

The Alkylation of 3,4-Dihydro- $\beta$ -Carbolines

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By

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TITLE: The Alkylation of 3,4-Dihydro- $\beta$ -Carbolines

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SCOPE AND CONTENTS:

The structure of anhydro- and pseudobases obtained from  $N_{\beta}$ -alkyl-3,4-dihydro- $\beta$ -carbolinium salts is discussed in the light of chemical and spectroscopic evidence.

The behaviour of these compounds on further alkylation and the structure of the alkylation products so obtained has been investigated.

ACKNOWLEDGEMENT

The author wishes to express his sincere appreciation to Professor I. D. Spenser for his kind advice and encouragement during the course of this research.

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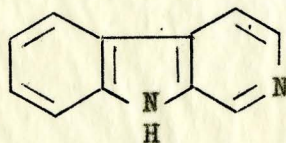
## INTRODUCTION

The formation of anhydro- and of pseudobases from 3,4-dihydro-isoquinoline and  $\beta$ -carboline derivatives, the structure of these compounds and the structure of their alkylation products has been repeatedly studied and a general pattern of behaviour established.

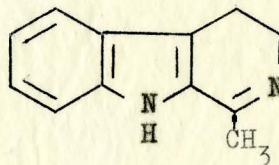
Analogous compounds derived from 3,4-dihydro- $\beta$ -carbolines have been reported to behave entirely atypically. It was the object of present work to re-investigate the behaviour of the latter group of compounds.

### Nomenclature of $\beta$ -Carbolines

The ring system in structure (I), containing a fused indole-pyridine nucleus, has been named in a number of different ways by different authors. Although the name  $\beta$ -carboline, first introduced by Perkin and Robinson (1919 b), is widely used, the ring index (Patterson, Capell and Walker, 1960) prefers the name pyridoindole for the system.



I



II

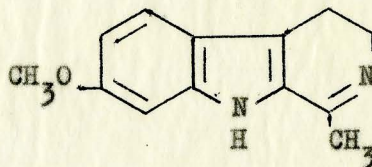
Thus the compound of structure (II) is 1-methyl-3,4-dihydro-9H-pyrido (3,4-b) indole.

In the present study the carboline nomenclature is adhered to with the numbering of individual ring atoms used by Morton (1946). According to this system compound (II) is 1-methyl-3,4-dihydro- $\beta$ -carboline.

Apart from this mode of nomenclature, the trivial name, e.g. harmalan for compound (II), derived from the names of the harmala alkaloids which were the first members of this heterocyclic group to be described, will be used in some cases for the sake of brevity.

#### The Alkylation of 3,4-Dihydro- $\beta$ -Carboline Derivatives

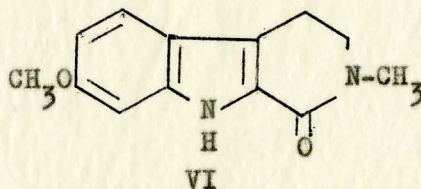
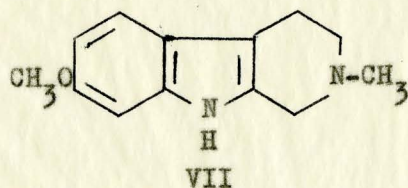
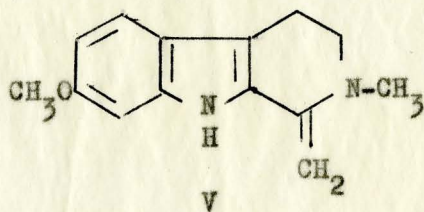
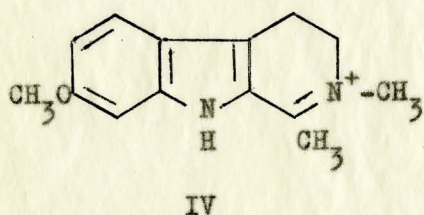
The only dihydro- $\beta$ -carboline derivative whose chemistry has been investigated in any detail is the alkaloid harmaline which was studied by Perkin and Robinson and their collaborators, who by degradation (Perkin and Robinson, 1912, 1913, 1919 a, 1919 b; Kermack, Perkin and Robinson, 1921, 1922) and synthesis (Manske, Perkin and Robinson, 1927), showed it to be 1-methyl-7-methoxy-3,4-dihydro- $\beta$ -carboline (III). This formulation of harmaline as a tertiary base was



III

supported by a large body of experimental evidence, including the fact that the compound formed quaternary salts by the addition of only one

mole of alkyl halide. Two observations, however, the formation of an  $N_{\beta}$ -acetyl derivative (Fischer and Thüher, 1885) and of an  $N_{\beta}$ -methyl derivative (Fischer, 1897) could not be immediately reconciled with this formulation.  $N_{\beta}$ -methyl harmaline (V) derived from harmaline methiodide (IV) by treatment with base (Nishikawa, Perkin and Robinson, 1924) gave on oxidation a neutral compound (VI) which was reduced with sodium in alcohol to  $N_{\beta}$ -methyltetrahydronorharmine (VII) which was synthesised by an unambiguous method (Kermack, Perkin and Robinson, 1922). Thus the  $C_1$  methyl group present in harmaline was lost in the course of oxidation. The neutral oxidation product was formulated as the lactam (VI) and  $N_{\beta}$ -methyl harmaline was then represented by (V).

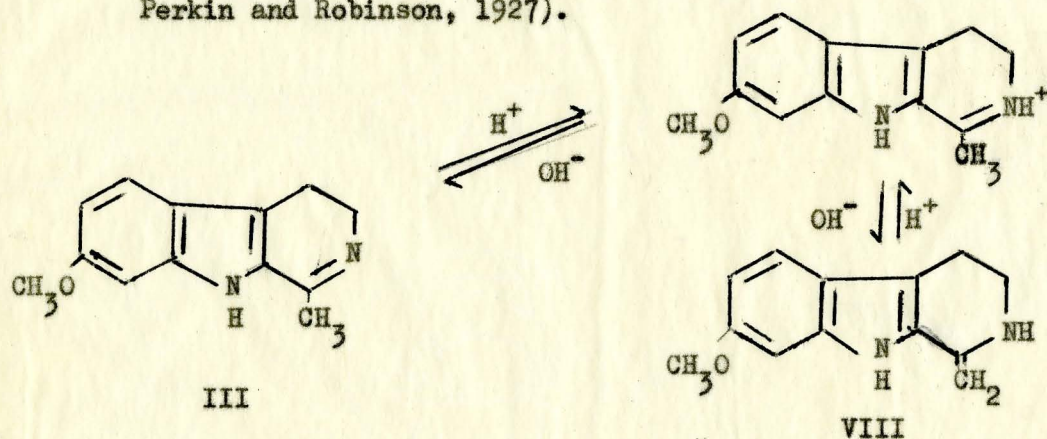


The  $N_{\beta}$ -acetyl derivative of harmaline was formulated analogously, on



the basis of a similar sequence of reactions (Barret, Perkin and Robinson, 1929).

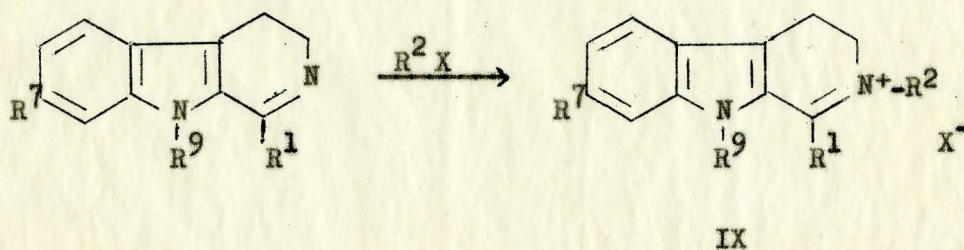
The structure of  $N_{\beta}$ -acetyl and methyl derivatives thus appeared to indicate that harmaline could behave as a secondary base (VIII). This formulation was, however, rejected (Nishikawa, Perkin and Robinson, 1924) on the basis of the stability of compound towards oxidising agents and its dual behaviour explained by the hypothesis that harmaline existed in two tautomeric forms (III) and (VIII), whose interchange depended on protonation at  $N_{\beta}$  and decomposition of the harmaline cation (Manske, Perkin and Robinson, 1927).



Similar prototropic behaviour is observed in 2-alkyl pyridines. The activity of methyl hydrogens in these compounds has been investigated using a Tschugaef reaction with methyl magnesium iodide (Ploquin, 1950) and the activity is attributed to hyperconjugation effects and not to tautomerism. Additional evidence against the existence of tautomerism in 2-alkyl pyridines is available from spectral data (Anderson and Seeger, 1949). In the dihydro- $\beta$ -carboline series this type of prototropic behaviour is possible only in 1-alkyl-3,4-dihydro- $\beta$ -carbolines

and not in 1-unsubstituted 3,4-dihydro- $\beta$ -carboline derivatives. These do not possess a hydrogen atom on the C atom adjacent to position 1, the presence of which is essential for such a change.

When a 3,4-dihydro- $\beta$ -carboline derivative is treated with alkyl halide, the main product of the reaction is the corresponding mono-alkylated salt. The formation of a dialkylated derivative in small yield as a byproduct has been reported only in one instance when the alkylation was carried out in the presence of base (Fischer, 1914). The alkylation reaction can be represented as follows and the product formulated as a 2-alkyl-3,4-dihydro- $\beta$ -carbolinium salt (IX).



Only a small number of such salts has been described. Several new compounds of this type have now been prepared.

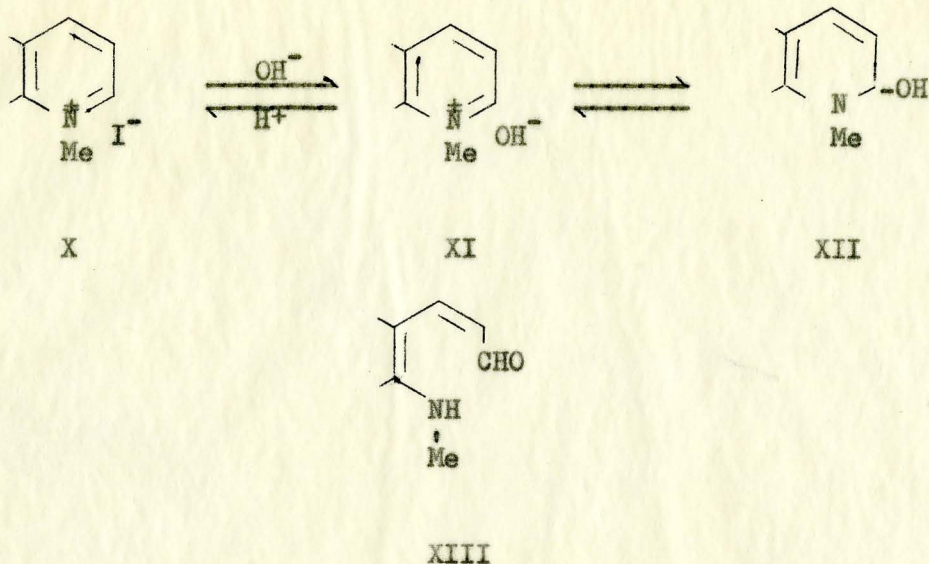
When treated with alkali these compounds do not merely yield the quaternary ammonium hydroxide (IX, X<sup>-</sup> = OH) but are converted further into substances which are soluble in benzene and therefore non ionic. By analogy with similar conversions in the 3,4-dihydro

isoquinoline series, to be discussed in greater detail later, these derivatives might be represented as pseudobases or anhydrobases.

Quaternary hydroxides derived from heterocyclic bases containing a =N- function behave in a different manner from aliphatic quaternary ammonium hydroxides. The latter compounds are relatively stable but on heating with base usually undergo an elimination reaction to yield a tertiary amine and an ethylenic compound. The quaternary hydroxides of heterocyclic aromatic bases do not undergo this "Hofmann degradation" on heating but many of them change on treatment with base at room temperature into isomeric substances which are non electrolytes and whose chemical nature depends upon the substituents attached to the heterocyclic ring  $\alpha$  to the nitrogen. There are two main possibilities, formation of pseudobases and formation of anhydrobases.

#### Pseudobases and Anhydrobases

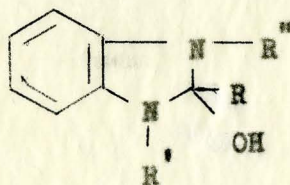
N-Alkyl ammonium halides of a heterocyclic base such as (X) without alkyl substitution  $\alpha$  to the nitrogen atom yield, with sodium or potassium hydroxide the unstable ammonium hydroxide (XI), which re-arranges into non-conducting water-insoluble pseudobase (XII), (Decker and Kaufmann, 1911). Decker compared this process with the dissociation of a quaternary ammonium hydroxide into the tertiary base and an alcohol, e.g.  $(\text{CH}_3)_4 \text{N}^+ \text{OH}^- \rightleftharpoons (\text{CH}_3)_3 \text{N} + \text{CH}_3\text{OH}$ .



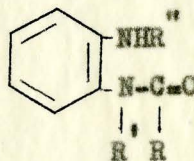
There is considerable uncertainty as to the equilibrium between the ammonium hydroxide form (XI) and the pseudobase (XII). The quaternary hydroxide (XI) is favoured in the pyridine series where pseudobase formation results in complete loss of aromatic character (Hantzsch and Kalb, 1899), but with an increasing number of rings in the molecule the equilibrium shifts towards the pseudobase since the pseudobase formation is accompanied by only a fractional loss of aromaticity. Thus acridine and phenanthridine pseudobases can readily be isolated although this may be attributed in part to their insolubility (Aston, 1931). It is noteworthy that stable pseudobases can be obtained from partially hydrogenated quinoline and isoquinoline derivatives, presumably because here pseudobase formation is unattended by loss of aromaticity (Kröhnke and Vogt, 1956, 1957).

The situation regarding the existence of pseudobases and their structure is further complicated by evidence, very often conflicting, that these compounds may exist in an open chain form (XIII), which has

been used to explain certain of their properties which are generally associated with aldehydes. The pseudobases of the benzimidazole series were assumed to possess a carbinolamine structure (XIV) (Fischer and Römer, 1906). Recently it has been shown by infrared spectroscopy that these compounds possess an amide linkage which would indicate structure (XV) (Smith, Rasmussen and Ballard, 1949). Chemical evidence in favour of (XV) has also been provided. On the other hand, in the case of



XIV



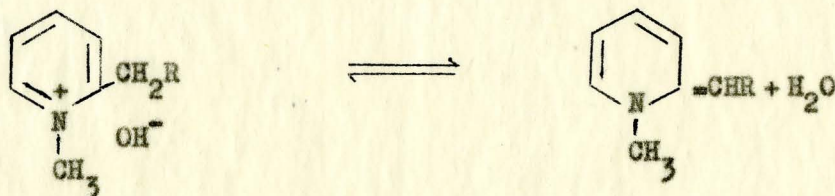
XV

pseudobases of the dihydroisoquinoline series (discussed later), it has recently been shown (Beke and Korobnits, 1959) that cotarnine and hydrastinine are carbinolamines and not open chain aldehydes.

The formation of pseudobases is an example of nucleophilic addition in the heterocyclic ammonium ion in the position  $\alpha$  to the nitrogen.

When a quaternary pyridinium salt bears an alkyl group in the 2 or 4 position from which a proton may be lost, the corresponding quaternary hydroxide is in equilibrium with a non ionic base formed by removal of a proton from the alkyl group. Such compounds are called anhydro or methylene bases. Decker (1905) pointed out the structural

features necessary for this conversion and some of the properties of the system. For example, 1-methyl-2-benzyl pyridinium iodide produced an orange oil on treatment with sodium hydroxide which was soluble in benzene. Dilution with water led to decolorisation indicating reversal of the reaction. Instability of the anhydrobase prevented its isolation; spontaneous decomposition to 2-benzylpyridine occurred. Conductivity measurements of aqueous solutions of 1-methyl-2-picoline hydroxide indicate that the equilibrium is far towards the quaternary hydroxide (Aston and Lasselle, 1934).

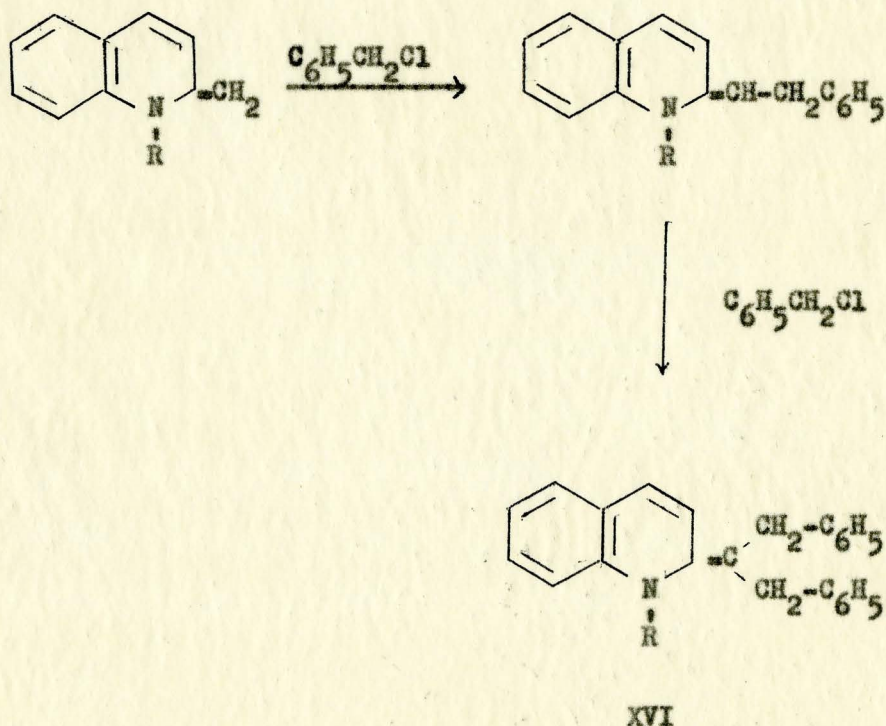


However, structural features increase both the ease of anhydrobase formation and its stability. Thus 1-methyl-2-diphenylmethylpyridinium iodide gives a red crystalline anhydrobase (Chichibabin and Benevolenskaia, 1928). An *o*- or *p*-nitro group further increases the stability of the anhydrobase (Königs, Köhler and Blindow, 1925).

Pyridine anhydrobases in inert solvents react readily with a number of reagents, commonly associated with the chemistry of amines but reaction occurs at the methylene carbon atom adjacent to the ring. Thus carbon disulfide, isocyanates and some acid chlorides lengthen

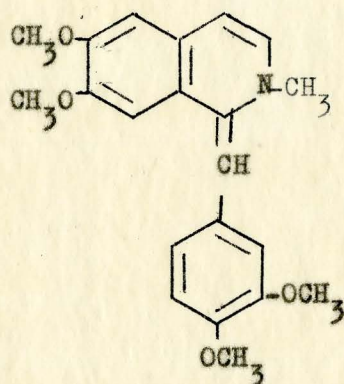
the chain. However, these reactions have not been explored extensively and their generality remains to be determined. Some interesting observations on the reactions of pyridine anhydrobases have been made by Baker and McEvoy (1955).

Not only quaternary pyridinium salts but also those of other heteroaromatic bases yield anhydrobases. For example, anhydrobases from *N*-methylnaphthaquinaldinium salts (Mills and Raper, 1925) and 2-methyl-*N*-methylquinolinium salts (Rosenhauer, 1926) have been prepared. These bases are highly reactive and susceptible to oxidation, on treatment with alkyl halides they undergo C-alkylation to dialkyl derivative (XVI) (Mills and Raper, 1925; Robinson and Saxton, 1952; Robinson and Hamilton, 1916.)



Some purely aliphatic examples are known in which either C-alkylation or N-alkylation have been observed (Stork, Terrel and Szmuszkovicz, 1954). In the anhydrobases reaction at the nitrogen atom would result in the loss of ring resonance energy and consequently the methylene carbon reacts exclusively, preserving the aromatic ring.

Similar anhydrobases have also been obtained in isoquinoline systems. For example, papaverine methiodide on treatment with alkali forms N-methyl isopapaverine (XVII) (Decker and Klauser, 1904; Decker, 1904).



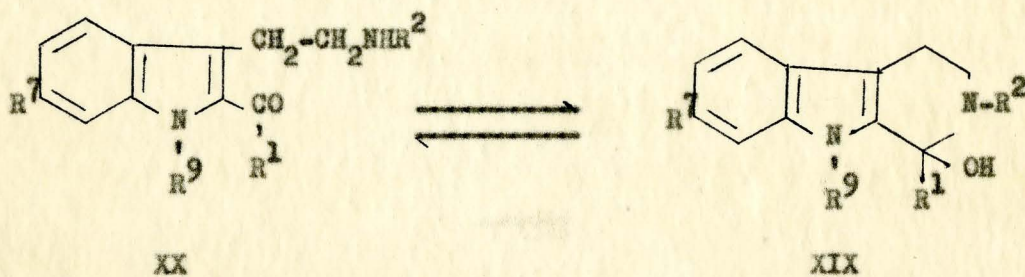
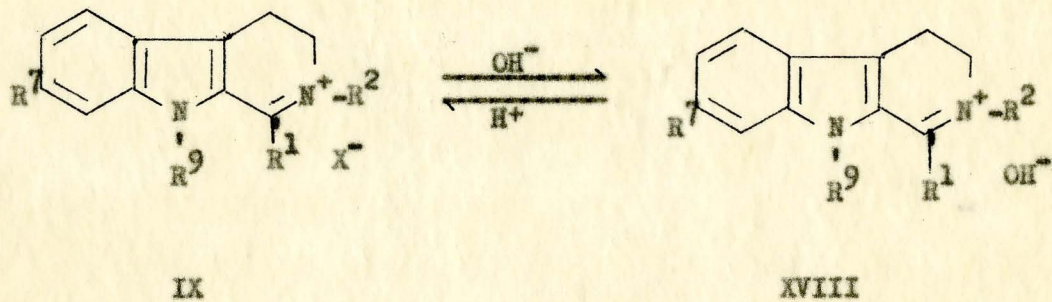
XVII

One characteristic property of both pseudo- and anhydrobases is that on acidification they revert to the ion from which they were originally derived. Many of these bases tend to give unsatisfactory analyses (Schwarz and Schlitter, 1951).

The behaviour of quaternary 3,4-dihydro- $\beta$ -carbolinium salts may be schematically represented as follows.

