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## 4 **A combined numerical-experimental approach to quantify the thermal** 5 **contraction of A356 during solidification**

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### 15 **Abstract**

16 A process for generating thermal contraction coefficients for use in the solidification modelling  
17 of aluminum castings is presented. Sequentially-coupled thermal stress modelling is used in  
18 conjunction with experimentation to empirically generate the thermal contraction coefficients for  
19 a strontium modified A356 alloy. The impact of cooling curve analysis on the modelling  
20 procedure is studied. Model results are in good agreement with experimental findings, indicating  
21 a sound methodology for quantifying the thermal contraction. The technique can be applied to  
22 other commercially relevant aluminum alloys, increasing the utility of solidification modelling in  
23 the casting industry.  
24

### 25 **Introduction**

26 The coefficient of thermal expansion strongly influences the geometry and residual stress  
27 in as-cast aluminum components, and must be considered as part of the design process. In fully  
28 solid materials, temperature changes manifest as variations in material density, resulting in  
29 thermal strains that can lead to thermal stresses when a component is constrained. These thermal  
30 strains are commonly measured via dilatometry. In semi-solid materials, the situation is more  
31 complex. On one hand, the transition from liquid to solid induces a significant density difference,  
32 resulting in large solidification contraction as compared to the expected thermal contraction of  
33 the solid phases. On the other hand, liquid feeding, shrinkage porosity, and plastic deformation  
34 of the solid phase partially negate some of the effects resulting from solidification contraction.  
35 This results in complex, large (relative to single-phase thermal expansion/contraction), and non-  
36 linear contraction behavior that occurs throughout the solidification regime [1]. Note that in this

37 article we define the term solidification contraction to represent the thermal contraction  
38 occurring within the semi-solid temperature range. Further, we define the term rigidity point as  
39 the fraction solid at which a continuous dendritic network is formed, and the material starts to  
40 develop strength. When the fraction solid is above the rigidity point, the solidification  
41 contraction of the solid at the microstructural level can cause geometric distortions, and residual  
42 stresses at the component level. However, when the fraction solid is below the rigidity point, the  
43 solidification contraction is mostly compensated by fluid flow.

44 Eskin et al. developed an experimental technique based on an idea proposed by Novikov  
45 [2] to measure linear solidification contraction [3]. The apparatus consists of a T-shaped graphite  
46 mould that is placed on a water-cooled copper chill, and a moving wall at the base that is connected  
47 to a linear-voltage displacement sensor (LVDT). The T-shaped geometry ensures that the  
48 horizontal arms restrain the rest of the casting. The displacement of the moving wall as a function  
49 of temperature within this casting is measured during each experiment. Eskin et al. used the LVDT  
50 data to calculate a temperature-dependent thermal contraction coefficient (TCC) at high sub-  
51 solidus temperatures,

$$52 \quad TCC = \frac{\Delta L / L_{gauge}}{\Delta T} \quad (Eq.1)$$

53 where  $\Delta T$  represents the change in temperature for a given time increment at a position in the  
54 casting near the moving wall,  $\Delta L$  represents the change in position of the displacement sensor for  
55 the same time increment, and  $L_{gauge}$  is the initial length of the sample. This apparatus was used to  
56 test a number of wrought aluminum alloys as well as steels, demonstrating a positive correlation  
57 between the magnitude of solidification contraction and the occurrence of hot tearing.

58 Finite Element Analysis (FEA) is routinely used to model industrial casting processes.  
59 Heat transfer, fluid flow, and stresses can all be simulated to understand material behavior and  
60 defect formation. To accurately model stress development, knowledge of the thermal contraction  
61 behavior occurring during solidification is key. However, because of its highly non-linear  
62 behavior, solidification contraction is challenging to quantify consistently and its study requires a  
63 multi-faceted approach. The approach used by Eskin to measure linear solidification contraction  
64 [3] is useful for obtaining the required experimental data. However, because of strong thermal  
65 gradients within a casting, Eq. 1 provides only an estimate of the solidification contraction, and  
66 not quantified solidification contraction coefficients that can be used for modelling purposes.

