# Coordinated Changes Across the O<sub>2</sub> Transport Pathway Underlie Adaptive Increases in Thermogenic Capacity in High-Altitude Deer Mice

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**Keywords:** evolutionary physiology, high-altitude adaptation, oxygen cascade, metabolism

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Running head: High-altitude adaptation in deer mice

### 1 Abstract

2 Animals native to the hypoxic and cold environment at high altitude provide an excellent

- 3 opportunity to elucidate the integrative mechanisms underlying the adaptive evolution and
- 4 plasticity of complex traits. The capacity for aerobic thermogenesis can be a critical determinant
- 5 of survival for small mammals at high altitude, but the physiological mechanisms underlying the
- 6 evolution of this performance trait remain unresolved. We examined this issue by comparing
- 7 high-altitude deer mice (*Peromyscus maniculatus*) to low-altitude deer mice and white-footed
- 8 mice (*P. leucopus*). Mice were bred in captivity and adults were acclimated to each of four
- 9 treatments: warm (25°C) normoxia; warm hypoxia (12 kPa O<sub>2</sub>); cold (5°C) normoxia; or cold
- 10 hypoxia. Acclimation to hypoxia and/or cold increased thermogenic capacity in deer mice, but
- 11 hypoxia acclimation led to much greater increases in thermogenic capacity in highlanders than in
- 12 lowlanders. The high thermogenic capacity of highlanders was associated with increases in
- 13 pulmonary O<sub>2</sub> extraction, arterial O<sub>2</sub> saturation, cardiac output, and arterial-venous O<sub>2</sub> difference.
- 14 Mechanisms underlying the evolution of enhanced thermogenic capacity in highlanders were
- 15 partially distinct from those underlying the ancestral acclimation responses of lowlanders.
- 16 Environmental adaptation has thus enhanced phenotypic plasticity and expanded the
- 17 physiological toolkit for coping with the challenges at high altitude.

#### 18 **1. Introduction**

19 Explaining the mechanistic basis for adaptive variation in organismal performance is a 20 central and long-standing goal of evolutionary physiology [1, 2]. Organismal performance traits 21 are complex phenotypes supported by the coordinated function of various organ systems. The 22 capacity for sustained aerobic exercise, for example, is supported by systems for partitioning O<sub>2</sub> 23 and metabolic fuels to the mitochondria in active locomotory muscles [3, 4]. Studies of the O<sub>2</sub> 24 transport pathway, the conceptual steps involved in O<sub>2</sub> transport from the environment to O<sub>2</sub> utilization by mitochondria (ventilation, pulmonary diffusion, circulation, tissue diffusion, and 25 mitochondrial O<sub>2</sub> utilization), have been particularly useful for understanding the systems-level 26 27 mechanisms underlying the evolution of aerobic exercise performance. Some comparative 28 studies have suggested that variation in aerobic exercise capacity - reflected by the maximal rate 29 of O<sub>2</sub> consumption ( $\dot{V}_{O_{2}max}$ ) that can be achieved during exercise – is underpinned by matching 30 variation in the capacity of multiple steps in the O<sub>2</sub> pathway [5, 6]. Such observations led to the 31 concept of symmorphosis, which proposed that structural design is optimized to match but not 32 exceed functional demands, such that each step in the O<sub>2</sub> pathway has an equivalent capacity to 33 support O<sub>2</sub> flux [5, 6]. However, results from artificial selection experiments [7-9] and 34 theoretical modelling of the respiratory system [10, 11] have suggested that evolved changes in exercise  $\dot{V}_{O_2max}$  do not require matched changes across the O<sub>2</sub> pathway, and can arise from 35 changes in just a single step. The truth may lie somewhere between these two extremes, but there 36 have been relatively few comparative studies of the evolution of  $\dot{V}_{O_2max}$  that have fully 37 investigated the function of the O<sub>2</sub> transport pathway. 38

39 Thermogenic capacity is another vital organismal performance trait that can push the limits of aerobic metabolism. Often measured as the  $\dot{V}_{O_{2}max}$  during acute cold exposure, values 40 of thermogenic  $\dot{V}_{O_{2}max}$  can equal or exceed values of  $\dot{V}_{O_{2}max}$  during exercise in small mammals 41 42 [12-14]. As a result, thermogenic capacity requires high rates of O<sub>2</sub> flux through the O<sub>2</sub> transport 43 pathway, but unlike aerobic exercise, aerobic thermogenesis involves activity of both muscles 44 (shivering thermogenesis) and adipose tissues (non-shivering thermogenesis). Thermogenic 45 capacity can influence survival, fitness, and the ability of small mammals to stay active in the 46 cold [15, 16]. However, the systems-level mechanisms underlying the evolution of thermogenic capacity are poorly understood. 47

48 Thermogenic capacity is also a plastic trait that can increase in response to chronic cold-49 exposure via metabolic adjustments in thermogenic tissues [17-21], so studies of this 50 performance trait can provide general insight into the plasticity of complex phenotypes. Theory 51 and empirical evidence show that phenotypic plasticity can facilitate the survival and 52 reproductive success of initial colonizers of a novel environment, and plasticity can then evolve 53 by various forms of genetic accommodation and move the colonizing population closer to the 54 fitness optimum [22-25]. However, most previous studies of the evolution of plasticity in complex traits have not considered the tight functional integration that can exist between the 55 56 component mechanisms that underlie such traits [26]. Studies of thermogenic capacity and its 57 underlying physiological mechanisms have the potential to shed light on this issue, and to better 58 understand the role of phenotypic plasticity in environmental adaptation.