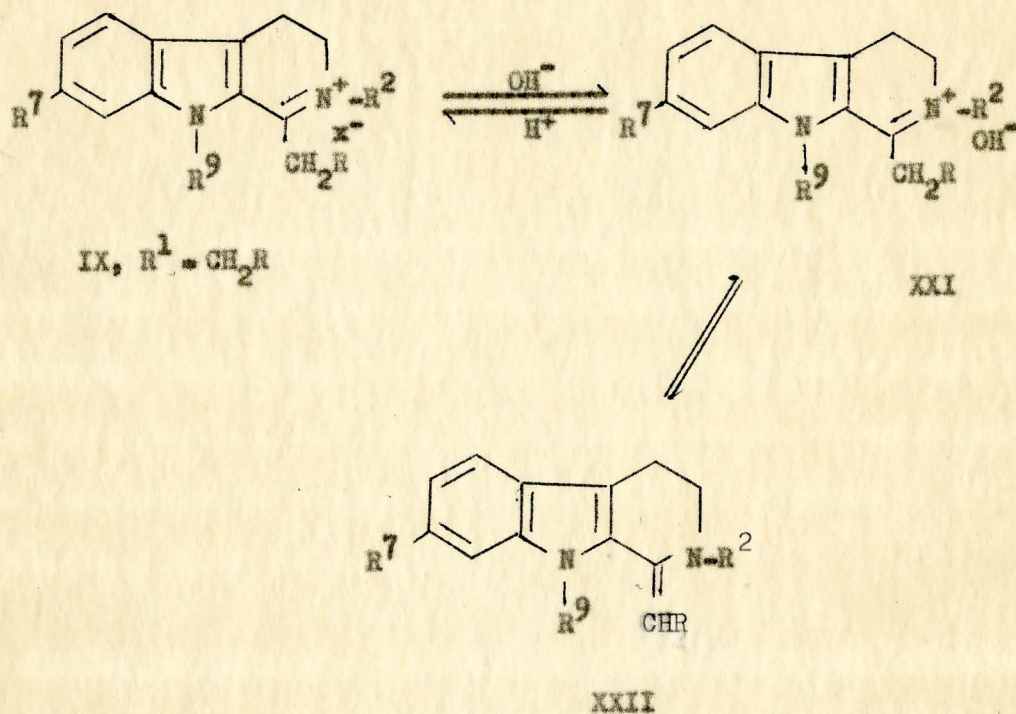


(a)

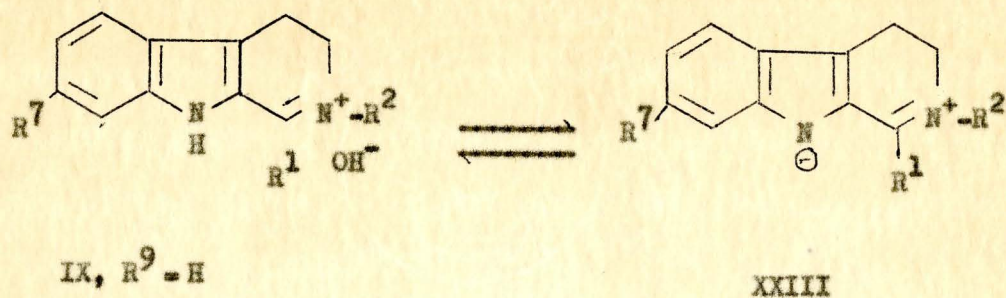


When  $R^1 = H$  or  $C_6H_5$ , formation of an anhydrobase is not possible, since no hydrogen is available for the formation of an exocyclic double bond. On the basis of spectroscopic evidence, to be discussed later, the existence of aldehydeimine structure (XX) is excluded. When  $R^1$  is an alkyl group an anhydrobase with an exocyclic double bond can be formed.

(b)



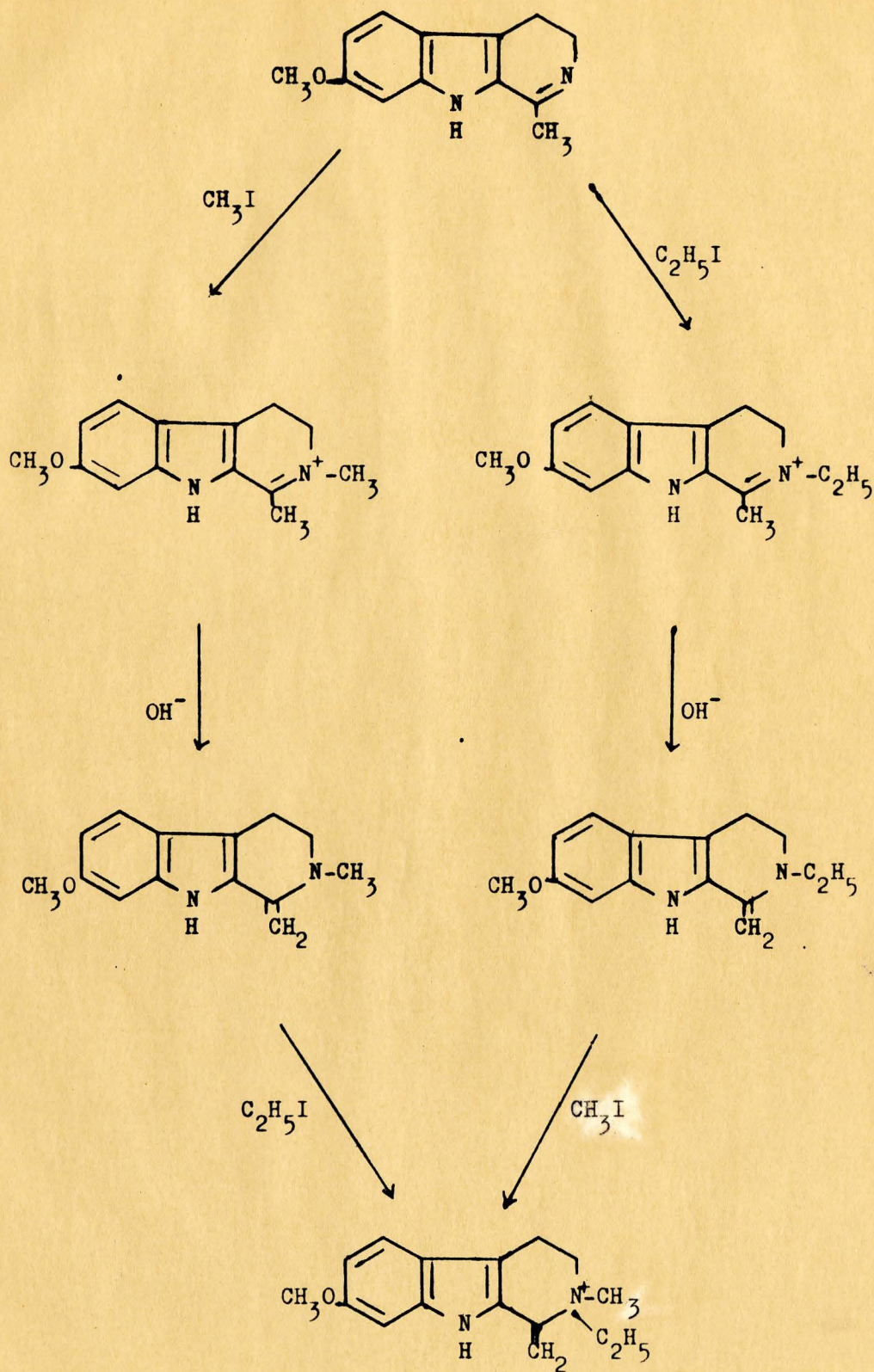
In 2-alkyl-3,4-dihydro- $\beta$ -carbolinium hydroxides another transformation, involving the indole nucleus would be possible. The hydrogen attached to the pyrrole nitrogen could take part in a dehydration to yield a compound of an "isocarboline structure" (XXIII) (Perkin and Robinson, 1919b). This type of anhydrobase formation is known to occur in the case of 2-alkyl- $\beta$ -carbolinium hydroxides (Spenser, 1956).



Such a change could take place regardless of the nature of the substituent R<sup>1</sup> on C<sup>1</sup>, but requires a replaceable hydrogen at the indole nitrogen. Since 9-substituted 3,4-dihydro- $\beta$ -carbolinium salts have now been shown to yield anhydro and pseudobases in a manner analogous to 9-unsubstituted compounds, the formation of anhydrobases of the structure (XXIII) is excluded.

Further Alkylation of Dihydro- $\beta$ -Carboline Anhydro and Pseudobases.

It seems that only one attempt has been made to study the further alkylation of 3,4-dihydro- $\beta$ -carboline anhydro and pseudobases. Konowalowa and Orechhoff (1934) reported that the treatment of 2-methyl harmaline anhydrobase with ethyliodide yielded the same quaternary salt as the reaction of 2-ethyl harmaline anhydrobase with methyl-iodide. The reaction sequences reported by these authors may be summarised as follows.



The final product (XXIV) of both sequences was regarded as a 2,2-dialkyl-1-methylene-1,2,3,4-tetrahydro- $\beta$ -carbolinium salt containing an exocyclic methylene group. The same exocyclic methylene group was deduced to be present in the two monoalkylated bases. The product (XXIV) was reported to be stable to base.

Earlier it had been observed (Fischer, 1914) that alkylation of harmaline with methyl iodide in alkaline solution yielded, in addition to harmaline methiodide, the 2-monoalkylated, 3,4-dihydro- $\beta$ -carbolinium salt, small amounts of another alkylation product referred to as "dimethyl harmaline iodide" which on treatment with sodium hydroxide gave trimethylamine. The structure of Fischer's compound has not been clarified and the origin of trimethylamine has remained obscure.

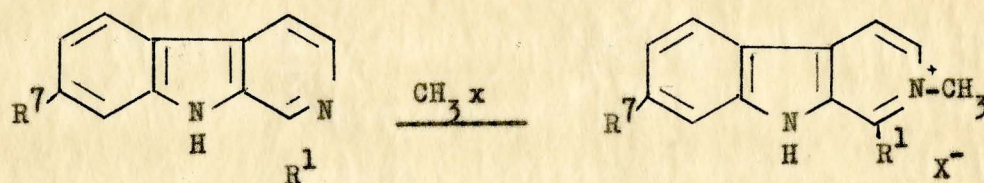
These conflicting observations of Fischer and of Orechhoff are in marked contrast to the results of a similar sequence of reactions in the  $\beta$ -carboline series and the 3,4-dihydroisoquinoline series, which will be reviewed in the next section of this introduction. It is well established that 2-alkyl- $\beta$ -carbolines on further alkylation yield ind-N-alkylated derivatives, i.e., 2,9-dialkylated salts and not 2,2-dialkylated compounds. On the other hand alkylation of 2-alkyl-3,4-dihydroisoquinoline bases is accompanied by ring opening and the products are quaternary salts of substituted phenylethylamines, which undergo Hofmann degradation to give tertiary amines.

This striking difference of the behaviour of the 3,4-dihydro- $\beta$ -carbolines, and of 3,4-dihydro isoquinoline compounds on the one hand

and  $\beta$ -carbolines on the other was thought to warrant re-investigation. In the succeeding pages established knowledge bearing on the structure of the alkylation products of  $\beta$ -carbolines and of dihydroisoquinolines will be briefly reviewed and the results of re-investigation of the alkylation of dihydro- $\beta$ -carbolines will be reported.

#### Alkylation of $\beta$ -Carboline Derivatives

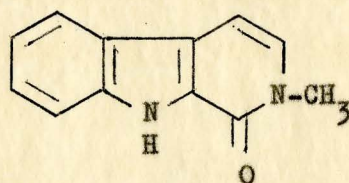
Since it was shown that the alkaloid harmine was 1-methyl-7-methoxy- $\beta$ -carboline (XXV) (Perkin and Robinson, 1919a), the chemistry of the  $\beta$ -carboline nucleus has been fully investigated (Spenser, 1956 and earlier references quoted therein). Very recently an ever increasing number of newly isolated alkaloids has been found to contain a derived  $\beta$ -carboline nucleus (e.g. Witkop, 1953; Schwarz and Schlitter, 1950; Karrer and Schmid, 1955) and the interest in its properties has consequently been revived. Of the two nitrogen atoms present in  $\beta$ -carboline (XXVI), only one is basic and a quaternary salt (XXVII) is formed with only one mole of alkyl halide. No case of dialkylation by direct treatment of a  $\beta$ -carboline with an alkyl halide is known.



XXV,  $R^1 = \text{CH}_3$ ,  $R^7 = \text{OCH}_3$

XXVI,  $R^1 = R^7 = \text{H}$

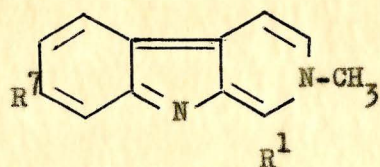
XXVII,  $R^1 = R^7 = \text{H}$ ;  $x = \text{I}^-$ ,  $\text{SO}_4$ ,  $\text{Me}^-$



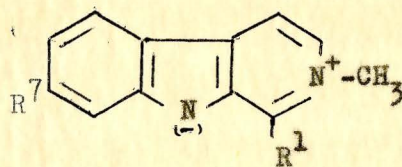
XXVIII

The position of alkylation in the quaternary salt was established by oxidation of norharman methosulfate (XXVII) with potassium ferricyanide in alkaline solution, yielding 1-oxo-2-methyl-1,2-dihydro- $\beta$ -carboline (XXVIII), the structure of which was proved by independent synthesis (Kermack, Perkin and Robinson, 1922).

On treatment with strong alkali quaternary  $\beta$ -carbolinium salts are converted to yellow or orange anhydrobases whose chemistry has been repeatedly investigated (Spenser, 1956). Their structure is generally believed to be that of a resonance hybrid whose major canonical contributions are (XXIX) and (XXX) (Armit and Robinson, 1925; Woodward and Witkop, 1949; Schwarz and Schlitter, 1951).



XXIX

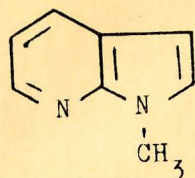


XXX

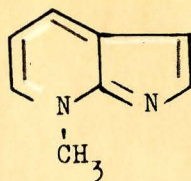
It has recently been stated, however, that there is no need to consider the polar formula (XXX) of the anhydrobase as a major contributor since the observed behaviour can be satisfactorily explained on the basis of the classical covalent structures (XXIX) (Martini-Bettolo and Paoloni, 1957). This statement was based on a calculation of the charge distribution, a measurement of dipole moment and spectral shift of pyrrolo-pyridines (XXXI) and (XXXII) which possess the fundamental conjugated system of the anhydrobases.

Further alkylation of the  $N_{\beta}$ -alkyl- $\beta$ -carboline anhydrobases takes place at the indole nitrogen atom when 9-substituted quaternary salts (XXXIII) are formed (Kermack, Perkin and Robinson, 1922). This sequence of alkylation was further established when it was found that treatment of harmine with two different alkyl halides in different order yielded two isomeric compounds (XXXIV) and (XXXV) (Konowalowa and Orechhoff, 1934) and has since been confirmed with other  $\beta$ -carboline derivatives (Spenser, 1956).

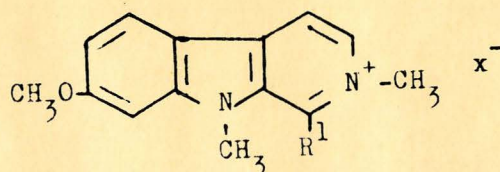




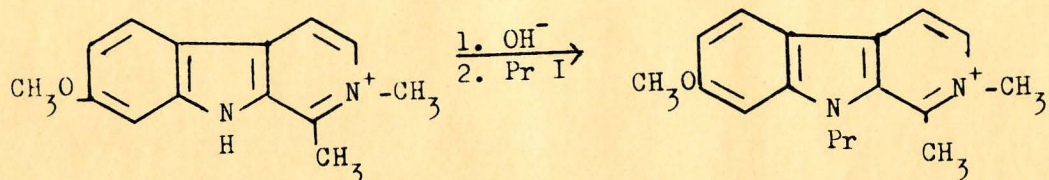
XXXI



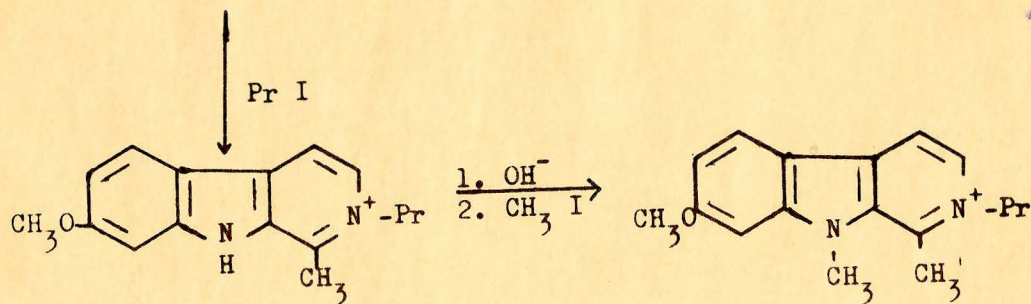
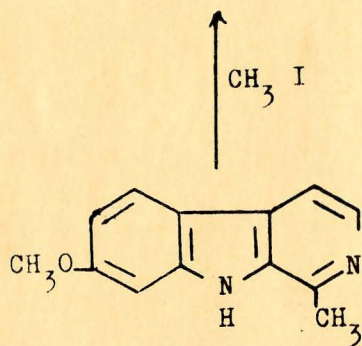
XXXII



XXXIII

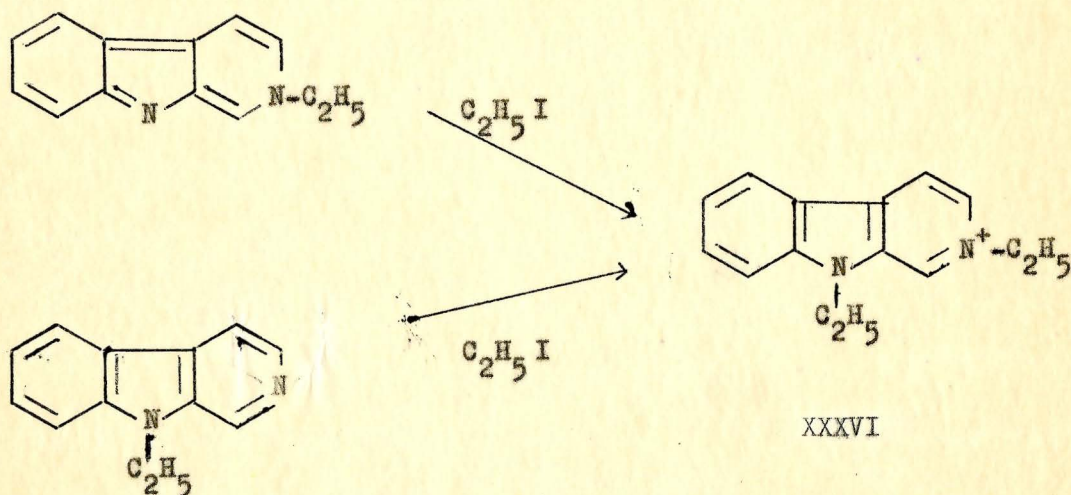


XXXIV



XXXV

Conclusive evidence in support of this alkylation sequence was the finding that both 9-ethyl- $\beta$ -carboline and 2-ethyl- $\beta$ -carboline anhydrobase yielded one and the same product 2,9-diethyl- $\beta$ -carbolinium iodide (XXXVI) on alkylation with ethyl iodide (Leonard and Elderfield, 1942).

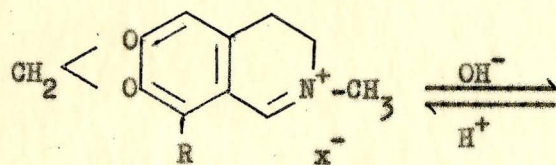


The fact that further alkylation of the  $\beta$ -carboline anhydrobases takes place at the indole nitrogen atom is best explained by regarding the dipolar amphion (XXX) as the reacting form in the alkylation reaction.

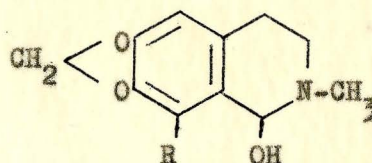
#### Alkylation of 3,4-Dihydroisoquinolines

Hydrastinine (XXXVIII,  $R = H$ ) and cotarnine (XXXVIII,  $R = OCH_3$ ), typical 3,4-dihydroisoquinoline derivatives, exist in acid solution as (XXXVII,  $x^- = e.g. Cl^-$ ). From alkaline solution they may be isolated as non ionic and relatively water insoluble pseudobases

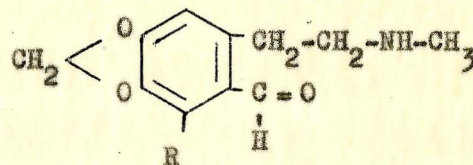
(XXXVIII). The compounds have also been reported to exist as the open chain aldehyde amines (XXXIX).



XXXVII



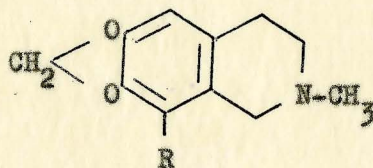
XXXVIII



XXXIX

Support for the existence of an equilibrium between a non ionic (XXXVIII) and ionic form (XXXVII,  $x^- = OH^-$ ) was obtained from the studies of the ultraviolet absorption of cotarnine and hydrastinine (Skinner, 1950; Dobbie, Lauder and Tinkler, 1903; Dobbie and Tinkler, 1904). Thus in non polar solvents the spectrum of cotarnine is different from its spectrum in polar solvents. This fact can be readily accounted for by the interpretation that in polar solvents cotarnine exists in the ionic form (XXXVII,  $x^- = OH^-$ ). In alkaline solution cotarnine shows

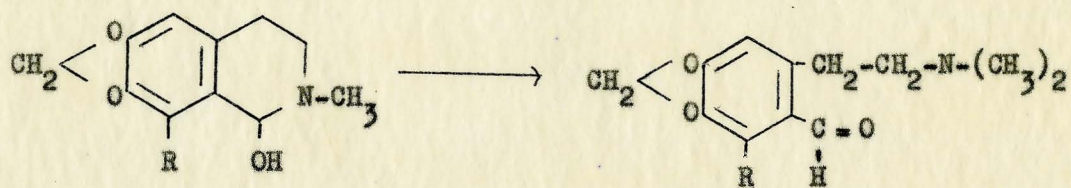
ultraviolet absorption similar to that of hydrocotarnine (XL, R =  $\text{-OCH}_3$ ) and therefore presumably exists as the carbinolamine (XXXVIII). Since



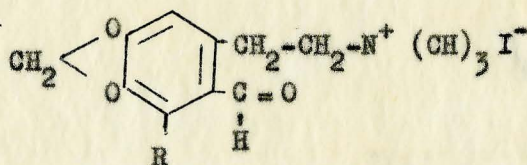
XL

the ultraviolet absorption of a substance with structure (XXXIX) should be different from that of (XL), just as the ultraviolet absorption of benzaldehyde differs from that of N-methyl-benzylamine, it may be concluded that cotarnine does not exist as (XXXIX). Further evidence against the existence of structure (XXXIX) is that the infrared spectra of cotarnine and hydrastinine (Schneider and Müller, 1958; Beke and Korobnits, 1959) do not show absorption due to C = O.

