the bottom of this letter. This study has been reviewed by the Hamilton Integrated Research Ethics Board (HIREB). The HIREB is responsible for ensuring that participants are informed of the risks associated with the research, and that participants are free to decide if participation is right for them. If you have any questions about your rights as a research participant, please call the Office of the Chair, Hamilton Integrated Research Ethics Board at 905.521.2100 x 42013.

The purpose of this research project is to conduct a field study, using qualitative research methods to explore and gather information on the attitudes and perceptions of family doctors based in Ontario towards the use and administration of medical cannabis. What I learn as a result of this research is relevant in the light of public debate of legalizing and decriminalizing cannabis in Canada and may benefit the overall public health in terms of prospective policies and regulations.

The specific study objectives are:

1. To explore the attitudes and perceptions of family doctors toward medical cannabis in Ontario;

- 2. To explore impressions towards medical cannabis post-legalization of recreational cannabis
- 3. To explore concerns on the regulations of medical cannabis,
- 4. To inquire re: perceptions of scientific research on the risks and benefits of cannabis

I intend to accomplish the goal(s) of the research by conducting in-depth one-on-one semistructured interview with participants. Your participation in an interview will last from thirty minutes to one hour and take place in a mutually convenient location or over the phone. Interviews will be audiotaped for transcription, and hand written notes may be taken as well. As indicated in the opening sentence above, participation in this research project is voluntary and not binding. If you choose to participate, you may decline or withdraw from further participation at any time before the data used in your interview is published.

As a participant in this research project, you will be asked to do the following:

- 1. Provide a written, informed consent (via email is acceptable) before the interview begins.
- 2. Answer questions relating to your patients' medical cannabis use

Some sample questions that you will encounter during the interview:

- 1. Do you have any patients that currently use medical cannabis?
- 2. What conditions (if any) do you perceive medical cannabis may have role in management?
- 3. Do you feel they are finding beneficial effects?

#### Potential Harms, Risks or Discomforts

We do not anticipate that this interview will present any known harms, risks or discomforts. If there are any questions that make you uncomfortable, you can choose to not answer. You may also ask to have any answers removed. If at any point during the interview you wish to stop, you may ask the interviewer to end the interview. If during any stage after the interview you wish to be pulled out of the study, you may ask me (Jeremy Ng) to remove you from any portion of the study. If you choose to withdraw from the study, there will be no consequences to you and all identifying information associated with you will also be removed.

#### Confidentiality

Throughout the study, the research team will make every effort to maintain confidentiality and you privacy. We will ensure participant names/license numbers, associations to any clinics or hospitals, demographic information or any other identifying information within transcripts of interviews or audio recordings will be removed and replaced with randomized study identification numbers. Anonymity and confidentiality of all study participants will be ensured to prevent privacy breach. All information provided by participants will be locked and only members of the research team will have access to it.

I have listed my contact information for you below. Should you choose to participate in the research, you can contact me at any time during the research project with any questions that you

may not have yet considered. Also listed is the contact information for my co-investigator Dr. Jason Busse, whom you can contact at any time to verify the accuracy of this information letter.

For the purposes of ensuring the proper monitoring of the research study, it is possible that a member of the Hamilton Integrated Research Ethics Board and this institution and affiliated sites may consult your research data for quality assurance purposes. However, no records which identify you by name or initials will be allowed to leave the research office. By signing this consent form, you authorize such access.

Thank you for considering participation in my research project.

Sincerely,

#### Jeremy Y. Ng, MSc, PhD Student

Doctoral Student, Department of Health Research Methods, Evidence, and Impact, Faculty of Health Sciences, McMaster University **Address:** Michael G. DeGroote National Pain Centre McMaster University, MDCL-2112, 1280 Main Street West Hamilton, Ontario L8S 4K1 Canada **Email:** ngjy2@mcmaster.ca

and

Jason W. Busse, DC, PhD, Principal Investigator Assistant Professor, Departments of Anaesthesia and Clinical Epidemiology & Biostatistics McMaster University 1280 Main St. West, Hamilton, Ontario, L8S 4K1 Telephone: (905) 525-9140 (x21731) Email: <u>bussejw@mcmaster.ca</u>

# FREE AND INFORMED CONSENT FORM for

# Attitudes and perceptions towards medical cannabis among family physicians practicing in Ontario

I, \_\_\_\_\_\_, have carefully read the attached Information Letter for the **Attitudes and perceptions towards medical cannabis among family physicians practicing in Ontario**. One of the study investigators or one of their research assistants has explained this project to me and has answered all my questions about it. I understand that if I have additional questions, I can contact the Principal Investigator **Jason Busse** at any time during the research project. I also understand that I may decline or withdraw from participation and I am free to ask that my interview and resulting data be removed from the study/destroyed at any time before the data from my interview is published without negative consequences.

1)	I agree that the interview can be audio recorded.	Yes	No
2)	I would like to receive a summary of the study's results.	Yes	No
	If yes, where would you like the results sent?		
	Email:		
	Other:		

My signature below verifies that I have agreed to participate in the **Attitudes and perceptions towards medical cannabis among family physicians practicing in Ontario** as it has been described in the Information Letter. My signature below also verifies that I am fully competent to sign this Consent Form and I will receive a signed copy of the Information Letter and the Informed Consent Form for my files.

#### **Agreement to Participate**

Participant's Signature	Signature of Person Obtaining Consent		
Print Name	Print Name		
Date:	Date:		

# **Chapter 3 Appendix 2: Interview Guide**

- 1. Do you have any patients that currently use medical cannabis?
- 2. What conditions (if any) do you perceive medical cannabis may have role in management?
- 3. Do you feel that patients who use cannabis experience specific beneficial effects?
- 4. Are you concerned about harms associated with medical cannabis use?
- 5. Do you feel that some patients may access medical cannabis for recreational purposes?
- 6. Should the legalization of recreational cannabis affect use of medicinal cannabis?
- 7. Do you authorize medical cannabis for patients? Why or why not?
- 8. What are your impressions about the evidence underlying medical cannabis?
- 9. What are your thoughts on the Canadian Medical Association's stated position to move away from medical cannabis once recreational use is legal?
- 10. What is your knowledge regarding medical cannabis?
- 11. What are your impressions about the current regulation of medical cannabis?
- 12. What education regarding medical cannabis, if any, would you like to receive?
- 13. Where do you feel future research regarding medical cannabis should be directed?
- 14. Are there any final thoughts you would like to add regarding the administration and use of medical cannabis?

# Chapter 3 Appendix 3: Summary of McGrath et al. (2019)'s Twelve Tips for

# **Conducting Qualitative Research Interviews**

Tip 1	Identify when qualitative research interviews are appropriate
Tip 2	Prepare yourself as an interviewer
Tip 3	Construct an interview guide and test your questions
Tip 4	Consider cultural and power dimensions of the interview situation
Tip 5	Build rapport with your respondents
Tip 6	Remember you are a co-creator of the data
Tip 7	Talk less and listen more
Tip 8	Allow yourself to adjust the interview guide
Tip 9	Be prepared to handle unanticipated emotions
Tip 10	Transcribe the interviews in good time
Tip 11	Check the data
Tip 12	Initiate analysis early

For further reading, please consult the full-text article as follows:

McGrath C, Palmgren PJ, Liljedahl M. Twelve tips for conducting qualitative research

interviews. Medical teacher. 2019 Sep 2;41(9):1002-6.

https://doi.org/10.1080/0142159X.2018.1497149.