TRANSCRIPTIONAL REGULATION OF PLURIPOTENCY AND CELL FATE

TRANSCRIPTIONAL REGULATION OF PLURIPOTENCY AND CELL FATE

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A Thesis Submitted to the School of Graduate Studies In Partial Fulfillment of the Requirements for the Degree

Doctor of Philosophy

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Descriptive Note

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ABSTRACT

Embryonic stem cells are pluripotent in nature, in that they can self-renew indefinitely, while maintaining the capability to give rise to all adult cell types. This characteristic makes them an attractive avenue for various therapeutic purposes. Therefore, many studies have been devoted to understanding the fundamental nature of these cells and the processes that govern and maintain their pluripotent cell fate. We hypothesized that *cell fate is intrinsically regulated by the underlying chromatin and transcriptional machinery of the cells*. To test this, we first studied the heterogeneity that exists within pluripotent cell cultures. We showed that pluripotent sub-populations demarcated by expression of REX1, a pluripotency transcription factor, have distinct differentiation propensities. Additionally, we found that chromatin modification via DNA methylation was the underlying cause of this heterogeneity, providing evidence for the major roles that chromatin and transcription play in regulating cell fate.

We next studied a fate maintaining mechanism, mitotic bookmarking, as a method of cell fate preservation. During cell division, chromatin structure undergoes significant remodeling, various proteins uncouple from the DNA, and there is a temporary hiatus in transcription. Despite this restructuring the transcriptional memory of the parent cell is faithfully transmitted to daughter cells. We hypothesized that, in ES cells, several chromatin bound factors would be retained on the mitotic chromatin and would act as bookmarks preserving the underlying pluripotent chromatin structure. Using a global unbiased approach, we found that a large number of chromatin regulators are indeed bound to the mitotic chromatin. Additionally, a chromatin accessibility assay revealed that a large number of accessible promoter sites are preserved during mitosis and into G1

of daughter cells. The mitotic chromatin bound factors likely play a role in the maintenance of these protected DNA sites. Our data suggest that, preservation of these sites by various chromatin regulators during mitosis underlies the faithful transmission of cell identity from parent to daughter cells, ergo maintaining the cell's fate.

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Table of Contents

List of figures and tables

Section 1

Chapter 1.2

Supplementary Material

Section 2

Chapter 2.2

Supplementary material

Chapter 2.3

Supplementary material

Chapter 2.4

List of Abbreviations

Preface

My dissertation is focused on stem cell fate regulation and maintenance in pluripotent cells. The thesis is divided into two related sections focusing on cell fate regulation and maintenance.

Section I is a study of the heterogeneity that exists within pluripotent cell cultures, resulting from cell fate decisions. Pluripotent cells can make a variety of decisions based on culture conditions, environmental factors, intra-cellular communication, and even spatial localization of the cells. As a result, a pluripotent culture is rarely homogeneous, and is a composite of cells with varying molecular phenotypes. The study focuses on how the expression of transcription factor REX1 affects cell fate in human pluripotent cells, and assays the phenotypic outcomes associated with it.

Section II is focused on the mechanisms of fate maintenance in pluripotent cells, specifically investigating and establishing the role of mitotic bookmarking in pluripotency. The basis of this study was an interesting observation made with a fluorescent REX1 fusion protein, which seemed to associate with mitotic chromatin. Mitotic chromatin association of transcription regulators in pluripotency was largely unexplored when we commenced the project. This piqued our interest, and provided us with an opportunity to fill some gaps that existed in the field of study.

SECTION 1: Stable subpopulations constitute the pluripotent compartment in

human embryonic stem cell cultures

SECTION 1: Stable subpopulations constitute the pluripotent compartment in human embryonic stem cell cultures

Preface

This section focuses on studying heterogeneity within human pluripotent cultures, based on differences in transcription factor expression. This project was already underway when I joined Dr. Jon Draper's lab, and I had the chance to contribute significantly towards it. The section starts off (Chapter 1.1) with an introduction to pluripotency, the known states of pluripotency, and the current knowledge of heterogeneity within pluripotent cultures. Chapter 1.2 is a published research study that was a result of this project. Chapter 1.3 is a brief conclusion and provides an update on the current research status of the project. This chapter also briefly outlines the findings that led to the design of research study that is the focus of Section 2.

Chapter 1.1: Introduction

1.1.1 Introduction to pluripotency: Embryonic stem cells (ESCs) are characterized by their ability to self-renew indefinitely and are pluripotent in nature, in that, they can differentiate into cells of all adult lineages: ectoderm, mesoderm and endoderm. ESCs, are tissue culture artifacts that are considered to be *in vitro* counterparts of the inner cell mass (ICM) of a blastocyst stage embryo, from which they are derived¹. The self-renewal and differentiation capabilities of ESCs make them a powerful tool for various clinical applications, including cell transplants, *in vitro* organ/tissue generation, and tissue specific drug discovery. They also present a unique opportunity to study mechanisms governing early development. Due to their wide array of potential applications, an in-depth understanding of mechanisms that maintain ESC pluripotency is crucial. Therefore, ESCs have been a hot spot for study since their initial derivation both in mouse² and humans¹. Pluripotent stem cells (PSCs), are characterized by the expression of key transcription factors that include OCT4, NANOG, KLF4, SOX2 and REX1 and cell surface antigens such as TRA-1-60 and SSEA3 (in humans) or SSEA1 (in mouse) (reviewed in Hoffman and Carpenter, 2005^3). Due to various ethical concerns surrounding the use of embryonic stem cells, Yamanaka and colleagues showed that adult somatic cells could be re-programmed to embryonic-like stem cells by re-expressing key pluripotency transcription factors, Oct4, Sox2, Klf4 and c-Myc to produce induced pluripotent stem (IPS) cells^{4,5}. These observations highlight the importance of core pluripotency-associated transcription factors in maintaining a stem like state. OCT4, SOX2 and NANOG form key components of the pluripotency transcription factor network in PSCs⁶ and further interact with transcription factors such as, Klf4, c-myc and $\text{Rex}1^{7,8}$ that could, therefore, play important roles in maintaining pluripotency.

Recently, another type of murine pluripotent stem cell was derived; Epiblast Stem cells $(EpiSCs)^9$. As opposed to preimplantation blastocyst derived ESCs, EpiSCs are derived from a postimplantation stage embryo. Although still pluripotent in nature, EpiSCs differ from ICM-derived mESCs in their transcriptional profile and phenotypic characteristics. EpiSCs, like ESCs, express Oct4 and Nanog, but lack the expression of pluripotency markers such as Rex1, Klf4

Figure 1: An overview of derivation and culturing of mESCs, EpiSCs and hESCs

and Fgf4, that are associated with the earlier state of pluripotency observed in the preimplantation ICM⁹. Like ESCs, EpiSCs can differentiate into all three lineages and can contribute to chimeras, but at a much lower frequency¹⁰. EpiSCs also express epiblast differentiation markers, such as Fgf5, and are therefore considered to represent a more advanced state of development than ESCs⁹. Importantly, in order to proliferate *in vitro* these two mouse pluripotent cell types require distinct, almost antagonistic, growth conditions: mESCs maintain pluripotency in the presence of LIF (Leukemia inhibitory factor) and BMPs (Bone Morphogenic Proteins); EpiSCs maintain pluripotency in bFGF- (basic fibroblast growth factor) and Activin- supplemented media⁹. Similar to mESCs,

human ESCs (hESCs) are derived from the pre-implantation blastocyst¹, and they express mESC-like pluripotency genes such as REX1, KLF4 and FGF4. In contrast to mESCs, hESCs differentiate in the presence of LIF and BMP4, and require EpiSC-like growth conditions (bFGF and Activin) to maintain pluripotency *in vitro*¹. Several attempts have been made to characterize hESCs on the pluripotency axis as defined by the mESCs and EpiSCs (Summarised in Figure 1)

1.1.2 Heterogeneity in murine ESCs: Pluripotency-associated makers such as SSEA1, Nanog, Rex1 and Stella are known to divide the mouse pluripotent compartment into at least two sub-types of pluripotent stem cells¹²⁻¹⁴. Analyses of these populations have shown that mESCs are a heterogeneous mix of naïve and primed pluripotent cells, which exist in a dynamic state of equilibrium with each other. It is now understood that pluripotency is not a single state, but represents a hierarchy, with cells at the apex representing the ground or "naïve" state of pluripotency. The phenotypic heterogeneity in stem cell cultures appears to arise, at least in part, from the heterogeneous expression of pivotal transcription factors and is often associated with varying cell fates. Phenotypic heterogeneity has real-world consequences. In ESCs, it is a limitation for efficiently differentiating stem cells into cell populations of desired lineages for clinical applications 11 .

Studying transcription factor heterogeneity has provided much needed insights into the expression kinetics of these factors in maintaining pluripotency *in vitro,* and has shed light into their *in vivo* functions. Furusawa et al in 2004, first described heterogeneity in mESCs by isolating different mESC populations based on the expression of the stem cell specific surface markers PECAM1 (platelet endothelial cell adhesion

5

molecule 1) and SSEA1 (stage-specific embryonic antigen-1)¹⁵. PECAM1+ cells marked the pluripotent cells, while SSEA1 sub-divided this pluripotent compartment into PECAM1+SSEA1- and PECAM1+SSEA1+. These subtypes were still pluripotent, as marked by their ability to contribute to chimeras, but PECAM+SSEA1- cells when transplanted in mouse embryos disappeared in post-implantation stages suggesting that these cells have lost the competence for later organ development, and therefore represent an altered cell fate compared to pluripotent $SSEA + \text{cells}^{15,16}$.

Dissecting heterogeneity in ESCs, is limited by the handful of stem cell specific surface antigens yet discovered. Additionally, immunostaining offers a static image of populations and hence limits studying the dynamic interactions between these subpopulations. To overcome these limitations, several groups have undertaken gene knockin transcriptional reporter based approaches. Nanog is considered a core element important for pluripotency *in vivo* and *in vitro,* as its deletion causes embryonic lethality while its over-expression can maintain pluripotency in a cytokine independent manner *in vitro*¹². Despite its important role in pluripotency, Nanog expression in mESCs was found to be highly unequal within pluripotent cells when compared to Oct4 expression. Two independent studies in 2007, using Nanog-GFP- 17 and Nanog-β-geo- 18 based transcriptional reporter systems discovered a mosaic pattern of Nanog expression in mESC cultures. Upon in-depth analysis, it was shown that Nanog low cells were enriched for early endoderm markers, priming them for a primitive endoderm fate, while Nanog high cells showed a greater expression of pluripotency genes¹⁸. Similar to Nanog expression, the expression of StellaGFP reporter also showed an uneven distribution in mESCs. The Stella gene is a germ cell marker, but its expression is also observed in preimplantation blastocysts and, therefore, is considered an embryonic stem cell marker¹⁹. StellaGFP+ cells showed greater expression of pluripotency genes such as Pecam1 and Rex1, while StellaGFP- cells were enriched for differentiation gene transcripts such as Fgf5 and $Gbx2^{13}$.

Additionally, Rex1 (Reduced Expression 1), an early ICM marker, is also expressed heterogeneously in mESCs. Using a Rex1GFP reporter, along with an Oct4CFP reporter, it was shown that Oct4+ cells can be segregated into functionally distinct Rex1-/Oct4+ and Rex1+/Oct4+ sub-populations¹⁴. In addition to similar gene expression differences observed with NanogGFP and StellaGFP positive/negative cells, Rex1-/Oct4+ cells were considerably less efficient in generating mouse chimeras as opposed to Rex1+/Oct4+ cells. The Rex1-/Oct4+ population also differentiated efficiently into early ectoderm more easily compared to Rex+Oct4+ cells and was, therefore, characterized with a primitive ectoderm cell fate as opposed to the more ICMlike $\text{Rex1+}/\text{Oct4+ population}^{14}$.

These studies highlight that at least two types of pluripotency states exist within the murine embryonic stem cell compartment. What has not yet been identified is the overlapping relationship between these sub-categories marked by the loss of expression of individual markers. The loss of expression of Nanog, Stella and Rex1 in pluripotent cells (as marked by Oct4 expression) correlates with cells primed for differentiation, and they represent a pre-committed state slightly more developmentally advanced¹² compared the ground state of ESCs (Figure 2).

Naïve and Primed Pluripotent States: Heterogeneity in ESCs has led to the concept of naïve (or ground) and primed states of pluripotency. "Stemness" is thought to

be a default state of ESCs, in which they exist and proliferate without any external stimuli for differentiation^{20,21}. Indeed, when grown in growth conditions supplemented by small molecule inhibitors of MEK (MAPK/ERK Kinase) and GSK3 (glycogen synthase kinase-3), the two pathways that promote differentiation in mESCs, it was shown that mESCs enter a stable ground state, which is characterized by a relatively homogeneous gene expression²¹. In the ground state, heterogeneity in Nanog and Rex1 expression was substantially decreased²¹, suggesting that heterogeneity is a function of the culture conditions ESCs are grown in. Similarly, when Nanog is over-expressed in ESCs, the resulting culture is more homogenous in nature, with a decrease in expression of early differentiation markers, maintaining cells in the more stem-like state¹⁸. The naïve state, therefore, is a stable state represented by homogenous cultures, and maintained by the core transcriptional circuitry, which is very sensitive to the exogenous factors it is exposed to²¹. Mouse ESCs in the ground state are at the apex of pluripotency, and homogeneously express Rex1 and Nanog. Loss of expression of these markers in mESCs coincides with descent though this hierarchy, and priming for differentiation.

1.1.4 Heterogeneity in EpiSCs and hESCs: Human embryonic stem cells (hESCs) and EpiSCs share similar culture conditions (Figure 1), but also share other phenotypic traits, including a flat pancake like morphology and lower colony forming capabilities when seeded as single cells, when contrasted to $mESCs^{1,2,9}$. The concept of heterogeneity is understudied in hESCs and EpiSCs, however, recently, using an Oct4- GFP reporter, Schöler and colleagues showed that EpiSCs are a heterogeneous mix of Oct4+ and Oct4- cells, with the former occupying a very small percentage of the total cell population¹⁰. Using gene expression analysis with two different counterpart *in vivo* epiblast stages (E5.5 and E6.5) it was shown that Oct4+ and Oct4- cells were developmentally distinct with the former being similar to the early (E5.5) epiblast. Oct4+ cells, as opposed to Oct4- cells and similar to mESCs, were capable of contributing to chimeras¹⁰. Oct4+ EpiSCs were therefore higher up in the pluripotency hierarchy.

1.1.5 Epigenetic fluidity and stability of stem cell subpopulations: A common denominator in the pluripotent subpopulations of all murine models is their metastablity, which defines the existence of these populations in a dynamic equilibrium. While Nanog+, Rex1+ and Stella+ populations spontaneously converted to Nanog-, Rex1- and Stella- populations, respectively, in culture, the reverse was also true^{13,14,18}. Even though the loss of these transcription factors is speculated to be marked by less stringent epigenetic modifications and is, therefore, reversible, the process has not been studied in detail. However, it was shown that the loss of expression of Stella in mESCs was accompanied by histone modifications¹³. Since the underlying culture environment is a common factor, it has been speculated that the mESC culture environment, LIF and BMPs, promotes epigenetic stability and resists major changes²².

Supporting this hypothesis, Bao and colleagues showed that EpiSCs could spontaneously revert to an ESC like state when moved from their standard bFgf+Activin containing media into $LIF+BMP$ containing media²², despite the EpiSC displaying divergent DNA methylation mechanisms compared to mESCs. Together, these observations imply that if culture conditions were the only underlying factor governing stability and metastability of the pluripotent subpopulations, then Oct4+/- EpiSCs would also represent stable populations. However, it was shown that the Oct4+/- EpiSCs were metastable in nature¹⁰, perhaps suggesting that interchangeable dynamics between closely related pluripotent sub-populations is a common factor in early developmental cells.

1.1.6 Research Hypothesis

Heterogeneity in transcription factor expression in hESCs, although widely speculated and expected, is barely studied. To address the phenomena of heterogeneity and metastability in the context of human pluripotency, we assayed the expression of the REX1 gene locus in hESCs using a REX1Venus reporter system in conjunction with staining for the pluripotency marker TRA-1-60. We *hypothesized that like mESCs, the pluripotent compartment of hESCs is divided by the expression of REX1, and that REX1+ and REX1- pluripotent sub-populations are functionally different, with the latter being more primed for differentiation*. We tested the hypothesis by characterizing REX1Venus expression in hESCs, and used fluorescence activated cell sorting (FACS) to isolate the different populations and to assay their phenotypic properties, including self-renewal and differentiation capabilities.

Chapter 1.2: Demarcation of stable subpopulations within the pluripotent hESC compartment.

Preface

This chapter is an original published article from the journal PLoS ONE, and is presented in its published form.

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This study was designed by Dr. Jonathan Draper and I helped in the execution of it. Ivana Roth-Albin, and Dr. Carlos Pilquil generated the REX1Venus reporter lines. I performed self-renewal, and differentiation assays, and designed and executed the DNA methylation assay. I contributed towards the following figures: Fig. 2, 5, 6, S5 and S6 and the writing of the manuscript.

TITLE: Demarcation of stable subpopulations within the pluripotent hESC compartment.

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ABSTRACT

Heterogeneity is a feature of stem cell populations, resulting from innate cellular hierarchies that govern differentiation capability. How heterogeneity impacts human pluripotent stem cell populations is directly relevant to their efficacious use in regenerative medicine applications. The control of pluripotency is asserted by a core transcription factor network, of which Oct4 is a necessary member. In mouse embryonic stem cells (ESCs), the zinc finger transcription factor Rex1 (Zfp42) closely tracks the undifferentiated state and is capable of segregating Oct4 positive mESCs into metastable populations expressing or lacking Rex1 that are inter-convertible. However, little is currently understood about the extent or function of heterogeneous populations in the human pluripotent compartment.