67 In this research, a combined experimental/numerical method is presented to quantify the  
68 thermal strains and the corresponding solidification contraction coefficients experienced by  
69 aluminum alloys. The Al-Si casting alloy A356 is used as the exemplar system. First, small ingots  
70 are cast following a method similar to Eskin's approach to measure solidification contraction.  
71 Second, thermal-stress numerical modeling is used to quantify the heat transfer and thermal  
72 contraction behavior during solidification; the input solidification contraction coefficients are  
73 adjusted in successive model iterations until the overall linear contraction predicted by the model  
74 matches the experimentally-measured linear contraction. This new coupled methodology provides  
75 insight into high-temperature solidification contraction of metals, and can be easily applied to other  
76 systems in order to quantify the solidification contraction coefficients required for predicting hot  
77 tearing in macro-scale casting models.

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### Experimental

80 *Materials:* The material used in this study was strontium-modified A356 (Al – 7 wt% Si, 0.3 wt%  
81 Mg, 0.003 wt% Na, 0.008 wt% Sr). This Al-Si foundry alloy is prevalent in the automotive industry  
82 due to its good castability and good mechanical properties that can be obtained through heat  
83 treatment [4]. Strontium modification is employed to alter the morphology of the Si precipitate  
84 from a blocky structure to an interconnected fibrous phase [5].

85 *Apparatus Design:* The experimental setup used to measure the linear contraction is based on the  
86 T-shape design of Eskin et al. mentioned in the Introduction. An image of the experimental  
87 apparatus, along with the dimensions of the mold and an image of the sample itself are given in  
88 Figure 1. Note that while Eskin [3] placed the water-cooled copper chill below the graphite mold,  
89 it is placed at the head of the T-shape in this work.

90 The goal of these experiments is to measure the horizontal linear contraction. Moving the water-  
91 cooled copper chill to the head of the T-shape causes directional solidification in the horizontal  
92 direction, which then enables the horizontal contraction to be measured directly. Specifically, the  
93 horizontal linear contraction is measured by recording the displacement of a clearance-fit Invar  
94 rod that is embedded into the ingot through the moving wall at the base of the leg of the T-shape.  
95 The head of the T-shape provides a restraint that the leg of the T-shape contracts against. During  
96 casting, the melt solidifies and then contracts around one end of the rod while displacement is  
97 recorded using an LVDT (HR Series General Purpose LVDT configured with an ATA-2001

98 Analog LVDT/RVDT Signal Conditioner fabricated by Measurement Specialties Ltd.) mounted  
99 to the other end of the rod. It is important to note that contraction will also occur in the vertical  
100 direction of the casting because of heat transfer between the casting and the environment.  
101 Maintaining a truly unidirectional thermal gradient in the mold cavity and consequently  
102 unidirectional thermal contraction for the duration of the experiment is a challenging heat transfer  
103 requirement that is not met with this experimental methodology.

104 Understanding the temperature profile of the castings is essential to understanding the  
105 manner in which thermal stresses ultimately develop. For the temperature measurements, three  
106 type-K thermocouples are placed near the head (closest to the chill), center, and base (closest to  
107 the moving wall) of the T shape. These thermocouples were located at a height of ~12.5 mm below  
108 the open top of the mold.

109 *Experimental Procedure:* The experimental procedure consisted of first melting the A356 in a  
110 holding furnace, then pouring the melt into the graphite T-shaped mold at a casting temperature of  
111 888 K (615°C), and finally recording the displacement of the moving wall and the temperature  
112 evolution within the casting during solidification at a rate of 5 Hz until the center thermocouple  
113 reached 723 K (450°C). Cold water was passed through the copper chill continuously at a flow  
114 rate of 20 L/min. In total, three experiments (Trials A, B, and C) were carried out using identical  
115 processing conditions. The average cooling rates measured during solidification for each  
116 experiment are listed in Table 1.