59 Animals native to high-altitude environments provide an excellent opportunity to 60 elucidate the mechanisms underlying the plasticity and adaptive evolution of thermogenic capacity. The cold and oxygen-depleted (hypoxic; low partial pressure of  $O_2$ ,  $P_{O_2}$ ) environment 61 at high altitude requires that endotherms maintain high rates of O<sub>2</sub> transport and utilization for 62 63 thermogenesis while facing a diminished O<sub>2</sub> availability. Growing evidence suggests that high-64 altitude natives overcome this challenge through evolved changes in various cardiorespiratory 65 and metabolic phenotypes [4, 27, 28]. However, with the exception of high-altitude humans, who 66 do not suffer the same thermoregulatory challenges as do small mammals in the cold, there have 67 been few comparative studies that have fully investigated the function of the O<sub>2</sub> pathway at  $\dot{V}_{O_{2}max}$  in high-altitude natives [28, 29]. 68

69 Deer mice (Peromyscus maniculatus) native to high elevation are a powerful model for 70 understanding the physiological bases of phenotypic plasticity and local adaptation. This species 71 can be found across a wide altitudinal range, from below sea level in Death Valley, CA, USA to 72 over 4300 m above sea level in the Rocky Mountains [30, 31]. At high altitude, free-ranging deer mice sustain high metabolic rates [32] and there is evidence that increased thermogenic  $\dot{V}_{\Omega_{2}max}$ 73 74 improves survival [15], presumably as a result of the high demands for heat generation in cold alpine environments. Various studies suggest that high-altitude deer mice have responded to this 75 strong selection pressure by evolving increases in  $\dot{V}_{O_{2}max}$  in hypoxia, based on comparisons to 76 77 low-altitude populations of deer mice and white-footed mice (P. leucopus; a congener that is 78 restricted to low altitudes) [33-35]. Highland deer mice have a particularly strong advantage in

79 hypoxic environments, because thermogenic  $\dot{V}_{O_2max}$  (measured in hypoxia) increases by a much 80 greater magnitude after hypoxia acclimation in highlanders than in lowlanders [36]. The 81 functional changes in the O<sub>2</sub> transport pathway that underlie this evolved increase in thermogenic  $\dot{V}_{O_{2}max}$  have yet to be fully explained. Furthermore, cold acclimation is known to increase 82 thermogenic  $\dot{V}_{O_2max}$  and cardiopulmonary organ sizes in deer mice [12, 20, 37], but it is 83 unknown whether the acclimation response to cold or to the combination of cold and hypoxia has 84 85 evolved in high-altitude populations. This study therefore aims to examine how acclimation to 86 hypoxia and cold – alone or in combination – affects thermogenic  $\dot{V}_{O_{2}max}$  in hypoxia, to determine whether high-altitude deer mice have evolved heightened acclimation responses, and 87 88 to elucidate the functional changes in the O<sub>2</sub> pathway that contribute to enhancements of 89 thermogenic  $\dot{V}_{O_{2}max}$ .

90

### 91 **2. Methods**

## 92 (a) Acclimation treatments

93 Captive breeding populations were established from wild deer mouse populations native 94 to high altitude (near the summit of Mount Evans, CO, USA; 4350 m above sea level) and from 95 wild populations of both deer mouse and white-footed mouse from low altitude (Nine Mile 96 Prairie, Lancaster County, NE, USA; 430 m above sea level), as described previously [36, 38] 97 and detailed in the Supplementary Methods (electronic supplementary material). First generation 98 adult mice from each population were acclimated to each of four acclimation environments: (1) 99 warm (25°C) normobaric normoxia (barometric pressure ~100 kPa,  $P_{O_2}$  ~20 kPa); (2) warm (25°C) hypobaric hypoxia (barometric pressure of 60 kPa,  $P_{O_2}$  of 12.5 kPa); (3) cold (5°C) 100 101 normobaric normoxia; and (4) cold (5°C) hypobaric hypoxia. Routine husbandry and the use of hypobaric chambers to create hypoxia have been described previously [35, 39], and cold 102 103 conditions were maintained in large environmental chambers with temperature control. 104 105 (b) Cardiorespiratory measurements at thermogenic  $\dot{V}_{O,max}$  in hypoxia Thermogenic  $\dot{V}_{O_{2}max}$  was measured after 6-8 weeks of acclimation using open-flow 106 respirometry during exposure to a cold (-5°C) hypoxic heliox gas mixture (12% O<sub>2</sub>, 88% He). 107