Human ESCs express *REX1* transcripts but the distribution and properties of *REX1* expressing cells have yet to be described. To address these questions, we used gene targeting in human ESCs to insert the fluorescent protein Venus and an antibiotic selection marker under the control of the endogenous *REX1* transcription regulatory elements, generating a sensitive, selectable reporter of pluripotency. *REX1* is coexpressed in OCT4 and TRA-1-60 positive hESCs and rapidly lost upon differentiation. Importantly, *REX1* expression reveals significant heterogeneity within seemingly homogenous populations of OCT4 and TRA-1-60 hESCs. *REX1* expression is extinguished before OCT4 during differentiation, but, in contrast to the mouse, loss of *REX1* expression demarcates a stable, OCT4 positive lineage-primed state in pluripotent hESCs that does not revert back to *REX1* positivity under normal conditions. We show that loss of *REX1* expression correlates with altered patterns of DNA methylation at the

REX1 locus, implying that epigenetic mechanisms may interfere with the metastable phenotype commonly found in murine pluripotency.

INTRODUCTION

Heterogeneity describes mixtures of distinct sub-populations of cells with functional differences that arise due to a balance of stem cell self-renewal and differentiation. In pluripotent stem cells, the cells at the apex of potency make discreet fate decisions, committing to one of numerous, but finite lineage choices, and descend through stages of cellular potential towards differentiated somatic phenotypes. Heterogeneity is a feature of stem cell systems throughout development, including intestinal, neural and hematopoietic stem cells (Graf and Stadtfeld, 2008), and the fluctuations in gene expression that comprise the heterogeneity in stem cell populations may be a necessary feature, presenting "windows of opportunity", during which cellular fate choices can be made (Chang et al., 2008; Graf and Stadtfeld, 2008; Huang et al., 2007). The identification and characterization of the cellular hierarchies that distinguish the differentiation capability of cells during development enables control over these processes, permitting the efficient differentiation of cells into tissues suitable for regenerative medicine applications.

In the early mouse embryo, a network of genes, including Oct4, Sox2 and Nanog, establish and maintain the pluripotent state (Chambers et al., 2003; Chambers et al., 2007; Masui et al., 2007; Mitsui et al., 2003; Niwa et al., 2000). Pluripotent cells can differentiate into all tissues of the adult organism and represent the highest level of potency from which permanent *in vitro* cell lines, embryonic stem cells (ESCs), have been established. Mouse ESCs closely resemble the "naïve" inner cell mass (ICM) of the blastocyst both in gene expression and differentiation capability (Beddington and Robertson, 1989; Guo et al., 2010) but display measurable differences from later mouse

epiblast stem cells (EpiSC) (Brons et al., 2007; Najm et al., 2011; Tesar et al., 2007), which are still considered pluripotent and capable of generating tissues comprising all three germ layers. These observations suggested the existence of a hierarchy within the pluripotent compartment that has recently been explored by several elegant genetic experiments. Mouse ESCs carrying fluorescent reporter proteins under the control of pluripotency-associated transcription factors such as Rex1 (Toyooka et al., 2008), Nanog (Chambers et al., 2007) and Stella (Hayashi et al., 2008) have described an unappreciated level of heterogeneity present in pluripotent Oct4 expressing ESC cultures. These reports have described the phenomena of metastability within the pluripotent compartment, in which ESCs fluctuate the expression of pluripotent markers as they transit between a naïve and lineage primed state. In particular, expression of the zinc finger transcription factor Rex1 (Zfp42) is exquisitely controlled during early embryogenesis and is sufficient to distinguish cells with an earlier ICM phenotype, capable of re-entering development and contribution in chimeric assays, from cells with later epiblast-like characteristics, that show poor chimeric contribution but good in vitro differentiation (Toyooka et al., 2008). To date, the expression and necessity of genes such as *OCT4*, *SOX2* or *NANOG* have been investigated in undifferentiated hESCs(Adachi et al., 2010; Babaie et al., 2007; Hyslop et al., 2005) but attempts to explore the presence of a hierarchy within the pluripotent compartment have been limited to extant antibodies to cell surface markers(Enver et al., 2005; Stewart et al., 2006).

We previously identified the human *REX1* gene and showed that *REX1* transcripts are expressed in human ESCs and are associated with an undifferentiated phenotype (Henderson et al., 2002). To gain insight into *REX1* transcript expression, distribution and

16

the nature of pluripotency in hESCs, we used homologous recombination to target the human *REX1* locus with the Venus fluorescent reporter gene(Nagai et al., 2002). The $REX1^{Van/w}$ hESC reporter cell lines not only allow a functional enrichment for undifferentiated cells but also describe a subpopulation of *REX1* expressing cells within heterogeneous populations of pluripotent OCT4 or TRA-1-60 expressing hESCs. Fractionation of hESC based on REX1Venus expression reveals a previously hidden hierarchy within the pluripotent compartment, comprising undifferentiated and differentiation primed cells, which lacks the metastability observed in murine ESCs

MATERIALS AND METHODS

Human ESC culture and differentiation.

Human ESC line H1(Thomson et al., 1998) (WiCell) was grown on mitoticallyinactivated MEFs in hESC media (Knockout DMEM supplemented with 15% Knockout SR, 1x Non Essential Amino Acids, 1x Glutamax, 1x 2ME (all Invitrogen) and 16ng/ml bFGF (Peprotech) and passaged with Collagenase type IV (Invitrogen). For antibiotic selection experiments, cells were cultured in hESC media with or without the addition of 1.5ug/ml puromycin. For monolayer differentiation, hESCs were grown in hESC media on MEF coated 48 well plates and differentiation initiated by substituting the hESC media for DMEM supplemented with 10% FBS, 1x Non Essential Amino Acids and 1x Glutamax. 10uM retinoic acid (RA) was added to the differentiation media in some experiments. To evaluate hematopoietic differentiation in $REX1^{Ven/w}$ hESCs, EBs were generated by suspension culture methods as previously described(Cerdan et al., 2007). Briefly, undifferentiated $REX1^{Van/w}$ hESCs were grown on Matrigel to confluence and then treated with Collagenase IV and mechanically scraped off into clumps and incubated overnight in 6-well ultralow attachment plates to allow EB formation (Cornings). For endoderm differentiation of cells isolated using fluorescence activated cell sorting (FACS), cells were grown in hESC media supplemented with Y27632 (Tocris Bioscience) for 24hrs and then placed in DMEM/F12 media with 1% FBS + 100ng/ml Activin A (Peprotech)+ 100ng/ml BMP4 for three days. For hematopoietic differentiation, EBs were cultured in StemPro34 serum-free medium (Invitrogen) supplemented with cytokines as follows: 300 ng/ml stem cell factor (SCF; Amgen), 50 ng/ml granulocyte colony stimulating factor (G-CSF; Amgen), 25 ng/ml bone

morphogenic protein-4 (BMP-4; R&D systems), 10 ng/ml interleukin-3 (IL-3; R&D systems), 10 ng/ml interleukin-6 (IL-6; R&D systems), and 300 ng/ml Flt-3 ligand (Flt-3 L: R&D systems). The EBs were cultured for 15 days with medium changes every 3 days. For mesoderm differentiation, FACS isolated populations were cultured in hESC media with Y27632 for 24hrs, followed by a 48hr treatment with 10ng/ml BMP4 (Peprotech) and 20ng/ml bFGF (Peprotech) in DMEM/F12 with 1% NEAA, 2% B27(Invitrogen), 1% ITS(Invitrogen) and 90uM 2-ME(Bernardo et al., 2011).

Vector construction and homologous recombination (HR).

The REX1-VF2Pu targeting vector was generated by recombineering. Briefly, a SalI/EcoRI cut Venus-F2A-Puro-pA cassette was cloned into SalI/EcoRI cut pL451 (NCI-Frederick) to create pL451+VF2Pu. 50bp REX1 locus specific homology arms were added to the region spanning Venus to the 3' Flp site of pL451 by PCR amplification (PrimeStar, Takara) with the REXVenus-F and REXVenus-pL451-R primers (Table S1; primers ordered from Sigma Genosys), producing the REX1VEN PCR product. Bacteria carrying the Human BAC RP11-713C19 and the pSC101-BADgba plasmid(Wang et al., 2006) (containing the Red/ET recombineering genes) were then electroporated with the REXVEN PCR product and correct replacement of the ATG of the *REX1* open reading frame (ORF) within exon 4 by the Venus-F2A-Puromycin cassette was confirmed by PCR and sequencing. REX-Gap-Rep-R and REX-Gap-Rep-F primers were used to add REX 5' and 3' specific 50bp homology arms onto EcoRI/NotI linearised pBS2SK (Stratgene), producing the REXGAP PCR product. Gap repair was performed on the REXVEN BAC with the REXGAP PCR product to generate the pREX1-VF2Pu-TV targeting vector with 2.5kb 5' and 4.5kb 3' *REX1* specific homology arms, and confirmed by sequencing across HR junctions. The pREX1-VF2Pu-TV plasmid was transferred to the EL250 recombineering strain bacteria (NCI-Frederick) containing an inducible Flp and the FRT flanked PGK-Neo-pA excised.

HESC cell line H1 was pre-treated with 10uM Y27632 in hESC media for 1 hour and electroporated with 30ug of AloI linearised pREX1-VF2Pu-TV as previously described(Costa et al., 2007). After electroporation, cells were replated on 4DR(Tucker et al., 1997) MEFs in hESC media containing Y27632. 72 hours after electroporation, homologous recombination events were selected for by the addition of 1ug/ml puromycin for 10 days. Colonies were picked by hand under a dissecting microscope and transferred to MEF coated 4 well plates prior to expansion. Southern blot was performed on 10ug of PvuII digested hESC genomic DNA with a 470bp 5' probe generated by PCR with REXprb-F and REXprb-R, producing an 8.9kb band from the wild type allele and a 6.8kb band from the targeted allele.

Immunofluorescence, high content imaging and analysis.

HESCs were cultured in 48 well plates, washed with PBS, fixed with 4% PFA, washed with PBS, permeablised with 100% ice cold methanol and washed with PBS. Cells were stained with Hoechst 33342 and primary antibodies for OCT4 (mouse monoclonal 1:200, BD #611203), NANOG (rabbit monoclonal 1:400, Cell Signaling #4903), GATA4 (rabbit polyclonal 1:300, Sana Cruz #sc-9053) and p21 (rabbit monoclonal 1:400, Cell Signaling $\#2947$) in 1% BSA in PBS for 2 hours at room temperature or 4° C overnight, washed with PBS and stained with secondary antibodies (Goat anti Mouse AF546 1:500,
Invitrogen # A-11030; Donkey anti Rabbit AF647 1:500, Invitrogen #A-31573). Analysis was performed as previously described(Calder et al., 2012), briefly: plates were imaged on a Cellomics ArrayScan HCS reader (Thermo Scientific) or an Operatta High Content Screening System (Perkin Elmer) and images uploaded to a Columbus database (Perkin Elmer) and image analysis of immunofluorescence and reporter fluorescence was performed using Acapella high content and analysis software (Perkin Elmer). Cell nuclei were identified by Hoechst 33342 staining and the fluorescence intensity of the same nuclei in the VENUS, Cy3 and Cy5 channels measured. Custom MatLab (Mathworks) scripts were then used to quantify the fluorescent intensity of each nuclei in all channels and output statistics.

Flow Cytometry, FACS and CIC assay.

HESCs were dissociated to single cells, counted and $2x10⁵$ cells co-stained with antibodies (or their corresponding isotype controls) diluted in staining buffer (1% BSA in PBS with 2mM EDTA) for 30 minutes on ice and then washed 2x with staining buffer. Cells were stained with the viability dye 7 aminoactinomycin D (7-AAD) (Immunotech) to exclude dead cells and analysed on a FACSCalibur or LSRII (BD Biosciences). For FACS, populations were fractionated using an Aria II (BD Biosciences) or a MoFlo (BD). Antibody dilutions were as follows: TRA1-1-60 @ 1:2000 (AF647 conjugated, BD Biosciences #560122), E-Cadherin ω 1:100 (PE conjugated ,Santa Cruz #sc-21791-PE), A2B5 @ 1:100 (APC conjugated, Miltenyi Biotec #130-093-58), CXCR4 @ 1:100 (APC conjugated, $R&D# FAB170A)$, CD31-APC $@.1:100$ (BD Pharmingen), CD34-APC $@.1:100$ 1:100 (Miltenyi Biotech), and CD45-APC @ 1:100 (Miltenyi Biotech). For the colony initiating cell (CIC) assay, cells were deposited at 25k and 50k cells by the ARIA II directly into wells of a 6 well plate containing mitotically inactivated hDFs(Bendall et al., 2007) (hESC derived fibroblasts, 200k per well) and hESC media and then re-cultured for 12 days. Plates were then fixed with 100% methanol, washed in PBS and stained with OCT4 (mouse monoclonal 1:200, BD #611203). Plates were imaged using a flatbed scanner (Canon) and colonies enumerated using a custom macro written for ImageJ (rsbweb.nih.gov/ij/).

mRNA extraction and PCR

mRNA and genomic DNA was isolated from hESCs with a RNA/DNA/Protein Purification kit (Norgenbiotek). mRNA was reverse transcribed into cDNA with an iScript kit (BIORAD), and subject to SYBR Green chemistry based QRT-PCR (Quantitative Real-Time PCR) (GoTaq master mix, Promega). Target genes were quantified relative to the house keeping genes TBP and/or CYCG. The presence of the REX1 targeting vector in genomic DNA was ascertained by gDNA PCR using a common forward primer (REXgDNA-F) in the 5' UTR of exon 4 combined with either a reverse primer (REXgDNA-R) in the endogenous REX1 ORF (recognizing endogenous REX1; 643bp band) or a reverse primer (Venus-R) in the Venus ORF (recognizing the REX1- VF2Pu targeting vector; 545bp band). QRT-PCR primers used in this study are listed in Table S2.

Bisulphite DNA methylation assay

Genomic DNA was isolated using All-in-one purification kit (Norgen Biotek Corp., Cat #: 24200), and was subjected to bisulfite conversion and treatment as per manufacturer's instructions (EZ DNA Methylation-GoldTM kit, Zymoresearch). Bisulfite converted DNA was PCR amplified using IMMOLASE™ DNA Polymerase (Bioline) cycling at: 95°C for 1min, [95°C for 30s, 58°C for 30s and 72°C for 1min]x40. Primers used in this study were generated elsewhere (Deb-Rinker et al., 2005; Takahashi et al., 2007) and are listed in Table S3. PCR products were cloned into pGEM®-T Easy Vector System I (Promega), purified and sequenced using T7 primer. The sequences were analyzed using QUMA analysis tool (http://quma.cdb.riken.jp/) (Kumaki et al., 2008).

Statistical analysis.

Error bars show SEM. Statistical analysis (t-test) was performed with Prism 5 (Graphpad). $*=p<0.05$, Graphs generated from the automated image analysis are derived from an n of between 3 and 6. Each n involved the analysis of >10,000 cells.

RESULTS

1. Generation of REX1 Ven/w **human embryonic stem cells.**

REX1 is highly expressed in undifferentiated cultures of hPSC(Henderson et al., 2002), so we used homologous recombination to replace the start codon of an endogenous REX1 allele with a Venus-F2A-puromycin cassette (Fig. 1A) and enriched for HR events by puromycin selection. Two clones with a correctly targeted *REX1* allele ($REX1^{Ven/w}$) were confirmed by southern blotting (Fig. 1B) and displayed bright REX1Venus expression restricted to colonies with an undifferentiated hPSC morphology (Fig. 1C). Karyotyping revealed that the $REX1^{Van/w}$ clones retained a normal 46 XY chromosomal count (Fig. S1).

2. REX1 expression delineates a subpopulation of pluripotent hESCs.

REX1Venus expression was confined to a subpopulation of cells co-stained with the human pluripotency-associated cell surface marker TRA-1-60(Draper et al., 2002) (Fig. 1D), confirming the association of our *REX1* reporter expression with pluripotency in hPSCs but also establishing that *REX1* displays heterogeneous expression in hPSCs. All REX1Venus-positive (herein referred to as VEN+) cells co-stained with the epithelial marker E-CADHERIN but showed virtually no reactivity with differentiation markers such as A2B5 and CXCR4 (Fig. 1D & Fig. S2A), expressed on ectoderm and endoderm cells, respectively. Functional enrichment for VEN+ cells by the addition of puromycin to $REX1^{Van/w}$ cultures depleted virtually all spontaneous differentiation (Fig. 1D & Fig. S2B), as measured by loss of A2B5 and CXCR4 expressing cells and TRA-1-60 negative cells, being composed almost uniformly of TRA-1-60 and VEN double positive cells (Fig. 1D). VEN+ cells isolated by FACS display a 13-fold enrichment for *REX1* transcript, when compared to VEN- cells, but less than 3-fold increase in other pluripotency markers such as *OCT4*, *NANOG* and *SOX2* (Fig. 1E), validating the fidelity of the REX1Venus reporter to enrich REX1-expressing cells. Markers of early differentiation, including *N-CAD*, *EOMES, FOXA2* and *CDX2* were all enriched in the VEN- cells (Fig. 1F).

3. REX1 expression marks a high level domain in the pluripotent hierarchy.

We next tested the hypothesis that *REX1* expressing cells occupy a position towards the top of the pluripotency hierarchy. FACS-fractionated (to 99.9% purity) VEN+ or VENpopulations were separately re-cultured in undifferentiated hPSC conditions and the profile of TRA-1-60 and REX1Venus expression was evaluated over time. Ten days after sorting, the VEN+ fraction contain not only TRA-1-60 expressing VEN+ (TRA+VEN+) cells but had also re-constituted the TRA+VEN- population (Fig. 2A). Cultures derived from the VEN- fraction contained a large proportion of TRA-1-60 positive cells but, in contrast to the behaviour of the VEN+ hPSCs and the murine Rex1-GFP reporter(Toyooka et al., 2008), did not re-establish a VEN+ population, even after 2 months of continuous culture (data not shown), despite the demonstrable presence of the REX1-VF2Pu targeting vector in the genomic DNA (Fig. S3). A second serial round of FACS purification performed on the VEN+ cultures derived from the first sort displayed the same pattern of REX1Venus distribution (Fig. 2A), confirming that REX1-expressing VEN+ cells can produce VEN- cells but not the converse, implying that VEN+ cells occupy a higher level in the pluripotent hierarchy than VEN- hPSCs.