## 117 Numerical

118 *Model Formulation:* A 3D sequentially coupled thermal – stress model was developed within the  
119 Abaqus FEA software to simulate the evolution of temperature and stress/strain fields within the  
120 T-shaped casting during solidification and cooling to 723 K (450°C). This model was applied to  
121 each of the three experiments. The term sequentially coupled implies that first the thermal field is  
122 calculated without consideration of the effects of stress, and then second the elastic stress analysis  
123 is performed using the nodal temperatures from the thermal analysis as a predefined field. The  
124 advantages of sequential coupling are twofold: (1) computational time is reduced and (2) multiple  
125 stress analyses with different material properties can be simulated for a given thermal analysis.  
126 The use of sequential coupling allowed for the decoupling of the objectives sought within each  
127 stage of the modelling procedure – tuning of the heat transfer coefficients in the thermal model  
128 and then quantifying the solidification contraction coefficients in the stress model.

### *Thermal Model*

129  
130 Geometry: The thermal model geometry consisted of one half of the T-shaped casting, as centerline  
131 symmetry was assumed (Figure 2a), as well as one-half of the graphite mold (Figure 2b).

132 Initial Conditions: The nodal temperatures of the casting and die were initially set to 888 K (615°C)  
133 and 298 K (25°C), respectively.

134 Boundary Conditions: To extract heat, a series of heat transfer coefficient boundary conditions  
135 were applied between the casting and/or mold and the surrounding environment. The values used  
136 to represent heat loss to the environment are given in Table 2, and were inferred from previous  
137 work [6]. These values were used for the thermal simulations of all three casting trials. The heat  
138 transfer across the interface between the casting and mold was modelled via a thermal contact  
139 conductance coefficient using the GAPCON user-written subroutine within the Abaqus FEA  
140 software. The temperature-dependent coefficients, given in Table 3, were tuned such that the  
141 predicted temperatures matched the experimental cooling curve collected at the center of the  
142 casting, TC2. This tuning process was performed on each thermal simulation in order to account  
143 for the observed differences in temperature evolution between the three casting trials. As can be  
144 seen in Table 3, the coefficients are slightly different between Trials A, B, and C. The fraction  
145 solid development and its inherent relationship to latent heat release was also incorporated into the  
146 model tuning process using the equation-based Newtonian (EBN) cooling curve analysis [7]  
147 developed by Gibbs and Mendez (the reason for and results of which are discussed later).

148 Material Properties: The thermo-physical (density, thermal conductivity, heat capacity and total  
149 latent heat) properties of A356 and graphite were based on values reported in the literature [4, 8].

### *Stress Model*

151 Geometry: As friction between the mold and casting was assumed to be minimal, only one-half of  
152 the T-shape casting was included in the stress model.

153 Boundary Conditions: To provide mechanical restraint, it was assumed that the two surfaces of the  
154 T-shape providing the restraint remained in contact with the mold, (i.e.  $u_x=u_y=u_z=0$  mm, where  $u$   
155 is the displacement in the  $x$ ,  $y$ , or  $z$ , directions) as shown in Figure 2. The base of the casting was  
156 also assumed to remain in contact with the mold ( $u_z=0$ ).

157 Material Properties: The Young's modulus and Poisson's ratio of A356 and graphite were based  
158 on values reported in the literature [9, 10]. Following Hao et al. [11], it was assumed that the  
159 Young's modulus is only of significant magnitude at temperatures below the rigidity point,

160 whereas above, it is only a small value. The rigidity point was assumed to occur at the temperature  
161 where the non-equilibrium primary eutectic reaction begins to occur,  $T_{\text{Rigid}} = 568^{\circ}\text{C}$ . The  
162 solidification contraction coefficients are the focus of this research and will be presented in the  
163 next section. The UEXPAN user-written subroutine was used in Abaqus to model the relevant  
164 thermal expansion behavior. The values were empirically determined via the tuning process such  
165 that one set of solidification contraction coefficients was used for the thermal-stress simulations  
166 of all three trials, while still producing accurate representations of the thermal contraction behavior  
167 seen in each experimental trial.