108 We employed methods we have described previously [36, 38] and are detailed in the

- 109 Supplementary Methods. Concurrent measurements of breathing were made by
- plethysmography, and measurements of arterial O<sub>2</sub> saturation and heart rate were made using a
  MouseOx Plus pulse oximeter (Starr Life Sciences, PA, USA).
- 112 We subsequently cannulated a subset of these mice to sample and measure the  $O_2$  content of mixed venous blood at thermogenic  $\dot{V}_{\rm O_2max}$ . These measurements were made on highland deer 113 mice and lowland white-footed mice across all four acclimation environments, but could only be 114 115 made on lowland deer mice in warm normoxia and cold hypoxia. After at least three days 116 recovery in the appropriate acclimation environment from the initial  $V_{O_{2}max}$  measurement, mice 117 were surgically implanted with a central venous cannula using standard surgical procedures 118 under sterile conditions (see Supplementary Methods, electronic supplementary material). This 119 was achieved by occlusively cannulating the jugular vein using a microrenathane catheter, 120 advancing the catheter into the central venous cavity, and externalizing it through the skin at the 121 nape of the neck. Mice were recovered at room temperature for 4-6 h and then at their appropriate acclimation environment for at least 3 days. A second thermogenic  $\dot{V}_{\text{O}_{2}\text{max}}$  trial was 122 123 then conducted under the same exposure conditions as the first, and a 50  $\mu$ l sample of central venous blood was collected at  $\dot{V}_{O_2max}$  and immediately analyzed for total O<sub>2</sub> content at 37°C 124 125 using the Tucker method [40]. A second venous blood sample was then collected for 126 measurement of haemoglobin content using Drabkin's Reagent (Sigma-Aldrich, Oakville, ON, Canada). The  $\dot{V}_{\rm O_2max}$  values from the second  $\dot{V}_{\rm O_2max}$  trial did not differ statistically from those 127 128 measured in the first, as described in the Supplementary Methods (electronic supplementary 129 material), where the standard equations used to calculate venous O<sub>2</sub> saturation, cardiac output, 130 stroke volume, and pulmonary O<sub>2</sub> extraction can also be found.
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## 132 (c) Statistical analyses

Linear mixed-effects models were used to test for effects of mouse population, acclimation  $P_{O_2}$ , and acclimation temperature, and were performed using the lme4 package [41] in R [42]. We first included data from across all four acclimation environments to test for the fixed effects of population,  $P_{O_2}$ , and temperature as well as all possible interactions between these three factors, which allowed us to evaluate whether populations differed in their interactive response to hypoxia and cold. However, this approach did not allow us to examine the potential

139 population differences in the acclimation responses to hypoxia or cold on their own, which 140 required two additional series of tests. We tested for the fixed effects of population, acclimation 141  $P_{O_2}$ , and the interaction between them by only including data for the warm acclimation 142 environments, which allowed us to evaluate potential population differences in the response to 143 chronic hypoxia in the absence of cold. We tested for the fixed effects of population, acclimation 144 temperature, and the interaction between them by only including data among the normoxic 145 acclimation environments, which allowed us to evaluate potential population differences in the 146 response to chronic cold in the absence of hypoxia. Each series of tests was initially run 147 including all of the potential interactions between the fixed factors, including body mass as a 148 covariate and the random effects of sex and family. If any of the interactions or if the effects of 149 body mass, sex, or family did not approach significance ( $P \ge 0.1$ ), we carried out a second final 150 test in which these particular effects were removed. Only highlanders and lowland white-footed 151 mice were included in models for the subset of cardiovascular measurements that were not also 152 made in lowland deer mice. The full results of the linear mixed-effects models are included in 153 the electronic supplementary material (tables S1-S6), and the salient findings are reported in the 154 Results. Tukey post-hoc tests were performed using the multcomp package in R [43]. These 155 statistical analyses were carried out on absolute values of traits that were not corrected for body 156 mass (because effects of body mass were accounted for in statistical models), but some data presented here are expressed relative to body mass as is conventional in the literature ( $\dot{V}_{\text{O}_{2}\text{max}}$ , 157 158 ventilatory and cardiac volumes). We also calculated Pearson correlations between  $\dot{V}_{O_{2}max}$  and 159 its potential determinants using GraphPad Prism software (version 8.4; La Jolla, CA, USA), for 160 which the P-values are reported in the Results and additional details are in electronic 161 supplementary material table S7. P < 0.05 was considered statistically significant.

162

## 163 **3. Results**

## 164 (a) Thermogenic $\dot{V}_{O_2max}$ in hypoxia

165 Thermogenic  $\dot{V}_{O_2max}$  in hypoxia was strongly affected by acclimation environment 166 (figure 1), as reflected by highly significant effects of acclimation  $P_{O_2}$  and temperature in linear 167 mixed-effects models (table 1), but highland deer mice exhibited an especially pronounced 168 acclimation response to hypoxia. As expected, body mass differed between species, as white-