The lack of reversion from VEN- to VEN+ cells prompted an investigation of the epigenetic mechanisms that might be regulating the expression of *REX1*. We performed bisulfite genome sequencing on the *REX1* and *OCT4* gene loci on FACS isolated populations demarcated by the expression of both TRA-1-60 and REX1Venus. Assay of the three main populations demonstrated that only the TRA+VEN+ populations displayed hypo-methylation at both the REX1 and OCT4 promoters (Fig. 2B). Therefore, the lack of metastable reversion from VEN- to VEN+ in hESCs could be due to epigenetic changes at the REX1 locus. This suggests that epigenetic modification to the DNA may be responsible for the stability of the VEN- population.

4. REX1 expression is rapidly lost upon differentiation.

Antibody co-staining of $REX1^{Ven/w}$ hPSCs revealed that both OCT4 and NANOG marked virtually all cells within colonies that were morphology identifiable as undifferentiated, but VEN+ cells were often distributed in a mosaic pattern (Fig. 3A). By contrast, p21, a cell cycle inhibitor associated with the differentiation of hPSCs(Egozi et al., 2007), surrounded the colonies and did not overlap with REX1Venus and OCT4. In addition, differentiation of $REX1^{Van/w}$ hPSCs in a hematopoietic differentiation assay showed that REX1Venus intensity is not detected in populations of cells that express CD31, CD34 or CD45, all markers of hematopoietic cell lineages(Cerdan et al., 2007) (Fig. S4). Like murine Rex1(Toyooka et al., 2008), our data shows that expression of human *REX1* is associated with the pluripotent state and is lost upon differentiation.

We used automated high-content imaging combined with cell annotation software analysis to quantify the overlap of REX1Venus, OCT4 and NANOG expression in undifferentiated and differentiating $REX1^{Ven/w}$ hPSCs. In undifferentiated cultures, just under half of the cells were VEN+ and NANOG+ or VEN+ and OCT4+, with a fifth expressing only NANOG or OCT4 (Fig. 3B). In comparison, over half of undifferentiated hPSCs were NANOG and OCT4 double positive, and fewer were solely OCT4 or NANOG positive. After two days of culturing in conditions that antagonize pluripotency (DMEM + 10% FBS & retinoic acid)(Draper et al., 2002), REX1Venus and NANOG were virtually absent from $REX1^{Van/w}$ hPSCs cultures, despite a fifth of the population continuing to express OCT4 (Fig. 3B). Nearly all VEN+ cells were NANOG+ or OCT4+, compared with two thirds of NANOG+ or OCT4+ cells that were VEN+ (Fig. 4A), showing that OCT4 is the most widespread pluripotency marker in hPSC cultures. Upon induction of differentiation, REX1Venus was quickly lost from NANOG+ and OCT4+ cells, and nearly all remaining NANOG-positive cells continued to express OCT4 after 2 days of differentiation (Fig. 4A). Together, these data imply that both REX1 and NANOG mark a subset of cells within the more abundant OCT4-positive population, and that the expression of REX1 and NANOG are extinguished before OCT4 during differentiation. In contrast, the differentiation marker, p21, displayed no appreciable overlap with either OCT4+ or VEN+ cells (Fig. 4B).

5. Colony forming capacity is not confined to *REX1* **expressing hESCs.**

A colony initiating cell (CIC) assay, a measure of self-renewal capacity(Wray et al., 2010), was used to evaluate whether a functional advantage was associated with *REX1* expression (Fig 5A). The REX1Venus were co-stained with TRA-1-60 and fractionated by FACS into TRA+VEN+, TRA+VEN- and TRA-VEN- populations, seeded back into undifferentiated hESC growth conditions(Draper et al., 2002) at two defined dilutions and emerging pluripotent colonies quantified by OCT4 expression. The CIC activity of both TRA+VEN+ and TRA+VEN- fractions were comparable, at \sim 0.1%, for all dilutions tested, in accordance with the anticipated CIC efficacy for karyotypically normal hPSCs(Enver et al., 2005; Watanabe et al., 2007), and the loss of both REX1Venus and TRA-1-60 marks a differentiated state containing negligible CIC activity. We then

analyzed the REX1Venus expression in colonies that emerged from FACS isolated VEN+ or VEN- fractions. The VEN+ derived colonies expressed both REX1Venus and OCT4 protein but the VEN- derived colonies expressed OCT4 and remained uniformly negative for REX1Venus expression (Fig. 5B), a result consistent with our previous findings that VEN- cells are unable to re-establish VEN+ cells (Fig. 2A). These data suggest that the TRA+VEN+ and TRA+VEN- fractions are essentially equivalent in their ability to regenerate OCT4 expressing hESC colonies, and that the higher levels of *REX1* expression associated with the TRA+VEN+ populations are not a requisite for hESC colony formation.

6. REX1-negative hPSCs are lineage primed.

To understand if there was a functional outcome associated with loss of REX1 expression in hPSCs, we then used FACS to isolate the TRA+VEN+ and TRA+VEN- populations and asked whether they had distinct phenotypes. QRT-PCR analysis demonstrated that pluripotency genes such as *OCT4*, *NANOG* and *SOX2* were expressed at equivalent levels in both the TRA+VEN+ and TRA+VEN- populations despite the enrichment for *REX1* transcripts in the TRA+VEN+ population (Fig. 6A). In contrast, the TRA+VENpopulation displayed a marked increase in the transcripts of early definitive endoderm specification such as *EOMES* and *SOX17* when compared to the TRA+VEN+ cells (Fig 6A), as well as the pan or extraembryonic endoderm markers *FOXA2*, *AFP*, *GATA6* and *HNF1B* (Fig 6A and Fig. S5A). We next tested whether the differential in expression of early lineage marker transcripts between the TRA+VEN+ and TRA+VEN- cells were maintained after guided differentiation in endoderm or mesoderm inducing conditions for

3 or 2 days respectively (Fig. 6B). The TRA+VEN- population displayed a two-fold increase over the TRA+VEN+ population in the expression of mesoderm genes, *BRACHYURY* and *MIXL1*, after 2 days in mesoderm inducing conditions (Fig. 6C). Similarly, the TRA+VEN- cells showed higher transcript expression for the endoderm markers, *EOMES*, *SOX17* and FOXA2 in TRA+VEN- cells after 3 days of differentiation towards the endoderm lineage, when compared to TRA+VEN+ cells (Fig. 6D). The TRA+VEN- cells also generated a higher number of cells expressing GATA4 protein compared to the TRA+VEN+ cells after 3 days of endoderm differentiation (Fig. 6E $\&$ Fig. S5B). Similar trends were also evident in an endoderm time course differentiation (Fig. S6) performed on VEN+ cells that were purified by puromycin drug selection or VEN- cells that had been isolated by FACS (Fig. 2A) and then subsequently cultured for several months, implying that the VEN- cells represent a stable lineage primed state.

DISCUSSION

We have targeted an allele of the transcription factor, *REX1*, in human embryonic stem cells with a fluorescent protein and used this reporter to investigate the pluripotent compartment present in cultures of hESCs. Our *REX1* reporter hESCs have, for the first time, enabled the tracking of *REX1* expression during the culture and differentiation of hESCs. Although it has been understood for some time that undifferentiated hESC cultures often contain cells that have arisen by spontaneous differentiation (Draper et al., 2002), markers like TRA-1-60 or OCT4 are frequently accepted as faithful markers of seemingly equivalent populations of pluripotent hESCs. Our analysis of $REX1^{Ven/w}$ hESCs revealed that TRA-1-60 and OCT4 expressing cells contain a subpopulation that

is demarcated by *REX1* expression, and provides evidence that a similar heterogeneity exists within hESCs that has previously been observed within the undifferentiated murine ESC compartment using a mouse Rex1 ESC reporter line(Toyooka et al., 2008). We have demonstrated the connection between *REX1* and pluripotency by using the puromycin antibiotic selection cassette in our $REX1^{Van/w} hESCs$ as a mechanism for enriching pluripotent hESCs at the expense of differentiated cells. In addition, we have used prospective isolation of VEN+ cells to annotate the molecular phenotype associated with *REX1* expression. VEN+ cells displayed a comparative enrichment for *REX1* transcripts and lower levels of differentiation markers. However, two distinct subpopulations are evident within TRA-1-60 expressing *REX1* reporter hESCs: TRA+VEN+ and TRA+VEN- cells, with the latter showing similar levels of *OCT4*, *SOX2* and *NANOG* gene transcripts to the TRA+VEN+ but higher levels of early lineage associated markers. In addition, the TRA+VEN- cells showed a greater expression of lineage markers when challenged for differentiation, providing compelling evidence that TRA+VEN- cells are primed for differentiation. Importantly, our finding that distinct subpopulations of hESCs display different aptitudes at differentiation is not without precedent, with others showing that clonal tracking can unmask significant contribution variability in seemingly identical hESCs(Stewart et al., 2010). Notwithstanding, there are some important caveats to the data we present here. We undertook gene targeting of *REX1* in hESCs principally due to the paucity of commercially available REX1 antibodies that accurately detect this protein. The lack of connection between the *REX1* transcript data that our reporter provides and endogenous REX1 protein levels negates the drawing of conclusions concerning REX1 function in the TRA/VEN populations that we have isolated. In addition, the act of

targeting one of the *REX1* alleles could impact the levels of REX1 protein expressed within the hESCs, potentially disturbing the ability of this transcription factor to properly function. The impact of heterozygosity at the Rex1 locus in murine pluripotency is poorly defined, but heterozygous Rex1-GFP reporter murine ESCs continue to participate in the formation of chimeric animals (Toyooka et al., 2008), suggesting that any impact on pluripotency appears to be minimal. Interestingly, although Rex1 heterozygous adult mice are viable, the expected Mendelian ratio of their litters is disturbed, a phenotype which has been speculated to occur due to the role of Rex1 in later gametogenesis(Kim et al., 2011). With these concerns in mind, knock-in reporter lines continue to provide important insights into biological processes when other conventional reagents are lacking.

Our study features the first in depth investigation of heterogeneity and the stability of sub-populations within the pluripotent compartment of hESC cultures. Using our reporter, we have isolated discrete pluripotent fractions and then mapped the inter conversion between phenotypes. VEN+ cells occupy the top domain of hPSC pluripotency, giving rise to the TRA+VEN- and differentiated TRA-VEN- cells when recultured. Unexpectedly, the VEN- cells could only re-generate the TRA+VEN- and TRA-VEN- populations but not the TRA+VEN+, despite the demonstrable presence of the REX1 targeting vector in the gDNA of these cells. To rule out the presence of contaminating wild type hESCs in the TRA+VEN- population we performed serial FACS fractionation and found the same pattern of provenience for the TRA+VEN- cells from the TRA+VEN+ population but not vice versa. The finding that the loss of *REX1*

31

expression, but retention of TRA-1-60, signals commitment to a stable intermediate lineage primed state is in stark contrast to the mouse(Toyooka et al., 2008). Notably, experiments with Nanog, Stella and Rex1 (Chambers et al., 2007; Hayashi et al., 2008; Toyooka et al., 2008) all suggest that murine pluripotent heterogeneity is comprised of dynamic, metastable states(Cherry and Daley, 2010); GFP+ cells from each of these reporters can re-establish a GFP- population when isolated and re-cultured and, importantly, vice versa. Why there exists a discrepancy between the human and mouse REX1 reporters remains uncertain, but might describe either the manifestation of distinct growth conditions or species related differences in how early fate allocation is managed. The DNA methylation data presented here suggests a stable epigenetic regulation may govern the irreversible loss of *REX1* expression in hESCs. The metastable nature of other murine pluripotency associated factors, like Stella, is associated with a more plastic regulatory mechanism, which appears to involve histone modifications (Hayashi et al., 2008). Our findings provide some clarity to the ongoing debate concerning the similarity of pluripotent stem cells between mouse and human. Human ESCs share several key features with mESCs that distinguish them from mouse epiblast stem cells (EpiSC), including the expression of two ICM markers, REX1(Henderson et al., 2002) and KLF4(Chan et al., 2009), in combination with a lack of the FGF5 expression, a wellcharacterised epiblast marker(Pelton et al., 2002). Whilst hESCs reflect many mESC properties, they do display a growth factor dependency that is more akin to EpiSC than mESC(Brons et al., 2007; Rossant, 2008; Tesar et al., 2007). Human ESCs do not selfrenew in response to LIF, as observed in mESCs, possibly due to the lack of diapause in humans (Humphrey et al., 2004; Nichols et al., 2001; Renfree and Shaw, 2000). FGF2 and Activin A can maintain undifferentiated cultures of both hESC and mouse EpiSCs(Vallier et al., 2009a), strengthening the speculation that hPSCs may represent a later embryonic stage than LIF-dependent mouse ESCs. However, it has been shown that mouse EpiSCs can spontaneously revert to an ES cell-like state when cultured in media containing LIF and serum (Bao et al., 2009), which is accompanied by a reset of DNA methylation at Rex1 and Stella promoters. It has been demonstrated that FGF-based signaling blocks reversion of mouse EpiSC to an ESC-like state (Greber et al., 2010), a finding that may help to explain our data showing that the TRA-1-60 and OCT4 positive VEN- hESCs do not convert back to a VEN+ state in normal hESC culture conditions. FGF2 is a common denominator in virtually all hESC media compositions(Greber et al., 2010; Lanner and Rossant, 2010), and appears necessary to maintain the long-term selfrenewal of hESCs(Amit et al., 2000; Vallier et al., 2005; Vallier et al., 2009b). Thus, we speculate that FGF signaling may play a role in protecting and/or causing DNA methylation of the *REX1* locus in VEN- hESCs and Rex1- EpiSC, implying that the culture conditions that support undifferentiated hESC propagation may limit the metastable gene expression observed in murine ESCs. Recently "naïve" LIF-dependent hESC-like lines have been derived, albeit in an unstable state, that mimic more closely some of the properties of mESCs (Hanna et al., 2010). Evaluating subpopulations identified by our human *REX1* reporter in the context of "naïve" LIF-dependent hESClike growth conditions may provide significant insight into how signaling pathways mediate metastability in gene expression.

Why *REX1* transcripts are asymmetrically expressed within the pluripotent hESC compartment is likely linked to the function of *REX1*, of which there is currently a limited understanding. The culture of mESC in signaling conditions that promote a pluripotent ground state yields uniformity of Rex1 expression(Wray et al., 2010), suggesting that Rex1 is closely associated with the naïve pluripotent state. Rex1 deletion in the mouse embryos and ESCs perturbs both gene expression and differentiation(Masui et al., 2008; Rezende et al., 2011; Scotland et al., 2009). This phenotype exerted in Rex1 null embryos and ESCs may at least, in part, be due to the role of Rex1 as an epigenetic regulator. Rex1-null blastocysts display hypermethylation of imprinted genes, such as Peg3 and Nespas, and chromatin immunoprecipitation demonstrated that Rex1 binds only to the unmethylated allele of these genes(Kim et al., 2011). Rex1 appears to share a common evolutionary ancestor with Ying Yang 1 (Yy1)(Kim et al., 2007), a ubiquitously expressed zinc finger transcription factor that has a proven role as a mediator of epigenetic regulation(Atchison et al., 2003), including interactions with Polycomb Group (PcG) proteins(Garcia et al., 1999; Kim et al., 2007). PcG proteins are known to repress gene expression by interacting with and changing chromatin structure(Schuettengruber et al., 2007), and are believed to aid in the modulation of PSC fate by inhibition of lineage specific markers(Boyer et al., 2006), with a recent study indicating that Rex1 may also interact with PcGs(Garcia-Tunon et al., 2011). More recently, it has been demonstrated that REX1 is an integral part of the mechanism that prevents lyonization in female mouse embryonic stem cells by directly interacting with both the Xist and Tsix loci (Deuve and Avner, 2010; Gontan et al., 2012; Navarro et al., 2010). A conserved function for *REX1* in human X-inactivation remains to be discovered, although it has been questioned whether X-inactivation via the governance of *XIST* expression by *TSIX* even occurs in human cells(Migeon, 2003, 2011). Notwithstanding, the hESCs targeted in this study are male, and only recently have advances permitting the derivation of female hESCs with two active X chromosomes(Hanna et al., 2010; Lengner et al., 2010), affording an opportunity to explore REX1 function in this area. Significantly, LIF-based signaling appears to play a strong role in the generation of human induced pluripotent stem cells (iPSC) that contain two active X chromosomes (Tomoda et al., 2012), providing an intriguing model for exploring REX1 function in human lyonization.

It is clear that identifying and characterising the subpopulations that occur within hESC culture heterogeneity can yield significant increases in our understanding of these important cells and have direct impact on their future utility in drug discovery and therapeutic applications. The *REX1* reporter lines described here represent a powerful new tool for understanding human pluripotency.

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Figure Legends

Figure 1. Generation of REX1Ven/w human embryonic stem cells. A) Schematic of the wild type human REX1 allele, targeting vector (REX1-VF2Pu TV) and targeted allele. B) Southern blot confirmation of targeting. C) Phase and fluorescence images of a REX1Ven/w hESCs. Scale bar = 100 microns. D) Flow cytometry on $REX1^{\text{Ver/w}}$ cells grown for 7 days in undifferentiated hESC conditions with or without the addition of puromycin co-stained with TRA -1-60 or A2B5. Control inset. E $\&$ F) ORT-PCR analysis of pluripotency (E) and differentiation (F) gene transcript expression VEN+ populations isolated by FACS from undifferentiated REX1^{Ven/w} hESCs. All values are normalised relative to the VEN- population $=1$.

Figure 2. Serial fractionation of REX1Ven/w cultures based upon REX1Venus expression. A) FACS fractionation, re-culture and TRA-1-60 flow analysis of $REX1^{Ven/w}$ hPSCs. B) Bisulphite DNA sequencing on TRA-1-60/REX1Venus hESC populations isolated by FACS for the OCT4 and REX1 promoters. Empty circles designate unmethylated CpG residues and filled circles denote methylated residues. CpG position is provided with reference to transcription start site.