However, certain chemical properties of cotarnine have been explained on the assumption that it exists as aldehydeamine (XXXIX). Thus on treatment with methyl iodide in the presence of alkali, cotarnine gives the quaternary salt (XLI) (Roser, 1888a) which may be regarded as formed by dimethylation of (XXXIX). The formation of (XLI), however, can equally well be explained on the basis of cotarnine existing as carbinolamine (XXXVIII).

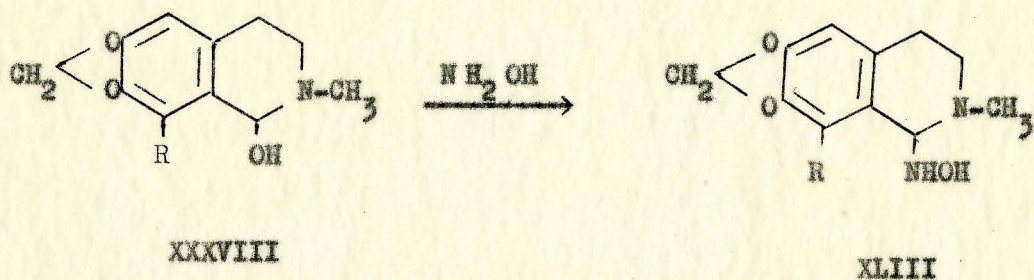
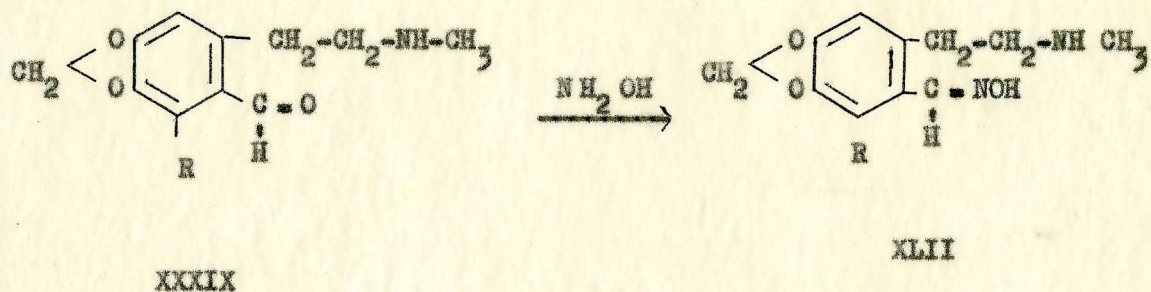


XXXVIII

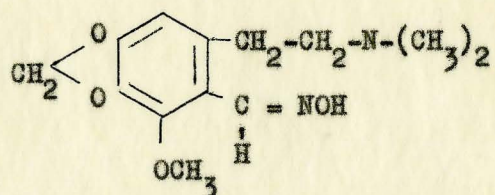


XLI

Another reaction which was interpreted as favouring the existence of the aldehydeamine form of cotarnine was the formation of an oxime to which the structure (XLII) was assigned (Roser, 1889; Dey and Kantam, 1935). As in the last case, however, its formation can be explained on the basis of the carbinolamine (XXXVIII) and the aldehydeamine (XXXIX) structures for cotarnine.

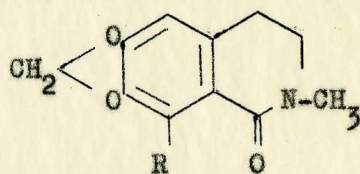


Recently the structure of this oxime has been revised on the basis of infrared studies (Schneider and Miller, 1958). By comparison of the infrared absorption spectra of cotarnine oxime and an independently prepared sample of compound (XLIV), it has been shown that cotarnine oxime does not show absorption at  $1680\text{ cm}^{-1}$ , whereas (XLIV) shows absorption at this frequency and that therefore a  $\text{C}=\text{N}$  band is not present in cotarnine oxime which should consequently be represented by (XLIII).

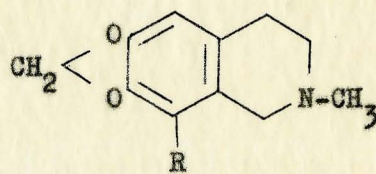


XLIV

Another example of a chemical reaction which has been attributed to the presence of an aldehydic group is the disproportionation of cotarnine on treatment with alkali (Freund and Will, 1887; Pyman, 1909) into a 1-oxo-tetrahydro (XLV) and a tetrahydroderivative (XLVI). A mechanism analogous to that of the Cannizzaro reaction was originally proposed for this disproportionation (Roser, 1888 b). Such a mechanism has since been questioned but not conclusively disproved (McGeoch and Stevens, 1934).



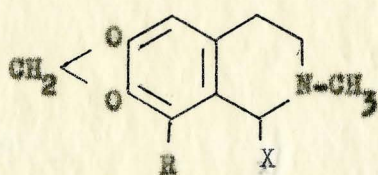
XLV



XLVI

The absence of spectroscopic evidence (both ultraviolet and infrared) does not preclude the possibility that cotarnine exhibits tautomerism between (XXXVIII) and (XXXIX). The concentration of (XXXIX) may be too small for spectroscopic detection. To decide whether cotarnine as (XXXVIII) or (XXXIX) reacts with nucleophilic reagents, its reaction with hydrocyanic acid has been kinetically studied (Beke, Szanty and Barozai, 1960) and it has been shown by comparison with known kinetic results of cyanhydrin formation of aromatic aldehydes that cotarnine does not react as the aldehyde (XXXIX).

Apart from hydroxylamine and hydrocyanic acid a number of other nucleophilic reagents react with cotarnine and the products were believed to exist as ring-chain prototropic systems (Ingold, 1953), but like the oximes these have now been shown to have cyclic structures (XLVII) (Beke and Korobnits, 1959).

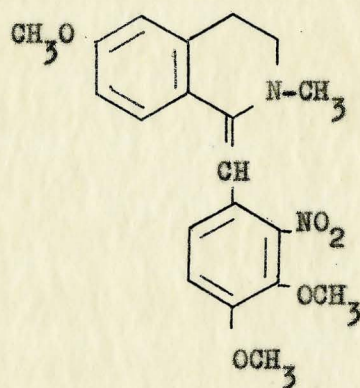
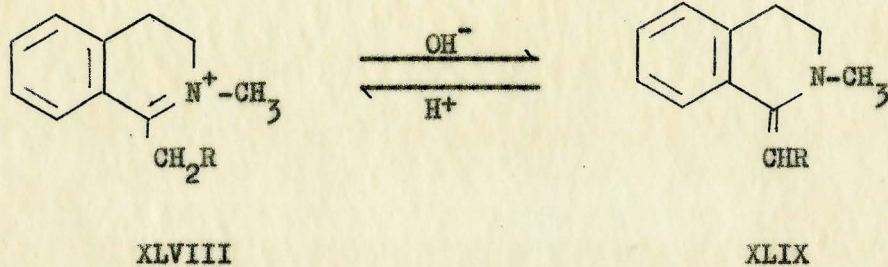


XLVII



Instead of pseudobases of the type (XXXVIII) anhydrobases (XLIX) would be expected from 1-alkyl3,4-dihydro-isoquinolinium alkiodides (XLVIII) on treatment with alkali. Thus a crystalline



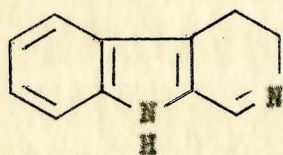


L

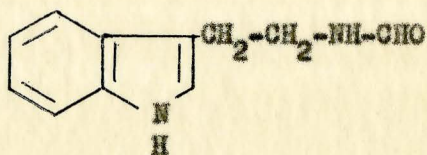
anhydrobase (L) which reverts to the original methiodide on treatment with NaI was reported by Gulland and Haworth (1927). Further alkylation of such anhydrobases does not seem to have been reported.

The Synthesis of 3,4-Dihydro- $\beta$ -Carbolines and  
of 2-Alkyl-3,4-Dihydro- $\beta$ -Carboline Salts

The parent base of the dihydro- $\beta$ -carboline ring system, 3,4-dihydro- $\beta$ -carboline (I) has not hitherto been characterised in the pure state. It was first obtained by degradation of evodiamine as a tarry mass, was characterised as the picrate (Asahina and Kashiwaki, 1915) and identified by oxidation to  $\beta$ -carboline (Asahina, 1924). Attempted synthesis by cyclodehydration of  $N_{\beta}$ -formyltryptamine (II) with  $P_2O_5$  in tetralin gave an uncharacterised product in 2% yield which was regarded as 3,4-dihydro- $\beta$ -carboline (Späth and Lederer, 1930) but is far more likely to have been impure norharman. The base was obtained by cyclisation of  $N_{\beta}$ -formyltryptamine in toluene as an amorphous powder yielding a picrate and a perchlorate (Schöpf and Steuer, 1947). It has now been obtained in improved yield (52%) as an amorphous powder using polyphosphoric acid as cyclodehydrating agent. Due to its sensitivity, particularly towards acids, it resisted all attempts of crystallisation or purification by chromatography when only intractible polymeric material was recovered.



I

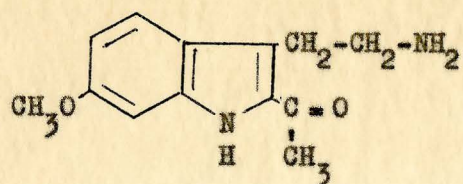


II

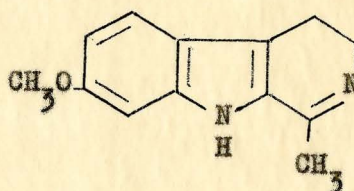
The difficulty encountered in the synthesis and purification of this base is in line with experience with analogous compounds of the isoquinoline series (Späth, Berger and Kuntara, 1930). Trimeric material analogous in structure to  $N-N'-N''$  triphenyl ethylaminomalonamide and obtained as a byproduct in the synthesis of 3,4-dihydroisoquinoline (Decker, Kropp, Hoyer and Becker, 1913) was not detected. Partial purification was achieved on sublimation at  $3 \times 10^{-3}$  mm and  $120-145^{\circ}$ , when 3,4-dihydro- $\beta$ -carboline was obtained as a glassy solid.

9-Methyl-3,4-dihydro- $\beta$ -carboline was obtained in a similar way by cyclodehydration of ind- $N$ -methyl- $N_{\beta}$ -formyltryptamine with polyphosphoric acid.

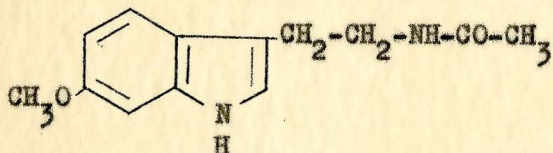
Synthesis of a large number of 1-substituted 3,4-dihydro- $\beta$ -carbolines has been reported. Harmaline (III) was first synthesised by cyclisation of 2-acetyl-3-aminoethyl-6-methoxyindole (IV) (Manske, Perkin and Robinson, 1927). It was later obtained by Bischler-Napierlaski ring closure of  $N_{\beta}$ -acetyl-6-methoxy tryptamine (V) (Späth and Lederer, 1930) and the latter method has been widely used for the synthesis of other 1-substituted-3,4-dihydro- $\beta$ -carbolines. Other methods of synthesis, based on controlled oxidation of a suitably substituted 1,2,3,4-tetrahydro- $\beta$ -carboline (Perkin and Robinson, 1919 a) and on oxidative decarboxylation of 1,2,3,4-tetrahydro- $\beta$ -carboline-1-carboxylic acids (Spenser, 1959) have so far found limited application only.



IV



III



V

Recently harmalan (1-methyl-3,4-dihydro- $\beta$ -carboline) (VI) was obtained by condensation of glycolaldehyde (VII) with tryptamine (VIII) ( $R^9 = H$ ), followed by dehydration of the resulting ( $\pm$ ) 1-hydroxymethyl-1,2,3,4-tetrahydro- $\beta$ -carboline (IX) ( $R^9 = H$ ) (Spenser, 1959).

In the present investigation both harmalan and 1,9-dimethyl-3,4-dihydro- $\beta$ -carboline were obtained by this method.

The dihydro- $\beta$ -carboline derivatives which were prepared in the course of the present work are listed in Table I.

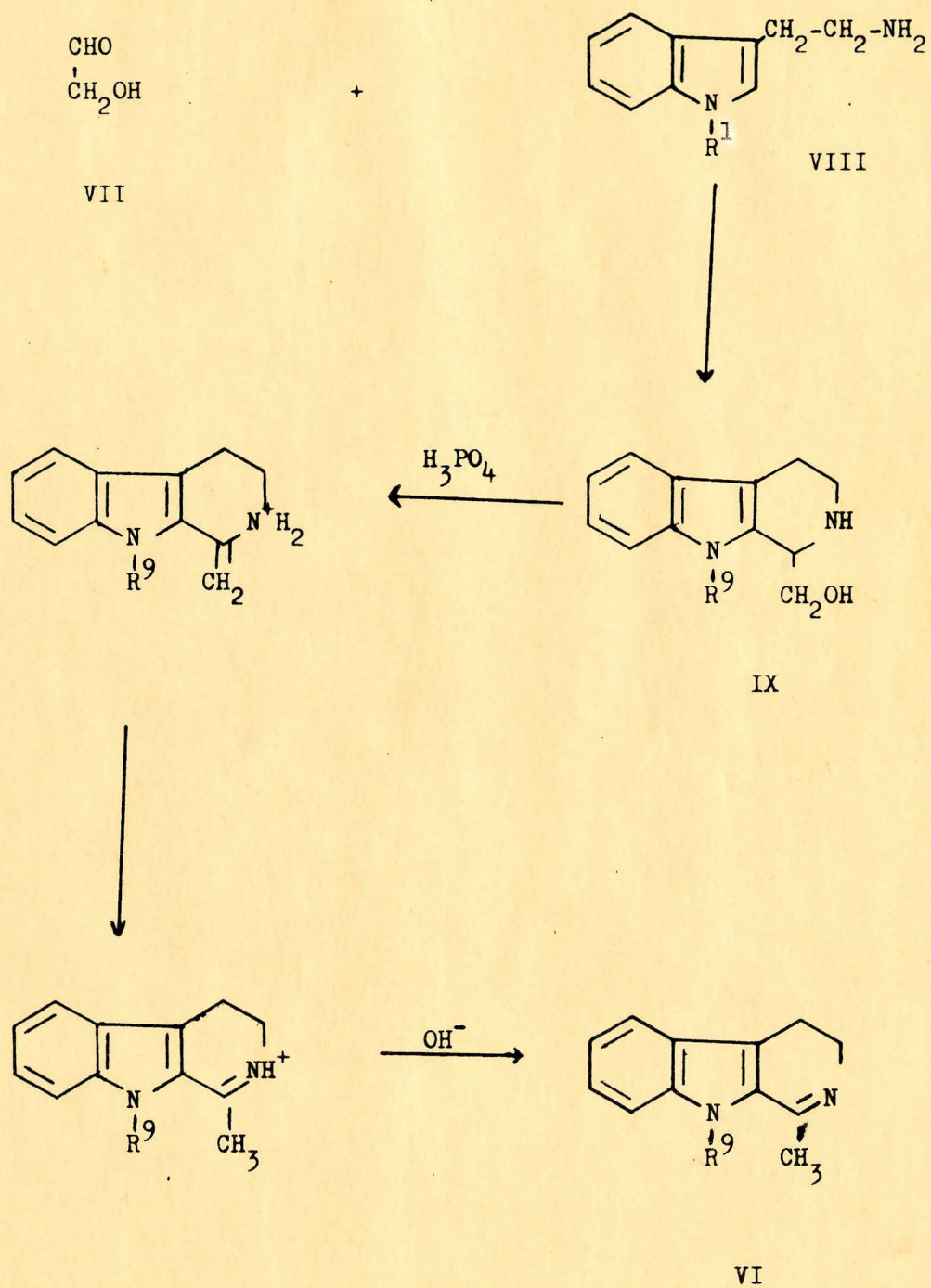
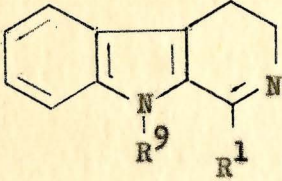


TABLE I

3,4-Dihydro- $\beta$ -Carbolines

<u>Base</u>	<u>Name</u>	<u>M.P.</u>	
			
R <sup>1</sup>	R <sup>9</sup>		
H	H	3,4-dihydro- $\beta$ -carboline (norharmalan)	120 - 125 <sup>o</sup>
H	CH <sub>3</sub>	9-Me-3,4-dihydro- $\beta$ -carboline	120 - 130 <sup>o</sup>
CH <sub>3</sub>	H	1-Me-3,4-dihydro- $\beta$ -carboline (harmalan) (Spenser, 1959)	180 - 181 <sup>o</sup>
C <sub>2</sub> H <sub>5</sub>	H	1-Et-3,4-dihydro- $\beta$ -carboline (Späth and Lederer, 1930)	150 - 160 <sup>o</sup>
CH <sub>3</sub>	CH <sub>3</sub>	1,9-dimethyl-3,4-dihydro- $\beta$ -carboline	80 - 84 <sup>o</sup>

Dihydro- $\beta$ -carbolines behaved as tertiary amines and on alkylation formed yellow to orange quaternary salts (X). These salts were invariably monoalkylated and no trace of dialkylated salts could be detected. The quaternary salts which were prepared are listed in Table II.

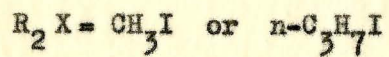
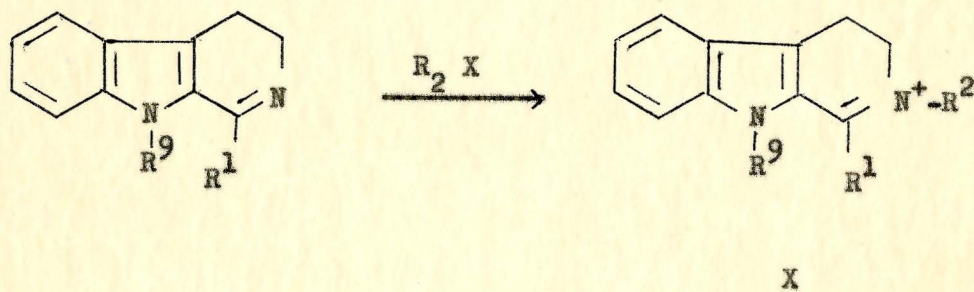


TABLE II

2-Alkyl-3,4-Dihydro-8-Carbolinium Iodides

<u>Parent Base</u>	<u>Alkyl Halide</u>	<u>Quaternary Salt</u>	<u>M.P.</u>
$R^9 = R^1 = H$	$CH_3I$	$R^1 = R^9 = H$ $R^2 = CH_3$ (monohydrate)	223 after sintering at 215°
$R^9 = CH_3$ $R^1 = H$	$CH_3I$	$R^1 = H$ $R^2 = R^9 = CH_3$	228°
$R^9 = H$ $R^1 = CH_3$	$CH_3I$	$R^9 = H$ $R^1 = R^2 = CH_3$	280°
$R^9 = H$ $R^1 = CH_3$	$CH_3$ $CH_2$ $CH_3I$	$R^9 = H$ $R^1 = CH_3$ $R^2 = n-Pr$	269°
$R^9 = H$ $R^1 = C_2H_5$	$CH_3I$	$R^9 = H$ $R^1 = C_2H_5$ $R^2 = CH_3$	224 - 225°
$R^9 = R^1 = CH_3$	$CH_3I$	$R^9 = R^1 = R^2 = CH_3$ (monohydrate)	216°

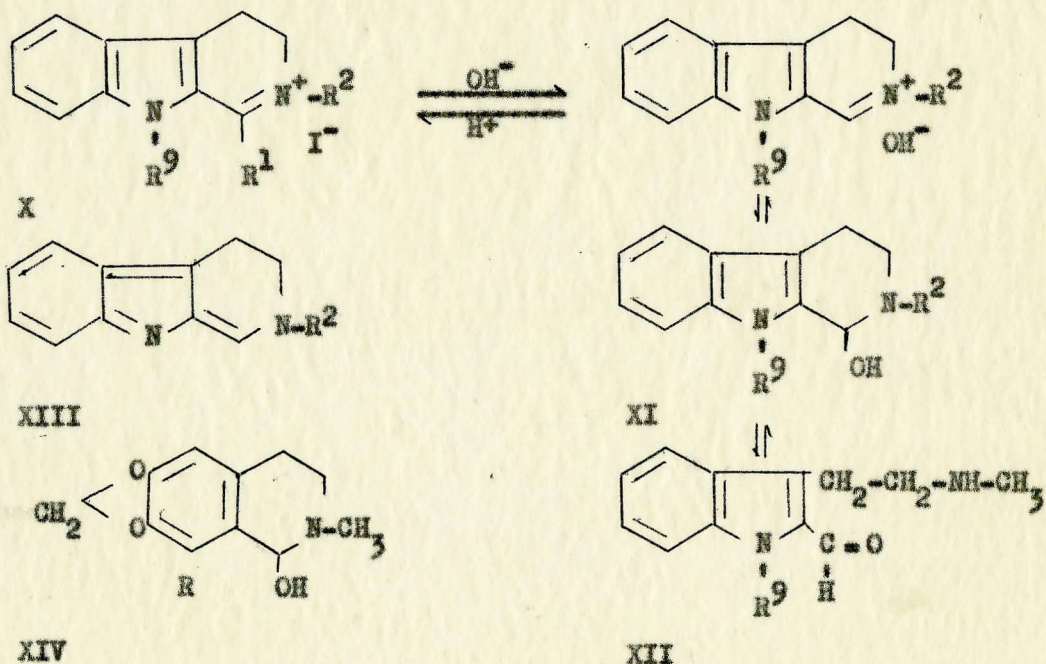


The Structure of Dihydro- $\beta$ -Carboline Anhydro and Pseudobases

When an aqueous solution of each of the quaternary dihydro- $\beta$ -carbolinium salts was treated with sodium hydroxide the corresponding pseudo- or anhydrobase formed. Since the structure of the products so obtained from 1-alkyl substituted compounds differed from those obtained from 1-unsubstituted salts the two groups of compounds will be discussed separately.

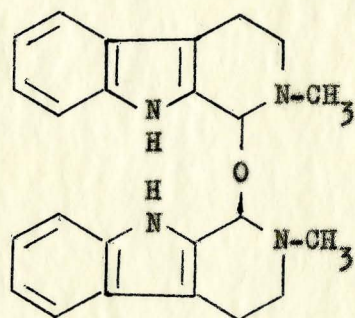
The Products Derived from 1-Unsubstituted Quaternary 3,4-Dihydro- $\beta$ -Carbolinium Salts

1-Unsubstituted quaternary salts ( $X$ ,  $R^1 = H$ ) would be expected, by analogy with the behaviour of 3,4-dihydroisoquinolinium salts, to give the pseudobase (XI) on treatment with sodium hydroxide according to the following sequence.