168  
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### Results and Discussion

170 The evolution in temperature measured at TC2 during each casting experiment is shown in  
171 Figure 3a. As can be seen, the metal first underwent cooling in the liquid state, followed by primary  
172 solidification, then eutectic solidification, and finally solid state cooling. All three curves appear  
173 quite similar, demonstrating the repeatability of the methodology.

174 The thermocouple measurements TC1, TC2, and TC3 from Trial A are shown in Figure 3b  
175 along with the corresponding results from the numerical simulations. The cooling observed at these  
176 three locations is different due to their relative distance from the copper chill. Within the thermal  
177 model outlined in Section 3, the main adjustable parameter that affects cooling of the casting is  
178 the heat transfer coefficient between the casting and the mold. Still, as can be seen in Figure 3b,  
179 the predicted thermal profiles at TC1 and TC3 match well, demonstrating the validity of the chosen  
180 heat transfer coefficients. Any slight time delay discrepancy on fit for any given model-experiment  
181 thermocouple pair is attributed to the slight variability in contact time between the melt and the  
182 thermocouple and/or error in knowledge of the thermocouple location.

183 Table 2 shows that the tuned heat transfer coefficients for Trial C are approximately 10%  
184 larger than for Trials A, and B at temperatures where solidification is taking place.  
185 Correspondingly, Table 1 shows that the average cooling rate during solidification for Trial C was  
186 approximately 10% larger than for Trials A and B. We hypothesize that this difference is due to  
187 our inability to pour the liquid metal in an entirely repeatable fashion; with such a small casting,  
188 even slight variation in the initial location of melt/mold contact and trajectory of the pour has an  
189 impact on the rate of cooling, especially at high temperatures. Note however, that all three trials  
190 resulted in similar microstructure, as confirmed via measurements of secondary dendrite arm

191 spacing (SDAS), which was found to be:  $\lambda_{2,Trial A} = 25.2\mu\text{m}$ ,  $\lambda_{2,Trial B} = 23.1\mu\text{m}$  and  $\lambda_{2,Trial C} =$   
192  $23.2\mu\text{m}$ . This small spacing is linked to the high cooling rate experienced during solidification (5-  
193 15 K/s) of each casting [8].

194 Due to the small size of the casting,  $\sim 200$  g, the manner in which latent heat is evolved  
195 within the model plays a significant role in ensuring that the predictions match the experimental  
196 data. Further, for industrial alloys with a considerable fraction of eutectic, like A356, the  
197 temperature over which the non-equilibrium eutectic transformation occurs, and hence the latent  
198 heat evolves, is uncertain and highly variable as it depends on cooling conditions and local  
199 composition. Our initial simulations estimated the evolution in latent heat using a fraction solid /  
200 temperature curve based on a relationship found in the literature [4]. However, through the iterative  
201 process of tuning the heat transfer coefficients, it became clear that the thermal model could not  
202 be fit to the experimental data unless an improved evolution in fraction solid with temperature  
203 curve could be determined matching the experimental results.

204 To estimate the evolution of fraction solid versus temperature that occurred during the T-  
205 shaped casting experiments, the equation-based Newtonian (EBN) method of cooling curve  
206 analysis [7] by Gibbs and Mendez was applied. The results, based on the temperature  
207 measurements at TC2, are shown in Figure 4 for each experiment. As can be seen, the fraction  
208 solid versus temperature curves for Trials A and C are quite similar, while the curve for Trial B  
209 seems shifted. It is hypothesized that perhaps the melt in Trial B was poured in such a way that a  
210 coherent dendritic structure formed almost immediately along the bottom of the mold, accelerating  
211 the evolution in fraction solid. However, the thermal curves for Trials A and B were quite similar,  
212 and the transformation temperatures for all three trials all seem to agree with each other despite  
213 the shift in Trial B fraction solid. The observed delayed onset of the solidus temperature as  
214 compared to the phase diagram is in agreement with phenomena reported by Thompson [4]. The  
215 formation of  $\text{Mg}_2\text{Si}$  (not typically seen in near-equilibrium cooling conditions [12]) also appeared  
216 at temperatures consistent with those reported by Thompson at high cooling rates. The data from  
217 each curve was used for the individual casting simulations, to capture the observed variations in  
218 latent heat evolution. This cooling curve analysis is a key feature of the combined  
219 numerical/experimental method for determining solidification contraction coefficients in A356.