Figure 3. Distribution of pluripotent markers in undifferentiated REX1^{Ven/w} hPSCs. A) Immunocytochemistry for OCT4 (red), NANOG (blue, bottom row) or p21 (blue, top row) in REX1^{Ven/w} cells. Scale bar = 120 microns. B) Quantification of REX1Venus, OCT4 and NANOG expression by high content imaging and automated cell level analysis in undifferentiated cultures (Day 0) and during a time course of retinoic acid induced differentiation (n=4). V= REX1Venus, $O = OCT4$, N=NANOG, + = positive, - = negative.

Figure 4. Co-incidence of pluripotency markers in undifferentiated REX1^{Ven/w} hPSC **cultures.** A) Output of imaging analysis measuring the co-expression of REX1Venus (VEN), OCT4 or NANOG (NAN) pluripotency markers in undifferentiated (Undiff) hESCs and cells treated with retinoic acid (RA) for 2 days, n=4. B) Output of cell level analysis of p21 co-expression with REX1Venus (VEN) or OCT4 positive cells, n=4.

Figure 5. Phenotype of REX1Venus positive and negative populations within REX1^{Ven/w} hESC cultures. A) CIC activity of FACS purified $TRA+VEN+ (T+V+)$, TRA+VEN- (T+V-) and TRA-VEN- (T-V-) populations isolated from undifferentiated cultures of H1 REX1^{Ven/w} cells. B) OCT4 immunocytochemistry and REX1Venus expression in FACS isolated REX1Venus positive (VEN+) or negative (VEN-) populations after 12 days culture. Scale = 120 microns.

Figure 6. Loss of REX1 within the pluripotent population primes cells for differentiation. A) QRT-PCR analysis of gene transcript expression in FACS separated TRA+VEN+ and TRA+VEN- populations. The TRA+VEN- fraction is normalised relative to the $TRA+VEN+$ population =1. B) Schematic showing the differentiation treatment of hESCs C $\&$ D) QRT-PCR data showing the expression of mesoderm (C) and endoderm (D) lineage associated markers after the TRA+VEN+ and TRA+VEN-

fractions were subject to 2 or 3 days of differentiation in mesoderm or endoderm conditions, respectively. C) *BRACHYURY* and *MIXL1* were used as mesoderm associated markers. Undifferentiated TRA+VEN+ population was used as a control. n=2 D) *EOMES*, *SOX17* and *FOXA2* were used as endoderm specific markers, and gene expression was normalized to $TRA+VEN+$ day 3 differentiated cells. $n=3$ E) Fold enrichment of the percentage of GATA4 positive endoderm cells generated from TRA+VEN- cells relative to those from TRA+VEN+ population after 3 days of treatment with Activin A and BMP4 in low serum media, as observed by immunocytochemistry.

Supplementary Material

Figure S1. Normal 46XY karyotype, assayed by WiCell Institute, of two H1 subclones expressing REX1-VF2Pu targeting vector.

Figure S2. A) Flow cytometric analysis of $REX1^{Ven/w}$ cells grown for 7days in undifferentiated hESC conditions with or without puromycin co-stained with E-CADHERIN (E-CAD) or CXCR4. B) Histograms of REX1Venus expression with (green line) or without (blue line) 7 day puromycin treatment. Control H1 hESCs (red line).

Figure S3. PCR on genomic DNA for the presence of the REX1-VF2Pu targeting vector (REX1 TV) versus control endogenous REX1 locus (REX1 END). Samples assayed: Wild type H1 hESC (H1 wt), TRA-1-60/REX1Venus fractions (TRA VEN) and VENcultures after 7 passages (RXVen-).

Figure S4. Hematopoietic differentiation of REX1^{Ven/w} cells. REX1 reporter cells were differentiated in embryoid bodies in conditions that induce blood formation and assayed at day 4, 10 and 15 for A) REX1Venus expression and B) markers of hematopoietic specification CD31, CD34 and CD45.

Figure S5. A) QRT-PCR of undifferentiated FACS isolated TRA+VEN+ and TRA+VEN- cells for extraembryonic endoderm markers. Gene expression is normalized to the housekeeping gene *TBP*, and is relative to TRA+VEN+ fraction (n=2) B) Cells were isolated by FACS, re-seeded and the next day treated with endoderm-inducing conditions for 3 days before fixation and staining with GATA4 and OCT4. Scale $= 120$ microns.

Figure S6. QRT-PCR of several endoderm markers, *SOX17, EOMES, FOXA2, Goosecoid (GSC), Cerberus-like (CER)* and *GATA4*, over a three day (d0-d3) time-course analysis of puromycin selected VEN+ (dashed-line) cells, and VEN- (solid-line) cells $(n=1)$. Single cells were seeded in Y27632 for 24hrs $(d=0)$ before treating for endoderm differentiation for three days. Gene expression is normalized to housekeeping gene *TBP*, and is relative to d0 VEN- control; n=1.

Table S1. Recombineering primers used to generate the pREX1-VF2Pu-TV targeting vector.

Table S2. QRT-PCR primers used in the study

Table S3. *REX1* and *OCT4* primers for amplifying bisulfite converted gDNA for DNA methylation analysis.

Figure 1

Figure 2

Ph.D. Thesis - S. Bhatia McMaster University - Biochemistry

Figure 4

Figure 6

Draper. Supplemental Figure 1. Top

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Supplemental Table 1, 2 & 3. Primers used in this study.

Table 1. Recombineering primers

Table 2. Q-RT-PCR primers

Table 3. Bisulphite DNA methylation sequencing primers

Gene	Forward primer 5'-3'	Reverse primer 5'-3'
REX1	GGTTTAAAAGGGTAAATGTGATTATATTTA	CAAACTACAACCACCCATCAAC
OCT4	ATTTGTTTTTTGGGTAGTTAAAGGT	CCAACTATCTTCATCTTAATAACATCC

Chapter 1.3: Conclusion and Introduction to Section 2

In conclusion, we showed that the TRA-1-60 positive pluripotent compartment in hESCs is divided by the expression of REX1. The TRA+VEN+ and TRA+VENpluripotent subpopulations are phenotypically distinct, with the TRA+VEN- cells showing an increased expression of several differentiation genes, suggesting that this subpopulation is primed for differentiation. Indeed, the TRA+VEN- hESCs show more rapid differentiation kinetics compared to the TRA+VEN+ hESCs.

The correlation of loss of REX1 expression in hESCs with a differentiationprimed phenotype suggests that REX1 plays an important role in regulating pluripotency. In mESCs Rex1 is dispensable for maintaining pluripotency, with cells no longer expressing Rex1 still being pluripotent in their capability to contribute to chimeras²³. However, Rex1 depletion results in some developmental phenotypic defects, and aberrant gene expression profiles^{24,25}. It is involved in the regulation of X-inactivation²⁶ and maintenance of genomic imprinting²⁷ in the mouse system. Additionally, Rex1 might be associated with various components of the chromatin remodeling machinery^{28,29}, and the interacts with the epigenetic regulator, lysine demethylase, $LSD1^{30,31}$. Some evidence suggest that in early mouse development Rex1 is involved in the repression of endogenous retroviral gene expression³⁰.

To explore the role of REX1 in hESCs and pluripotency, I generated hESCs expressing various REX1 fusion constructs. REX1 fusion constructs were made by fusing 3XFLAG to both the C- and N-terminus of REX1, and by fusing the fluorescent protein mKO2 (monomeric Kusabira Orange 2) to the C-terminus. To further explore the role of REX1 protein we generated various 3X FLAG tagged truncation mutants based on

evolutionary sequence conservation (data not shown). Upon analysis of different truncation mutants alongside C- and N-terminally tagged REX1, I observed and later confirmed the existence of three previously unknown translation isoforms of the human REX1 protein. These isoforms were a result of translation products from down-stream start codons in the REX1 gene transcript (Data not shown). Currently, there is no evidence of REX1 isoforms in either human or murine systems, and this observation, therefore, presented us with an opportunity to explore the role of REX1 isoforms in pluripotency. We generated similar fusion constructs in the mouse system to test for the presence and evolutionary conservation of these translation isoforms. The project was passed onto the M.Sc. student, Amanda Hrenzuck, in the Draper lab.

In addition to identifying REX1 translation isoforms, we also observed that the REX1-mKO2 fusion construct was tightly associated with the mitotic chromatin. This observation is previously unreported and is not a characteristic of other pluripotency associated transcription factors, such as OCT4, NANOG and SOX2, as observed by immunostaining. This prompted us to explore the possible explanation for this association, and introduced us to the concept of mitotic bookmarking. During mitosis of the cell cycle, several transcription factors decouple from their DNA binding sites to allow for faithful cell division; a few of the transcription factors, however, remain bound to selected target genes. This phenomenon is referred to as mitotic bookmarking $32-35$. Mitotic bookmarking is a relatively new concept, and is thought to play an important role in protecting cellular identity during cell division³³⁻³⁵. At the time, there were no reports of mitotic bookmarking as a mechanism for fate maintenance in ES cells, and therefore we decided to conduct an in-depth analysis on this topic of research. The next section (Section 2) is a detailed study aimed at characterizing the role of mitotic bookmarking in pluripotent cells.

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SECTION 2: Characterizing mitotic bookmarking as a mechanism for fate

maintenance in pluripotent stem cells

SECTION 2: Characterizing mitotic bookmarking as a mechanism for fate maintenance in pluripotent stem cells

Preface

While I conceived, designed and primarily executed this study with input from Dr. Jonathan Draper, several people contributed towards various portions of the study. I entirely designed, planned, executed and analyzed the experiments outlined in Chapter 2.2. Mehdi Hamzeh assisted with quantitative image analysis. We collaborated with Dr. Aki Minoda (RIKEN, Japan) for ATAC-seq profiling in Chapter 2.3. Dr. Minoda's graduate student Ye Liu performed the ATAC-seq for us. I performed the bioinformatics analysis with help from Drs. Jen-Chien Chang and Chung-Chau Hon. Daisy Deng (Dr. Draper's lab) generated Parp1 knock out lines, performed some characterization assays on these lines and assisted in the collection of material for mitotic release experiments.

This section comprises four subchapters: Chapter 2.1 is an introductory chapter providing a background on cell cycle and the changes that accompany different phases of the cell cycle, focusing greatly on mitosis. Here, I also introduce the concept of mitotic bookmarking and the research goals outlined for this study. Chapters 2.2, and 2.3 are research chapters focusing on the outcomes of the study, and Chapter 2.4 is an overview of our contribution to the field. The references for the entire section are placed at the end.

Chapter 2.1: Introduction

2.1.1 The Cell cycle

The cell cycle is an essential characteristic of proliferating cells aimed at transmitting genetic information from parent to daughter cells. A eukaryotic cell cycle is composed of four main phases: G1, S, G2 and M. G1 is the first gap phase where the decision and preparation to undergo replication is made, S is the synthesis phase where DNA undergoes replication, G2 is the second gap phase where DNA repair occurs and the cell prepares for division, and M is mitosis where cell division occurs. G1, S and G2 phase together comprise the interphase of the cell cycle. Transition between different cell cycle phases is carefully orchestrated by expression and phosphorylation kinetics of different cyclins and cyclin dependent kinases (Cdks) (Amon et al., 1993; Nigg, 2001). In differentiated somatic cells, the fluctuating expression of various cyclins governs cell cycle progression. G1 phase is typically marked by increased Cdk6-Cyclin D3 activity (Quelle et al., 1993; Resnitzky et al., 1994), S phase is associated with high Cyclin E activity (Coverley et al., 2002; Furstenthal et al., 2001; Ohtsubo et al., 1995), G2 is associated with increased Cdk2-cyclin A activity (Geley et al., 2001), and M-phase is associated with Cdk1-cyclin B activity (White and Dalton, 2005). The timing and levels of the various cyclins is critical for normal cell cycle progression, and dysregulation results in an impaired cell cycle, typically altering the length of different phases (Ohtsubo et al., 1995; Quelle et al., 1993; Resnitzky et al., 1994).

Pluripotent Cell Cycle

Pluripotent stem cells exhibit an altered cell cycle profile compared to their differentiated counterparts (Ballabeni et al., 2011; Calder et al., 2013; Stead et al., 2002).

The generation time of pluripotent mouse embryonic stem (ES) cells is about 10 hours compared to >16 hours in differentiated cells (Stead et al., 2002). A shortened cell cycle is a common characteristic of early development. Determination of cell numbers during 4.5dpc to 7.5dpc in a mouse gastrulating embryo showed that cells undergo very rapid rates of division during this time period, with the generation time of ~9hrs from 4.5-6dpc, and ~5hrs from 6.5-7.5dpc (Snow, 1977). Rapid cell divisions are also observed during early embryo development in rats (Mac Auley et al., 1993), zebra fish (Yarden and Geiger, 1996), and drosophila (Edgar and Lehner, 1996). The rapid cell cycle found in early development is often the result of lack of or shortening of G1 and G2 gap phases of the cell cycle.

The difference in cell cycle profiles between ES and differentiated cell types is, in part, regulated by differences in cyclin and Cdk expression and activity. With the exception of mitotic-specific Cdk1-cyclin B, other Cdk-cyclin complexes do not show the characteristic fluctuation during cell cycle progression in ES cells (Stead et al., 2002; White and Dalton, 2005). Additionally, ES cells exhibit atypically high Cdk activity throughout the cell cycle (Stead et al., 2002). In somatic cells Cdk activity is markedly reduced in G1, and this reduction is needed for the establishment of pre-replicative complexes (pre-RCs) at the origins of replication, a requirement for progression into Sphase (Maiorano et al., 2000; Prasanth et al., 2004). The mechanisms underlying the seamless progression of ES cells into S-phase despite a lack of control in Cdk activity are yet to be understood.

The lack of periodicity in Cdk activity also is thought to result in the inactive nature of the pRb-E2F (Retinnoblastoma protein and E2F transcription factors) pathway

in ES cells (Savatier et al., 1994; Stead et al., 2002; White and Dalton, 2005). In somatic cells, G1 phase is normally divided into early and late G1, differentiated by the phosphorylation status of pRb (Savatier et al., 1994). Active pRb is hypophorylated in early G1 and is deactivated in late G1 by phosphorylation. Active pRb interacts with E2F transcription factors, which results in cell cycle dependent gene expression of various cell cycle related genes. In ES cells, pRb is hyperphosphorylated throughout G1, and does not interact with E2F transcription factors, resulting in no cell cycle dependent gene expression of E2F targets (Stead et al., 2002).

Currently, majority of the differences in cell cycle profiles of ES cells are attributed to the G1 phase of the cell cycle. The unique characteristics of the pluripotent cell cycle underlie the ability of ES cells to self-renew indefinitely, while maintaining their ability to differentiate into all three germ layers, the latter two properties providing ES cells with their immense potential for regenerative medicine applications. However, our ability to completely exploit the clinical potential of these cells relies two factors: 1.) the ability to maintain the integrity of an ES cell as a pluripotent cell in culture, and 2.) being able to efficiently alter the fate of ES cells to the desired cell type. Both of these require an in-depth understanding of the intrinsic processes that control cellular identity. Several decades of investigation have revealed the cell cycle to function akin to a multitiered decision making process that presents multiple windows of opportunity to decide the fate of the daughter cells. Below, I will discuss the dynamic nature of various phases of the cell cycle, and the processes that come into play to ensure a faithful division to retain cell fate.

2.1.2 Chromatin dynamics throughout the cell cycle

Cell cycle and chromatin structure are highly interlinked. Chromatin structure and organization changes considerably during different phases of the cell cycle, while at the same time gene expression of cell cycle regulators is governed by the underlying chromatin structure. Chromatin structure, composition and organization constitute a cell's epigenetic memory. Epigenetic memory is defined as a mechanism that does not alter the DNA, but governs stable inheritance of a phenotype through mitosis or meiosis (Berger et al., 2009; Waddington, 1953). The epigenetic features of a cell govern its gene and, ultimately, protein expression profile, which is defined as the cell fate or identity.

Chromatin is the nucleoprotein complex that the genome is organized into, and that underlies the chromosome structure (Kornberg and Thomas, 1974). The repeating unit of chromatin is the nucleosome, which comprises of four core histone proteins, H2A, H2B, H3 and H4, which are assembled as pairs into an octamer wrapped by 146bp of DNA (Kornberg and Thomas, 1974; Luger et al., 1997). Chromatin can be modified covalently in a variety of ways leading to multiple variations of chromatin architecture, resulting in a plethora of possible phenotypes for cells with the same underlying genetic information. DNA is commonly modified by methylation of the cytosine residues around CpG islands by *de novo* methyl transferases, and nucleosomes can be modified either at histone tails or the core histone structure by a variety of histone modifying enzymes (Fig 1, **Table 1**). These are categorized as histone mark readers, writers and erasers. Readers will recognize an epigenetic mark, and either maintain it or recruit other catalytic enzymes, the writers or erasers, to alter it. Chromatin remodelers are recruited to sites of activity by an exogenous cell signal, and subsequent interactions with cell type specific transcription factors (Berger et al., 2009; Voss and Hager, 2014).

Chromatin structure is highly dynamic and varies throughout the cell cycle. Despite this, the epigenetic marks deposited onto the chromatin of are faithfully transmitted to the daughter cells in absence of external signals maintaining the cells identity, but the mechanisms underlying the inheritance of these marks are not fully understood. Notwithstanding, two key phases of the cell cycle when active chromatin remodeling occurs are the S-phase and mitosis:

Chromatin remodeling during S-phase: The conservation of epigenetic memory during S-phase is an ongoing topic of study. During S-phase the DNA is replicated to transmit the parental genetic information to the progeny. Newly synthesized histone proteins are deposited simultaneously to the replicated DNA strand (Franco and Kaufman, 2004; Jackson, 1987; Worcel et al., 1978). These nascent histones, however, are devoid of any epigenetic marks, and studies have shown that the deposition of epigenetic marks occurs based on a lateral spreading of chromatin state (Reviewed in (Richards and Elgin, 2002). During DNA strand synthesis, the parental histones along with their covalent modifications, dissociate into dimers of H2A/H2B and tetramers of $(H3/H4)_2$, which then randomly associate with nascent histones, resulting in nucleosomes containing a hybrid of old and new histones (Gruss et al., 1993; Jackson, 1987; Ramachandran and Henikoff, 2015). Epigenetic memory on nascent histones is deposited by chromatin modification complexes based on the epigenetic marks of the parental histones. Similar to parental histone modifications, DNA methylation patterns are deposited to the newly synthesized DNA strand by DNA methyltransferase 1 (Dnmt1), preserving these epigenetic marks through S-phase (Leonhardt et al., 1992; Zhang et al., 2011).