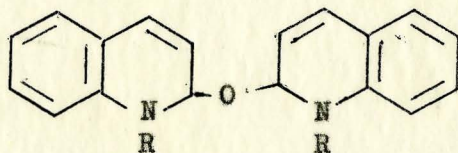


The pseudobase derived from (X,  $R^1 = R^9 = H$ ,  $R^2 = CH_3$ ), which on this basis should be 1-hydroxy-2-methyl-1,2,3,4-tetrahydro- $\beta$ -carboline, is a colourless solid which is remarkably stable. It crystallises well and can be kept indefinitely without decomposition or darkening. In alkaline or non aqueous solvents the substance shows ultraviolet absorption similar to that of indole, whereas in acidic solution the absorption becomes similar to that of the quaternary salt. This easy reversion to the original quaternary salt is a characteristic property of pseudo and anhydrobases (Sidgwick, 1937). The ultraviolet absorption spectra of the compound are compatible with its formulation as (XI). The infrared absorption spectrum of the compound, however, does not show bands which can be assigned to OH or NH. This lack of OH and NH absorption is not in itself conclusive evidence against formulation (XI). A similar absence of OH absorption has been observed in other pseudobases. Thus hydrastinine (XIV,  $R = H$ ) and cotarnine (XIV,  $R = OCH_3$ ) fail to show OH absorption (Schneider, 1961). Similarly only very weak OH bands were observed in the infrared spectrum of vomalidine, a new alkaloid of the ajmaline group (Hofmann and Frey, 1957). Further, it has now been found that many 1-substituted 3,4-dihydro- $\beta$ -carbolines do not show NH absorption. More serious evidence against the formulation of the pseudobase as (XI), however, is provided by its elementary analysis which indicates that C, H and N account for the composition of the compound which therefore does not contain oxygen. Structure (XI) cannot therefore represent the compound.

This analytical result also eliminates the possibility that the substance has the structure (XV) of a dimeric ether base analogous to (XVI) obtained in the quinoline series (Hantzsch and Kalb, 1899; Kaufmann and Strubin, 1911).



XV

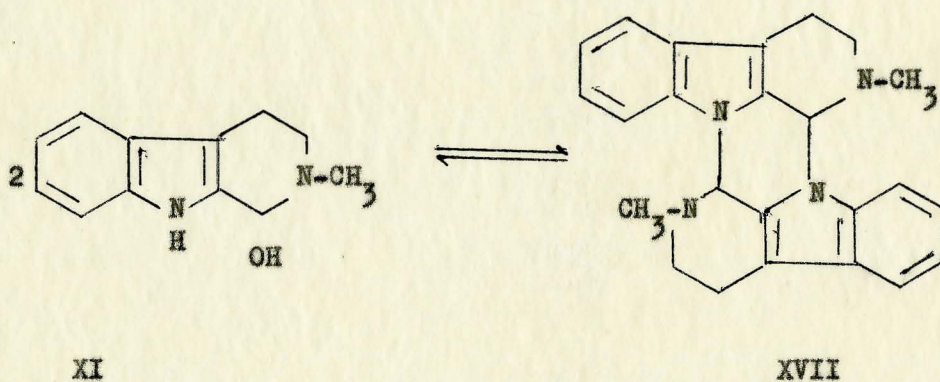


XVI

The aldehydeamine structure (XII) for the compound is eliminated on the basis of ultraviolet, infrared absorption spectra and elemental analysis. The compound does not show any absorption

which may be attributed to the presence of a C = O group. Structure (XIII), corresponding to anhydrobases of the  $\beta$ -carboline series, cannot be accepted on the basis of the behaviour of the compound towards further alkylation which is discussed later.

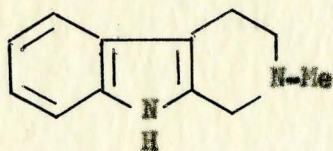
To account for the experimental findings a different formulation is required. A structure which can account for all the observed properties of the product is (XVII), a formulation of the compound as a dimeric anhydrobase, which in solution is in equilibrium with pseudobase (XI).



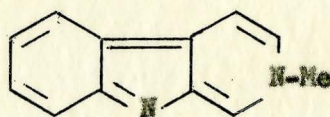
Such a dimeric structure would account for the observed analytical result, the lack of NH and OH absorption in the infrared and is also in accord with the ultraviolet spectrum. In direct evidence for a dimeric

structure a molecular weight of  $346 \pm 35$  was found for the substance by thermoelectric measurement (Schwarzkopf Microanalytical Laboratory). The calculated molecular weight of the dimer is 368. This dimeric structure may also explain the unusual stability of the compound.

Another property of the product which may be accounted for by a dimeric structure is an unusual disproportionation reaction. Pseudobases of the 3,4-dihydroisoquinoline series undergo disproportionation into a tetrahydro and a 1-oxo-tetrahydro derivative on treatment with alkali (Freund and Will, 1887; Fyman, 1909). A mechanism analogous to that of the Cannizzaro reaction was originally proposed for this disproportionation (Roser, 1888 b). Such a mechanism has been questioned but not conclusively disproved (McGeoch and Stevens, 1934). The product, now formulated as a dimeric dihydro- $\beta$ -carboline anhydrobase, does not undergo this disproportionation on treatment with alkali. This may be related to the fact that indole-2-aldehyde does not appear to undergo the Cannizzaro reaction (Taylor, 1950). However, the dimeric base undergoes another type of disproportionation. On distillation under high vacuum it yielded 2-methyl-1,2,3,4-tetrahydro- $\beta$ -carboline (XVIII) and 2-methyl- $\beta$ -carboline anhydrobase (XIX).

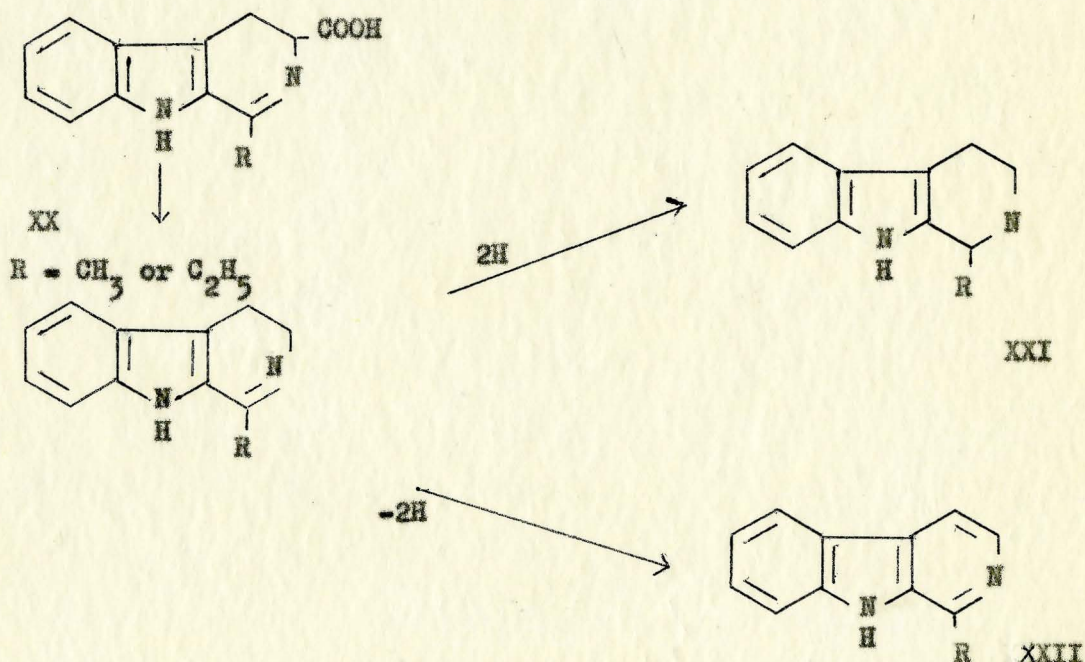


XVIII



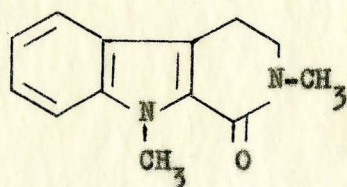
XIX

Similar disproportionations have been observed in the dihydroisoquinoline series. Thus 1-phenyl-3,4-dihydroisoquinoline yields 1-phenyl isoquinoline and 1-phenyl-1,2,3,4-tetrahydroisoquinoline on distillation (Brodrick and Short, 1949). Recently, 3,4-dihydro- $\beta$ -carboline derivatives have also been reported to behave in this way. Distillation under reduced pressure of 1-alkyl-3,4-dihydro- $\beta$ -carboline-3-carboxylic acid (XX) yielded 1-alkyl-1,2,3,4-tetrahydro- $\beta$ -carboline (XXI) and 1-alkyl- $\beta$ -carboline (XXII) (Jenssen and Tschesche, 1960). This was explained by following reaction sequence according to which the primary product, 1-alkyl-3,4-dihydro- $\beta$ -carboline, formed by decarboxylation of the parent compound (XX), undergoes disproportionation similar to 1-phenyl-3,4-dihydroisoquinoline.

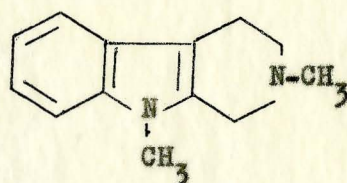


In the present work, however, dihydro- $\beta$ -carbolines sublimed under high vacuum without any detectable decomposition or disproportionation. These bases were in fact purified by sublimation under high vacuum. It seems therefore that another explanation must be sought for the reported observation.

The product obtained from 9-methyl-3,4-dihydro- $\beta$ -carboline methiodide by treatment with base differs from its lower homologue in being non crystallisable and susceptible to atmospheric oxidation. The substance cannot be formulated as a dimeric anhydrobase because the indole nitrogen is substituted. The ultraviolet and infrared absorption spectra of the compound are similar to those of the dimeric base. However, these observed ultraviolet and infrared spectra are satisfactorily accounted for by assuming structure (XI,  $R^9 = R^2 = CH_3$ ). When the compound was refluxed with alkali a small amount of a neutral product presumably 1-oxo-2,9-dimethyl-1,2,3,4-tetrahydro- $\beta$ -carboline (XXIII) was then isolated, but no trace of 2,9-dimethyl-tetrahydro- $\beta$ -carboline (XXIV) could be detected. The compound therefore does not undergo a cotarnine type disproportionation. The formation of (XXIII) seems to be due to atmospheric oxidation of the compound, which yields traces of (XXIII) also on high vacuum sublimation although most of the starting compound sublimes unchanged. It seems very likely therefore that on the basis of its ultraviolet and infrared spectra, and its susceptibility to oxidation, the compound is pseudobase (XI,  $R^9 = R^2 = CH_3$ ). Since the compound could not be purified, analysis was not attempted.



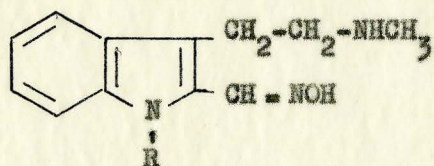
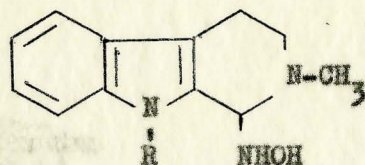
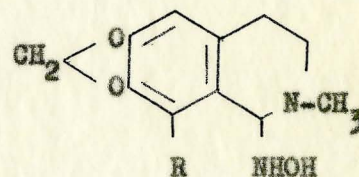
XXIII



XXIV

Both the dimeric base (XVII) and the pseudobase (XI,  $R^9 = R^2 = \text{CH}_3$ ) react with hydroxylamine. The products formed may have the structure of an open chain oxime (XXV) or of a hydroxylamino substitution product (XXVI). Since in agreement with recent observations in the case of cotarnine oxime (Schneider and Müller, 1958), infrared spectra of solid samples of these compounds do not show oxime bands in the region  $1600 - 1700 \text{ cm}^{-1}$ , 1-hydroxylamino-2-alkyl-1,2,3,4-tetrahydro- $\beta$ -carboline (XXVI) is the more likely structure of these compounds.

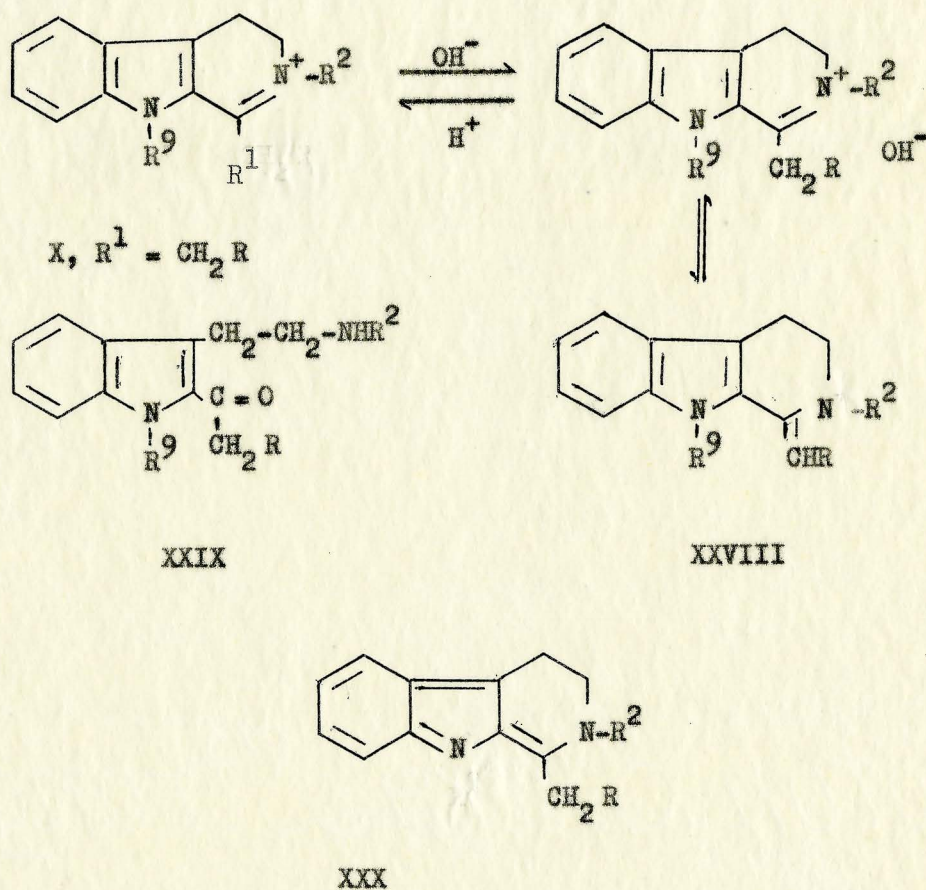


XXV, R = H or CH<sub>3</sub>XXVI, R = H or CH<sub>3</sub>XXVII, R = OCH<sub>3</sub>

However, these derivatives give ultraviolet spectra similar to that of 2-formyl indole, unaffected by pH, or by the nature of the solvent. This observation cannot be explained on the basis of structure (XXVI) which should give a spectrum similar to that of indole. The observed spectrum would be more consistent for an aldoxime group conjugated with the indole nucleus, i.e., for the structure (XXV). It is therefore likely that these compounds exist in two different forms in the solid state and in the dilute solution.

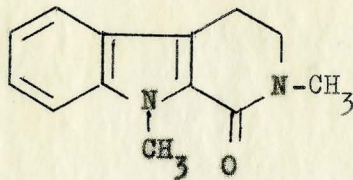
The Products Derived from Quaternary  
1-Alkyl-3,4-Dihydro- $\beta$ -Carboline Salts

When 1-alkyl substituted quaternary salts ( $X, R^1 = -CH_2R$ ) are treated with sodium hydroxide in aqueous solution, products are formed which, by analogy with the behaviour of similar dihydroisoquinoline derivatives may be formulated as anhydrobases derived according to the following scheme.



The observed properties of the products are in best agreement with the anhydrobase structure (XXVIII). Thus the elemental analysis of the product obtained from (X,  $R^1 = R^2 = \text{CH}_3$ ;  $R^9 = \text{H}$ ) is accounted for by carbon, hydrogen and nitrogen only and the compound therefore does not contain oxygen. The infrared absorption spectrum shows a band in the region  $1600 - 1700 \text{ cm}^{-1}$ , whose intensity is much lower than that of a  $\text{C}=\text{O}$  band in this region. The observed band may be attributed to  $\text{C}=\text{C}$  and this eliminates the ketoamine structure (XXIX). A structure (XXX) analogous to that of  $\beta$ -carboline anhydrobases is excluded on the basis of infrared spectra which show NH bands (Schwarz and Schlitter, 1951) which would not be expected from (XXX). The study of further alkylation of these compounds which is discussed later also eliminates the structure (XXX).

The anhydrobases derived from harmalan methiodide (X,  $R^1 = R^2 = \text{CH}_3$ ;  $R^9 = \text{H}$ ) and harmalan propiodide (X,  $R^1 = \text{CH}_3$ ;  $R^2 = n\text{-C}_3\text{H}_7$ ;  $R^9 = \text{H}$ ) sublimed under high vacuum without decomposition or disproportionation to give light yellow solids which darkened on standing. The anhydrobase derived from 9-methyl harmalan methiodide (X,  $R^1 = R^2 = R^9 = \text{CH}_3$ ) decomposed in the course of distillation. The small amount of distillate obtained showed an ultraviolet spectrum unaffected by pH similar to that of 2-formyl indole. Quantities obtained were insufficient for characterisation but the change in ultraviolet absorption is consistent with formation of the 1-oxoderivative (XXXI) by air oxidation.



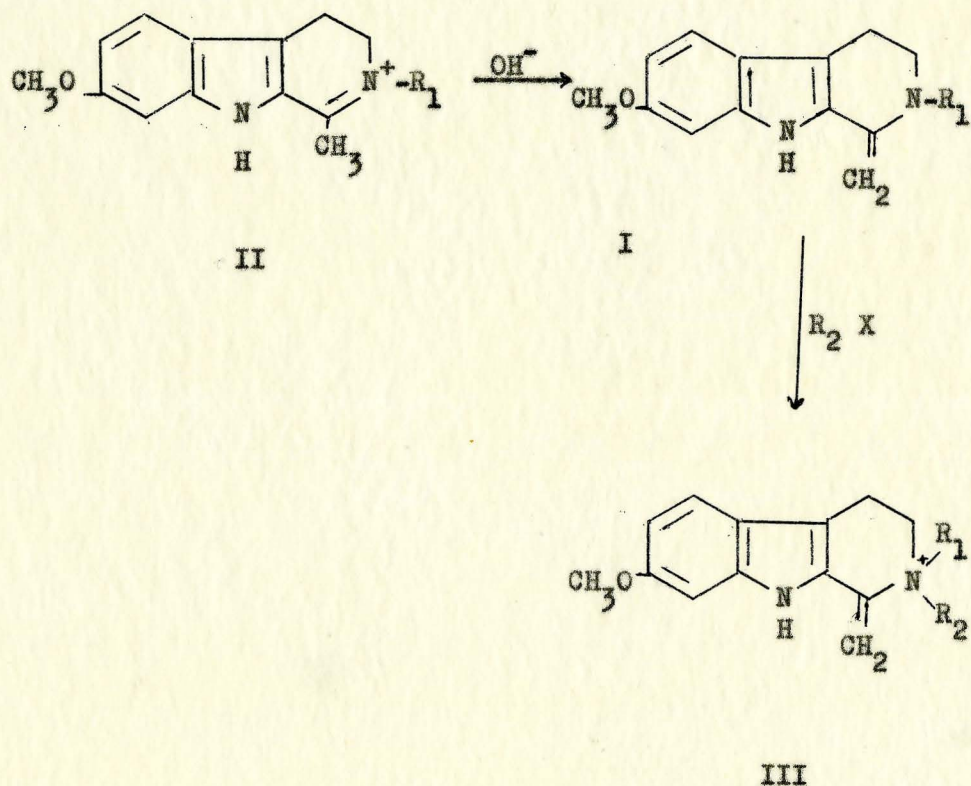
XXXI

These findings confirm the classical formulation of the structure of  $N_{\beta}$ -methyl harmaline anhydrobase (Nishikawa, Perkin and Robinson, 1924).

## Further Alkylation of the Pseudo- and Anhydrobases

### The Alkylation of 1,2-Dialkyl-3,4-Dihydro- $\beta$ -Carboline Anhydrobases

According to Konowalowa and Orechhoff (1934), the further alkylation of the anhydrobase of structure (I) obtained from harmaline alkiodide (II) takes place at  $N_{\beta}$ . These authors reported that when (II) was refluxed with alkyl halide in alcoholic solution for six hours a substance of structure (III) was obtained which was stable to treatment with base.



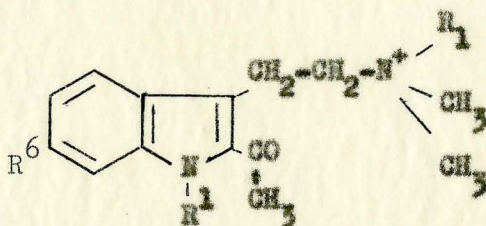
Before the constitution of harmaline was fully established Fischer (1914) isolated, from the mother liquors obtained during the methylation of harmaline with methyl iodide in alkaline solution, a quaternary salt which he referred to as "dimethyl harmaline iodide". This compound whose structure has not been clarified gave trimethylamine, identified as the chloroplatinate, on treatment with base at room temperature. One of the aims of the present investigation was to reconcile these conflicting results.

Since harmalan and its derivatives are considerably more accessible than harmaline, and since it is a reasonable assumption that both compounds behave similarly on alkylation, the present work was carried out with the former group of compounds.

It was found that anhydrobases derived from 1-alkyl and 1,9-dialkyl-3,4-dihydro- $\beta$ -carboline alkiodides do not alkylate to an appreciable extent under the conditions used by Konowalowa and Orchoff. Instead of dialkylated products, 3,4-dihydro- $\beta$ -carboline alkiodides of the structure of type (I), i.e. the salts of the starting materials to which the anhydrobases had reverted, were recovered. The ultraviolet spectra of the mother liquors from these experiments did, however, show weak additional absorption unaffected by pH, at 310 m $\mu$ .

When anhydrobases obtained from harmalan alkiodides were treated with methyl iodide in alcoholic potassium carbonate suspension, however, new quaternary salts were indeed obtained. On the basis of evidence to be presented below, these can be formulated as (IV). The

compounds of this type which have now been prepared are summarised in Table III.