169 footed mice (26.7  $\pm$  1.4 g in warm normoxia) were generally larger than both highland (19.6  $\pm$ 170 0.8 g) and lowland  $(22.2 \pm 1.1 \text{ g})$  deer mice (P<0.001) (electronic supplementary material, table S8). Body mass had statistically significant effects on  $\dot{V}_{O_{2}max}$  in linear mixed-effects models and 171 it varied across treatment groups (electronic supplementary material, tables S1-S6,S8), driven 172 173 primarily by modest declines after acclimation to warm hypoxia in the lowland populations (P=0.009 for the main effect of acclimation  $P_{O_2}$ ). After taking effects of body mass into account, 174 hypoxia acclimation at warm temperature had a strong main effect on  $\dot{V}_{\rm O_2max}$  (P<0.001) that was 175 driven entirely by deer mice ( $\dot{V}_{\rm O_2max}$  did not differ between warm hypoxia and warm normoxia 176 177 for white-footed mice). However, the effects of hypoxia acclimation were much greater in 178 highlanders compared to lowlanders (P<0.001 for the interaction between population and 179 acclimation  $P_{O_2}$ ), and  $\dot{V}_{O_{2}max}$  was 1.3- to 1.7-fold higher in highlanders than in lowlanders of 180 both species in comparisons between populations acclimated to warm hypoxia. By contrast, cold 181 acclimation had a strong main effect on  $\dot{V}_{O_{2}max}$  (P<0.001) that was similar in magnitude across 182 populations (non-significant population×temperature interaction). Acclimation to the combination of hypoxia and cold increased  $\dot{V}_{O_{2}max}$  in all populations (~1.4- to 1.7-fold compared 183 184 to warm normoxic mice), but the relative importance of each environmental parameter on the 185 acclimation response differed between populations, as reflected by a significant 186 population  $\times P_{O_2} \times$  temperature interaction (P=0.045). In lowlanders of both species, the response 187 to cold hypoxia appeared to be slightly greater than the sum of the individual responses to 188 hypoxia or cold alone. For example, in lowland deer mice, the sum of the magnitude of the responses to warm hypoxia and cold normoxia (~0.04 ml min<sup>-1</sup> g<sup>-1</sup> in each case) was slightly less 189 than the magnitude of the response to cold hypoxia ( $\sim 0.09$  ml min<sup>-1</sup> g<sup>-1</sup>), when each response 190 191 magnitude was calculated as the average absolute difference from warm normoxia. Similarly, in 192 white-footed mice, the sum of the responses to warm hypoxia and cold normoxia ( $\sim 0$  and  $\sim 0.04$ , respectively) was slightly less than the response to cold hypoxia ( $\sim 0.05$  ml min<sup>-1</sup> g<sup>-1</sup>). This was 193 194 not the case in highlanders, however, in which the response to warm hypoxia was just as large as 195 the response to cold hypoxia.

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# 197 (b) Breathing and pulmonary O<sub>2</sub> uptake at $\dot{V}_{O_2max}$

198 Breathing was measured by plethysmography to examine whether it contributed to some 199 of the variation in  $\dot{V}_{O_{2}max}$  across treatment groups (figure 2, table 1). Breathing frequency at 200  $\dot{V}_{
m O_{2}max}$  appeared to vary across groups, largely because highlanders had higher breathing frequencies than lowlanders after acclimation to warm hypoxia (P=0.007 for population× $P_{O_2}$ ) 201 202 interaction) or cold normoxia (P=0.015 for population effect). Tidal volumes at  $\dot{V}_{O_{2}max}$  varied 203 little across acclimation environments in both populations of deer mice, such that the patterns of 204 variation in total ventilation appeared to be very similar to the variation in breathing frequency for these populations. However, hypoxia acclimation tended to reduce tidal volume at  $\dot{V}_{O_2max}$  in 205 white-footed mice, which is likely responsible for the significant population  $\times P_{O_2}$  interaction for 206 this trait (P=0.014), and there was also a significant population  $\times P_{O_2}$  interaction for total 207 ventilation (P=0.001). Nevertheless, total ventilation was significantly correlated with  $\dot{V}_{O_{2}max}$ 208 209 across all groups (P=0.004).

Pulmonary  $O_2$  extraction at  $\dot{V}_{O_2max}$  also appeared to vary across treatment groups (figure 3, table 1). Pulmonary  $O_2$  extraction tended to increase in cold and/or hypoxic acclimation environments compared to warm normoxic controls, as reflected by significant main effects of acclimation  $P_{O_2}$  (P=0.024) and temperature (P=0.040). There was a significant main effect of population overall (P=0.002), driven largely by higher values of pulmonary  $O_2$  extraction in highlanders that were greatest after hypoxia acclimation. Pulmonary  $O_2$  uptake was strongly correlated with  $\dot{V}_{O_2max}$  across all groups (P<0.0001).

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# 218 (c) Circulatory O<sub>2</sub> transport at $\dot{V}_{O_2max}$

219 We observed significant variation in arterial O<sub>2</sub> saturation (measured by pulse oximetry) 220 and the content of haemoglobin in the blood across treatment groups (table 1). Arterial O<sub>2</sub> 221 saturation varied little in response to acclimation environment but saturation was consistently 222  $\sim$ 7% to 12% higher in highlanders than in lowlanders of both species (P<0.001 for population effect) (figure 4A), and there was a significant correlation between arterial O2 saturation and 223  $\dot{V}_{O_{2}max}$  across all groups (P=0.0004). Blood haemoglobin content increased in response to 224 hypoxic but not cold acclimation environments, as reflected by main effects of acclimation  $P_{O_2}$ 225 (P=0.024) but not temperature (P=0.574) (table 2). However, blood haemoglobin content tended 226

to be highest in white-footed mice (P<0.001 for population effect) and the response to hypoxia

acclimation was generally similar between highland and lowland deer mice (non-significant

229 population× $P_{O_2}$  interactions). As a result, blood haemoglobin content was not correlated to

230  $\dot{V}_{O_2max}$  across groups (P=0.465).