Chromatin remodeling during mitosis: The second phase of the cell cycle where active chromatin remodeling occurs is mitosis. At the onset of mitosis, chromatin undergoes compaction resulting in a visible condensation of the chromosomes (Fig 2.). Several models have been put forth to provide mechanistic insights into metaphase chromatin compaction (Reviewed in Olins and Olins, 2003; Piskadlo and Oliveira, 2016). One of the earliest views proposed was of a random folding of chromatin fiber (DuPraw, 1966). However, this was disputed by findings indicating some order to the chromatin organization. Metaphase chromosomes when stained with specific dyes, such as Giemsa, showed a characteristic banding-pattern; chromosomes always folded into the same lengths; and specific DNA sequences occupied the same regions when tested by *in-situ* hybridizations (Baumgartner et al., 1991; Reviewed in Piskadlo and Oliveira, 2016). These data suggested some reproducible order to chromatin condensation.

One of the widely accepted patterns of chromatin folding is the hierarchical helical-coiling model (Sedat and Manuelidis, 1978). According to this model, each nucleosome is connected to the neighboring nucleosome particles by a strand of linker DNA forming an 11nm 'beads-on-a-string' array (Olins and Olins, 1974; Sedat and Manuelidis, 1978; Woodcock et al., 1976). These arrays are folded into a 30 nm secondary chromatin structure by association with linker histones, H1 and H5. This model, however, has recently been scrutinized in light of some new data, questioning the existence of the 30nm fiber *in vivo* (Joti et al., 2012; Maeshima et al., 2010). More recent studies suggest the existence of a 6 nm stacked layer model of mitotic chromatin condensation. According to this model, chromatin folds into 6 nm layers that are perpendicular to the chromosome axis and each contain about 1Mb of DNA (Daban,

2015). A variety of the chromatin structural components such as condensing proteins, topoisomerase II, chromatin remodelers, and histone modifications play a major role in chromatin compaction (Piskadlo and Oliveira, 2016).

Condensation is, primarily, triggered by a wave of histone modifications during late G2 and early mitosis. Phosphorylation of serine 10 of histone 3 (H3S10P) is a hallmark of mitosis (Crosio et al., 2002; Juan et al., 1998; Wei et al., 1999). H3S10P is initiated by the recruitment of Aurora kinases to phosphorylated histone H3 threonine 3 (H3T3P) at the pericentromeric regions of the chromosomes during late G2 (Kelly et al., 2010). The phosphorylation of H3S10 subsequently spreads throughout the entire chromosome during mitosis (Crosio et al., 2002; Hendzel et al., 1997). H3S10P recruits histone de-acetylase Hst2p, which removes the acetylation group at lysine 16 histone H4 (Wilkins et al., 2014). This deacetylation event results in an interaction between the histones H4 and H2A/B of neighbouring nucleosomes leading to compaction of the chromatin (Wilkins et al., 2014).

Epigenetic marks during mitosis

In addition to physical compaction of the chromatin, many epigenetic marks have an altered profile during mitosis. Phosphorylation of nucleosomes is ubiquitously increased during mitosis, and is typically associated with faithful cell cycle progression during mitosis and into G1. Other gene regulatory histone marks show a variety of profiles during mitosis compared to interphase (**Table1**), but H3K4me3 and H3K79me2 marks generally display an increase in their mitotic occupancy, while others such as H4K5Ac and ubH2B are reduced. H3K27me3 and H3K9me3 appear to be maintained during mitosis, while the active enhancer mark H3K27Ac is restructured and occupies a large subset of its interphase sites. The mitotic-specific profiling of many other histone marks is currently unknown.

3D landscape of the mitotic chromatin

Condensation of the chromatin structure results in a 3D organization of the mitotic chromatin that is distinct from that in interphase (Naumova et al., 2013). During interphase the chromosomes are spatially folded by long-range chromatin interactions between regions of the genome into topologically associated domains (TADs) (Dixon et al., 2012; Lieberman-Aiden et al., 2009; Markaki et al., 2010). These domains are organized in a pattern that is locus dependent and distinct between different cell types, and is correlative with the expression patterns of genes (Nora et al., 2012). Interestingly, a study of high order chromatin in different phases of the cell cycle showed that cell typespecific spatial organization of chromatin is unique to interphase, and is lost during mitosis (Naumova et al., 2013). In contrast to interphase chromatin, mitotic chromatin has a generic folding pattern that is common between different cell types, and is independent of the gene loci and transcriptional phenotype of the cell (Naumova et al., 2013).

In addition to intra-chromatin interactions, chromosomes are also spatially organized into lamina-associated domains (LADs) through interactions with the lamin proteins that form the nuclear lamina (Chubb et al., 2002; Guelen et al., 2008). These interactions are mediated by the repressive mark H3K9me2 during interphase (Kind et al., 2013). When the nuclear lamina disintegrates during mitosis, the spatial orientation of LADs is lost, but the chromosome lamin interactions persist in a banded pattern alternating with active chromatin marks H3K27Ac and H3K4me2 (Kind et al., 2013).

Upon mitotic exit, LADs are reformed in a stochastic manner in the two daughter cells and do not occupy the same chromosome-nuclear lamina territories that they did in the parental cell (Kind et al., 2013). Unlike the spatial organization of the TADs, which is reset upon mitotic exit (Naumova et al., 2013), LADs are one of the unique molecular memory mechanisms that are not faithfully inherited upon mitotic exit.

Chromatin accessibility

Despite the condensation and compaction of chromatin during mitosis, recent studies have shown that DNA accessibility during mitosis is largely similar to that in interphase (Hsiung et al., 2015; Teves et al., 2016). DNase I sensitivity assays comparing mouse erythroid interphase or mitotic cells showed that chromatin accessibility is largely unchanged during mitosis (Hsiung et al., 2015). The DNase sensitive sites were divided into two groups: "hotspots" (broad, moderately accessible domains, mostly along gene bodies) and "peaks" (narrow sites of hypersensitivity, generally located around regulatory elements) (Hsiung et al., 2015). Both the hotspots and peaks largely retain their accessibility profiles during mitosis, however locus specific reduction of DNase I sensitivity is observed at some peak regions during mitosis (Hsiung et al., 2015). The small fraction of reduced accessibility during mitosis is mostly at distal regulatory elements, while most proximal promoters maintain their accessible nature. Similarly, the ATAC-seq (Assay for Transposase-Accessible Chromatin by sequencing) (Buenrostro et al., 2013) profiles of mitotic and interphase chromatin in mouse ES cells showed no significant differences in chromatin accessibility (Teves et al., 2016). These data suggest that there are several mechanisms in place that are responsible for transmitting locus specific chromatin accessibility information through mitosis.

2.1.3 Transcription during the cell cycle

In eukaryotes, transcription is mediated by three different RNA polymerase (RNA pol) enzymes: i.) RNA pol I, which transcribes ribosomal (rRNA) from the ribosomal DNA clusters that are located at the nucleolus organizing regions (NORs) on specific chromosome sites; ii.) RNA pol III, which transcribes small rRNAs and transfer RNAs; and iii.) RNA pol II, which is largely responsible for transcription of protein coding genes (Bjorklund and Kim, 1996; Kornberg, 1996; Reines et al., 1996; Roeder, 1996). Transcription occurs in three main steps: initiation, elongation and termination. During initiation, RNA polymerase and its basal transcriptional machinery consisting of general transcription factors such as TATA binding protein (TBP) and TFIIA, B, D, E, F and H (Kornberg, 1996; Roeder, 1996) in case of RNA pol II is assembled at the regulatory promoter elements of a gene (Kornberg, 1996). This recruitment in mediated by interactions with cell type specific transcription factors either at gene promoters or enhancer elements resulting a context specific gene expression (Kornberg, 1996).

Transcription ceases from all three RNA polymerase units at the onset of mitosis, albeit via different mechanisms, and several hypotheses have been put forth to explain the underlying mechanisms of transcriptional repression (Gottesfeld and Forbes; Johnson and Holland, 1965; Taylor, 1960). Some early studies had hypothesized that RNA synthesis in mitotic cells is repressed partly due to the condensed nature of the chromatin. *In vitro* transcription of DNA protein complexes from mitotic extracts was much less efficient compared to that from interphase cells (Johnson and Holland, 1965) leading to the hypothesis that chromatin is less accessible during mitosis. However, recent studies have shown that mitotic chromatin is widely accessible (Hsiung et al., 2015; Martinez-Balbas et al., 1995; Teves et al., 2016).

In addition to chromatin condensation, the repression of core transcriptional machinery and displacement of sequence specific transcription factors have been explored as potential mechanism for transcriptional silencing.

Mitotic phosphorylation of mitotic-specific DNA-protein interaction is now understood to be a mechanism that can elicit transcriptional repression. The core transcription machinery of both RNA polymerase II and III can be inactivated *in vitro* by the addition of cdk1-cyclinB (Hartl et al., 1993; Leresche et al., 1996). At the onset of mitosis, activation of cdk1-cyclinB complex results in a cascade of phosphorylation of the basal transcription machinery resulting in a temporary hiatus in transcription (Hartl et al., 1993). RNA pol II is also shown to dissociate from the mitotic chromatin by both immunocytochemistry and live cell imaging (Parsons and Spencer, 1997; Zhao et al., 2011). In addition to phosphorylation dependent inactivation of basal transcription machinery, several transcription factors are also phosphorylated at the onset of mitosis resulting in their decoupling from the mitotic chromatin. Sequence specific transcription factor Sp1 is bound less efficiently to hsp90 gene promoter compared to other general transcription regulators during mitosis (Martinez-Balbas et al., 1995). Several other transcription factors, Oct1, Oct2, Ets-1, and Bcl6 were shown to be de-coupled from the mitotic chromatin by immunocytochemistry (Martinez-Balbas et al., 1995). A phosphorylation dependent loss of DNA binding during mitosis was also observed in case of zinc finger transcription factor YY1 (Rizkallah and Hurt, 2009), octamer binding

protein Oct-1 (Ohtsubo et al., 1995; Segil et al., 1991) and transcription regulators Myb and Myc (Luscher and Eisenman, 1992).

Unlike RNA pol II and III, where mitotic chromatin dissociation of the polymerase and/or its binding factors blocks transcription initiation, RNA pol I remains bound to the rDNA during mitosis (Weisenberger and Scheer, 1995). In the case of RNA pol I, transcription is largely ceased at the elongation step (Dundr and Olson, 1998; Weisenberger and Scheer, 1995). Similarly, a fraction of the RNA pol II mediated transcription is also repressed by the dissociation of transcription elongation machinery between late prophase and telophase of mitosis (Parsons and Spencer, 1997). Interestingly, however, during early prophase there is a wave of transcription activation that is mediated by the elongation factor p-TEFb, and is required for proper cell division (Liang et al., 2015). In this case, p-TEFb is recruited to genes with an already engaged RNA pol II and is key to completion of transcription and release of RNA pol II from the gene body (Liang et al., 2015).

The phosphorylation status of RNA pol II governs interactions with various components of the RNA processing machinery and, therefore, can be used to detect RNA pol II subunits that are involved in different functions (Ho and Shuman, 1999). RNA pol II phosphorylated at serine 5 in the carboxyl-terminal domain is associated with transcription initiation (Bregman et al., 1994; Komarnitsky et al., 2000), while when phosphorylated at serine 2 it is associated with transcriptional elongation (Bregman et al., 1995; Ho and Shuman, 1999). Using mitotic arrest and release experiments, and by exploiting the various forms of RNA pol II and incorporation of bromo-UTP (Br-UTP) into nascent RNAs, Prashanth and colleagues elegantly demonstrated the kinetics of RNA transcription and processing (Prasanth et al., 2003). They showed that in early telophase, as lamin B1 and nuclear pore protein p62 form the nuclear lamina, RNA pol II in both hypo- and hyper-phosphorylated forms is entirely cytosolic, as are other members of the RNA processing machinery including the general transcription factor (TFIIE) and splicing factors (Prasanth et al., 2003). At this stage, the cells are transcriptionally silent, as demonstrated by the lack of Br-UTP incorporation. As division progresses and enters late telophase, Serine 5-phosphosphorylated RNA pol II (the initiation form) is detected in the daughter nuclei, while the splicing factors and other processing components are still cytoplasmic (Prasanth et al., 2003). At this stage, Br-UTP was detected, suggesting that RNA transcription occurs immediately upon recruitment of RNA pol II onto the chromatin. Similarly, they showed that further into division, the splicing machinery, along with the elongation form of RNA pol II (Serine 2 phosphorylated), is found in the daughter nuclei and is associated with a strong increase in Br-UTP incorporation (Prasanth et al., 2003).

If RNA is transcribed immediately upon recruitment of RNA pol II to transcription start sites, and this recruitment occurs at mid-telophase, the transcription program must be set before exit from mitosis. And given that core components of general and context specific transcription machinery are dissociated form the mitotic chromatin, the question arises as to how are cells able to retain their transcriptional memory during or after division. This process is even more intriguing when the significant differences in the epigenetic profiles of mitotic and interphase chromatin are taken into account. As cells undergo mitotic division, chromatin is highly remodeled: 1.) long range chromatin interactions are altered but reset upon mitotic exit 2.) interactions with nuclear lamina are

disrupted 3.) some epigenetic memory marks are not retained at their interphase loci 4.) transcription factors and transcriptional machinery are largely dissociated from their normal binding sites. Despite these large changes, once a cell exits mitosis, the daughter cells acquire the parental cell identity and the transcriptional program is reset. Currently, there are significant gaps in our understanding of the mechanisms that play a role in this faithful transmission of cell identity. Over the last few years, the phenomenon of mitotic bookmarking has been proposed and tested to explain the retention of cell fate upon mitotic exit.

2.1.4 Mitotic bookmarking

The concept of mitotic bookmarking stemmed from two main observations in the late 1990s. In the first, it was shown that a greater proportion of mitotic chromatin had single stranded DNA compared to interphase chromatin, by using single strand specific nuclease analysis (Juan et al., 1996). Subsequently, potassium permanganate $(KMnO₄)$ DNA foot-printing of several transcription start sites (TSS) revealed that the single stranded nature of chromatin was correlative with the expression profiles of active genes, with repressed genes showing no hypersensitivity to $KMnO₄$ (Michelotti et al., 1997). These observations raise the question that if transcription factors and transcriptional machinery are dissociated from the mitotic chromatin, what causes the preservation of the transcriptional state by DNA confirmation during mitosis? At the time, it proposed that as cells enter G2-phase and are preparing for division, several "bookmarks" are deposited onto the TSS of active genes, which are then retained throughout mitosis while the transcriptional machinery de-couples (John and Workman, 1998; Michelotti et al., 1997).

In the period following these initial studies, further observations have assisted in refining the current definition of mitotic bookmarks to be 'memory signatures' that are preserved throughout mitosis at key fate maintaining/determining genomic sites, and passed on to the daughter cells providing them a blueprint of what genes to turn on or off to maintain the parental fate (Hsiung and Blobel, 2016; Hsiung et al., 2015; Sarge and Park-Sarge, 2009). It is also now understood that the memory signatures that underlie mitotic bookmarks can belong to one of the following categories: DNA methylation profiles, mitotically retained transcription factors, mitotically stable histone variants, or architectural components of the chromatin (Hsiung et al., 2015).

Over the past decade, several studies (summarized in Table 2) have revealed that some transcription factors and chromatin regulators are retained on the mitotic chromatin. MLL (Mixed Lineage Leukemia), a histone methyl transferase, was one of the first components of the chromatin remodeling complex that was shown to be associated with the mitotic chromatin (Blobel et al., 2009) in HeLa cells. The mitotic occupancy of MLL was correlated with rapid transcriptional reactivation of the bookmarked genes (Blobel et al., 2009). Subsequently, other members of chromatin remodeling complexes, including Ring1A (Arora et al., 2012) and Brd4 (Zhao et al., 2011), have been found to be retained on the mitotic chromatin. This behavior is not limited to chromatin-modifying enzymes: transcription factors such as Gata1 (Kadauke et al., 2012), FoxA1 (Caravaca et al., 2013), Parp1 (Lodhi et al., 2014), Esrrb (Festuccia et al., 2016), Hnf1b (Lerner et al., 2016), Sox2 (Liu and Kraus, 2017; Teves et al., 2016) and pluripotency factors Oct4, and Klf4 (Liu et al., 2017b), have been implicated to act as mitotic bookmarks that facilitate a rapid transcriptional program of bookmarked genes. It has also been proposed that bookmarking factors could act in concert with mitotically retained epigenetic marks to facilitate transcription. Evidence to support this hypothesis exists in case of Brd4, which bookmarks sites that present H4K5Ac mark (Zhao et al., 2011) and H3K27Ac which bookmarks active gene loci in conjunction with transcription factors Oct4, Sox2 and Klf4(Liu et al., 2017b).

In addition to affecting transcriptional kinetics, the bookmarking factor Uhrf1 (Rothbart et al., 2012) is involved in the maintenance of DNA methylation during mitosis, while Rbpj, a transcription regulator, is proposed to affect long-range chromatin interactions during mitosis (Lake et al., 2014).