IV

The structure of these compounds was established by analysis, ultraviolet and infrared spectra and Hofmann degradation. These compounds showed very strong C = O absorption in the region  $1640 - 1650 \text{ cm}^{-1}$  in the infrared, and ultraviolet absorption at  $310 \text{ m}\mu$  similar to that of 2-formyl indole, unaffected by pH. On treatment with base each of these quaternary salts gave a tertiary amine and a neutral fragment. The tertiary amine was isolated in every case as the picrate which was characterised by melting point and analysis. Since in this type of reaction there is always a competition between substitution and elimination, the neutral fragment was invariably a mixture whose composition was dependent upon reaction conditions and the nature of substrate. In most cases either the substitution product, 2-acetyl-3-( $\beta$ -alkoxyethyl) indole (V) or the elimination product 2-acetyl-3-vinyl indole (VI) was obtained and characterized by ultraviolet and infrared

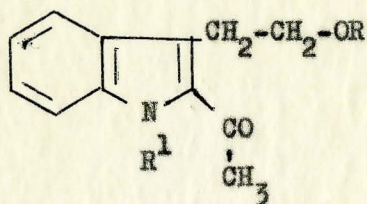
TABLE III

Alkylation of Anhydrobases and Hofmann Degradation of Quaternary Salts

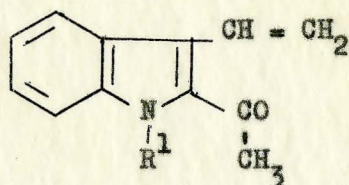
<u>Anhydrobase</u>	<u>Products of Alkylation</u>		<u>Products of Hofmann Degradation of Quaternary Salts</u>		
	<u>Quaternary Salt</u>		<u>Neutral Fragment</u>	<u>Basic Fragment</u>	
		M.P.		M.P.	
$R^9 = H$ $R^2 = CH_3$	$R^1 = H$ $R = CH_3$	278°	(a) $R^1 = H$ $R^3 = CH_2 - CH_2 - OCH_3$	140°	Trimethylamine
			(b) $R^1 = H$ $R^3 = CH_2 - CH_2 - OC_2H_5$	118°	Trimethylamine
$R^9 = H$ $R^2 = n-C_3H_7$	$R^1 = H$ $R = n-C_3H_7$	170°	(a) $R^1 = H$ $R^3 = CH_2 - CH_2 - OCH_3$	140°	Dimethyl-n-propyl amine
			(b) $R^1 = H$ $R^3 = CH_2 - CH_2 - OC_2H_5$	118°	Dimethyl-n-propyl amine
$R^9 = CH_3$ $R^2 = CH_3$	$R^1 = CH_3$ $R = CH_3$	215°	$R^1 = CH_3$ $R^3 = -CH=CH_2$	54°	Trimethylamine



spectra and analysis. The ethers (V) showed ultraviolet absorption unaffected by pH similar to that of quaternary salt (IV). Besides



V



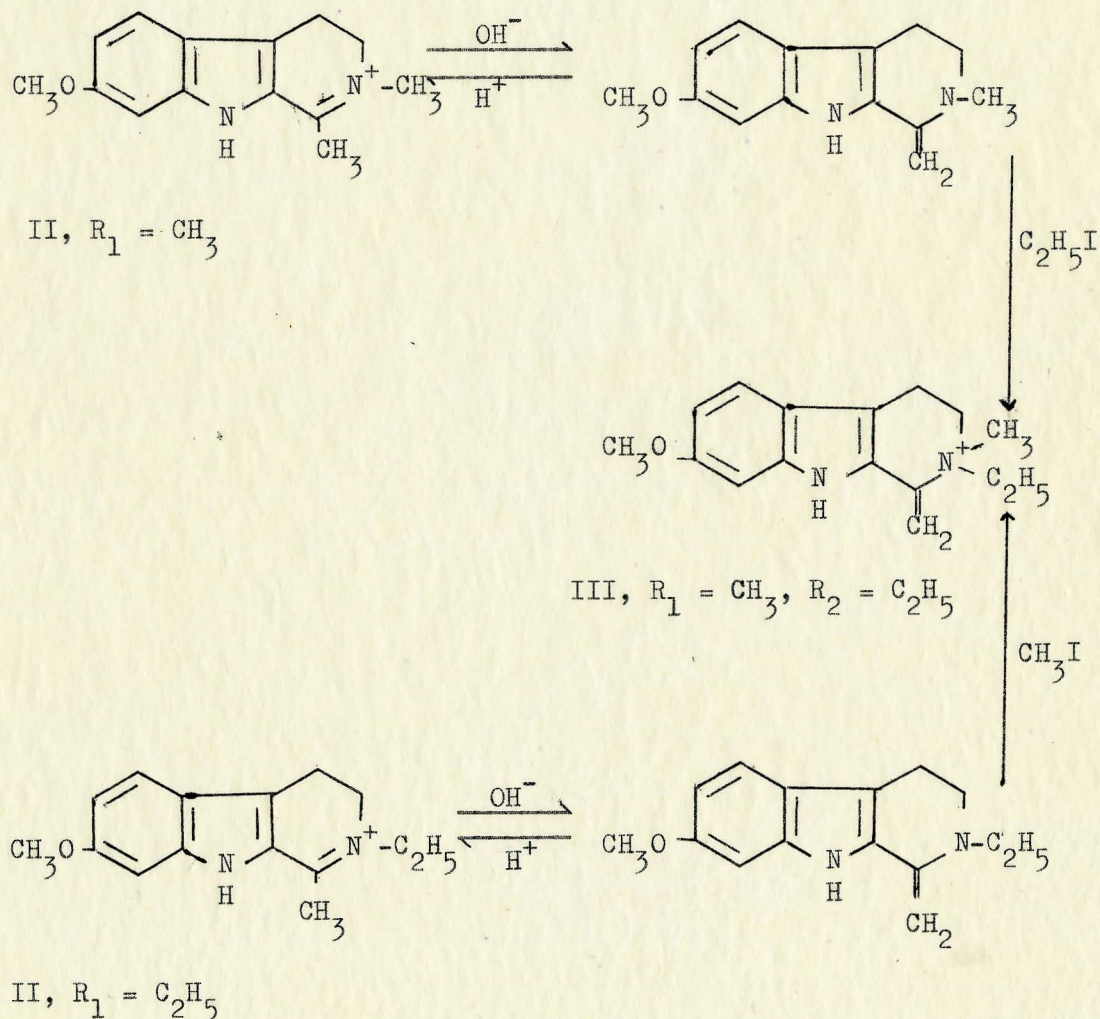
VI

strong absorption due to C = O, bands due to OR in the region 1000 - 1200  $\text{cm}^{-1}$  were observed in the infrared spectra of the ethers. When the Hofmann degradation was carried out in two different alcohols ( $\text{CH}_3\text{OH}$ ,  $\text{C}_2\text{H}_5\text{OH}$ ), in each case a different ether was obtained from the same quaternary salt (V,  $\text{R} = \text{CH}_3$  and  $\text{R} = \text{C}_2\text{H}_5$ ). The results of O-alkyl determination agreed with the calculated values. The ultraviolet absorption of the vinyl compounds (VI) was shifted to longer wavelengths and in addition to the extended bands due to C = O absorption, bands due to C = C stretching were observed in the infrared absorption spectra.

Since the behaviour of anhydrobases derived from quaternary 9-alkyl substituted 3,4-dihydro- $\beta$ -carbolinium salts on further alkylation is similar to that of 9-unsubstituted derivatives, these compounds cannot

have a structure corresponding to that of the  $\beta$ -carboline anhydrobases. The formation of anhydrobases of the latter type is not possible from 9-substituted compounds.

It has thus been established that the course of further alkylation of 1,2-dialkyl-3,4-dihydro- $\beta$ -carboline is not as reported by Konowalowa and Orechhoff. Their evidence that the reaction led to dialkyl quaternary salts (III) was based on the supposed identity of the products obtained in the following reaction sequences.

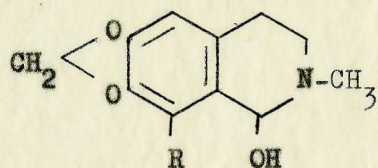


The sole criteria for this identity were the melting points and iodine analysis of the two products. This evidence is certainly inadequate and it seems that Konowalowa's quaternary salts were in fact the starting alkiodides (II). Hydriodic acid required for the reversion of (I) to (II) is formed by solvolysis of alkyl halide during refluxing.

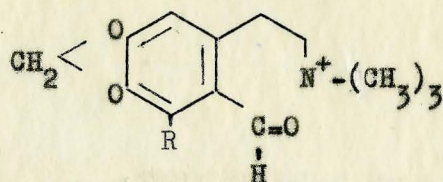
Fischer did not explain the formation of trimethylamine from the quaternary salt which he referred to as "dimethyl harmaline iodide". In the light of the present findings it seems that the uncharacterised "dimethyl harmaline iodide" was presumably the quaternary salt of structure (IV,  $R^6 = OCH_3$ ,  $R_1 = CH_3$ ,  $R^1 = H$ ).

The Alkylation of the Products Obtained from Quaternary  
1-unsubstituted 3,4-Dihydro- $\beta$ -Carbolinium Salts

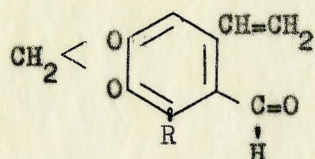
Further alkylation of the bases obtained from 1-unsubstituted 3,4-dihydro- $\beta$ -carbolinium salts does not seem to have been attempted before, although such studies have been repeatedly made with bases obtained from similar 3,4-dihydro-isoquinolinium salts. Thus cotarnine (VII,  $R = OCH_3$ ) and hydrastinine (VII,  $R = H$ ) on treatment with methyl-iodide in the presence of alkali yield quaternary salts (VIII), the structure of which was established by Hofmann degradation and isolation of trimethylamine and the vinyl piperonal (IX) (Roser, 1868 a; Freund, 1889).



VII

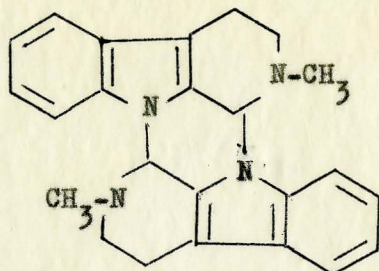


VIII

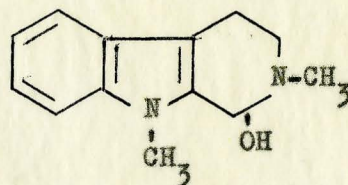


IX

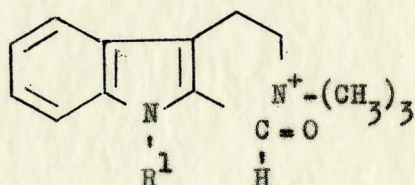
When the dimeric anhydrobase (X) and pseudobase (XI) were treated with methyl iodide in aqueous-alcoholic potassium carbonate suspension, quaternary salts (XII) were obtained which were very similar to quaternary salts (IV), obtained from 1,2-dialkyl-3,4-dihydro- $\beta$ -carboline anhydrobases. The structure of (XII) was established by the same criteria of analysis, ultraviolet and infrared spectra and Hofmann degradation. Ultraviolet absorption of these compounds was similar to that of 2-formyl indole and their infrared spectra showed strong C = O absorption. On treatment with base both of these compounds



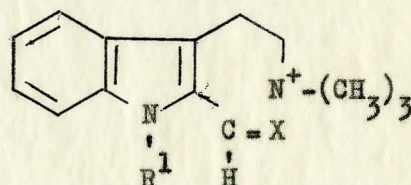
X



XI



XII



XIII

yielded trimethylamine and a neutral fragment. As in the case of Hofmann degradation of 2-acetyl quaternary salts (IV), trimethylamine was isolated and identified as picrate, whereas the neutral fragment was characterised by ultraviolet and infrared spectra and analysis and was found to be either elimination product 2-formyl-3-vinyl-indole or substitution product 2-formyl-3-( $\beta$ -alkoxyethyl) indole.

When (XII) was treated with hydroxylamine hydrochloride in the presence of potassium carbonate an oxime (XIII, X = NOH) was obtained which further confirmed C = O group in (XII). When the alkylation of

the dimeric base (X) and the pseudobase (XI) was carried out in the presence of excess anhydrous potassium carbonate, acetals (XIII, X = (OR)<sub>2</sub>) of the quaternary salt (XII) were obtained. Different acetals were formed when the alkylation was carried out in the presence of different alcohols. Since acetals are known to be formed from aldehydes on treatment with alcohol containing ammonium iodide (Haworth and Lapworth, 1922), it seems that potassium iodide formed during the reaction plays the role of ammonium iodide in the present case. This formation of acetals also affords further evidence for the aldehydic nature of the quaternary salts (XII). The ultraviolet spectra of these acetals in alkaline solution were similar to those of indole, whereas in acidic solution these compounds showed absorption similar to that of 2-formylindole. This change in absorption was found to be irreversible. Rebasification did not change the latter absorption spectrum back to the former. Acidification had hydrolysed the acetals to aldehyde (XII). The infrared spectra of the acetals did not show absorption due to C = O but bands characteristic of ether absorption appeared in the region 1000 - 1200 cm<sup>-1</sup>. The acetals also undergo Hofmann degradation and yield trimethylamine. The various quaternary salts prepared and the results of Hofmann degradation are summarised in Table IV.

TABLE IV

Alkylation of Pseudobase and Dimeric Anhydrobase and Hofmann Degradation of Quaternary Salts

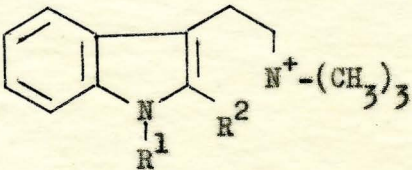
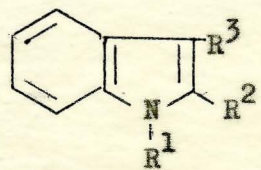
<u>Base</u>	<u>Products of Alkylation</u>		<u>Products of Hofmann Degradation of Quaternary Salts</u>	
	<u>Quaternary Salts</u>		<u>Neutral Fragment</u>	<u>Basic Fragment</u>
				
		M.P.		M.P.
Dimeric-2-methyl- 3,4-dihydro-β- carboline-anhydro- base	(1) $R^1 = H$ $R^2 = \begin{array}{c} -C=O \\   \\ H \end{array}$	270°	(a) $R^1 = H$ $R^2 = \begin{array}{c} -C=O \\   \\ H \end{array}$ $R^3 = -CH=CH_2$	126° Trimethylamine
			(b) $R^1 = H$ $R^2 = \begin{array}{c} -C=O \\   \\ H \end{array}$ $R^3 = -CH_2-CH_2OCH_3$	110° Trimethylamine

TABLE IV (CONTINUED)

Alkylation of Pseudobase and Dimeric Anhydrobase and Hofmann Degradation of Quaternary Salts

<u>Base</u>	<u>Products of Alkylation</u>		<u>Products of Hofmann Degradation of Quaternary Salts</u>	
	<u>Quaternary Salt</u>	<u>M.P.</u>	<u>Neutral Fragment</u>	<u>M.P.</u> <u>Basic Fragment</u>
Dimeric-2-methyl- 3,4-dihydro- $\beta$ - carboline-anhydro- base (continued)	(2) $R^1=H \quad R^2=\underset{\underset{H}{ }}{C}=(OCH_3)_2$	180°	$R^1=H \quad R^2=\underset{\underset{H}{ }}{C}=(OCH_3)_2 \quad R^3=-CH=CH_2$	125° Trimethylamine
2,9-Dimethyl- 3,4-dihydro- $\beta$ - carboline-pseudo- base	(1) $R^1=CH_3 \quad R^2=\underset{\underset{H}{ }}{C}=O$	216°	$R^1=CH_3 \quad R^2=\underset{\underset{H}{ }}{C}=O \quad R^3=-CH=CH_2$	82° Trimethylamine
	(2) $R^1=CH_3 \quad R^2=\underset{\underset{H}{ }}{C}=(OCH_3)_2$	233°		



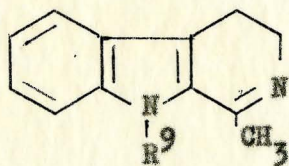
### Conclusion

It has thus been found that the behaviour of pseudobases and anhydrobases of the 3,4-dihydro- $\beta$ -carboline series on alkylation is similar to that of corresponding compounds in the 3,4-dihydroisoquinoline series. A discrepancy in the literature has thereby been resolved and the object of the present work achieved.

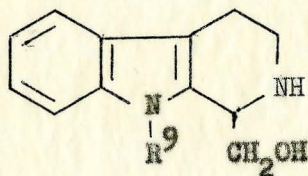
The Ultraviolet Absorption of Dihydro- $\beta$ -Carboline Derivatives

Due to the presence of the extended indole chromophore, 3,4-dihydro- $\beta$ -carbolines show strong absorption in the ultraviolet region of the spectrum and this fact was of diagnostic value in structural assignment and product analysis.

Thus during the preparation of 1-methyl-3,4-dihydro- $\beta$ -carboline (harmalan) (I) and 1,9-dimethyl-3,4-dihydro- $\beta$ -carboline (Ia), by dehydration of (dl)-1-hydroxymethyl-1,2,3,4-tetrahydro- $\beta$ -carboline (II) and (dl)-9-methyl-1-hydroxymethyl-1,2,3,4-tetrahydro- $\beta$ -carboline (IIa) respectively, the completion of reaction was determined by observing the changes in ultraviolet absorption of the reaction mixture. An absorption spectrum similar to that of indole is observed in the case of (II), which behaves as a 2,3-disubstituted indole, whereas in (I) the indole chromophore is conjugated with another unsaturation as a result of which  $\lambda_{\max}$  is shifted to longer wavelengths as expected.

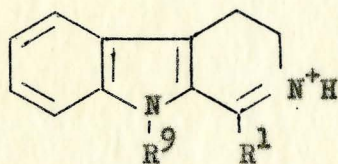


I,  $R^9 = H$   
Ia,  $R^9 = CH_3$

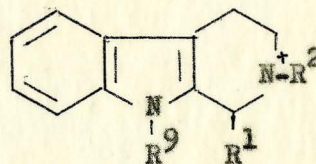


II,  $R^9 = H$   
IIa,  $R^9 = CH_3$

The absorption spectra of dihydro- $\beta$ -carbolines are affected by pH. Dihydro- $\beta$ -carboline and its *c*-substituted derivatives show an absorption band at about 315  $\mu$  in alcoholic solution or in the presence of base, whereas in the presence of acid a strong band at about 350  $\mu$  is observed. This change represents the conversion of dihydro- $\beta$ -carboline bases into dihydro- $\beta$ -carbolinium ions. The band at 350  $\mu$  is also shown by 3,4-dihydro- $\beta$ -carboline alkylodides (IV) in acid or alcoholic solution. This behaviour is in general agreement with the observation that there is a bathochromic shift of 1 - 50  $\mu$  when compounds containing  $C=N$  are converted into a cation  $C=N^+$  (Witkop, 1954).



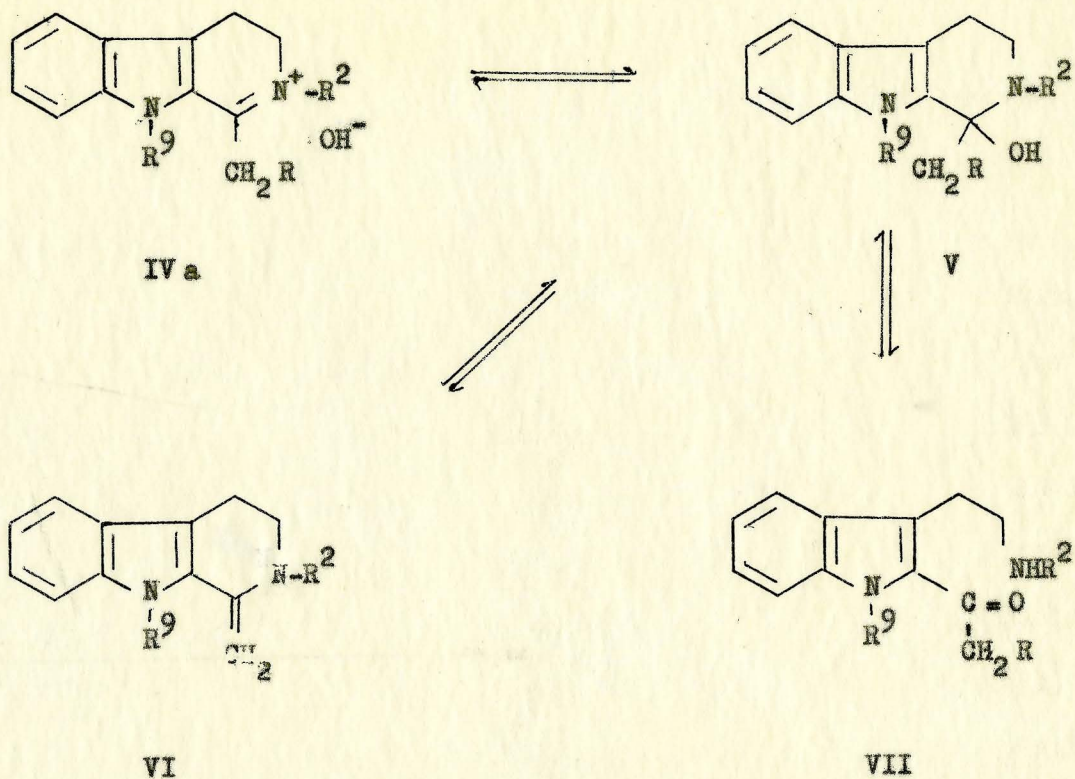
III



IV

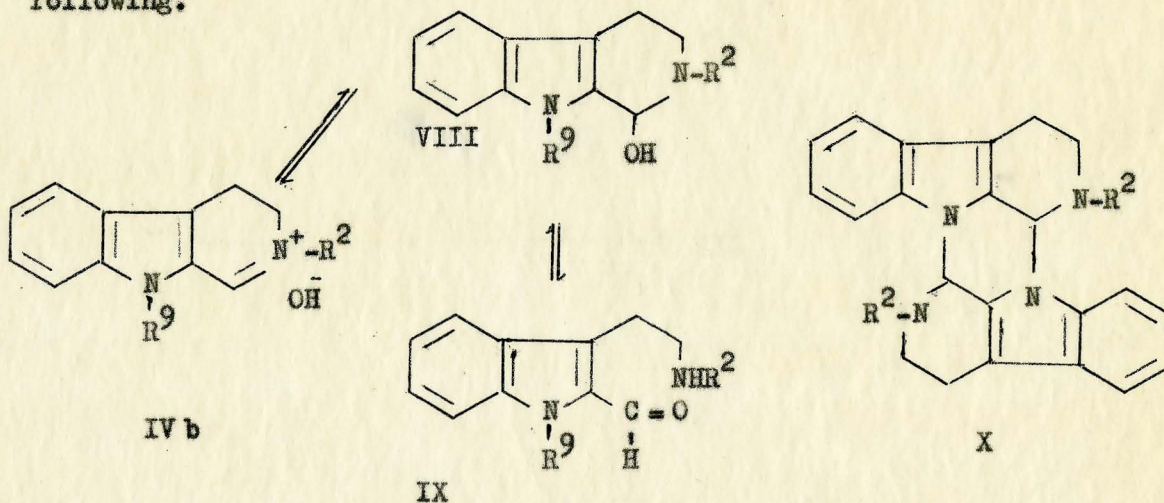
In alkaline solution the  $N_{\beta}$ -alkyl-dihydro- $\beta$ -carbolinium ions (IV) undergo structural changes which as already discussed, may be of one of two types, depending on 1-substitution.