231 There was also some variation across treatment groups in venous  $O_2$  saturation at  $\dot{V}_{O_2max}$ 232 (figure 4B, table 1), which was calculated from measurements of blood haemoglobin content and 233 the O<sub>2</sub> content of mixed venous blood sampled from cannulated mice (electronic supplementary 234 material, table S9). In contrast to arterial O<sub>2</sub> saturation, linear mixed-effects models comparing 235 highland deer mice and lowland white-footed mice did not detect any significant population 236 effects on venous O<sub>2</sub> saturation. However, there was a significant main effect of cold acclimation on venous O<sub>2</sub> saturation (P=0.004), driven largely by a lower value in white-footed mice 237 238 compared to highland deer mice in cold normoxia. Tissue O<sub>2</sub> extraction - calculated as the 239 difference between average arterial and venous O<sub>2</sub> saturations – was generally higher in 240 highlanders (~77-83%) than in lowlanders (~71-74%), due primarily to the higher arterial O<sub>2</sub> 241 saturations in highlanders (figure 4A). The apparent drop in venous O<sub>2</sub> saturation in cold-242 acclimated white-footed mice did not appear to increase tissue O<sub>2</sub> extraction (~72% in this 243 group), because it was associated with a non-significant decline in arterial O<sub>2</sub> saturation 244 compared to other acclimation environments. There were some differences across acclimation groups in blood acid-base status and lactate concentration at  $\dot{V}_{O_{2}max}$  – cold acclimation groups 245 246 appeared to have increased venous pH and bicarbonate concentration and decreased plasma 247 lactate compared to warm acclimation groups – but there were no appreciable or consistent 248 differences between populations (electronic supplementary material, figures S1,S2).

249 Differences in cardiac output (calculated using the Fick equation) appeared to contribute 250 to the variation in  $\dot{V}_{\text{Opmax}}$  across treatment groups (figure 5, table 1), based on the strong correlation between cardiac output and  $\dot{V}_{O_2max}$  across all groups (P<0.0001). Highland mice 251 generally had higher cardiac output at  $\dot{V}_{\rm O_2max}$  than lowland white-footed mice, as reflected by a 252 253 significant population effect across all environments (P=0.029) that appeared to be largely due to 254 variation in stroke volume that neared significance (P=0.067 for population effect across all environments). The significant main effects of both acclimation  $P_{O_2}$  and temperature (P<0.001 255 each) suggested that cardiac output at  $\dot{V}_{\rm O_2max}$  increased in mice from cold and/or hypoxic 256

257 acclimation environments compared to warm normoxic controls, but the magnitude of the 258 changes suggested that these effects were driven much more by highland deer mice than lowland 259 white-footed mice. The relative influence of changes in stroke volume versus heart rate on 260 cardiac output between acclimation environments also differed across populations. For stroke 261 volume, there was a significant population  $\times P_{O_2}$  interaction across all environments (P=0.020) 262 and there appeared to be greater increases in stroke volume in highlanders in response to hypoxic 263 and/or cold acclimation environments, reaching values that were 1.6- to 1.8-fold greater on 264 average than lowlanders of both species in cold hypoxia. For heart rate, there was a significant 265 population×temperature interaction (P<0.001), in large part because cold acclimation 266 environments tended to reduce heart rates at  $\dot{V}_{O_{2}max}$  in highlanders but not in lowlanders (as 267 compared to heart rates of mice from the warm normoxic environment). As such, the increase in 268 cardiac output at  $\dot{V}_{O_{2}max}$  in response to cold-hypoxia acclimation was driven primarily by 269 increases in heart rate in lowland white-footed mice, but increases in stroke volume were a larger 270 contributor in highland deer mice.

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### 272 **4. Discussion**

273 Deer mice at high altitudes sustain high metabolic rates to support thermogenesis [32] 274 and appear to be subject to strong directional selection for increased thermogenic capacity in 275 hypoxia [15]. Here, we show that adaptive increases in thermogenic  $\dot{V}_{O_{2}max}$  in hypoxia arise from 276 evolved changes and plasticity in response to the major stressors at high altitude, hypoxia and cold. High-altitude mice exhibited an exaggerated increase in  $\dot{V}_{\text{O}_{2}\text{max}}$  in response to chronic 277 278 hypoxia, which appeared to completely dominate the response to concurrent hypoxia and cold. 279 The variation in thermogenic  $\dot{V}_{O_{2}max}$  appeared to be explained by evolved and environmentally 280 induced variation across the  $O_2$  transport pathway, including breathing, pulmonary  $O_2$  extraction, 281 arterial O<sub>2</sub> saturation, cardiac output, and tissue O<sub>2</sub> extraction. Therefore, both evolutionary 282 adaptation and phenotypic plasticity contribute to coordinated changes in the function of the O<sub>2</sub> 283 pathway that lead to adaptive increases in thermogenic capacity in deer mice at high altitudes. 284