220 The measured displacements of the moving wall for all three trials are shown in Figure 5a.  
221 These values are negative because the contraction of the casting causes the wall to move into the

222 mold. As can be seen, all three trials produced very similar results, demonstrating the robustness  
223 of the T-shaped casting mold for measuring solidification contractions. The values of the TCC  
224 parameter based on the experimental data, calculated using Eq. (1), are shown in Figure 5b as a  
225 function of temperature at TC2. This data provides significant insight into solidification  
226 contraction. First, it would appear that contraction initiates at a temperature where the non-  
227 equilibrium primary eutectic reaction begins to occur, at 841 K (568°C) as was assumed in Section  
228 2. Then, the rate of contraction varies significantly as the casting cools, increasing and decreasing  
229 at various temperatures. While some of the observed features will be linked to the fact that there  
230 is a temperature gradient along the longitudinal direction, the temperature corresponding to  
231 changes in behavior generally matches with the initiation of the primary eutectic reaction, the  
232 formation of Mg<sub>2</sub>Si and the depressed solidus temperature. Note that the TCC parameter values  
233 reported in Figure 5b are not the same as a thermal strain coefficients, nor the coefficient of thermal  
234 contraction/expansion. The TCC parameter represents a change in casting length relative to the  
235 gauge length of the sample as a function of temperature, as noted in Equation 1. Thus, the TCC  
236 parameter is a measurement of the *integrated* dimensional change of the casting relative to a  
237 measured temperature at some point (in this case, TC2). This is different than a strain occurring at  
238 an infinitesimal point in the casting due to a thermal change at that same point, which is what the  
239 thermal strain coefficient represents. The values are in the same order of magnitude and are  
240 equivalent in unit due to the similar characteristics they represent. The value of the thermal strain  
241 coefficient for the high temperature, solid phase  $\alpha$  for LM25 (Al-7Si-0.2Cu,  $\alpha=2.6 \cdot 10^{-5} \text{ K}^{-1}$ ),  
242 reported by Mills [8] has been included in Figure 5b for comparison.

243 Using the experimentally-measured displacement data and corresponding TCC parameter  
244 values, an iterative process was applied to determine the thermal strain coefficients required to  
245 accurately model the displacement of the moving wall. Initially, the entries in the UEXPAN user-  
246 written subroutine of the Abaqus FE solver matched the TCC parameter data. The values were  
247 then modified to improve the fit of the predictions to the experimental displacement curves  
248 presented in Figure 5a. The final thermal strain coefficients used in all three stress simulations are  
249 reported in Table 4 as a function of temperature.

250 The model-predicted displacement of the moving wall as a function of time is also shown  
251 in Figure 5a. As can be seen, through parameter tuning, a very good match is achieved for Trials  
252 A and C. The fit for Trial B is weaker, especially at early times. This is related to the difference in



253 fraction solid evolution as observed in Figure 4. The corresponding predicted TCC parameter  
254 values are given in Figure 5b. Here, there is generally good agreement between the experimental  
255 and simulated results, although some of the fine features are not captured. Most importantly, the  
256 predicted TCC parameter values vary significantly as the casting cools in the same manner as the  
257 measured TCC parameter values, both increasing and decreasing at similar temperatures.

258 The displacement measurements and predictions shown in Figure 5a can also be compared  
259 to the known solidification shrinkage value for the A356 alloy, 4.1% based on the density  
260 comparison ( $\rho_{liq}=2420 \text{ kg/m}^3$  and  $\rho_{sol}=2550 \text{ kg/m}^3$  [13]). If the assumption is made that the T-  
261 shaped casting only contracts on the open top face and the moving wall, the overall volumetric  
262 changes between the liquid and solid states using the displacement magnitudes recorded in Figure  
263 5a is calculated to be approx. ~1.15%. The significant difference between this value and the known  
264 solidification shrinkage is thought to manifest through the slight gaps formed at casting mold  
265 interfaces and on the corners of the mold.