The product derived from 1-alkyl substituted-3,4-dihydro- $\beta$ -carbolinium iodides, by treatment with base, could in principle have any one of the following structures.



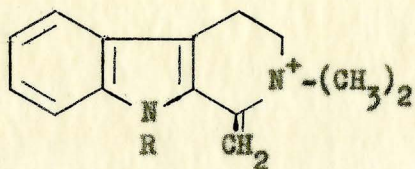
From a consideration of the ultraviolet absorption of the product it was possible to eliminate some of these. That there is an isomerisation of quaternary hydroxide (IV a) is shown by the shift of absorption to shorter wavelengths as soon as alkali is added to the solution of quaternary alkylidide. Since the new spectrum is similar to that of 2-formylindole (Taylor, 1950), structure (V), which would be expected to show absorption similar to that of indole, is excluded. The observed absorption does not, however, permit differentiation between (VI) and (VII) which would be expected to show similar ultraviolet absorption.

Treatment of 1-unsubstituted 3,4-dihydro- $\beta$ -carbolinium iodide with alkali yields a product which could be represented as one of the following.

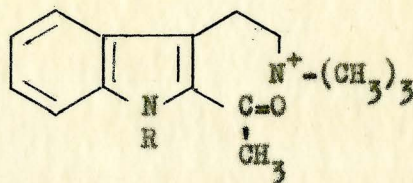


The shift of absorption to shorter wavelength as soon as base is added to a solution of the ion shows that quaternary hydroxide (IV b) does not exist. From the observed ultraviolet absorption spectrum, which is similar to that of indole, the aldehydeimine structure (IX) can be excluded while structure (VIII) is favoured. However, a distinction between the pseudobase structure (VIII,  $R^9 = H$ ) and dimeric anhydrobase (X) cannot be made since the latter is also expected to show ultraviolet absorption similar to that of indole.

On further alkylation of the anhydrobases, new quaternary salts are obtained to which structure (XI) had been assigned (Konowalowa and Orechhoff, 1934), but which have now been shown to be of structure (XII). Since the ultraviolet absorption spectrum of these structures would be expected to be similar a differentiation on this basis cannot be made.

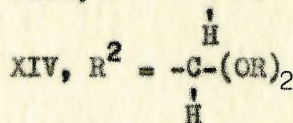
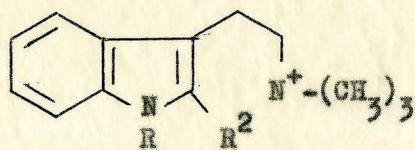


XI



XII

Ultraviolet absorption spectra, nevertheless, proved useful in recognising the products of further alkylation of the pseudo and anhydro-bases. When pseudobase (VIII,  $R^9 = \text{CH}_3$ ) or the dimeric anhydrobase (X) are alkylated in the presence of anhydrous potassium carbonate, different products are obtained depending upon the reaction conditions. These products have been assigned the structure (XIII) and (XIV).



Due to the presence of  $\text{C} = \text{O}$  in position 2, (XIII) shows ultraviolet absorption similar to that of 2-formyl indole, unaffected by pH. In (XIV), the conjugation of the indole chromophore with  $\text{C} = \text{O}$  is lost due to acetal formation and in alkaline solution the compound shows ultraviolet absorption similar to that of indole. In acidic

In (XVII) the indole nucleus is in conjugation with two unsaturated groups and ultraviolet absorption of such compounds should be at longer wavelengths than that of the compounds of the type (XVIII) in which the indole nucleus is conjugated with only one centre of unsaturation.

The ultraviolet absorption maxima of 3,4-dihydro- $\beta$ -carbolines and their derivatives have been summarised in Table V.

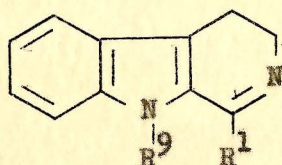
TABLE V

Ultraviolet Absorption Spectra of 3,4-Dihydro- $\beta$ -Carbolines,  
Their Derivatives and Their Degradation Products.

( $\lambda_{\max}$  m $\mu$  (log E))

---

3,4-Dihydro- $\beta$ -Carbolines  
 (Figure 1)



$R^1$	$R^9$	0.1 N HCl	0.1 N NaOH
H	H	355 (4.2), 245 (4.0)	320 (4.0), 242 (4.0)
H	CH <sub>3</sub>	360 (4.1), 248 (4.1)	322 (4.0), 252 (3.9)
CH <sub>3</sub>	H	350 (4.2), 245 (3.9)	315 (4.2), 240 (4.1)
CH <sub>3</sub>	CH <sub>3</sub>	352 (4.2), 250 (4.0)	318 (4.0)

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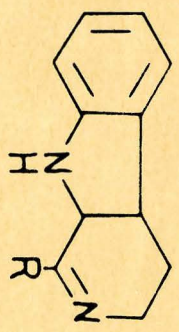
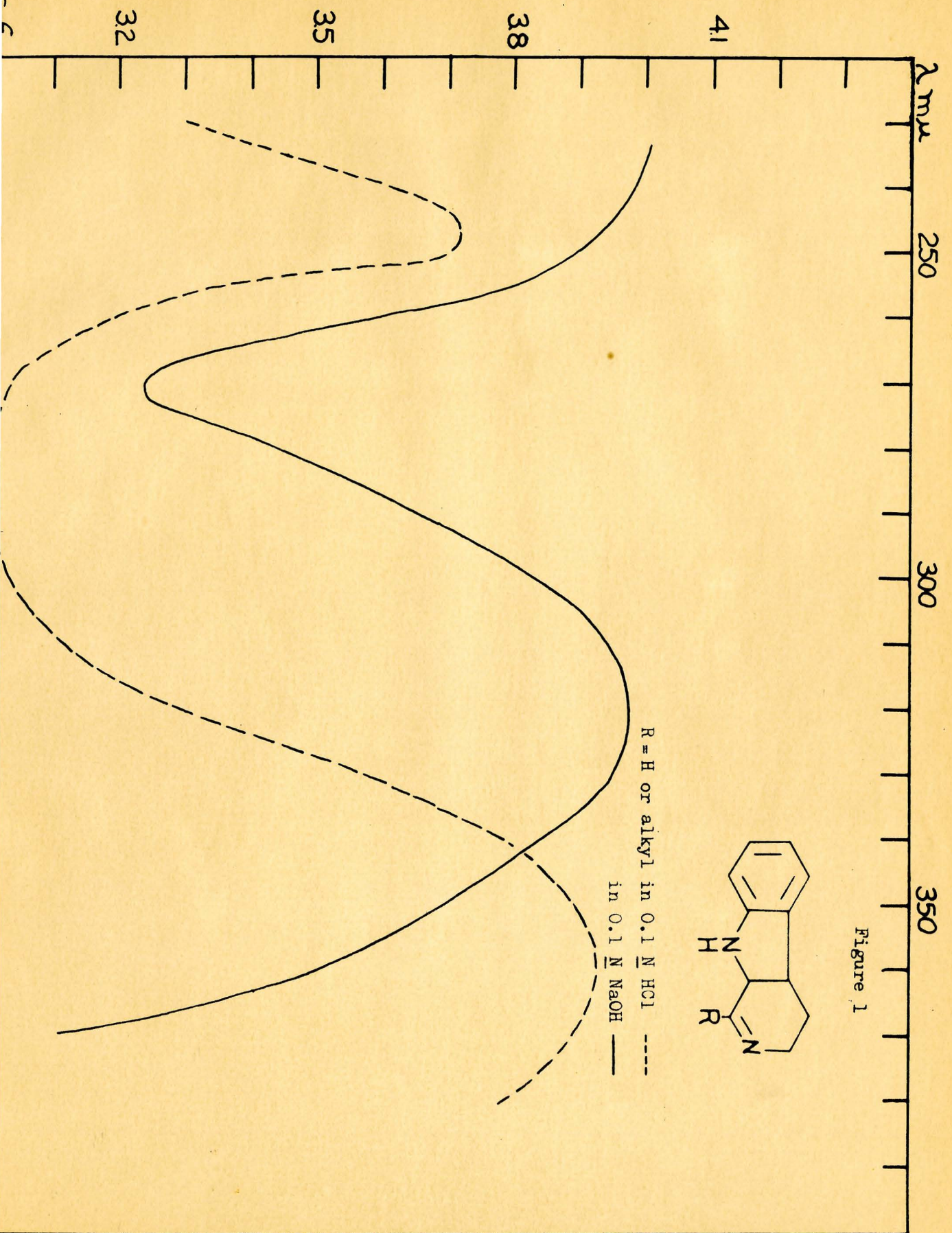


Figure 1

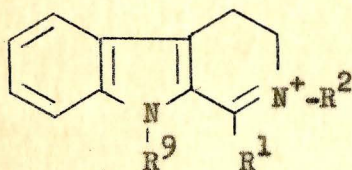
R = H or alkyl in 0.1 N HCl  
in 0.1 N NaOH

TABLE V (CONTINUED)

Ultraviolet Absorption Spectra of 3,4-Dihydro- $\beta$ -Carbolines,  
Their Derivatives and Their Degradation Products.

( $\lambda_{\max}$  m $\mu$  (log E))

3,4-Dihydro- $\beta$ -Carboline Alkylidides  
 (Figure 2)



R <sup>1</sup>	R <sup>2</sup>	R <sup>9</sup>	0.1 N HCl	0.1 N NaOH
H	CH <sub>3</sub>	H	360 (4.3), 248 (4.0)	282 (3.7)
H	CH <sub>3</sub>	CH <sub>3</sub>	360 (4.4), 252 (4.1)	286 (3.8)
CH <sub>3</sub>	CH <sub>3</sub>	H	355 (4.3), 250 (4.1)	315 (4.2)
CH <sub>3</sub>	n-C <sub>3</sub> H <sub>7</sub>	H	360 (4.4), 250 (4.1)	370 (4.0), 320 (4.2)
CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	355 (4.1), 250 (4.0)	300 - 308 (3.8)

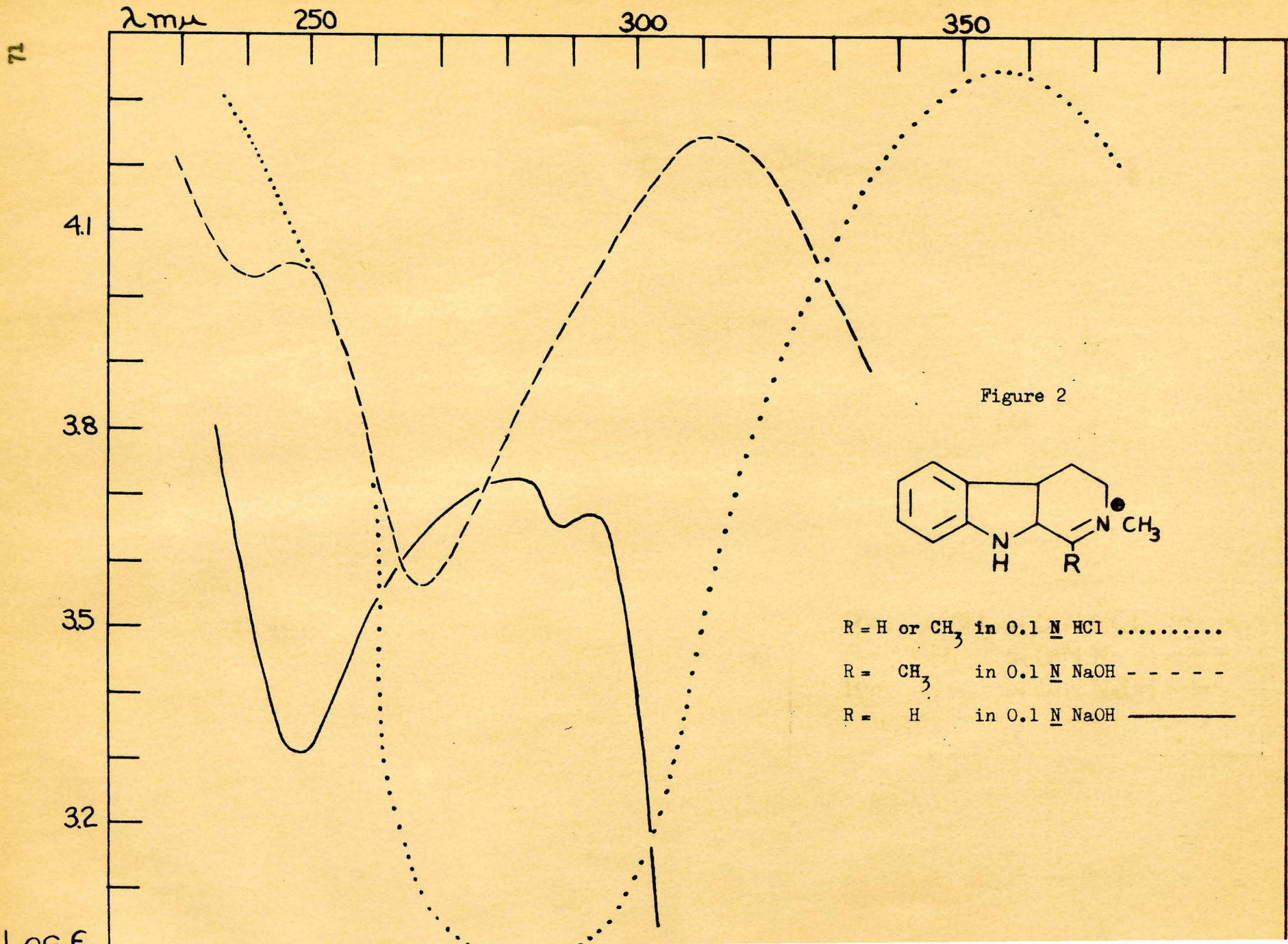
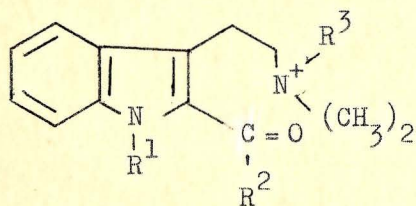
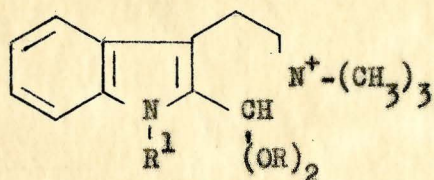
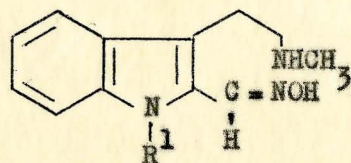


TABLE V (CONTINUED)Ultraviolet Absorption Spectra of 3,4-Dihydro- $\beta$ -Carbolines,Their Derivatives and Their Degradation Products. $(\lambda_{\max} \text{ m}\mu \text{ (log E)})$ 2-Carbonyl-3-( $\beta$ -Trialkylammoniumethyl)Indole Iodides

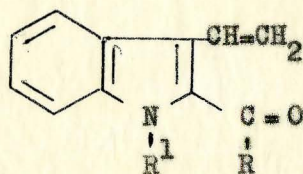
$R^1$	$R^2$	$R^3$	0.1 <u>N</u> HCl = 0.1 <u>N</u> NaOH
H	H	$\text{CH}_3$	315 (4.1), 237 (4.1)
$\text{CH}_3$	H	$\text{CH}_3$	318 (4.2), 240 (4.2)
H	$\text{CH}_3$	$\text{CH}_3$	315 (4.1), 242 (4.1)
H	$\text{CH}_3$	$n\text{-C}_3\text{H}_7$	315 (4.1)
$\text{CH}_3$	$\text{CH}_3$	$\text{CH}_3$	315 (4.1)

TABLE V (CONTINUED)Ultraviolet Absorption Spectra of 3,4-Dihydro- $\beta$ -Carbolines,  
Their Derivatives and Their Degradation Products. $(\lambda_{\max} \text{ m}\mu \text{ (log E)})$ Acetals of 2-Formyl-3-( $\beta$ -Trialkylammoniumethyl)  
Indole Iodides

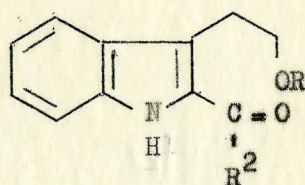
R	R <sup>1</sup>	0.1 N HCl	0.1 N NaOH
CH <sub>3</sub>	H	318 (3.9)	282 (3.7)
C <sub>2</sub> H <sub>5</sub>	H	318 (3.9)	282 (3.7)
CH <sub>3</sub>	CH <sub>3</sub>	318 (4.3), 242 (4.3)	282 (4.0)

TABLE V (CONTINUED)Ultraviolet Absorption Spectra of 3,4-Dihydro- $\beta$ -Carbolines,Their Derivatives and Their Degradation Products. $(\lambda_{\max} \text{ m}\mu \text{ (log E)})$ Oximes of 2-Formyl-3-( $\beta$ -N-Methyl-ethylamine) Indoles

$R^1$	0.1 <u>N</u> HCl	0.1 <u>N</u> NaOH
H	310 (4.3), 240 (4.2)	315 (4.3)
$CH_3$	310 (4.3), 243 (4.3)	315 (4.3)

TABLE V (CONTINUED)Ultraviolet Absorption Spectra of 3,4-Dihydro- $\beta$ -Carbolines,Their Derivatives and Their Degradation Products. $(\lambda_{\max} \mu\mu (\log E))$ 2-Formyl-3-Vinyl Indoles

R	R <sup>1</sup>	0.1 <u>N</u> HCl = 0.1 <u>N</u> NaOH
H	H	322 (4.2), 252 (4.1)
H	CH <sub>3</sub>	322 (4.2), 253 (4.2)
CH <sub>3</sub>	CH <sub>3</sub>	318

TABLE V (CONTINUED)Ultraviolet Absorption Spectra of 3,4-Dihydro- $\beta$ -Carbolines,  
Their Derivatives and Their Degradation Products. $(\lambda_{\max} \text{ m}\mu \text{ (log E)})$ 2-Formyl3-( $\beta$ -Alkoxyethyl) Indoles

R	R <sup>2</sup>	0.1 <u>N</u> HCl = 0.1 <u>N</u> NaOH
CH <sub>3</sub>	H	317 (4.2), 238 (4.1)
CH <sub>3</sub>	CH <sub>3</sub>	315 (4.1)
C <sub>2</sub> H <sub>5</sub>	CH <sub>3</sub>	315 (4.1)



### Infrared Spectra of 3,4-Dihydro- $\beta$ -Carboline and its Derivatives

Not only ultraviolet but also infrared absorption spectra proved of value in the present study. Since most of the compounds studied are quaternary salts and their spectra cannot be studied in solutions, mujol mulls were used in all cases for better comparison. The spectra were obtained with a Perkin Elmer 21 double beam spectrophotometer equipped with a rock salt prism.

In the following discussion, the spectra of eight groups of compounds, prepared in the course of this work, will be reviewed and the frequencies of important bands listed in Table VI.

#### 1. 3,4-Dihydro- $\beta$ -Carbolines

The spectra of these bases show bands which can be assigned to the NH group of indole nucleus and to the C = N function.

NH

Although weak NH absorption has been observed in harmaline (Marion and Ramsay, 1951) in chloroform, this NH absorption was not observed in 1-substituted 3,4-dihydro- $\beta$ -carbolines (Ic, Id) in mujol mulls. 3,4-Dihydro- $\beta$ -carboline (Ia) shows NH absorption of medium intensity at  $3380\text{ cm}^{-1}$ , whereas the indole itself shows a band in the region  $3480\text{ cm}^{-1}$ . This shift towards lower frequency is in accord with the general observation that substituents at the 2- or 3-positions of indole shift NH absorption to lower frequency. As expected,

9-substituted-3,4-dihydro- $\beta$ -carbolines (I h and I e) do not show NH absorption.

C = N

In harmaline, a band at  $1629\text{ cm}^{-1}$  was observed (Marion and Ramsay, 1951) which was attributed either to C = C or to C = N stretching vibrations. Isoquinoline itself shows three bands between  $1600 - 1500\text{ cm}^{-1}$ . All the dihydro- $\beta$ -carbolines (I a to I e) studied showed absorption bands of medium intensity between  $1600 - 1620\text{ cm}^{-1}$  which is most likely due to C = N stretching vibrations. Since these are present also in 9-substituted-3,4-dihydro- $\beta$ -carbolines, these bands cannot be attributed to NH bending vibrations.

## 2. 2-Alkyl-3,4-Dihydro- $\beta$ -Carbolinium Salts

NH

In contrast to 3,4-dihydro- $\beta$ -carbolines, all indole-N-unsubstituted dihydro- $\beta$ -carbolinium salts show broad bands of medium intensity between  $3100 - 3160\text{ cm}^{-1}$  in nujol mulls. This shift to lower frequency is attributed to the presence of C = N<sup>+</sup> in conjugation with the indole nucleus.

C = N<sup>+</sup>

A band at  $1661\text{ cm}^{-1}$  in cotarnine hydrochloride was attributed to the presence of C = N<sup>+</sup> (Witkop and Patrick, 1953). A similar band

at  $1672\text{ cm}^{-1}$  was found in hydrastinine hydrochloride. In all the carbolinium salts now studied, strong bands between  $1600 - 1650\text{ cm}^{-1}$ , characteristic for  $\text{C}=\text{N}^+$ , have been observed. The absorption due to  $\text{C}=\text{N}$  is shifted to higher frequency (from  $1600 - 1620$  to  $1600 - 1650\text{ cm}^{-1}$ ), which is in general agreement with the behaviour of such compounds. This fact has been used as a diagnostic criterion for the presence of  $\text{C}=\text{N}$  (Witkop, 1954).

3. Dimeric 2-Methyl-3,4-Dihydro- $\beta$ -Carboline Anhydrobase  
and 2,9-Dimethyl-3,4-Dihydro- $\beta$ -Carboline Pseudobase

NH; OH

Neither of these compounds show NH or OH absorption. This lack of NH and OH in (IIIa) is consistent with the dimeric structure assigned to the compound. The lack of OH absorption in pseudobase (IIIb) does not disprove this structure since a similar complete absence, or very weak bands due to OH, has been reported in other pseudobases whose structure is otherwise well established (Schneider, 1961).

$\text{C}=\text{N}^+$

The absence of bands in the region  $1500 - 1700$  show that these compounds do not exist as quaternary hydroxides.