2.1.5 Summary of Intent

Mitotic bookmarking is a recently defined and underexplored phenomenon, and up until last year, there were no studies detailing the conservation of this mechanism in pluripotent cells. It has now become clear that more factors (Table 2) (Liu et al., 2017b; Teves et al., 2016) are associated with the mitotic chromatin than previously appreciated. To date, most studies have used a biased target-based approach to identify the transcription and chromatin regulatory factors that could be associated with the mitotic chromatin. There are currently no datasets or tools available to discover the mitotic chromatin association of factors in an unbiased manner, frustrating the exploration of mitotic bookmarking at a global proteome level. My research project aims to develop reliable and unbiased methods for identifying and studying proteins that are associated with mitotic chromatin, and that may perform mitotic bookmarking in ES cells. Based on evidence in other cell types, we *hypothesized that in ES cells putative gene bookmarking factors are retained on the mitotic chromatin, and are involved in fate maintenance of* *daughter cells after cell division*. Following research aims were put forth to test the hypothesis:

Aim 1: To identify, in an unbiased manner, putative mitotic bookmarking factors (MBFs) which associate with the mitotic chromatin of ES cells.

Aim 2: To identify key loci bookmarked during mitosis, and assay their transcriptional profile upon mitotic exit

Aim 3: To assay the effects of perturbation of a candidate MBF on maintenance of stem cell identity

Aim 1 was addressed by developing a mitotic chromatin immunoprecipitation followed by mass spectrometry (ChIP-MS) assay to identify and characterize putative mitotic bookmarking factors in an unbiased manner. We utilized a mitosis specific histone modification; phosphorylation of serine 10 on histone 3 (H3S10P), to pull down chromatin associated proteins. Putative MBFs were validated for their mitotic association by live cell imaging, and were characterized *in-silico* and by literature search to rank them as putative fate maintaining mitotic bookmarking factors.

Aim 2 was addressed by performing ATAC-seq (Assay for Transposase-Accessible Chromatin followed by sequencing) to study the chromatin dynamics of ES cells in mitosis and upon mitotic exit. We identified key sites that show a more open chromatin structure during mitosis and characterize these as putatively bookmarked sites.

In Aim 3, the mitotic bookmarking capacity of the transcriptional regulator and putative MBF poly(ADP-ribose)polymerase (Parp1), which was identified in Aim 1, was assessed by assaying transcriptional kinetics of Parp1-bookmarked sites. The role of Parp1 as a putative MBF was then explored by functional evaluation of Parp1 knock out lines to assay effects of its perturbation on cell fate.

All together, the study was designed as an unbiased survey of mitotic bookmarking in ES cells. It encompassed addressing the identity of bookmarked genes, the factors that could potentially bookmark these genes, and the phenotypic outcomes of perturbation of these MBFs.

Figure 1: An overview of regulation of epigenetic memory. The deposition and maintenance of epigenetic marks is regulated by different catalytic enzymes. These epigenetic marks could be active, in that they result in a euchromatin chromatin structure resulting in gene expression. Repressive epigenetic marks result in a hetercrhomatic chromatin structure and therefore repress gene expression.

Figure 2: An overview of mitosis. Mouse embryonic stem cells were transfected with H2B-GFP and followed over a round of mitotic division.

Table 1: A summary of different histone post-translational modifications that are a part of the cell epigenetic memory. Table 1: A summary of different histone post-translational modifications that are a part of the cell epigenetic memory.

Table 2: A summary of recent studies that have identified putative mitotic bookmarking factors in various systems

Chapter 2.2 Identification of pluripotency associated putative mitotic bookmarking

factors

Chapter 2.2 Identification of pluripotency associated putative mitotic bookmarking factors

Preface

This chapter addresses aim 1 of the research goals, and was designed entirely by me with assistance from Dr. Jon Draper. I carried out the experiments, performed the analysis and wrote the chapter. Mehdi Hamzeh, a previous undergraduate student, assisted with quantitative data analysis of live cell images (Fig. 7C).

Abstract

Mitotic bookmarking is a mechanism that attempts to explain the preservation of cellular identity during mitotic division. As cells undergo mitosis, several transcription and chromatin regulators decouple from the mitotic chromatin to allow faithful segregation of DNA. Some candidate-based approaches have identified mitotic bookmarking factors (MBFs) that are retained on the mitotic chromatin and preserve the transcriptional memory of the cell. We performed a mitotic chromatin immunoprecipitation followed by mass spectrometry assay (ChIP-MS) to identify putative MBFs in an unbiased manner. We identified a total of 143 MBFs, and validated their mitotic association with a success rate of 70%. The majority of the MBFs identified were involved in chromatin regulation, of both heterochromatin and euchromatin marks, and were bound to varying degrees with the mitotic chromatin. We also identified MBFs that are very highly expressed in ES cells (Utf1, Dnmt3b, Dnmt3L, Msh6, and Parp1) suggesting their potential roles in fate maintenance via mitotic bookmarking in ES cells. Our data has identified proteins, not previously known to be associated with the mitotic chromatin, and therefore, can be used as a starting point for studying mitotic bookmarking.

Background:

Embryonic stem cells are pluripotent in nature, i.e., they can indefinitely selfrenew and are capable of giving rise to all three adult lineages. Recently, several research groups have exploited the differentiation capacity of ES cells to give rise to therapeutically relevant cell types from various organ systems (Cantz et al., 2008; Mauritz et al., 2008). The efficient therapeutic usage of ES cells relies on our knowledge

of how these cells maintain their stem cell fate over subsequent divisions, and what fate determining mechanisms can be exploited to differentiate them better towards a desired lineage. Mitotic bookmarking is proposed as a mechanism of fate maintenance during cell division (Sarge and Park-Sarge, 2009; Zaidi et al., 2010).

Mitotic bookmarks are 'memory signatures' retained during mitosis at fate maintaining loci and passed on to the daughter cells providing them the transcriptional program of the parental cell (Hsiung et al., 2015). Stably inherited epigenetic marks, or mitotically retained transcription and chromatin regulators could act as mitotic bookmarks (Hsiung et al., 2015; Sarge and Park-Sarge, 2009). Recent studies suggest that more transcriptional regulators might be associated with the mitotic chromatin than previously known and could play a role in propagation of cellular identity during division. Transcription factors such as Oct4, Sox2, Esrrb have recently been shown to be associated with the mitotic chromatin in ES cells (Festuccia et al., 2016; Liu et al., 2017b; Teves et al., 2016). These studies provide a candidate-based approach for studying bookmarking factors, however, we currently do not know the global proteomic profile of the pluripotent mitotic chromatin.

We used published studies that take a ChIP-based approach to study chromatinassociated transcription factors (Mitchell et al., 2013; Soldi and Bonaldi, 2014) as a starting point, and developed a strategy to look for mitotically-associated transcription factors. We hypothesized that by pulling down the mitotic chromatin, we will be able to identify key pluripotency-associated mitotic bookmarking factors. Histone, H3, is phosphorylated at serine 10 (H3S10P) at the onset of mitosis (Crosio et al., 2002; Hendzel et al., 1997). The phosphorylation event is initiated at the pericentromeric regions in late G2 (Kelly et al., 2010), and spreads along the body of the chromosomes during mitosis (Crosio et al., 2002; Hendzel et al., 1997). We used an antibody against H3S10P to immunoprecipitate the mitotic chromatin and identified putative bookmarking factors by mass spectrometry.

Materials and methods:

Cell culture: E14TG2A mouse embryonic stem cells were cultured on 0.1% gelatin coated culture dishes in mESC media: DMEM (Sigma Aldrich, D5796), 15% FBS, 1x non-essential amino acids (Life technologies: 11140-050), 1x glutamax (Life technologies: 35050-061), 1x sodium pyruvate (Life technologies: 11360-070), 1x betamercaptoethanol (Gibco: 21985-023), mouse recombinant LIF (Amsbio, AMS-263-100). Cells were passage every three days using accutase® (Sigma Aldrich: A6964). ParpKO cells were routinely maintained on a layer of x-ray irradiated mouse embryonic fibroblasts (xMEFs) seeded at a density of $1x10^6$ cells/60cm². MESCs were pre-plated on gelatin coated dishes for 20minutes to deplete xMEFs.

Mitotic Enrichment: For ChIP-MS and ATAC-seq experiments mESCs were mitotically enriched using 50ng/ml nocodazole (Sigma Aldrich: M1404) for 7hrs. Flow cytometry using Hoechst 33342 (Life technologies: H1399), MPM2 (05-368, Millipore) and H3S10P (05-1336, Millipore) was used to characterize the percentage of cells in mitosis.

Chromatin Immunoprecipitation followed by Mass Spectrometry (ChIP-MS): $1.35x10⁸$ M-enriched mESCs were used per IP with 20ug of antibody. Based on our pilot mass spectrometry runs and a 30% enrichment of mitotic cells, the input cell number for
H3S10P (05-1336, Millipore) pull down was doubled while keeping all other parameters the same. Cells were cross-linked in 1% paraformaldehyde (PFA) for 10 minutes at room temperature with shaking; un-used PFA was quenched using final 1X glycine (stock 10X Glycine, Cell Signaling) and the cross-linked pellet was washed 2X in large volumes of PBS. Cross-linked cells were partially lysed in RIPA buffer (50mM Tris-Cl pH7.45, 150mM NaCl, 0.1%SDS, 2%NP-40, 1%Sodium deoxycholate) supplemented with protease inhibitors (11836153001 cOmpleteTM mini-tablets Roche, Sigma) followed by mechanical lysis by passing the cells 50x through an 18G needle on a 1 ml syringe. Cells were then checked for partial lysis under the microscope looking for released nuclei. Nuclear and chromatin pellet was collected by gentle centrifugation at 2500g for 5 min at 4C. The pellet was resuspended in RIPA dilution buffer (RDB, 50mM Tris-Cl pH7.45, 150mM NaCl) and supplemented with a final of protease inhibitors, 1m M CaCl₂, and micrococcal nuclease (MNase, LS004797 Worthington, used at 0.88U/million cells). Reactions were incubated at 37C for 20mins with shaking at 300 rpm, tubes were inverted to mix intermittently. MNase was inactivated by adding EDTA to a final concentration of 50mM, mixed by inverting and incubated on ice for 2minutes. The reactions were centrifuged at 13000rpm for 1 minute at 4C. The supernatant and the nuclear pellet were collected. The nuclear pellet was lysed in RIPA buffer, incubated on ice for 10mins and passed through an 18G needle 10x to break open the nuclei. The lysate was gently sonicated to release shearing chromatin (6 pulses each with 5s ON, 10s OFF at 30% amplitude). 1ul of the lysate was inspected under the microscope to ensure lack of complete nuclei. Sheared chromatin supernatant was collected by spinning at 10,000g for 10 min at 4C, and combined with the MNase treated supernatant collected

previously. Chromatin was diluted in RDB to get a final SDS concentration of 0.025% to assist in IP. Respective antibodies were added to the chromatin and IPed overnight at 4C (12hrs). 100ul of Dynabeads were added per IP and incubated for 2hrs at 4C. In keeping with data that showed that the supernatant collected still had a lot of unbound H3 and H3S10P (not shown), the IP supernatant was collected and re-IPed with 15ug of antibody for 5 hrs and 100ul of beads for 2 hrs at 4C. The beads from both IPs were washed 2X in low salt buffer (50mM Tris-Cl, pH 7.45, 250mM NaCl, 2mM EDTA, 0.1%SDS w/v, 1% Triton X-100 v/v), and 3X in high salt buffer (same as low salt buffer except use 500mM NaCl) and eluted in reverse crosslinking buffer (1:1 mix of 2X reverse crosslink buffer (4%SDS, 1M BME, 500mM Tris-Cl pH 8.8) and 2X LDS buffer (2X LDS (NuPage), 10% Bond-BreakerTM (Cat# 77720, ThermoFisher).

The samples were reverse cross-linked at 95C for 20 mins, and run on a precast 4- 12% bis-tris gel (Cat# NP0322, ThermoFisher) for 53 minutes at 165V. The gel was washed 3x in water, fixed (40%methanol, 10%acetic acid and 50% water) for 60 minutes at RT, rinsed with water 3x and then washed in a 50% ethanol solution overnight at 4C to reduce background. The fixed gel was washed 3x with water and stained with Bio-SafeTM coomassie stain for 1 hr with shaking and washed with water for 1 hr (Fig. 2A). The gel was placed on a thoroughly cleaned glass plate and IP lanes were cut out using clean razor blades in a biosafety cabinet. Each IP lane was split into two samples, one containing the prominent IgG bands and the histones (brackets in Fig.2A), and second containing the rest of the bands. Protein bands were processed and run on a Q-Exactive Orbitrap MS, by the IRIC proteomics facility, Montreal QC.

Chromatin Immunoprecipitation followed by sequencing (ChIP-seq): ChIP-seq was performed on the H3S10P ChIP samples immunoprecipitated as mentioned above. The starting material was reduced to $1/3rd$ and the DNA was eluted in TE buffer (10mM Tris-Cl pH=8, and 1mM EDTA pH=8) for 30 mins at 37C with shaking at 1200 rpm. The eluted DNA and 2% input were RNAse treated for 2 hrs at 42C and reversed crosslinked overnight at 65C in TE buffer with 200mM NaCl, and ProteinaseK Sequence libraries were prepared using DNA SMART ChIP-kit (Clontech 634865) with the final library size of 300bps as observed by bioanalyzer data. ChIP-seq libraries were sequenced as 50bp single end reads on Illumina HiSeq 2000.

ChIP-seq data processing: Trimmed fastq files were mapped onto mm9 genome using bowtie 2. Following command line function was used:

bowtie2 -x [index] -U chip.fastq |samtools view -bhS - | samtools sort - aligned chip.bam

Duplicate reads and blacklisted regions were removed from the aligned files using:

samtools rmdup $-s$ aligned chip.bam - | samtools view $-h -$ | grep -v chrM | grep -v chrY | grep -v chrUn | grep -v random | samtools view bS -q 10 - | intersectBed -v -abam stdin -b mm9blacklist.bed | samtools sort - aligned_chip_de-dup_q10.bam

Macs2 (Zhang et al., 2008) was used to call peaks:

macs2 callpeak -t aligned chip de-dup q10.bam -c aligned input dedup_q10.bam -m 3 30 --bw 200 -n mm9_macs2 -f BAM -B –outdir

Bin Counts: bedtools makewindows was used to create 1kb bins across the mm9 genome. The aligned bam files were converted to bed using bedtools bamtobed option. The ChIP bed files were counted against the 1kb bin file using bedtools intersect –c option. Furhter analysis was performed using R packages (Team, 2013). The read counts were used as input values in EdgeR and normalized counts per million (cpm) were computed per bin for each of the libraries. A heat-map was plotted using normalized cpm for a 1000 randomly selected bins.

Mass spectrometry data processing and analysis: Mass spectrometry (MS) data was obtained and analyzed using PEAKS Studio 7.5 software. An overview of the analysis pipeline is showing in Figure 2C. Protein IDs with at least 2 unique peptides with a peptide threshold of -10logP>=1% FDR were kept for down stream analysis. An overlap of these proteins IDs was made between the three replicates and only the ones common to all three were kept for down stream analysis. For identifying putative bookmarking factors The proteins IDs were characterized using ClueGO app (Bindea et al., 2009) for cytoscape. Following selection criteria was used: Statistical Test Used = Enrichment/Depletion (Two-sided hypergeometric test), Correction Method Used = Bonferroni step down, Only show enrichments with $p<0.005$, Min GO Level = 3, Max GO Level $= 8$, Minimum number of genes per cluster=3.

For the heat-map of putative MBFs association with other epigenetic marks, the MS data was acquired from Ji et al 2015(Ji et al., 2015) (Associated R-script 170524 MBF overlap with other histone ChIPMS.R in R scripts). The list of 143 putative MBFs was overlapped with ChIP-MS data for other epigenetic marks, and the ones with at least one overlapping dataset were used for the heat-map. The heat-map

values are represented as log10(MS score+1). For identification of pluripotency related factors, RNAseq was obtained from (Terranova et al., 2015)

Validation of mitotic association of putative MBFs: cDNAs for several putative MBFs were received from TCAG cDNA library (clone information in Table S5) and fused with mKO2 fusion protein by a linker L3 (Cadinanos and Bradley, 2007). pCAG-eGFP-IRES-Puromycin-polyA (pCAG-eGFP-IPpA) vector was used to replace the eGFP with L3 mKO2 using restriction digest at *XhoI* and *NotI* sites to generate the master backbone vector pCAG-L3-mKO2-IPpA. In-fusion® cloning (Clontech 638909) was used to insert all cDNA sequences upstream and in-frame of L3-mKO2 using sites *XhoI* restriction site. cDNAs not available from TCAG were generated by amplification of cDNA converted from mRNA using iSCRIPT cDNA synthesis kit (Biorad: 1708890). The final pCAGcDNA-L3-mKO2-IPpA vectors were co-transfected to E14TG2A wild type mESCs along with pCAG-H2B-GFP-IPpA using Lipofectamine LTX (ThermoFisher: 15338100) and seeded into 96 well plate imaging plates. Live cell imaging was performed using Perkin Elmer High-Content Imaging system Operetta for 2-3hrs acquiring images at 20X every 4 or 5minutes. Images were processed using FIJI and ImageJ (Schindelin et al., 2012; Schneider et al., 2012).

Results

Development of mitotic ChIP-MS assay

We developed a ChIP-MS based strategy to identify mitotic bookmarking factors (Figure 1A). Briefly, ES cells were enriched in mitosis, cross-linked using PFA, lysed, treated with MNase, immunoprecipitated and mass spectrometry analysis was performed

on the eluted chromatin bound proteins. In normal ES cell culture only a small fraction of cells $(\sim 1\%)$ are in mitosis (Figure 1B) and therefore studying proteins associated with the mitotic chromatin requires enrichment of cells in metaphase. We tried various conditions to achieve mitotic enrichment and utilized MPM2 antibody to calculate the mitotic index of ES cells (Figure 1B, S1C). The MPM2 antibody recognizes phosphorylated version of a peptide sequence that is present in over 40 different eukaryotic proteins (Westendorf et al., 1994). The peptide sequence is commonly phosphorylated at the onset of mitosis therefore MPM2 can be used as a marker for mitotic cells (Campbell et al., 2014; Westendorf et al., 1994). The co-occurrence of MPM2+ cells in G2-M population (dot plots in Fig 1B), and IF images with DAPI (Fig S1C), confirm the specificity of the antibody to mitotic cells. A maximal mitotic enrichment of ES cells (30-40%, Figure 1B) was observed with when treated with 50ng/ml of nocodazole for 7 hours.