266

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### Conclusions

268 In this study, the solidification contraction of an aluminum A356 alloy has been measured using  
269 a combined numerical/experimental approach. This temperature-dependent material property,  
270 knowledge of which is critical for improving casting quality, is extracted by tuning the thermal  
271 strain coefficients within a thermal/stress simulation based on their similarity to the measured  
272 evolution in casting contraction. Key to this analysis is (1) the use of a T-shaped mold which  
273 ensures oriented contraction, and (2) cooling curve analysis to accurately represent the release of  
274 latent heat within the mathematical simulation. The measured thermal contraction coefficients  
275 can be used as part of process models to improve the predictions of thermal contraction during  
276 aluminum shape casting processes.

277

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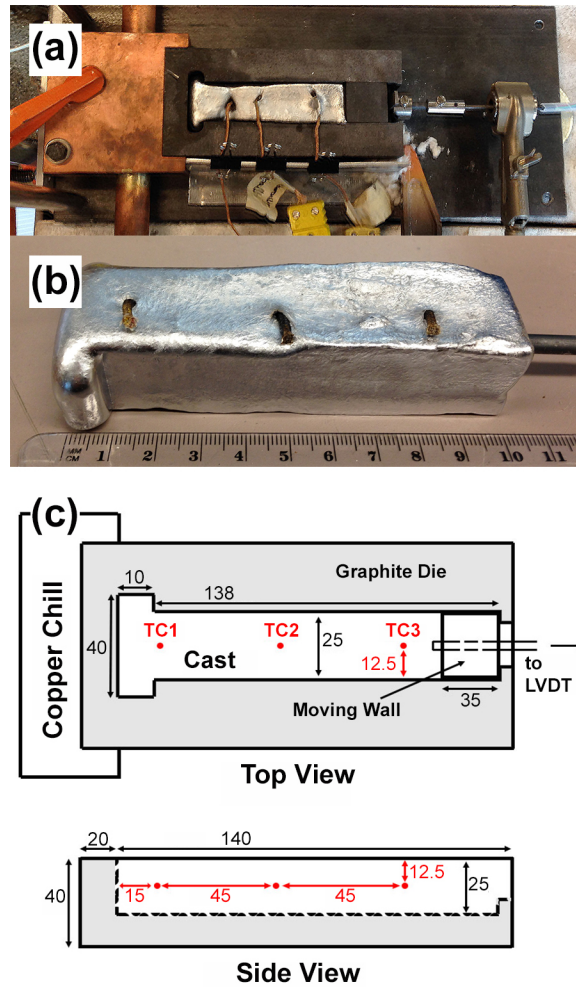
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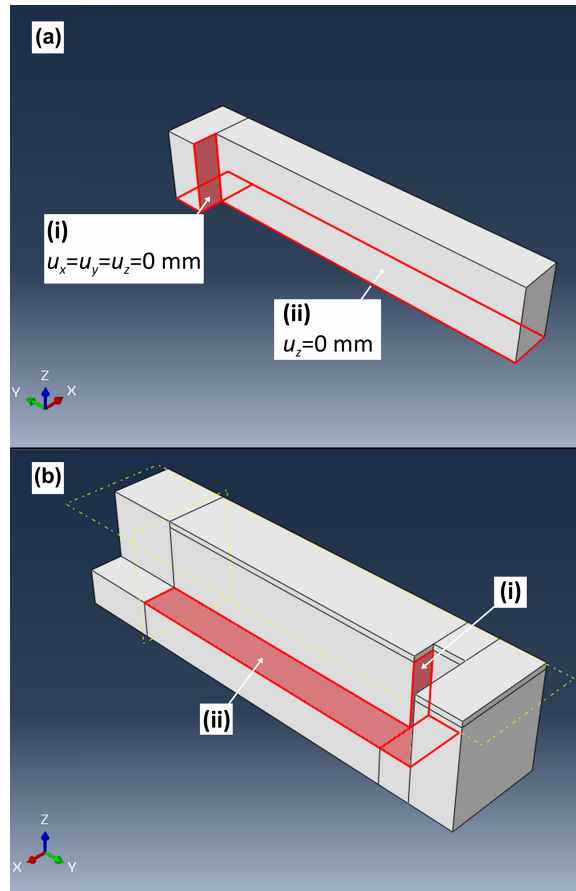
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## Figures and Tables