#### 4. 1,2-Dialkyl-3,4-Dihydro- $\beta$ -Carboline Anhydrobases

1-Methylene anhydrobases (IV a, IV b) show quite sharp NH bands of medium intensity, whereas the 1 ethylene derivative (IV c) shows only very weak, broad NH absorption.

C = C

All the anhydrobases show bands at  $1625 - 1655 \text{ cm}^{-1}$  of medium to strong intensity. Bands in this region indicate unsaturation in the molecule due to C=C or C=N stretching. Although on the basis of infrared spectra alone C=N<sup>+</sup>, or C=N, cannot be excluded, other physical and chemical evidence would indicate that this absorption is due to C=C stretching.

#### 5. 2-Carbonyl-3-( $\beta$ -Trialkylammoniumethyl) Indole Iodides

NH

All 9-unsubstituted quaternary salts of this type show absorption bands due to NH between  $3280 - 3380 \text{ cm}^{-1}$ . Due to the presence of the electronegative group C=O at the 2-position, the indole NH absorption should shift to lower frequency, in the region of  $3200 \text{ cm}^{-1}$  (Becker and Millich, 1958). Since substituents at the 3-position of an indole nucleus have a much greater influence on the spectrum than substituents at 2-position, the presence of a substituted ethyl group at the 3-position partly cancels the effect of 2 substitution.

C = O

Absorption due to C=O is observed between 1640 - 1660  $\text{cm}^{-1}$ . As usual, due to conjugation of indole nucleus with C=O, absorption due to latter group is shifted to lower frequency (Becker and Millich, 1958).

6. Acetals of 2-Formyl-3-( $\beta$ -Trialkylammoniummethyl) Indole Iodides

NH

Due to acetal formation, the C=O group is lost and absorption due to NH is shifted to longer wavelengths as compared to the formyl compound itself.

OR

Characteristic acetal bands in the region 1000 - 1200  $\text{cm}^{-1}$  are present (Bergman and Pinchas, 1952).

7. 2-Carbonyl-3-Vinyl Indoles

C = C

Apart from the strong bands in the region 1600 - 1650  $\text{cm}^{-1}$  which are also due to C=O, characteristic bands due to the vinyl group are observed in the region 900 - 1000  $\text{cm}^{-1}$ .

The hydrogen deformation frequencies of CH=C are observed at 992  $\text{cm}^{-1}$  for 1-substituted indole compounds, whereas such bands are observed

at  $972\text{ cm}^{-1}$  in the case of 1-unsubstituted indoles. It seems that the presence of an indole NH modify this frequency.

8. 2-Carbonyl-3-( $\beta$ -Alkoxyethyl) Indoles

OR

Characteristic bands due to OR absorption are present in the region  $1000 - 1200\text{ cm}^{-1}$ .

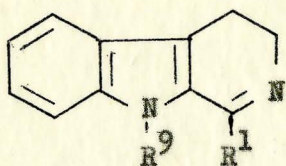
TABLE VI

Infrared Absorption Spectra of 3,4-Dihydro- $\beta$ -Carbolines  
Their Derivatives and Degradation Products

(Frequencies in  $\text{cm}^{-1}$ )

(M = medium; S = strong; V = very; W = weak)

1. 3,4-Dihydro- $\beta$ -Carbolines



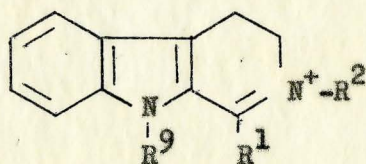
	$R^1$	$R^9$	NH	C = N
Ia	H	H	3380	1615 (M)
Ib	$\text{CH}_3$	H	x	1608 (M)
Ic	H	$\text{CH}_3$	not observed	1600 (M), 1620 (W)
Id	H	$\text{C}_2\text{H}_5$	not observed	1598 (M), 1615 (W)
Ie	$\text{CH}_3$	$\text{CH}_3$	x	1610 (M)
If	Harmaline ( $\text{CHCl}_3$ )		3470 (V.W.)	1629 (M)

(Marion and Ramsay, 1951)

TABLE VI (CONTINUED)

Infrared Absorption Spectra of 3,4-Dihydro- $\beta$ -Carbolines,  
Their Derivatives and Degradation Products  
 (Frequencies in  $\text{cm}^{-1}$ )  
 (M = medium; S = strong; V = very; W = weak)

2. 3,4-Dihydro- $\beta$ -Carboline Alkylidides



	$R^1$	$R^2$	$R^9$	NH	$C = N^+$
IIa	H	$\text{CH}_3$	H	3160 (M)	1640 (S)
IIb	H	$\text{CH}_3$	$\text{CH}_3$	x	1648 (S)
IIc	$\text{CH}_3$	$\text{CH}_3$	H	3130 (M)	1618 (S)
II d	$\text{CH}_3$	$\text{C}_3\text{H}_7$ (n)	H	3130 (M)	1615 (S)
IIe	$\text{C}_2\text{H}_5$	$\text{CH}_3$	H	3100 (M)	1615 (S)
II f	$\text{CH}_3$	$\text{CH}_3$	$\text{CH}_3$	x	1620 (S)
II g	Cotarnine			x	1661 (M)
	(Witkop and Patrick, 1953)				
II h	Hydrastinine			x	1672 (M)
	(Schneider and Müller, 1958)				



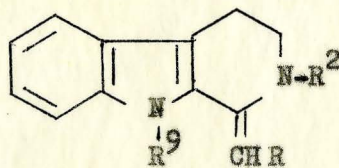
TABLE VI (CONTINUED)

Infrared Absorption Spectra of 3,4-Dihydro- $\beta$ -Carbolines,Their Derivatives and Degradation Products(Frequencies in  $\text{cm}^{-1}$ )

(M = medium; S = strong; V = very; W = weak)

3. <u>Base</u>		NH	OH	C = N <sup>+</sup>
IIIa	Dimeric anhydrobase	absent	absent	absent
IIIb	2,9-Dimethyl-3,4-dihydro $\beta$ -carboline pseudobase	x	absent	absent
IIIc	Hydrastinine	x	absent	absent

(Schneider, 1961)

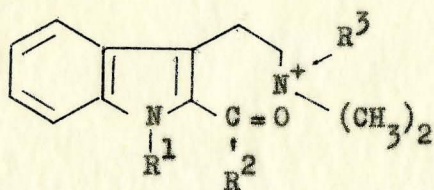
4. Anhydrobases

	R	R <sup>2</sup>	R <sup>9</sup>	NH	C = C
IVa	H	CH <sub>3</sub>	H	3410 (M)	1625 (M), 1605 (W)
IVb	H	C <sub>2</sub> H <sub>7</sub>	H	3410 (M)	1630 (M)
IVc	CH <sub>3</sub>	CH <sub>3</sub>	H	3270 (V.W.) Broad	1655 (S)
IVd	H	CH <sub>3</sub>	CH <sub>3</sub>	x	1640 (S)

TABLE VI (CONTINUED)

Infrared Absorption Spectra of 3,4-Dihydro- $\beta$ -CarbolinesTheir Derivatives and Degradation Products(Frequencies in  $\text{cm}^{-1}$ )

(M = medium; S = strong; V = very; W = weak)

5. 2-Carbonyl-3- $\beta$ -(Trialkylammoniummethyl) Indole Iodides

	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	NH	C = O
Va	H	H	CH <sub>3</sub>	3280 (M) Broad	1655 (V.S)
Vb	CH <sub>3</sub>	H	CH <sub>3</sub>	x	1652 (V.S)
Vc	H	CH <sub>3</sub>	CH <sub>3</sub>	2280 (M)	1660 (V.S)
Vd	H	CH <sub>3</sub>	n-C <sub>3</sub> H <sub>7</sub>	3390 (M) Broad	1640 (V.S)
Ve	CH <sub>3</sub>	CH <sub>3</sub>	CH <sub>3</sub>	x	1640 (V.S)
Vf	2-Formyl Indole			3185	1675

(Taylor, 1950)

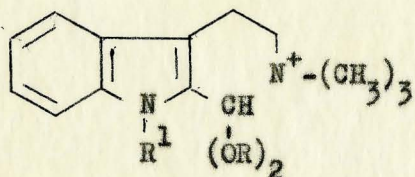
TABLE VI (CONTINUED)

Infrared Absorption Spectra of 3,4-Dihydro- $\beta$ -Carbolines,  
Their Derivatives and Degradation Products

(Frequencies in  $\text{cm}^{-1}$ )

(M = medium; S = strong; V = very; W = weak)

6. Acetals of 2-Formyl-3- $\beta$ -(Trialkylammoniummethyl) Indole  
Iodides

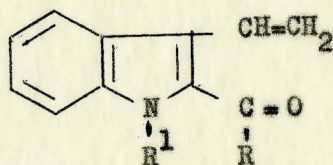


	R	R <sup>1</sup>	NH	OR
VIa	CH <sub>3</sub>	H	3380 (M)	1030 (M), 1090 (S)
VIb	C <sub>2</sub> H <sub>5</sub>	H	3380 (M)	1030 (M), 1090 (S)
VIc	CH <sub>3</sub>	CH <sub>3</sub>	x	1040 (M), 1095 (S)

TABLE VI (CONTINUED)

Infrared Absorption Spectra of 3,4-Dihydro- $\beta$ -Carbolines,Their Derivatives and Degradation Products(Frequencies in  $\text{cm}^{-1}$ )

(M = medium; S = strong; V = very; W = weak)

7. 2-Carbonyl-3-Vinyl Indoles

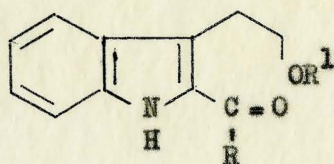
	R	R <sup>1</sup>	NH	C = O C = O	Vinyl
VIIa	H	H	3280 (M)	1640 (V.S) 1615 (S, incomp)	918 (M), 972 (M)
VIIb	H	CH <sub>3</sub>	x	1650 (V.S) 1605 (S, incomp)	928 (M), 992 (M)
VIIc	CH <sub>3</sub>	CH <sub>3</sub>	x	1650 (V.S) 1605 (S, incomp)	912 (M), 992 (M)

TABLE VI (CONTINUED)

Infrared Absorption Spectra of 3,4-Dihydro- $\beta$ -Carbolines,  
Their Derivatives and Degradation Products

(Frequencies in  $\text{cm}^{-1}$ )

(M = medium; S = strong; V = very; W = weak)

8. 2-Carbonyl-3-( $\beta$ -Alkoxyethyl) Indoles

R	R <sup>1</sup>	NH	C = O	OR
H	CH <sub>3</sub>	3210 (M)	1650 (V.S)	1012 (M), 1070 (M), 1094 (S)
CH <sub>3</sub>	CH <sub>3</sub>	3250 (M)	1660 (V.S)	1028 (M), 1065 (M), 1090 (S)
CH <sub>3</sub>	C <sub>2</sub> H <sub>5</sub>	3230 (M)	1646 (V.S)	1032 (M), 1065 (M), 1090 (S)

## EXPERIMENTAL

### 3,4-Dihydro- $\beta$ -Carbolines

3,4-Dihydro- $\beta$ -carbolines were prepared by established methods and details are given only for modifications of accepted procedures.

#### 3,4-Dihydro- $\beta$ -Carboline (Norharmalan)

N <sub>$\beta$</sub> -formyltryptamine (Schöpf and Steuer, 1947) (1.5 g) and polyphosphoric acid (10 g) were heated for forty minutes at 100 - 110° with constant stirring. The dark melt was added to cold water and the solution was diluted to about one litre and decolorised. The clear filtrate was extracted with ether to remove any non-basic impurities and made alkaline with 10% NaOH. The turbid solution was extracted with ether and the ether extract dried and evaporated. The residue could not be crystallised in any solvent nor could it be purified by partition chromatography. In every case a brown polymeric material was obtained.

Sublimation at  $2.10^{-3}$  mm and 130 - 140° gave the product as a glassy solid (0.74 g, 52.1%) melting at 120 - 125° after sintering at 100°, pKa 7.8. It did not give a satisfactory analysis.

A sample was converted to the picrate, melting at  $233-234^{\circ}$ .  
 Reported melting point  $241-242^{\circ}$  (Asahina and Kashiwaki, 1915) (Found: C, 51.1; H, 3.3; Calc. for  $C_{17}H_{13}N_5O_7$ : C, 51.1; H, 3.3%). Ultraviolet absorption ( $\lambda_{max}$ ,  $\mu(\log E)$ ): in 0.1 N HCl: 355 (4.2), 245 (4.0); in 0.1 N NaOH: 320 (4.0), 242 (4.0).

Ind-N-Methyl-N<sub>β</sub>-Formyltryptamine

Ind-N-methyltryptamine was prepared from N-methylindole (Snyder and Eliel, 1948) which was obtained by reducing N-methylindoxyl with  $LiAlH_4$  (Julian and Printy, 1949).

Ind-N-methyltryptamine (3 g) was treated for 2 hours with freshly distilled dried ethyl formate (25 ml) in a sealed tube at  $100^{\circ}$ . The solution was diluted with ether, washed with dilute HCl and with water and dried. Ethyl formate and ether were evaporated and the residue was distilled at  $5.10^{-3}$  mm and  $165-170^{\circ}$  to give the product as a colourless viscous oil (2.8 g, 80%). The infrared spectrum showed strong carbonyl absorption at  $1640\text{ cm}^{-1}$  (Found: N, 13.8;  $C_{12}H_{14}N_2O$  requires: N, 13.9%).

9-Methyl-3,4-Dihydro-β-Carboline

Ind-N-methyl-N<sub>β</sub>-formyltryptamine (1.5 g) was treated with polyphosphoric acid (10 g) and the reaction mixture worked up as in the preparation of 3,4-dihydro-β-carboline. The crude base was sublimed

at  $5.10^{-3}$  mm and  $120^{\circ}$  when the product (0.75 g, 55%), melting at  $120-130^{\circ}$ , was obtained as a colourless solid. It did not give a satisfactory analysis. Ultraviolet absorption ( $\lambda_{\max}$ ,  $\mu(\log E)$ ): 0.1 N HCl: 360 (4.1), 248 (4.1); in 0.1 N NaOH: 322 (4.0), 252 (3.9).

1-Methyl-3,4-Dihydro- $\beta$ -Carboline (Harmalan)

This was obtained by dehydration of 1-hydroxymethyl-1,2,3,4-tetrahydro- $\beta$ -carboline (Spenser, 1959).

(dl)-9-Methyl-1-Hydroxymethyl-1,2,3,4-Tetrahydro- $\beta$ -Carboline

A solution of 1-methyltryptamine (3.4 g) and glycolaldehyde (1.27 g) in 200 ml water containing 20 ml 2 N HCl was refluxed for 2 hours. The solution was decolorised, extracted with ether to remove non-basic impurities, and made alkaline with NaOH to yield a dark brown solid which was dissolved in ethanol, decolorised and crystallised from aqueous ethanol. It sublimed at  $2.10^{-3}$  mm and  $140-160^{\circ}$  to give the product (3 g, 70%) as a colourless crystalline solid melting at  $146^{\circ}$  (Found: C, 72.4; H, 7.7; N, 13.1.  $C_{13}H_{16}N_2O$  requires C, 72.2; H, 7.4; N, 13.0%).

1,9-Dimethyl-3,4-Dihydro- $\beta$ -Carboline

The above tetrahydro- $\beta$ -carboline (2.5 g) was wetted with water, treated with 85% phosphoric acid (30 ml) and kept on the steam bath until the absorption band at 280  $\mu$ , characteristic of the indole chromophore of the starting material, had disappeared (4 hours). The



dark brown solution was diluted with water, decolorised and made alkaline with 20% NaOH, and the product was extracted into ether. The extract was dried ( $\text{Na}_2\text{SO}_4$ ), ether was removed and the solid residue was dissolved in methanol. The solution was decolorised and evaporated and the colourless residue was sublimed at  $2.10^{-3}$  mm and  $90-100^\circ$  to yield the product (1.2 g, 58%), melting at  $80-84^\circ$ , as a colourless solid (Found: C, 78.8; H, 7.4; N, 14.2. Calc. for  $\text{C}_{13}\text{H}_{14}\text{N}_2$ : C, 78.8; H, 7.1; N, 14.1%). Ultraviolet absorption ( $\lambda_{\text{max}}$ ,  $m\mu$ (log E)): in 0.1 N HCl: 352 (4.2), 250 (4.0); in 0.1 N NaOH: 318 (4.0).

#### N-Alkyl Derivatives of 3,4-Dihydro- $\beta$ -Carbolines

##### 3,4-Dihydro- $\beta$ -Carboline Methiodide

Norharmalan (1 g) was dissolved in 50 ml dry benzene, the solution filtered and the residue rejected. The filtrate was diluted to 250 ml with dry benzene, methyl iodide (3 ml) was added and the mixture allowed to stand at room temperature for 48 hours. Yellow needles contaminated with a brown impurity deposited. The solid was dissolved in methanol, the solution treated with charcoal, concentrated and the residue crystallised from aqueous methanol when the product (1.4 g, 70%) was obtained as long needles of the monohydrate, melting at  $223^\circ$  after sintering at  $215^\circ$  (Found:  $\text{H}_2\text{O}$ , 4.9.  $\text{C}_{12}\text{H}_{13}\text{N}_2\text{I}\cdot\text{H}_2\text{O}$  requires  $\text{H}_2\text{O}$ , 5.45%. After drying to constant weight, found: C, 46.2; H, 4.4; N, 8.9.  $\text{C}_{12}\text{H}_{13}\text{N}_2\text{I}$  requires C, 46.1; H, 4.2; N, 8.0%).

Ultraviolet absorption ( $\lambda_{max}$ ,  $m\mu(\log E)$ ): in 0.1 N HCl: 360 (4.3), 248 (4.0); in 0.1 N NaOH: 282 (3.7).

Dimeric 2-Methyl-3,4-Dihydro- $\beta$ -Carboline Anhydrobase

Norharmalan methiodide (0.25 g) was dissolved in 20 ml H<sub>2</sub>O and 10% sodium hydroxide was added with constant stirring. The yellowish precipitate which separated was washed with water, rubbed with methanol (5 ml), filtered and again washed with a little methanol to yield a colourless amorphous powder (0.18 g) melting at 185°. Crystallisation from dry chloroform did not raise the melting point. Molecular weight: Found:  $346 \pm 35$  (C<sub>12</sub>H<sub>12</sub>N<sub>2</sub>)<sub>2</sub> requires: 368. The carbon analysis was unsatisfactory but the product did not contain oxygen (Found: C, 77.3; H, 6.5; N, 15.0. (C<sub>12</sub>H<sub>12</sub>N<sub>2</sub>)<sub>2</sub> requires: C, 78.3; H, 6.5; N, 15.1%).

The same product was obtained when more dilute NaOH or ammonia was used. Addition to a small sample of the anhydrobase of concentrated sulphuric acid containing a trace of ferric chloride gave an ultramarine colour which on standing changed to crimson.

Treatment of Dimeric 2-Methyl-3,4-Dihydro- $\beta$ -Carboline Anhydrobase with Alkali

The anhydrobase (0.02 g) was suspended in 30% KOH in aqueous ethanol (5 ml) and refluxed on the steam bath for 4 hours. Alcohol was evaporated and the residue acidified with HCl. The solution was extracted with ether, the ether extract dried (Na<sub>2</sub>SO<sub>4</sub>) and concentrated.

The ether extract did not contain material absorbing in the ultraviolet region of the spectrum.

The aqueous solution was made alkaline with excess 10% NaOH when unchanged starting material was recovered.

Distillation of Dimeric 2-Methyl-3,4-Dihydro- $\beta$ -Carboline Anhydrobase

The anhydrobase (0.1 g) was distilled at  $10^{-2}$  mm and  $140 - 150^{\circ}$ . A mixture of a colourless and a yellow substance sublimed and a black residue remained. The sublimate was re-distilled at  $10^{-2}$  mm below  $100^{\circ}$  when the colourless substance sublimed and the yellow substance remained as residue.

The colourless substance was 2-methyl-1,2,3,4-tetrahydro- $\beta$ -carboline, melting at  $216 - 217^{\circ}$ , identical in melting point, mixed melting point, infrared and ultraviolet spectra with an authentic sample (Boekelheide and Ainsworth, 1950).

The yellow substance melting at  $212 - 214^{\circ}$  proved to be identical by similar criteria with an authentic sample of 2-methyl- $\beta$ -carboline anhydrobase (Spenser, 1956).

1-Hydroxylamino-2-Methyl-1,2,3,4-Tetrahydro- $\beta$ -Carboline

The dimeric anhydrobase (0.1 g) and hydroxylamine hydrochloride (0.05 g) in methanol (25 ml) were refluxed for 15 minutes. The solvent was distilled off and the residue was dissolved in water. Addition of ammonia gave a heavy precipitate which was dissolved in ether and

filtered. The ether solution was dried ( $\text{Na}_2\text{SO}_4$ ), solvent evaporated and the residue crystallised from methanol, yielding colourless crystals (0.08 g, 75%) melting at  $182^\circ$  (Found: C, 66.6; H, 7.5; N, 18.9.  $\text{C}_{12}\text{H}_{15}\text{ON}_3$  requires: C, 66.3; H, 7.0; N, 19.3%). Ultraviolet absorption ( $\lambda_{\text{max}}$ ,  $\mu(\log E)$ ): in 0.1 N HCl and 0.1 N NaOH: 310 (4.2), 240 (4.1).

#### 9-Methyl-3,4-Dihydro- $\beta$ -Carboline Methiodide

9-Methyl-3,4-dihydro- $\beta$ -carboline (0.5 g) was dissolved in 75 ml benzene, the solution treated with methyl iodide (2 ml) and kept at  $37^\circ$  for 24 hours. The yellow solid was re-crystallised from water to give pale yellow needles (0.7 g, 70%) melting at  $228^\circ$  (Found: C, 48.1; H, 4.9; N, 8.6; I, 38.9.  $\text{C}_{13}\text{H}_{15}\text{N}_2\text{I}$  requires: C, 47.8; H, 4.6; N, 8.6; I, 39.0%). Ultraviolet absorption ( $\lambda_{\text{max}}$ ,  $\mu(\log E)$ ): in 0.1 N HCl: 360 (4.4), 252 (4.1); in 0.1 N NaOH: 286 (3.8).

#### 2,9-Dimethyl-3,4-Dihydro- $\beta$ -Carboline Pseudobase

The above methiodide (0.5 g) dissolved in a small amount of hot water was treated with 20% NaOH. The solution was cooled in ice, the product filtered and re-precipitated from water. It was isolated as a greenish solid, melting at  $55^\circ$  and was not analysed.