Phosphorylation of serine 10 of histone 3 (H3S10P) is an epigenetic mark that is considered to be important for the onset of mitosis and is associated with a condensed chromatin structure (Crosio et al., 2002; Hendzel et al., 1997). Aurora kinases phosphorylate H3 at serine 10 at the peri-centromeric foci in late G2 of the cell cycle from where it spreads throughout the metaphase chromosomes (Crosio et al., 2002; Hendzel et al., 1997). There are some reports of expression of the H3S10P mark at some active gene loci in G1 phase of the cell cycle and also during apoptotic condensation of chromatin (Park and Kim, 2012; Perez-Cadahia et al., 2009). To test the specificity of the epigenetic mark, we tested two antibodies specific to H3S10P for IF, and western blotting. The expression correlates with condensed mitotic cells based on Hoechst staining (Figs. 1C for Abcam 05-1336, S1A for Abcam 06-570). In western blot analysis

of untreated and mitotically enriched cells, the antibodies show a much greater signal in the latter population (Figs. 1D, S1B). Based on the mitotic specific recognition in our system, we chose H3S10P as a mark to immunoprecipitate the mitotic chromatin.

After establishing a mitotic cell enrichment protocol and optimal mark for pull down, we optimized a chromatin immunoprecipitation assay to identify proteins present on the mitotic chromatin (Fig. 1A). Briefly, PFA fixed mitotically enriched cells were lysed to isolate the chromatin/nuclear pellet, which was then treated with MNase to result in a wide range of fragments to ensure efficient protein pull-down (Fig. 1E). In normal MNase based ChIP assays, only the nuclear pellet is retained after enzymatic shearing, however, since mitotic cells lack the nuclear membrane we tested for the presence of sheared chromatin in the supernatant (Fig. 1E) and combined the two fractions before immunoprecipitation. MNase treated lysate was immunoprecipitated (IPed) for histone H3, as a global chromatin mark, and H3S10P as a mitotic specific chromatin mark.

H3S10P is an unbiased marker for pulling down mitotic chromatin

We performed ChIP-seq on a fraction of the immunoprecipitated sample to ensure an unbiased chromatin pull-down that equally represented all genomic sites. Macs2 based peak calling analysis of S10 data compared to input did not call any peaks, so we divided the genome into 1kb bins and counted the number of reads in both IP and input samples. A comparative heat-map of normalized reads counts from 1000 randomly selected bins showed similar profiles in both S10 IP and input samples (Fig. 2A). Additionally, a differential analysis performed across the all genome wide bins showed minimal significant differences between the S10 IP and input samples (Fig 2B). This suggests that

S10 pull down is equivalent to the genome wide signal, and hence H3S10P can be used to pull down chromatin in an unbiased manner.

The majority of the immunoprecipitated sample was used for protein identification, and was run on a gel and isolated for mass spectrometry (Fig. 3A). Due to predominance of IgG and histone bands in the IP lanes (bracketed regions in Figure 3A), each sample was run as two separate mass spectrometry runs, one with the bracketed region in Figure 3A, and the other consisting of all other bands. IP efficiency was assessed by western blotting $1/10^{th}$ of each sample with anti-H3, anti-H3S10P and Oct4 (Fig. 2B). Oct4, was used as a negative control for H3S10P as it was known to be displaced from the mitotic chromatin by immunostaining.

Mitotic ChIP followed by mass spectrometry reveals putative MBFs.

To ensure greater fidelity in identification of putative mitotic bookmarking factors, the IP was performed in triplicates and only significant protein IDs present in all three replicas were used for further analysis (Fig. 3C and 4A). The MS/MS spectra were processed and assembled into peptide amino acid sequences using a round of *de novo* sequencing. The peptides were then put through a database search against the cRAP database (http://www.thegpm.org/crap/), to map and identify common mass spectrometry contaminants (e.g. Keratins, albumins etc). The unmapped peptides were then searched against the Uniprot-Swissprot database. Protein IDs were filtered with a peptide threshold set to significance -10lgP≥1% FDR (false discovery rate), and protein threshold significance set to -10lgP≥20. Only protein IDs with at least two unique peptides were chosen for further analysis (Table 1).

When we compared the protein IDs between S10 and H3 IPs, most S10 (143/162) proteins were present in the global H3 IP, further confirming the chromatin bound nature of these proteins. 68 proteins IDs were unique to the H3 IP sample, and were considered to be chromatin bound non-mitotic bookmarking factors.

Characterization of the putative MBFs

We used ClueGO (Bindea et al., 2009) statistical enrichment analysis to characterize the phenotypic groups that are enriched $(p<0.005)$ in our list of 143 putative MBFs. With the cellular component analysis we found that the most enriched categories were that of chromosome, ribosomal or nuclear localization (Fig 5C). The most significantly enriched biological processes associated with these proteins were chromatin assembly or disassembly, DNA metabolism or RNA regulation, and majority of other categories enriched were involved in related processes (Fig 5B), and had either RNA or DNA binding as a primary function (Fig.5A, molecular function).

We also compared protein classes enriched in previous analyses of mitotic proteins isolated from metaphase chromosomes (Ohta et al., 2010). This study was the largest multifactorial analysis of metaphase chromosomes, and had identified a list of proteins that have chromosome and nuclear localization as the most significantly enriched category for cellular component, however it also showed significant enrichment of proteins in various other cellular compartments (Fig 5C). Additionally, most of the proteins found were involved in cell cycle regulation and various metabolic processes (Fig 5B), and had either RNA or DNA binding roles (Fig. 5A).

We explored a chromatin regulation role of the putative MBFs, as it was the most highly significant bioprocess associated with this set of proteins (Fig 5B), and found the presence of various histone variants, high-mobility group proteins (Hmga1, Hmgb1), other nucleosome organization components (Mcm2, Npm1, Set, Smarca5, Supt16), all DNA methyl-transferase enzymes (Dnmt3L, Dnmt1, Dnmt3a, Dnmt3b), and other gene expression regulators (Uhrf1, Parp1, Rbbp4, Pabpc1, Trim28, Chd4) (Table 2).

To further profile the chromatin binding characteristic of these putative MBFs, we compared our dataset to ChIP-MS data for other epigenetic marks (Ji et al., 2015), and found that 38/143 putative MBFs, and 2/8 S10 only proteins (Fig 4A) overlapped with at least one of the epigenetic mark tested (Fig 6). The dataset consisted of proteins associated with heterochromatic epigenetic marks, H4K20me3 and H3K9me3, and euchromatic marks H3K27Ac, H3K4me3, H3K36me3, H3K79me2 (also, see Intro Table 2). The inner centromere protein (Incenp) is exclusively associated with heterochromatic marks, and DNA methyltransferases (Dnmt3a, Dnmt3b), centromere protein V (Cenpv) and chromobox protein 5 (Cbx5) show strong tendency towards closed chromatin marks (Fig. 6). Other proteins show a wide range of association with both open and closed chromatin. Some proteins such as Smarca5, Ssrp1, Uhrf1, Psip1, Hdgf, Rcc2, Rcc1, and Set show the strongest association with the enhancer mark H3K27Ac, while lamina associated proteins (Lmna, Lmnb1), and the chromatin remodeler Rbbp4 strongly associate with active promoter mark H3K4me3 (Fig 6). Proteins such as Parp1, Top2a, Trim28 and Hmgb2 show strong binding towards all histone marks (Fig 6).

Identification of pluripotency associated MBFs

To identify pluripotency specific MBFs from the 143 putative MBFs (Table 3), the filtered MS data was overlaid onto normalized expression data comparing E14T mESCs in LIF and Retinoic acid (RA) (Terranova et al., 2015), pluripotent and

differentiation conditions respectively (Fig. 7A). The data were split into three bins based on normalized expression values, where the -2 to -0.5 expression values correspond to RA specific genes, -0.5 to $+0.5$ correspond to genes equivalently expressed in RA and LIF, and +0.5 to +2 corresponding to genes more highly expressed in pluripotent state. Each of the categories enabled the classification of putative MBFs into RA-specific MBFs (1 protein ID), generic MBFs (124 protein IDs) and pluripotency-specific MBFs (10 protein IDs), respectively. The list of putative regulatory MBFs was filtered based on GO terms for nucleus/nuclear, transcription and chromatin for further analysis, leaving a total of 51 putative generic and pluripotency-associated MBFs (Fig 7B). A similar analysis was performed to select for negative targets, except that the 68 H3 only proteins were first screened to check for identification in any of the three S10 replicates. If the protein was identified in at least one of the S10 replicate (with \geq 2 unique peptides) it was excluded for analysis, narrowing down the list of putative negatives to 12 candidates. The list was then filtered as in Figure 7A, resulting in five stringently selected negative hits (Fig 7C).

In keeping with our original hypothesis that these putative bookmarking factors are retained on the mitotic chromatin to maintain the parental cellular identity, we performed a literature search of the selected putative 51 MBFs, looking for phenotypes upon knockout or knock-down of the factor (Tables S1-S3). For further investigation, we narrowed down the list to 31 candidates (Table S3) by filtering out factors that result in mitotic defects or DNA damage upon knockdown.

Validation of mitotic association of the putative MBFs.

After having identified and characterized putative bookmarking factors, we went on to validate the mitotic association of 20 of the chosen MBFs (Table S3) by either fusing their cDNAs to the fluorescent protein, mKO2 (Fig 8A) or by immunofluorescence, where cDNAs were not available (Table S4). Out of the 20 MBFs tested, a majority of them (70%, 14/20) were associated with the mitotic chromatin (Fig 8B, C, 9), while all H3-only proteins tested (Table S4) were excluded from the mitotic chromatin (Fig 9). The fluorescence intensity analysis of some of the target MBFs shows profiles similar to that of H2B-GFP (Fig 8C), albeit to varying degrees: strong association as with Hmgb2, Psip, and Dnmt3a to weak association as with Parp1 (Fig 8C).

The phenotype of mitotic chromatin association for some candidates differed from others. For instance, most factors, such as Utf1 and Uhrf1, showed clear nuclear localization during interphase followed by mitotic chromatin association, but Dnmt3L was dispersed in the cytoplasm for most of the cell cycle, but upon entry into mitosis was associated closely with the mitotic chromatin (Fig 9). Another factor, Ddx21, an RNA binding protein, showed a granular distribution throughout interphase but was strongly associated with the chromatin during mitosis (Fig 9). The chromatin remodelers, Rbbp4 and Khdr1 (Sam68), showed strong correlation with condensed chromatin at the onset of mitosis. However, during metaphase, they were diffused and not restricted only to the metaphase plate (Fig 9). All three of the heterogeneous nuclear ribonucleoproteins tested (HnrpC, HnrpK, and HnrpF) were excluded from the metaphase plate (Fig 9) indicating that this group represents false positives. Both of the non-mitotic bookmarking factors tested (Mta2 and Ssbp1) were not associated with the mitotic chromatin (Fig 9)

Discussion and Conclusion

We used a modified and targeted ChIP-MS approach to pull-down global and mitotic chromatin in an unbiased manner, and have identified putative pluripotency specific and generic mitotic bookmarking factors (Fig. 7B). Several pieces of data suggest that the pluripotency-specific proteins we identified are very highly expressed in ES cells as opposed to their differentiated counterparts, at both the RNA (Fig 7B) and protein level (Chaerkady et al., 2011; Van Hoof et al., 2006) (Terranova et al., 2015), suggesting that any putative bookmarking capabilities may be unique to ES cells. The 'generic' MBFs identified showed similar expression in RA conditions that promote ES cell differentiation and LIF conditions that maintain self-renewal, suggesting that these factors might play a role in both pluripotent and differentiated cell types. This raises the possibility that even though their bookmarking mechanism might be similar in a variety of cell types, for example binding a particular histone modification, their genetic targets would vary in a context-dependent manner, and that these factors might still play an important role in a pluripotency context.

Additionally, the ChIP-MS screen has identified chromatin bound proteins that are involved in important chromatin related regulatory cellular processes (Fig. 5 & Table 2), highlighting the success of the methodology. Current information on mitotically associated proteins is largely derived from metaphase chromosomes that are isolated on a sucrose gradient (Ohta et al., 2010; Uchiyama et al., 2005). These approaches have methodological disadvantages, including a requirement for large number of cells (between $3x10^8$ and $7.5x10^9$) and require ~90% mitotic enrichment, so are not feasible for a context specific survey of the mitotic chromatin in cells that struggle to meet these requirements. Most problematic, current approaches result in a lot of contaminating proteins from the mitochondrial (Uchiyama et al., 2005) or other cytosolic compartments (Ohta et al., 2010) (Fig 5). While we were able to get the largest list of proteins putatively associated with metaphase chromosomes from Ohta et al. 2010, their methodology is less ideal for identification of putative bookmarking factors due to the potential dilution and overall under-representation of chromatin and transcriptional regulators amongst proteins involved in other regulatory processes such as cell cycle or metabolism (Fig 5).

Our approach was to immunoprecipitate chromatin, and this specifically enriched for proteins involved in chromatin remodeling and epigenetic mechanisms (Fig 5, 6, Table 2). However, our study yielded poor representation for transcription factors in the mass spectrometry data. This may be because sequence-specific transcription factors are relatively rare on the global chromatin scale, and bind a very small fraction of genomic loci. However, in the ChIP-MS technique we employed, the chance of discovery is directly correlated to the relative abundance, and transcription factors were underrepresented. It is important to note that the number of proteins identified in individual mass spectrometry runs was much greater (Table 1), but due to rigorous filtering out of proteins IDs unique to one or two replica we excluded some information. Scaling up the assay, and performing more replicas would result in a robust and greater detection of transcription factors. Additionally, a recent study suggested that fixation can impact the association and detection of chromatin bound factors in ChIP based and immunostaining assays (Teves et al., 2016). Since our methodology required a fixation step, proteins sensitive to this artifact would have gone undetected.

Out of the 143 putative MBFs identified, we narrowed down our list and validated a subset of proteins that are not involved in regulating cell cycle. Of the ones tested \sim 70% were associated with the mitotic chromatin during division (Fig 8, 9). However, it is worth mentioning that cell cycle-regulating proteins could also act as bookmarks, specifically those involved in chromatin remodeling such as Chd4, Sspr1, Dnmt3b, Set and Smarca5 (Table S1, S2).

Mitotic ChIP-MS also identified proteins that have previously been described to be retained on the mitotic chromatin, such as Utf1 (van den Boom et al., 2007), Dnmt3a (Easwaran et al., 2004), Uhrf1 (Rothbart et al., 2012), and Parp1 (Lodhi et al., 2014) (Table S3). One of the proteins, Parp1, was recently shown to be a mitotic bookmark that is associated with rapid reactivation of genes upon mitotic exit (Lodhi et al., 2014). Additionally, our dataset provides the opportunity to study various proteins in a novel mitotic specific context. The mitotic retention of splicing factors, RNA binding proteins and post-transcriptional regulators is novel and intriguing, and an investigation could result in novel regulatory mechanisms of gene regulation (Fig 5). We validated the mitotic association of RNA binding proteins Ddx21 and Litd1, both of which strongly colocalize with the mitotic chromatin (Fig 9), providing avenues for future exploration of roles in mitosis. Of note, Ddx21 has recently been shown to be highly involved in regulating RNA pol I and pol II transcription via small chromatin-bound RNAs (AJ et al., 2016; Calo et al., 2015), and the mitotic association of Ddx21 provides a unique opportunity to investigate an RNA mediated mechanism of transcription reactivation upon mitotic exit.

Importantly, our data presents the prospect to study mitosis by investigating the role of various chromatin regulators throughout cell cycle. While many of the identified MBFs associate with gene silencing, and heterochromatic epigenetic marks (Table 2, Fig 6), a significant number, such as Lmna, Lmnb1, Rbbp4, Smarca5, Ssrp1, and Parp1 also associate with various euchromatic marks (Fig 6). This association is not tested in embryonic stem cells, and importantly, has not yet been established during mitosis. Recent studies have shown that open chromatin marks, such as H3K27Ac (Liu et al., 2017b) and H3K4me3 (Grandy et al., 2016; Valls et al., 2005) are abundantly present during mitosis, and perhaps some of these MBFs are involved in the mitotic propagation of these marks. The current school of thought is that bookmarking factors are retained on the mitotic chromatin to mark active gene loci (Zhao et al., 2011, Kaduke et al., 2012, Caravaca et al., 2013, Lodhi et al., 2014, Festuccia et al., 2016, Liu et al., 2017), however the abundance of heterochromatin related proteins suggest that perhaps repressed gene loci are also bookmarked during mitosis. Mitotic bookmarks could, therefore, be important for retaining both the repressive and the active transcriptional memory of the cell during mitosis.

In summary, in this study we developed and deployed an unbiased approach to identifying mitotic chromatin associated factors in ES cells, which has led to the identification of novel mitotic-specific interactions of chromatin related proteins. The pool of candidates that we have identified using our ChIP-MS screen contains putative MBFs that require further validation, but the data provides many opportunities for investigating chromatin remodeling, epigenetic inheritance, and gene expression during mitosis in ES cells, by specific MBFs. Currently, there are significant gaps in the mitotic

bookmarking field of study, of which the paucity of MBF candidates is a major roadblock. The work presented helps surmount this roadblock, and provides a new methodology for MBF identification and a fresh pool of candidate MBFs for future validation. There still remain numerous outstanding questions that surround mitotic bookmarking, such as: what is the role and mechanism of histone mark inheritance during mitosis; is there a bias to retaining active or repressive transcription memory during division; the specificity of MBFs for specific epigenetic marks; the role of DNA methylation retention during division given that all four *de novo methyltransferases* were found to retained on the mitotic chromatin in our screen. The nature of the gene loci that are bookmarked during mitosis by putative MBFs we have identified could provide a useful entry point to understanding if these bookmarked sites control cell fate and phenotypic identity. In the next chapter, we will address the question of what gene loci are potentially bookmarked during mitosis, and explore potential bookmarking capabilities of the putative MBF, Parp1, at these loci.