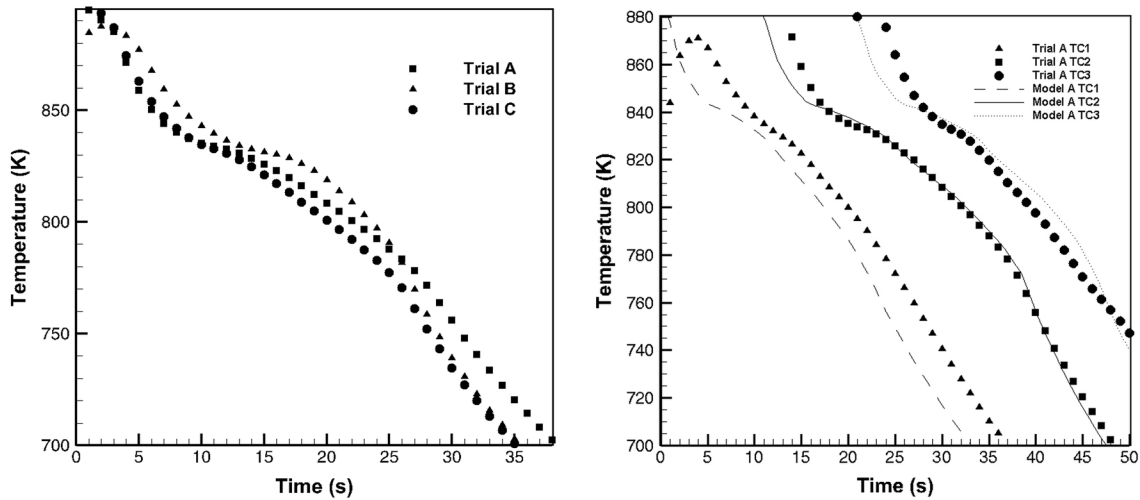
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**Figure 1** (a) Experimental setup of graphite mold, copper chill, LDVT and T-shaped casting, (b) T-shaped casting with Invar rod and cast-in thermocouples, (c) schematic with mold dimensions and thermocouple locations.



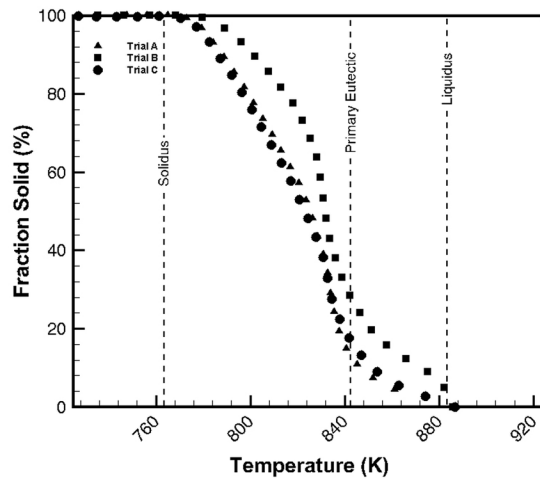
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**Figure 2** (a) Model of cast ingot showing the mechanical constraints; (b) Model of the graphite die, rotated 180 degrees about the z-axis, with corresponding constraint surfaces labelled.