## 285 (a) Combined effects of hypoxia and cold on thermogenic capacity

286 The effects of chronic hypoxia and/or cold on thermogenic capacity suggest that 287 phenotypic plasticity can improve the ability of small mammals to cope with the cold 288 environment at high altitude. Cold acclimation is well known to increase thermogenic  $\dot{V}_{\text{Opmax}}$  as 289 well as the capacity for non-shivering thermogenesis (NST) in deer mice and other small 290 mammals [17, 21, 44-48]. Hypoxia acclimation does not generally increase  $\dot{V}_{\text{Opmax}}$  in humans [49]; however, it has been shown to increase  $\dot{V}_{O_{2}max}$  in rodents during thermogenesis or exercise 291 292 when measured in hypoxia, but not necessarily when measured in normoxia, suggesting that the 293 responses of rodents to chronic hypoxia act primarily to reduce the depressive effects of hypoxia 294 on  $\dot{V}_{\text{O}_{2}\text{max}}$  [35, 36, 50]. Little was previously known about how  $\dot{V}_{\text{O}_{2}\text{max}}$  changes after chronic 295 exposure to hypoxia and cold in combination, but prior studies in house mice suggest that these 296 stressors have opposing (but additive) effects on the capacity for NST in normoxia, such that 297 cold hypoxic mice have similar NST capacity to warm normoxic mice [17]. Our results in 298 lowland deer mice suggest that hypoxia and cold as acclimation treatments have additive or more 299 than additive effects that increase thermogenic  $\dot{V}_{O_{2}max}$  in hypoxia when they occur in combination, possibly because cold acclimation tends to increase  $\dot{V}_{O_2max}$  in normoxia while 300 hypoxia acclimation makes  $\dot{V}_{O_{2}max}$  less sensitive to reductions in environmental  $P_{O_{2}}$ . 301 302 Plastic changes across the O<sub>2</sub> transport pathway appeared to underlie the increases in 303 thermogenic capacity in response to chronic hypoxia and/or cold. Previous studies in rats also 304 found that cold acclimation increased O<sub>2</sub> consumption at cold temperatures via increases in

307 brown adipose tissue throughout the body [46]. Hypoxia acclimation has also been found to 308 increase exercise  $\dot{V}_{O_2max}$  in hypoxia (but not in normoxia) in rats, in association with decreases in 309 arterial CO<sub>2</sub> tension (which could reflect an increase in alveolar ventilation) and with increases in

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arterial  $P_{O_2}$  and  $O_2$  saturation, blood haemoglobin content, and tissue  $O_2$  extraction [50].

311 However, cardiac output and heart rate at  $\dot{V}_{O_2max}$  were lower after hypoxia acclimation in this

cardiac output, with no change in the arterial-venous difference in  $O_2$  saturation or  $O_2$  content,

and the increased cardiac output largely served to increase blood flow to multiple depots of

312 particular study [50], and subsequent arterial pacing studies suggested that these reductions in

313 cardiac output constrained the plastic increases in  $\dot{V}_{O_{2}max}$  [51]. Similarly, heart rate at  $\dot{V}_{O_{2}max}$  was

reduced after acclimation to warm hypoxia in lowland deer mice, but this was not observed in

315 other populations, and cardiac output was highest in the cold hypoxic groups of all populations.

Therefore, responses to chronic cold may over-ride effects of chronic hypoxia that could otherwise constrain cardiac output and  $\dot{V}_{O_2max}$  in lowland mice during acclimation to highaltitude conditions.

319

# 320 (b) High-altitude deer mice have evolved an enhanced hypoxia acclimation response

321 Our findings here suggest that directional selection for high thermogenic capacity at high altitude [15] has increased  $\dot{V}_{\text{O},\text{max}}$  in highland mice by amplifying the plastic response to chronic 322 323 hypoxia, consistent with our previous findings [36]. These findings are consistent with a scenario 324 where, upon colonization of the high-altitude environment, directional selection on  $\dot{V}_{O_{2}max}$ increased the magnitude of adaptive phenotypic plasticity in this trait, and thus shifted the 325 population mean closer to the fitness optimum [22]. Our results contribute to growing evidence 326 327 suggesting that high-altitude natives of various taxa have evolved to become more resistant to the depressive effects of hypoxia on  $\dot{V}_{O_{2}max}$  than their low-altitude counterparts [52]. 328

329 It is intriguing to consider why highland and lowland deer mouse populations exhibited similar plasticity of  $\dot{V}_{\text{O},\text{max}}$  in response to the combination of cold and hypoxia. Although this 330 may call into question the adaptive significance of the enhanced plasticity in response to warm 331 hypoxia in highlanders, the population difference in the interaction between cold and hypoxia 332 333 (i.e., significant population  $\times P_{O_2} \times$  temperature interaction) suggests that it may still have adaptive significance. The strong response of highlanders to hypoxia alone appeared to dominate the 334 335 acclimation response to hypoxia and cold in combination, in stark contrast to the responses of 336 lowland mice. We speculate that this strong hypoxia response of highlanders may allow them to respond more strongly than lowlanders if they are exposed to colder temperatures than those 337 338 used for cold acclimations here (5°C). Indeed, 5°C may underestimate the intensity of cold 339 exposure at high altitude in the wild, because the high peaks of the Rocky Mountains are snow 340 covered for much of the year. Future studies of plasticity in response to hypoxia at colder 341 temperatures are needed to explore this possibility.

342 Strong increases in some of the systems-level determinants of O<sub>2</sub> transport likely 343 contributed to the evolved increase in  $\dot{V}_{O_2max}$  in response to hypoxia acclimation in high-altitude 344 deer mice. Increases in breathing frequency at  $\dot{V}_{O_2max}$  after acclimation to warm hypoxia were 345 much greater in highlanders than in lowlanders, which would be expected to help increase

thermogenic  $\dot{V}_{O_{2}max}$  if it augmented alveolar ventilation. However, highlanders tended to have 346 relatively low tidal volumes at  $\dot{V}_{O_{2}max}$ , and as a result, variation in total ventilation was not 347 clearly associated with the increased thermogenic  $\dot{V}_{O_{2}max}$  in highlanders after acclimation to 348 349 warm hypoxia. Highlanders may have also relied upon more pronounced increases in pulmonary 350 O<sub>2</sub> extraction after acclimation to warm hypoxia to augment O<sub>2</sub> uptake into the blood. Cardiac 351 output exhibited a particularly strong increase of ~1.4-fold in highlanders after acclimation to 352 warm hypoxia compared to warm normoxic controls. This is in stark contrast to low-altitude 353 mice, in which cardiac output at  $\dot{V}_{O_{2}max}$  changed very little as a result of hypoxia acclimation, 354 and to previous studies in rats, in which cardiac output at  $\dot{V}_{O_{2}max}$  decreased after exposure to 355 chronic hypoxia [50].