#### Treatment of Pseudobase with Alkali

9-Methyl-3,4-dihydro- $\beta$ -carboline methiodide (0.5 g) was dissolved in water. The solution was treated with 20% NaOH, the

pseudobase was extracted into ether and the solvent evaporated. The residue was refluxed 2 hours with 35% KOH (10 ml). On cooling, the solution was extracted with ether and the ether extract was successively washed with 1 N HCl and with water and dried. Ether was evaporated and the residue sublimed at  $10^{-3}$  mm and  $90^{\circ}$  to give a colourless solid, melting at  $68^{\circ}$ . The ultraviolet spectrum ( $\lambda_{\text{max}}$ ,  $m\mu$ ) in 0.1 N HCl and 0.1 N NaOH: 310) was similar to that of 2-formylindole and the infrared spectrum showed C = O absorption at  $1640 \text{ cm}^{-1}$ . The compound was not analysed.

The acid extract was made alkaline, extracted with ether, and the ether extract was dried ( $\text{Na}_2\text{SO}_4$ ) and evaporated, yielding the starting material.

#### Distillation of the Pseudobase

Pseudobase obtained from 0.5 g methiodide was distilled at  $3 \cdot 10^{-3}$  mm and  $110^{\circ}$  to yield a dark yellow oil which was dissolved in ether, the solution washed with HCl, dried and evaporated. A very small amount of a colourless residue, melting at  $68^{\circ}$ , was obtained which proved to be identical with the neutral fragment obtained above.

The acid solution was made alkaline and extracted with ether. The ether extract was dried and evaporated when the starting material was recovered.

1-Hydroxylamino-2,9-Dimethyl-3,4-Dihydro- $\beta$ -Carboline

9-Methyl-3,4-dihydro- $\beta$ -carboline methiodide (0.5 g) was dissolved in hot water, 20% NaOH added, the solution cooled and extracted with ether. The ether extract was dried ( $\text{Na}_2\text{SO}_4$ ) and the solvent evaporated. The residue was dissolved in methanol (30 ml) and hydroxylamine hydrochloride (0.125 g) and potassium carbonate (0.2 g) were added. The mixture was kept at  $40^\circ$  for 2 hours, the solvent then evaporated, the residue was washed with 2 portions (3 ml) of cold water and crystallised from methanol after treatment with charcoal. The product (0.23 g, 65%) was obtained as a colourless solid melting at  $156^\circ$  (Found: C, 67.2; H, 7.5; N, 18.1.  $\text{C}_{13}\text{H}_{17}\text{N}_3\text{O}$  requires: C, 67.5; H, 7.4; N, 18.2%). Ultraviolet absorption in 0.1 N HCl and 0.1 N NaOH ( $\lambda_{\text{max}}$ ,  $\mu(\log E)$ ): 310 (4.2); 243 (4.2).

1-Methyl-3,4-Dihydro- $\beta$ -Carboline Methiodide

Harmalan (0.5 g) was suspended in 5 ml nitrobenzene and 100 ml benzene was added when a clear yellow solution was obtained. Methyl iodide (2 ml) was added and the mixture was kept at  $37^\circ$  for 48 hours. A yellow precipitate was obtained which was crystallised from methanol after treatment with charcoal, yielding light yellow needles (0.8 g, 80%) melting at  $280^\circ$  (Found: C, 47.8; H, 4.7; N, 8.7.  $\text{C}_{13}\text{H}_{15}\text{N}_2\text{I}$  requires: C, 47.8; H, 4.6; N, 8.6%). Ultraviolet absorption ( $\lambda_{\text{max}}$ ,  $\mu(\log E)$ ): in 0.1 N HCl: 355 (4.3); 250 (4.1); in 0.1 N NaOH: 315 (4.2).

1,2-Dimethyl-3,4-Dihydro- $\beta$ -Carboline Anhydrobase

An aqueous solution of harmalan methiodide (0.5 g) was treated with 20% NaOH, when a yellow turbidity appeared. The solution was extracted with ether. The ether extract was dried (solid KOH), concentrated and the residue distilled at  $2.10^{-3}$  mm and  $110-120^{\circ}$  to give the product, melting at  $115^{\circ}$  after sintering at  $105^{\circ}$ , as a colourless solid which turned yellow on standing (Found: C, 78.4; H, 7.2; N, 13.7.  $C_{13}H_{14}N_2$  requires: C, 78.7; H, 7.0; N, 14.1%).

1-Methyl-3,4-Dihydro- $\beta$ -Carboline-n-Propiodide

Harmalan (0.5 g) was suspended in 10 ml nitrobenzene and 100 ml benzene was added. 1-Iodo-propane (3 ml) was added and the solution kept at  $37^{\circ}$  for 72 hours. An orange precipitate was obtained which was crystallised from methanol after treatment with charcoal to yield 1-methyl-3,4-dihydro- $\beta$ -carboline n-propiodide (0.73 g, 70%) melting at  $269^{\circ}$  (Found: C, 50.7; H, 5.5; N, 7.9.  $C_{15}H_{19}N_2I$  requires: C, 50.8; H, 5.4; N, 7.9%). Ultraviolet absorption ( $\lambda_{max}$ ,  $\mu(\log E)$ ): in 0.1 N HCl: 360 (4.4); 250 (4.1); in 0.1 N NaOH: 370 (3.95); 320 (4.2).

1-Methyl-2-n-Propyl-3,4-Dihydro- $\beta$ -Carboline Anhydrobase

Treatment of an aqueous solution of harmalan propiodide with 20% NaOH gave a brown solid, melting at  $104-106^{\circ}$ , which darkened on

drying in air. Sublimation under reduced pressure gave a glassy solid which was not analysed.

1,9-Dimethyl-3,4-Dihydro- $\beta$ -Carboline Methiodide

1,9-Dimethyl-3,4-dihydro- $\beta$ -carboline (0.5 g) was treated with methyl iodide (2 ml) in 100 ml benzene and allowed to stand for 24 hours at 39°. A yellow solid was obtained which was crystallised from water after treatment with charcoal, yielding the product as the monohydrate (0.8 g, 80%) in yellow needles, melting at 216° (Found: C, 47.7; H, 5.6; N, 7.9; I, 35.1.  $C_{14}H_{19}N_2IO, H_2O$  requires: C, 47.2; H, 5.3; N, 7.8; I, 35.5%). Ultraviolet absorption ( $\lambda_{max}$ ,  $m\mu(\log E)$ ): in 0.1 N HCl: 355 (4.1); 250 (4.0); in 0.1 N NaOH: 300 - 308 (3.8) broad.

1,2,9-Trimethyl-3,4-Dihydro- $\beta$ -Carboline Anhydrobase

The above methiodide (0.4 g) was dissolved in hot water and treated with 20% NaOH, when the anhydrobase separated as colourless solid which discoloured rapidly on standing. It was dissolved in ether, dried ( $Na_2SO_4$ ) and the solvent evaporated. The residue did not crystallise and was not analysed.

A sample of the product was distilled at  $2.10^{-3}$  mm. The major portion decomposed but a small amount of an oil was obtained, which showed ultraviolet absorption ( $\lambda_{max}$ ,  $m\mu$ ) in 0.1 N HCl and in 0.1 N NaOH: 310) similar to that of 2-formylindole. This substance was not characterised but was presumably 2,9-dimethyl-1-oxo-1,2,3,4-tetrahydro- $\beta$ -carboline.



Further Alkylation of N<sub>β</sub>-Alkyl-3,4-  
Dihydro-β-Carboline Anhydro and Pseudobases

Further Alkylation of Dimeric 2-Methyl-3,4-Dihydro-β-Carboline Anhydrobase

(a) 2-Formyl-3-(β-Trimethylammoniummethyl) Indole Iodide

Dimeric 2-methyl-3,4-dihydro-β-carboline anhydrobase (0.4 g) was suspended in 20 ml moist methanol. Potassium carbonate (0.2 g) and methyl iodide (5 ml) were added and the mixture was kept at 40° for 24 hours. The solvent was evaporated and the residue washed with cold water (3 ml) and crystallised from 50% aqueous methanol after treatment with charcoal, when colourless needles (0.42 g, 70%) melting at 270° were obtained (Found: C, 46.9; H, 5.3; N, 7.8; I, 35.8. C<sub>14</sub>H<sub>19</sub>N<sub>2</sub>OI requires: C, 46.9; H, 5.3; N, 7.6; I, 35.5%). Ultraviolet absorption (λ<sub>max</sub>, mμ(log E)): in 0.1 N HCl and in 0.1 N NaOH: 315 (4.1), 237 (4.1).

Hofmann Degradation of Quaternary Salt

The above quaternary salt (0.35 g) was treated with 5% methanolic KOH (20 ml). The solution was kept at 50° and swept with a current of nitrogen for 2 hours and the issuing gas passed through an alcoholic solution of picric acid, which on concentration and recrystallisation from methanol gave a yellow picrate (0.25 g) melting at 216°, which was identified as trimethylamine picrate by comparison with

authentic sample (Found: C, 37.7; H, 4.3; N, 19.2. Calc. for  $C_9H_{12}ON_4$ : C, 37.5; H, 4.1; N, 19.4%).

The reaction mixture was evaporated under vacuum. Water (10 ml) was added to the residue and the solution extracted with ether. The ether extract was washed with water, dried and evaporated. The residue was dissolved in methanol, the solution treated with charcoal, filtered and again evaporated. The residue was distilled at  $3.10^{-3}$  mm and  $70^\circ$  when two fractions were obtained.

The first fraction (0.035 g), a colourless solid melting at  $110^\circ$ , was 2-formyl-3-( $\beta$ -methoxyethyl)indole (Found: C, 70.9; H, 6.6.  $C_{12}H_{13}NO_2$  requires: C, 70.9; H, 6.5%). Ultraviolet absorption ( $\lambda_{max}$ ,  $\mu(\log E)$ ): in 0.1 N HCl and in 0.1 N NaOH: 317 (4.2), 238 (4.1).

The second fraction, a pale yellow substance (0.045 g) melting at  $126^\circ$  after softening at  $115^\circ$ , was 2-formyl-3-vinylindole (Found: C, 77.0; H, 5.4; N, 8.0.  $C_{11}H_9NO$  requires: C, 77.2; H, 5.3; N, 8.2%). Ultraviolet absorption ( $\lambda_{max}$ ,  $\mu(\log E)$ ): in 0.1 N HCl and in 0.1 N NaOH: 322 (4.2), 252 (4.1).

(b) Dimethyl Acetal of 2-Formyl-3-( $\beta$ -Trimethylammonium ethyl) Indole Iodide

Dimeric 2-methyl-3,4-dihydro- $\beta$ -carboline anhydrobase (0.4 g) was suspended in 20 ml methanol. Anhydrous potassium carbonate (2.0 g) and methyl iodide (5 ml) were added and the reaction mixture was kept at  $40^\circ$  for 24 hours. The solvent was evaporated, the residue washed with cold water (2-3 ml) and crystallised from aqueous methanol after

treatment with charcoal to give colourless needles (0.58 g, 66%) melting at  $180^{\circ}$  (Found: C, 47.4; H, 6.2; N, 7.0.  $C_{16}H_{25}N_2O_2I$  requires: C, 47.5; H, 6.2; N, 6.9%). Ultraviolet absorption ( $\lambda_{max}$ ,  $\mu(\log E)$ ): in 0.1 N HCl: 318 (3.9); in 0.1 N NaOH: 282 (3.7).

(c) Diethyl Acetal of 2-Formyl-3-( $\beta$ -Trimethylammonium ethyl) Indole Iodide

The above experiment was repeated using ethanol instead of methanol. The diethyl acetal was obtained as colourless needles melting at  $230^{\circ}$  (Found: C, 50.3; H, 6.8; N, 6.4.  $C_{18}H_{29}N_2O_2I$  requires: C, 50.0; H, 6.7; N, 6.5%). Ultraviolet absorption ( $\lambda_{max}$ ,  $\mu(\log E)$ ): in 0.1 N HCl: 318 (3.9); in 0.1 N NaOH: 282 (3.7).

Hofmann Degradation of the Dimethyl Acetal

The acetal (0.20 g) was treated with 5% methanolic KOH (20 ml). The solution was kept at  $60^{\circ}$  and swept out with a current of nitrogen for 4 hours and the outcoming gas passed through an alcoholic solution of picric acid which on concentration and crystallisation from methanol gave trimethylamine picrate.

The reaction mixture was evaporated under vacuum, water was added to the residue and the solution extracted with ether. The ether extract was washed with water, dried and evaporated. The residue was dissolved in methanol, treated with charcoal, filtered and again evaporated.

The residue was distilled at  $3.10^{-3}$  mm and  $70^{\circ}$  when a small amount of product which was probably dimethyl acetal of 2-formyl-3-vinylindole melting at  $125^{\circ}$  after sintering at  $115^{\circ}$  was obtained. Ultraviolet absorption ( $\lambda_{\max}$ ,  $m\mu(\log E)$ ): in 0.1 N HCl: 319 (4.1); in 0.1 N NaOH: 317 (3.9).

Further Alkylation of 2,9-Dimethyl-3,4-Dihydro- $\beta$ -Carboline Pseudobase

(a) 1-Methyl-2-Formyl-3- $\beta$ -(Trimethylammoniummethyl) Indole Iodide

9-Methyl-3,4-dihydro- $\beta$ -carboline methiodide (0.5 g) was dissolved in hot water, 20% NaOH added, the solution cooled and extracted with ether. The ether extract was dried and evaporated and the residue dissolved in 20 ml of moist methanol. Potassium carbonate (0.2 g) and methyl iodide (5 ml) were added and the mixture kept at  $40^{\circ}$  for 24 hours. The solvent was evaporated, the residue washed with water and crystallised from 50% aqueous methanol after treatment with charcoal. Pale shining plates (0.38 g, 60%) of the monohydrate melting at  $244^{\circ}$  were obtained (Found: C, 46.3; H, 6.1; N, 6.8; I, 32.4.  $C_{15}H_{21}N_2OI$ ,  $H_2O$  requires: C, 46.2; H, 5.9; N, 7.2; I, 32.6%). Ultraviolet absorption ( $\lambda_{\max}$ ,  $m\mu(\log E)$ ): in 0.1 N HCl and 0.1 N NaOH: 318 (4.2), 240 (4.2).

Hofmann Degradation of the Above Quaternary Salt

The quaternary salt (0.25 g) was treated with 5% methanolic KOH (15 ml) under nitrogen and products isolated as earlier. The basic

product was isolated as the picrate (0.17 g) melting at 216°, and identified as trimethylamine picrate by comparison with an authentic sample.

The neutral product was obtained as pale yellow crystals melting at 82°. The product was 1-methyl-2-formyl-3-vinylindole (Found: C, 77.9; H, 6.3; N, 7.6.  $C_{12}H_{11}NO$  requires: C, 77.8; H, 6.0; N, 7.6%). Ultraviolet absorption ( $\lambda_{max}$ ,  $\mu(\log E)$ ): in 0.1 N HCl and 0.1 N NaOH: 322 (4.2), 253 (4.2).

Oxime of 1-methyl-2-formyl-3-( $\beta$ -Trimethylammonium ethyl) Indole Iodide

2-Formyl-3-( $\beta$ -trimethylammoniumethyl) indole iodide (0.20 g) was dissolved in aqueous alcohol. Hydroxylaminehydrochloride (0.05 g) and potassium carbonate (0.05 g) were added. The reaction mixture was kept at 60° for half an hour. It was concentrated and cooled, and filtered. The oxime (0.15 g, 73%) melting at 256° was re-crystallised from aqueous alcohol (Found: C, 46.6; H, 6.0; N, 11.1.  $C_{15}H_{22}N_3IO$  requires: C, 46.5; H, 5.7; N, 10.9%). Ultraviolet absorption ( $\lambda_{max}$ ,  $\mu(\log E)$ ): in 0.1 N HCl: 310 (4.1); in 0.1 N NaOH: 315 (4.1), 254 (4.1).

(b) Dimethylacetal of 1-Methyl-2-Formyl-3- $\beta$ -(Trimethylammonium ethyl) Indole Iodide

When the alkylation was carried out in the presence of large excess of anhydrous potassium carbonate, instead of the free aldehyde,

the dimethyl acetal was obtained as colourless needles melting at  $233^{\circ}$   
 (Found: C, 48.7; H, 6.6.  $C_{17}H_{27}N_2IO_2$  requires: C, 48.6; H, 6.5%).  
 Ultraviolet absorption ( $\lambda_{max}$ ,  $\mu(\log E)$ ): in 0.1 N HCl: 318 (4.3);  
 in 0.1 N NaOH: 282 (4.0).

Further Alkylation of 1,2-Dimethyl-3,4-Dihydro- $\beta$ -Carboline Anhydrobase

2-Acetyl-3- $\beta$ -(Trimethylammoniummethyl) Indole Iodide

Harmalan methiodide (1.0 g) was dissolved in hot water, 20% NaOH was added, the solution was cooled and extracted with ether. The ether extract was dried, solvent evaporated and the residue dissolved in 50 ml moist methanol. Potassium carbonate (0.4 g) and methyl iodide (5 ml) were added. The mixture was kept for 24 hours at  $40^{\circ}$  and worked up as before. Pale yellow plates (0.88 g, 70%) melting at  $276^{\circ}$ , were obtained (Found: C, 49.3; H, 5.9; N, 7.4.  $C_{15}H_{21}N_2OI$  requires: C, 48.4; H, 5.7; N, 7.5%). Ultraviolet absorption ( $\lambda_{max}$ ,  $\mu(\log E)$ ): in 0.1 N HCl and 0.1 N NaOH: 315 (4.1), 252 (4.1).

Hofmann Degradation of the Above Quaternary Salt  
Using Methanolic KOH

The quaternary salt (0.70 g) was treated with 2% methanolic KOH (40 ml) and the experiment carried out as before. The basic fragment was isolated as the picrate (0.48 g) melting at  $216^{\circ}$ . It was identified as trimethylamine picrate by comparison with an authentic

sample and by analysis (Found: C, 37.7; H, 4.1; N, 19.2.  $C_9H_{12}O_7N_4$  requires: C, 37.5; H, 4.2; N, 19.4%).

The reaction mixture was evaporated, water was added to the residue, the solution was extracted with ether and the ether extract dried and evaporated. The residue was dissolved in benzene and passed through an alumina column. The column was eluted with benzene and the colourless eluate collected and evaporated, when 2-acetyl-3-( $\beta$ -methoxyethyl) indole was obtained as a colourless residue (0.10 g) melting at  $140^\circ$  (Found:  $OCH_3$ , 14.1; C, 71.7; H, 7.3; N, 6.2.  $C_{13}H_{15}O_2N$  requires:  $OCH_3$ , 14.3; C, 71.8; H, 6.9; N, 6.5). Ultra-violet absorption ( $\lambda_{max}$ ,  $\mu(\log E)$ ): in 0.1 N HCl and in 0.1 N NaOH: 315 (4.1).

#### Hofmann Degradation Using Ethanolic KOH

The quaternary salt was degraded as before with ethanolic KOH. The basic fragment was isolated as trimethylamine picrate and the neutral fragment was 2-acetyl-3-( $\beta$ -ethoxyethyl) indole, isolated as a colourless substance melting at  $118^\circ$  (Found:  $OC_2H_5$ , 18.4; C, 73.4; H, 7.9; N, 6.1.  $C_{14}H_{17}O_2N$  requires:  $OC_2H_5$ , 19.4; C, 72.2; H, 7.4; N, 6.1%). Ultraviolet absorption ( $\lambda_{max}$ ,  $\mu(\log E)$ ): in 0.1 N HCl and in 0.1 N NaOH: 315 (4.1).

Further Alkylation of 1-Methyl-2-n-Propyl-3,4-Dihydro- $\beta$ -Carboline Anhydrobase

2-Acetyl-3-( $\beta$ -Dimethyl-n-Propylammoniummethyl) Indole

Harmalan propiodide (1.0 g) was dissolved in hot water, treated with NaOH, the anhydrobase extracted into ether and quaternised with methyl iodide as described earlier. The quaternary salt was isolated as pale yellow plates (0.87 g, 70%) melting at 170° (Found: C, 50.9; H, 6.7; N, 6.4; I, 31.9.  $C_{17}H_{25}N_2OI$  requires: C, 51.0; H, 6.3; N, 7.0; I, 31.6%). Ultraviolet absorption ( $\lambda_{max}$ ,  $\mu(\log E)$ ): in 0.1 N HCl and in 0.1 N NaOH: 315 (4.1).

Hofmann Degradation of the Quaternary Salt

Treatment of the quaternary salt with methanolic and ethanolic KOH in the usual manner and purification of the degradation products as before gave 2-acetyl-3-( $\beta$ -methoxyethyl) indole and 2-acetyl-3-( $\beta$ -ethoxyethyl) indole respectively, identical with those obtained by degradation of the corresponding trimethylammonium salt. The corresponding compounds had identical melting points and gave overlapping infrared spectra.

Isolation of n-Propyl-Dimethylamine Picrate

As before during degradation the gas was swept into an alcoholic solution of picric acid by means of a stream of nitrogen. After concentration of the solution n-propyldimethylamine picrate was obtained



as lemon yellow plates melting at  $108^{\circ}$ , after re-crystallisation from ethylacetate. There was no depression in the melting point on admixture of an authentic sample of n-propyldimethylamine picrate (Found: C, 42.0; H, 5.3; N, 17.3. Calc. for  $C_{11}H_{16}O_7N_4$ : C, 41.8; H, 5.1; N, 17.7%).

Further Alkylation of 1,2,9-Trimethyl-3,4-Dihydro- $\beta$ -Carboline Anhydrobase

1-Methyl-2-Acetyl-3-( $\beta$ -Trimethylammoniummethyl) Indole Iodide

The anhydrobase was precipitated from 0.5 g of the methiodide and the moist precipitate dissolved in 25 ml methanol. Methyl iodide (2 ml) and potassium carbonate (0.4 g) were added and the reaction mixture was kept at  $40^{\circ}$  for 24 hours. The quaternary salt (0.35 g, 62%), melting at  $215^{\circ}$ , was crystallised from aqueous methanol (Found: C, 48.0; H, 6.4; N, 7.2; I, 32.1.  $C_{16}H_{23}N_2OI, H_2O$  requires: C, 47.5; H, 6.2; N, 6.9; I, 31.4%). Ultraviolet absorption ( $\lambda_{max}$ ,  $m\mu(\log E)$ ): in 0.1 N HCl and in 0.1 N NaOH: 315 (4.1).

Hofmann Degradation of the Quaternary Salt

The quaternary salt was treated with 5% methanolic KOH (20 ml) in the usual manner. The basic fragment was isolated and identified as trimethylamine picrate. The reaction mixture was evaporated, water added to the residue, the solution extracted with ether and the extract dried and evaporated. The residue was dissolved in methanol, decolorised with charcoal and again evaporated. The residue was sublimed at  $3.10^{-3}$

mm and 70° when pale yellow crystals of 1-methyl-2-acetyl-3-vinylindole melting at 54° were obtained (Found: C, 78.7; H, 6.9; N, 6.9.

$C_{13}H_{13}NO$  requires: C, 78.4; H, 6.6; N, 7.0%. Ultraviolet absorption ( $\lambda_{max}$ ,  $m\mu(\log E)$ ): in 0.1 N HCl and in 0.1 N NaOH: 318.

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