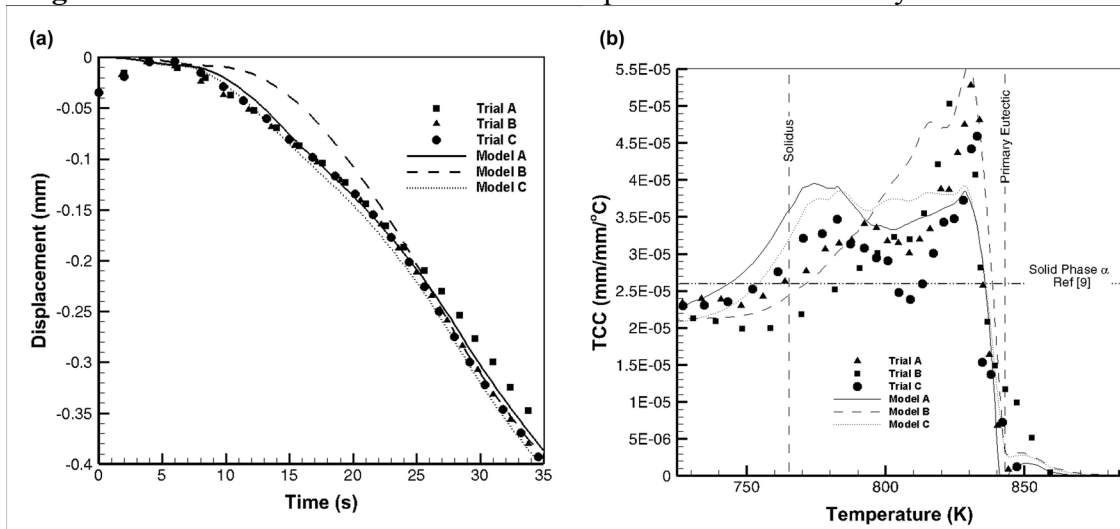
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**Figure 3** (a) Experimentally-measured time-temperature curves at TC2 for Trials A, B, and C; (b) experimental versus model temperature curves for trial A. In (b), the experimental data is shifted by 10s to more clearly show the comparison.



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**Figure 4** Evolution in fraction solid with temperature as modelled by the EBN method.



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**Figure 5** (a) Displacement during contraction (b) TCC parameter as calculated using the central thermocouple, TC2.

338 **Table 1: Average cooling rates during solidification observed in experiments**

| Temp                      | (K/s)   |         |         |
|---------------------------|---------|---------|---------|
|                           | Trial A | Trial B | Trial C |
| 883-763 K<br>(613-490 °C) | 4.8     | 4.8     | 5.3     |

339

340

341 **Table 2: Heat Transfer Coefficients used in the Thermal Model of the T-shaped casting**

| Interface                | HTC (W/m <sup>2</sup> K) |
|--------------------------|--------------------------|
| Casting/Air & Mould /Air | 50                       |
| Mould/Support Table      | 500                      |
| Mould/Copper chill       | 3000                     |
| Casting/Moving Wall      | 600                      |
| Casting/Mould            | Discussed below          |

342

343 **Table 3: Heat transfer coefficients for the casting / mould interface.**

| Temp                      | HTC (W/m <sup>2</sup> ·K) |         |         |
|---------------------------|---------------------------|---------|---------|
|                           | Trial A                   | Trial B | Trial C |
| 923 K<br>(650 °C)         | 2300                      | 2300    | 2300    |
| 873-778 K<br>(600-505 °C) | 965                       | 940     | 1045    |
| 763-713 K<br>(490-440 °C) | 400                       | 420     | 450     |
| 698 K<br>(425 °C)         | 350                       | 350     | 350     |

344

345 **Table 4: Thermal strain coefficients (including solidification contraction coefficients) used in the**  
 346 **modelling of thermal contraction during solidification of Sr modified A356.**

| Temp              | (mm/mm·K) |
|-------------------|-----------|
| 841 K<br>(568 °C) | 0.1       |
| 823 K<br>(550 °C) | 4.0       |
| 806 K<br>(533 °C) | 2.7       |
| 763 K<br>(490 °C) | 3.2       |
| 753 K<br>(480 °C) | 2.5       |

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