356 Recent theoretical evidence suggests that the evolution of plasticity in complex traits 357 depends upon the level of functional integration between the multiple component mechanisms 358 underlying those traits [26]. For thermogenic capacity, the integration between its underlying 359 component mechanisms (i.e., steps in the  $O_2$  transport pathway) is extensive. For example, 360 increases in arterial O<sub>2</sub> saturation may be of little benefit to aerobic capacity if they are not 361 combined with increases in tissue O<sub>2</sub> extraction [53]. Our findings here suggest that this integration may contribute to the enhanced plasticity of thermogenic  $\dot{V}_{O_2max}$  in chronic hypoxia 362 in highlanders. The effect of hypoxia acclimation on  $\dot{V}_{O_{2}max}$  (which increased ~1.7-fold in warm 363 364 hypoxia compared to warm normoxia) was greater in magnitude than the effects of hypoxia 365 acclimation on any of its systems-level determinants from across the O<sub>2</sub> transport pathway. Therefore, no single component can explain the evolved increase in the plasticity of  $\dot{V}_{O_{2}max}$  in 366 367 highlanders, but it is instead explained by the interactive effects of changes in plasticity and/or 368 mean trait value for each of these components. For example, the effects of increased plasticity in 369 cardiac output combined with increased mean values of arterial O<sub>2</sub> saturation and tissue O<sub>2</sub> 370 extraction (neither of which were plastic themselves) could together be responsible for amplifying the increase in O<sub>2</sub> transport to thermogenic tissues and  $\dot{V}_{O_{2}max}$  after hypoxia 371 372 acclimation.

373

374 (c) Coordinated changes across the O<sub>2</sub> transport pathway augment thermogenic capacity in
375 high-altitude deer mice

376 Our results suggest that high-altitude deer mice have evolved functional changes across 377 the O<sub>2</sub> pathway to support thermogenic performance in hypoxia. Several plastic physiological 378 processes - breathing frequency, pulmonary O<sub>2</sub> extraction, cardiac output, and stroke volume -379 were often higher in highlanders than in lowlanders, particularly after hypoxia acclimation. Some 380 other physiological processes exhibited very little plasticity – namely, arterial O<sub>2</sub> saturation and 381 the arterial-venous difference in O<sub>2</sub> saturation – but were consistently greater in highlanders than 382 in lowlanders. These latter changes may be at least partly explained by the evolved increases in 383 haemoglobin-O<sub>2</sub> affinity [31, 54, 55] and in the capillarity and oxidative capacity of skeletal 384 muscle [35, 56-59] in high-altitude deer mice. Therefore, evolutionary adaptation to high altitude 385 has amplified some of the mechanisms that contribute to plasticity in lowlanders, but it has also expanded the physiological toolkit for increasing thermogenic  $\dot{V}_{O_{2}max}$  under hypoxic conditions. 386 Our results also suggest that the evolution of thermogenic  $\dot{V}_{O_2max}$  in high-altitude deer mice has 387 occurred through similar mechanisms to the increases in exercise  $\dot{V}_{O_{2}max}$  in some human 388 389 populations native to high altitude. For example, the augmented exercise  $\dot{V}_{O_{2}max}$  in high-altitude 390 humans in hypoxia is associated with higher pulmonary O<sub>2</sub> diffusing capacity and cardiac output 391 [4, 28, 29, 52]. However, in many human studies, it has been difficult to disentangle the genetic 392 and environmental components of variation in high-altitude phenotypes [52, 60]. Our results here 393 suggest that both plastic and evolved changes in the  $O_2$  pathway of high-altitude deer mice have 394 contributed to the success of these animals in harsh alpine environments. 395

Ethics. All procedures followed guidelines set out by the Canadian Council on Animal Care and
were approved by the McMaster University Animal Research Ethics Board (Animal Use
Protocol 16-01-02).

399

400 **Data accessibility.** Data are available from the Dryad Digital Repository:

401 <u>https://doi:10.5061/dryad.rjdfn2z7d</u> [61].

402

403 Author contributions. Z.A.C., J.F.S., G.B.M., and G.R.S. designed the study. K.B.T., O.H.W.,

404 and C.M.I. ran the experiments and analyzed the data. K.B.T., O.H.W., and G.R.S. wrote the

405 manuscript, and all authors edited the manuscript.

406

407	<b>Competing interests.</b>	The authors declare n	o competing interests.
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408

409	Funding. This research was funded by Natural Sciences and Engineering Research Council of			
410	Canada (NSERC) Discovery Grants to G.R.S. (RGPIN 418202-2012) and G.B.M. (RGPIN			
411	462246-2014, along with a Discovery Accelerator Supplement), National Science Foundation			
412	grants to Z.A.C. (IOS-1354934, IOS-1634219, IOS-1755411, and OIA-1736249) and J.F.S.			
413	(IOS-1354390 and OIA-1736249), and a National Institutes of Health grant to J.F.S.			
414	(HL087216). G.R.S. was supported by the Canada Research Chairs Program, O.H.W. was			
415	supported by a NSERC Vanier Canada Graduate Scholarship, and C.M.I. was supported by a			
416	NSERC Postgraduate Scholarship and an Ontario Graduate Scholarship.			
417				
418	Acknowledgements. The authors would like to thank Sulayman Lyons, Cayleih Robertson,			
419	Dilshaayee Prabaharan, and Hakim Elaydayand for technical assistance with animal husbandry,			
420	surgeries, and chronic exposures.			
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#### **Figure Legends**

**Figure 1.** Thermogenic capacity, measured in hypoxia as the maximal rate of O<sub>2</sub> consumption  $(\dot{V}_{O_2max})$  during acute cold exposure, was found to vary across populations and acclimation treatments in statistical tests using linear mixed-effects models (table 1). \*,\*\*Significant pairwise difference in highland deer mice compared to lowland white-footed mice or both lowland populations, respectively, within an acclimation environment (P<0.05). Data are means ± s.e.m., with N for each group indicated within each bar.

Figure 2. Breathing at  $V_{O_2max}$  was found to vary across populations and acclimation treatments. See figure 1 for symbol definitions and statistical details.

Figure 3. Pulmonary  $O_2$  extraction at  $\dot{V}_{O_2max}$  was found to vary across populations and acclimation treatments. See figure 1 for symbol definitions and statistical details.

**Figure 4.** Arterial O<sub>2</sub> saturation at  $\dot{V}_{O_2max}$  was augmented in high-altitude mice. See figure 1 for symbol definitions and statistical details.

**Figure 5.** Cardiac output at  $\dot{V}_{O_2max}$  was found to vary across populations and acclimation treatments. See figure 1 for symbol definitions and statistical details.

Figure 1



Acclimation environment

Figure 2















Trait	Only warm	Only normoxic	All
	environments	environments	environments
$\dot{V}_{O_2max}$	$P_{O_2}$ , Pop× $P_{O_2}$	Т	$P_{O_2}$ , T, Pop× $P_{O_2}$ , Pop× $P_{O_2}$ ×T
$\dot{V}_{\rm I}$	Pop, Pop× $P_{O_2}$	-	T, Pop× $P_{O_2}$
$V_{\mathrm{T}}$	$Pop, P_{O_2}, Pop {\times} P_{O_2}$	-	Pop, $P_{O_2}$ , Pop× $P_{O_2}$
ſĸ	$\operatorname{Pop}, P_{\operatorname{O}_2}, \operatorname{Pop} \times P_{\operatorname{O}_2}$	Pop, T	Pop, $P_{O_2}$ , T
$EL_{O_2}$	Pop, $P_{O_2}$	Т	Pop, $P_{O_2}$ , T
Sa <sub>O2</sub>	Рор	Рор	Pop, $P_{O_2}$
Ż	$P_{O_2}$	Pop, T	Pop, $P_{O_2}$ , T
$V_{\mathbf{S}}$	-	Pop, T	T, Pop× $P_{O_2}$
$f_{ m H}$	-	-	$P_{O_2}$ , Pop×T, $P_{O_2}$ ×T
[Hb]	Pop, $P_{O_2}$	Рор	Pop, $P_{O_2}$
$S\overline{v}_{O_2}$	-	Т	$P_{\mathrm{O}_2},\mathrm{T}$

**Table 1.** Summary of the results of linear mixed-effects models that were used to test for effects of population and acclimation environment.

 $\dot{V}_{O_2max}$ , maximal rate of O<sub>2</sub> consumption;  $\dot{V}_1$ , total ventilation;  $V_T$ , tidal volume;  $f_R$ , breathing frequency;  $EL_{O_2}$ , pulmonary O<sub>2</sub> extraction;  $Sa_{O_2}$ , arterial O<sub>2</sub> saturation;  $\dot{Q}$ , cardiac output;  $V_S$ , stroke volume;  $f_H$ , heart rate; [Hb], blood haemoglobin content;  $S\overline{v}_{O_2}$ , mixed venous O<sub>2</sub> saturation;  $P_{O_2}$ , partial pressure of O<sub>2</sub>. Significant main effects and interactions between population (Pop) and acclimation  $P_{O_2}$  and/or temperature (T) are shown for models including data from all acclimation environments, only data from warm environments, and only data from normoxic environments. See Methods for a full description and electronic supplementary material (tables S1-S6) for full results.

Acclimation	Highland	Lowland	Lowland
environment	deer mice	deer mice	white-footed mice
Warm (25°C) normoxia	15.7 ± 0.5 (13)	16.9 ± 0.9 (9)	$17.5 \pm 0.7$ (14)
Warm (25°C) hypoxia	$17.9 \pm 1.0 \ (10)$	$18.1 \pm 0.4$ (6)	$20.5 \pm 0.6$ (14)
Cold (5°C) normoxia	$16.2 \pm 0.5$ (24)	$14.7 \pm 1.2$ (6)	$18.2 \pm 0.5$ (17)
Cold (5°C) hypoxia	$17.2 \pm 1.5$ (11)	19.9 ± 1.0 (9)	21.3 ± 1.0 (12)

**Table 2.** Blood haemoglobin content (g dl<sup>-1</sup>) of mice in each acclimation environment.

Data are means  $\pm$  s.e.m. (N)