Figures

Figure 1: Mitotic ChIP-MS strategy and approach. A.) Schematic showing the protocol used to identify global and mitotic chromatin associated proteins in ES cells. B.) Flow cytometry plots of the cell cycle profiles of control and mitotically enriched (M-enriched) ES cells, stained by Hoechst for DNA content and anti-MPM2 antibody (AF647 secondary) for staining mitotic cells. C.) Immunofluorescence of mitotically enriched ES cells cytospun onto slides and stained with Hoechst and anti-H3S10P. White arrows highlight mitotic cells, scale bar=50µm D.) Western blot of control and M-enriched ES cells showing the specificity of anti-H3S10P antibody compared to the anti-H3 loading control. E.) Agarose gel showing the MNase shearing of MNase treated supernatant and pellet after sonication; Ctrl= no MNase control, 1 $\&$ 2= two separate replicates

Figure 2. H3S10P is an unbiased marker for pulling down mitotic chromatin bound proteins A.) Heat-map showing the normalized read counts (cpm=counts per million) across 1000 randomly selected 1kb bins across the genome B.) Volcano plot showing differential read counts (log fold change) between H3S10P ChIP and background input controls versus the average read abundance (log cpm) (red: gene loci with significant fold change, orange: gene loci with zero counts in all samples, black: gene loci with no difference in read counts between H3S10 ChIP and input

А.

Figure 3. ChIP-MS protein analysis for identification of mitotic chromatin bound proteins. A.) Coomassie biosafe stained SDS PAGE protein gel, for one of the three immunoprecipitation (IP) replicates. H3 IP= IP with anti-H3 (global chromatin) and S10-IP= IP with anti-H3S10P (mitotic chromatin). Each lane was split into two MS runs, one with the bracketed regions and the other consisting of all other bands in the lane B.) Western blot from the IPs probed for Oct4 (non-mitotically associated), histone H3 and histone H3S10P. C.) Strategy to identify protein IDs from mass spectrometry data.

Table 1: Total number of protein IDs identified in each mass spectrometry sample after filtering out contaminants

Figure 4. Identification of associated mitotic bookmarking factors (MBFs). A.) Filtration strategy used to identify transcription and chromatin regulating mitotic bookmarking factors (MBFs). We identified a total of 143 putative mitotic bookmarking (MB) factors, and 68 non-bookmarking factors (non MB factors).

Figure 5. Characterization of the 143 S10 and H3 overlapping proteins IDs identified by mass-spectrometry. ClueGO (Bindea et al., 2009) analysis of the molecular functions, biological processes and cellular component terms significant over-represented in dataset. Similar enrichment analysis was performed for previously identified proteins associated with metaphase chromosome spreads by Ohta et al. 2010. ** significantly enriched categories p<0.005

Table 2: Putative MBFs that are associated with significantly enriched chromatin regulating bioprocesses based on ClueGO enrichment analysis (corrected Pvalue<0.001)

GOID	GOTerm	Term PValue Corrected with Bonferronl step down	Group PValue Corrected with Bonferroni step ldown	Associated Genes	Nr. Genes	Associated Genes Found
GO:0006333	chromatin assembly or disassembly	29.0E-15	35.0E-24	12.50		[Cenpv, H2afy, Hist1h1a, Hist1h1b, Hist1h1c, Hist1h1d, Hist1h1e, Hist2h2aa1, Hmga1, Hmgb1, Mcm2, Npm1, Rbbp4, Set, 16.00 Smarca5, Supt161
GO:0034728	nucleosome organization	68.0E-12	35.0E-24	11.40		[H2afy, Hist1h1a, Hist1h1b, Hist1h1c, Hist1h1d, Hist1h1e, 13.00 Hist2h2aa1, Mcm2, Npm1, Rbbp4, Set, Smarca5, Supt16]
GO:0016458	laene silencina	790.0E-9	35.0E-24	6.06		[Dnmt1, Dnmt3a, Dnmt3b, H2afv, H2afy, H2afz, Hist2h2aa1, 12.00 Pabpc1, Rbbp4, Smarca5, Trim28, Uhrf1]
GO:2001251	negative regulation of chromosome lorganization	720 OF-6	35.0E-24	6.09		7.00 [Dnmt1, Dnmt3b, H2afy, Hnrnpa1, Hnrnpc, Hnrnpu, Parp1]
GO:0000183	chromatin silencing at rDNA	$4.3E-3$	35.0E-24	11.11		4.00 [Dnmt1, Dnmt3b, Rbbp4, Smarca5]
GO:0043044	ATP-dependent chromatin remodeling	8.0E-3	35.0E-24	9.09		4.00 [Chd4, Hnrnpc, Rbbp4, Smarca5]
GO:0090116	C-5 methylation of cytosine	220.0E-6	35.0E-24	60.00		3.00 [Dnmt1, Dnmt3a, Dnmt3b]
GO:0006304	DNA modification	210.0E-6	35.0E-24	7.53		7.00 [Dnmt1, Dnmt3a, Dnmt3b, Dnmt3l, Parp1, Trim28, Uhrf1]
GO:0010608	posttranscriptional regulation of gene expression	9.7E-12	$8.1E-15$	5.47		[Ddx3x, Dhx9, Eef2, Eif4a3, Eif5a, Hnrnpa0, Hnrnpc, Hnrnpl, Hnrnpu, Igf2bp1, Khdrbs1, Khsrp, Ncl, Npm1, Rpl13a, Rpl26, 21.00 Rpl30, Rpl5, Rps3, Rps4x, Rps9]
GO:0051568	histone H3-K4 methylation	180.0E-6	14.0E-15	10.34		6.00 IDnmt1, Dnmt3b, H2afv, Hist1h1c, Hist1h1d, Hist1h1el
GO:0048255	mRNA stabilization	190.0E-6	14.0E-15	15.15		5.00 [Dhx9, Hnrnpa0, Hnrnpc, Hnrnpu, Iqf2bp1]
GO:0043484	regulation of RNA splicing	1.7E-9	11.0E-12	10.34		[Ddx5, Eif4a3, Hnrnpa1, Hnrnpf, Hnrnph1, Hnrnpk, Hnrnpl, 12.00 Hspa8, Khdrbs1, Npm1, Ptbp1, Srsf3]
GO:0006338	chromatin remodeling	140.0E-6	920.0E-9	6.40		8.00 [Cenpv, Chd4, Hmga1, Hnrnpc, Rbbp4, Smarca5, Tcp1, Top1]

Figure 6. Epigenetic marks associated with putative MBFs. Heat-map showing the relative abundance of putative bookmarking factors when pulled down by other epigenetic marks. Abundance is represented as log10 of MS_score+1. Epigenetic mark data is from Ji et al., 2015, and only the proteins from our dataset that overlapped with theirs are shown. Left panel represents proteins characterized as putative MBFs and on the right (S10 only proteins) are the proteins that were unique to the S10 datasets are not present in H3 pulldown.

non-MBFs

 (1)

 (0)

Figure 7. Identification of pluripotency associated mitotic bookmarking factors. A.) Filtration strategy used to identify pluripotency associated MBFs. The 143 putative MBFs were overlapped with RNA-seq data and categorized into bins from -2 to -0.5, -0.5 to +0.5 and +0.5 to +2 based on fold changed in LIF vs RNA. Based on GO analysis Nuclear, chromatin and transcription related factors were selected for further characterization. B& C.) List of putative (B.) MBFs and (C.) non-MBFs sorted on their relative expression in pluripotent (LIF) conditions.

Figure 8. Validation of the mitotic association of putative MBFs A.) Experimental scheme to validate the mitotic association of candidate MBFs by live cell imaging. Cells were co-transfected with cDNA-mKO2 fusion and H2B-GFP fusion constructs and imaged for 2hrs at 4minute intervals. B.) Representative images for putative MBFs (Parp1 and Psip1). Arrows point towards a dividing cell. Scale =20um C.) Normalized mean fluorescence intensity measurements for candidate MBFs as cells undergo mitosis. n=4 (Hmgb2), n= 8 (Psip1), n=8 (Dnmt3a), n=6 (Parp1). To capture the complete mitotic event graphs were generated from t-9 frames, where t was the frame with the highest intensity representing a metaphase plate.

Figure 9. (Below, four pages) Validation of the mitotic association of putative MBFs A.) Representative live cell images for other putative MBFs tested, along with H2B-GFP. Arrows point towards a dividing cell. Yellow and white arrows represent multiple mitotic events in the same frame. Scale = 20 um. c DNA= MBFs diffused during mitosis but were not excluded from the mitotic chromatin, cDNA= excluded from the mitotic chromatin, cDNA= associated strongly with the mitotic chromatin. Mta2, and Ssbp1 are the non-MBFs (S10 negatives) tested

Non-Mitotic Bookmarking factors

Table 3: Complete list of the putative MBFs identified, along with the massspectrometry peak scores, and fold change values (normalized expression) in LIF compared to RA conditions (2 pages)

Supplementary material

Figure S1: $A \& B$) Immunostaining (A) and western blot (B) showing the specificity of two different H3S10P antibodies. C) Immunostaining showing the specificity of the MPM2 antibody used to check for M-enriched cells

Figure S2: Strand-NGS RNA seq analysis pipeline for GEO dataset GSE65697

Table S1: List of putative MBFs that result in some form of mitotic defect upon depletion

Table S2 : List of putative MBFs that result in some form of DNA damage upon depletion

Table S3: List of putative pluripotency specific MBFs to follow up on ranked in order of priority. Putative MBFs were prioritized first based on the phenotype upon depletion. Factors in the same phenotypic category were prioritized based on high to low expression in LIF conditions.

Table S5: Validation of the mitotic association of the putative MBFs pulled down in S10P ChIP. Putative MBFs in red did not show localization with the mitotic chromatin.

Chapter 2.3

Assaying chromatin accessibility through mitosis reveals bookmarked sites at

proximal gene promoters

Chapter 2.3

Assaying chromatin accessibility through mitosis reveals bookmarked sites at proximal gene promoters

Preface

This chapter addresses aims 2 and 3 of the research goal. I designed the study along with input from Dr. Jon Draper and Dr. Aki Minoda, and carried out majority of the experiments outlined in this study. Ye Liu, a graduate student in Dr. Minoda's lab, performed the ATAC-seq. I performed the data analysis with assistance from Drs. Jen-Chien Chang and Chung-Chau Hon (RIKEN institute, Japan). Daisy Deng, a graduate student in Dr. Draper's lab, generated Parp1 knockout lines, performed characterization assays on them and assisted in collection of material for mitotic release qRT-PCR experiments, contributing to figures 7 & 8.

Abstract

Mitotic bookmarks are memory signatures that allow the faithful inheritance of cellular identity to daughter cells after division. One of the key outstanding questions surrounding mitotic bookmarking is to identify those genomic loci in which bookmarking facilitates an altered pattern of transcription. To begin the process of addressing this key question, we profiled the accessible regions of the chromatin using the Assay for Transposase-Accessible Chromatin followed by sequencing (ATAC-seq), on interphase, mitotic (G2M) and G1 populations. We defined bookmarked loci as genomic sites whose accessibility is preserved throughout interphase, mitosis and back into G1 phase. Our data demonstrates that a large portion of the interphase sites $(\sim 31\%)$ fit this bookmarking model of constant accessibility through mitosis, and these sites most frequently occupied promoter regions within 3kb of the transcription start site. Significantly, these putative bookmarked sites strongly co-related with the occupancy of H3K27Ac modifications, a mark previously characterized as being associated with mitotically bookmarked sites (Liu et al., 2017b). In contrast, the non-bookmarked sites were largely present in distal intergenic regions and did not co-relate with H3K27Ac mark. We also identified subsets of pluripotency-associated accessible gene regions that appear to be bookmarked by a variety of transcription factors such as Oct4, Sox2 and Klf4, as well as Parp1, a hit identified in our ChIP-MS screen. Additionally, we show that there is a subset of bookmarked sites that are more accessible in G2M compared to G1 (G2M-enriched). These G2M-enriched sites are ubiquitously marked by H3K27Ac, and show a strong bias towards a pluripotent gene signature. Genes associated with G2M-enriched loci are rapidly transcribed upon mitotic exit. We tested the role of Parp1 as a potential bookmark

at these sites, but the limited nature of our study did not provide conclusive evidence to establish the role that Parp1 plays in mitotic bookmarking of ES cells. This study provides a new perspective and fresh avenues for exploring the mitotic bookmarking capability of key pluripotency proteins.

Background

The proposed role of mitotic bookmarking is the preservation of transcription states through cell division (Kaduke et al, 2012, Zaidi et al., 2010, Hsiung et al., 2015, Zaret, 2014). Transcription via all three RNA polymerase enzymes is stalled during mitosis. This occurs as a result of dissociation of core transcription machinery form the mitotic chromatin, as with RNA pol II (Parsons and Spencer, 1997, Zhao et al., 2011) and RNA pol III (Leresche et al., 1996) or by inactivation of RNA pol I during transcriptional elongation (Weisenberger and Scheer, 1995). Phosphorylation dependent uncoupling of cell type-specific transcription factors is also involved in the cessation of transcription during mitosis (Martinez-Balbas et al., 1995, Roberts et al., 1991, Segil et al., 1991, Luscher and Eisenman, 1992). The transcription machinery is the executioner, and requires a blueprint to be pre-set to carry out RNA transcription. The chromatin state of the genome is the blueprint for transcription, and therefore, it must be established before the reactivation of transcription upon mitotic exit. Transcription is initiated during late telophase of mitotic division, and proceeds through to G1 in a sequential and orchestrated manner (Prashanth et al., 2003).

We hypothesize that in ES cells, mitotic bookmarking factors (MBFs) play a role in preserving the chromatin state of key pluripotency related genes, resulting in a rapid reactivation of the pluripotent transcriptional program. To test this we first establish the chromatin accessibility profiles of ES cells in interphase, during mitosis and upon mitotic exit into G1 using the Assay for Transposase-Accessible Chromatin followed by sequencing (ATAC-seq) (Buenrostro et al., 2013). The ATAC-seq assay involves treating cells with a 'transposome', which contains a hyperactive Tn5 transposase and DNA adapters (Buenrostro et al., 2013). Upon encountering genomic sites that are unprotected, Tn5 cleaves the DNA and results in insertion of DNA adapters, which can later be sequenced to identify regions of high accessibility. DNaseI profiling of mitotic and asynchronous erythroid cells had previously revealed that the chromatin was largely accessible during mitosis, except at certain distal transcription factor binding sites (Hsiung et al., 2015). We aimed to use ATAC-seq in a similar manner to profile the changing chromatin dynamic during mitosis and into G1, and propose that it would be modulated by binding of one or more MBFs.

We chose to focus on Parp1 as a potential mitotic bookmarking candidate in ES cells, as this factor had been identified in our ChIP-MS screen for mitotic chromatinassociation in Chapter 2.2. Parp1 (poly [ADP ribose] polymerase I) catalyzes the addition of ADP-ribosyl group from NAD+ to various protein including histones, and Parp1 itself. Poly-ADP-ribosylation of a variety of proteins serves as an important post-translation regulatory mechanism. As a result, Parp1 is involved in a variety of processes including DNA damage response (Shall and de Murcia, 2000; Wang et al., 1995), regulation of chromatin structure (Krishnakumar and Kraus, 2010; Liu and Kraus, 2017), differentiation (Hemberger et al., 2003; Nozaki et al., 1999; Roper et al., 2014) and transcription regulation (Ogino et al., 2007; Zhang et al., 2016). Additionally, it was shown to bookmark certain gene promoters in HEK293 cells (Lodhi et al., 2014) resulting in rapid transcriptional reactivation of certain genes. It was also shown to be important for the maintenance of pluripotency in ES cells cultures (Jiang et al., 2015; Roper et al., 2014), and is highly expressed in ES cells (Chapter 2.2 Fig 7). Hence, Parp1

represented a suitable pilot study for assaying mitotic bookmarking within the context of the new bookmarking data we present in this chapter.

Materials and methods

Cell culture: E14TG2A wild type mouse ES cells were cultured on 0.1% gelatin coated dished with or without x-ray irradiated mouse embryonic fibroblasts (x-MEFs). X-Mefs were seeded at a density of $1x10^6$ per full 6 well plate. Cells were cultured in DMEM (Sigma: D5796), supplemented with 15% FBS (Gibco), 1X non-essential amino acids (11140-050, Thermo Fisher), 1X glutamax (35050-061, Thermo Fisher), 1x sodium pyruvate (11360-070, Thermo Fisher) and 1x BME (21985-023, Thermo Fisher), and murine recombinant LIF (AMS-263-100, Amsbio). Cells were passaged every 2-3 days with Accutase[®] (Sigma: A6964).

Mitotic enrichment: Mitotic enrichment for ATAC-seq experiments was performed by treating mouse ES cells with 50ng/ml of nocodazole for 7hours at 37C. For later, mitotic release qPCRs with wild-type and knockout cells a double thymidine and nocodazole block was used (Teves et al., 2016). For this, cells were seeded at a density of 2.5M per T75 0.1% gelatin coated tissue culture flasks. Each flask was supplemented with 0.5M xray irradiated MEFs (mouse embryonic fibroblasts).

Intracellular flow cytometry: Cell cycle profiles were established by fixing cells at various time points using the BD fixation and permeabilization kit (Cat $\#$ 554714). The fixation solution was diluted with 3 parts PBS to achieve a final paraformaldehyde (PFA) concentration of 1%, and cells were fixed for 10minutes at room temperature. Cells were Hoechst (Life technologies: H1399) for DNA and MPM2 (05-368, Millipore) as a mitotic marker. Donkey anti-mouse Alexa Fluor 647 (Thermo Fisher A-31571) was used as a

secondary antibody. Samples were acquired on MACSQuant® analyzer (Milteny Biotech), and analyzed using FlowJo.

Mitotic release experiments for ATAC-seq: mESCs were mitotically enriched for 7hrs with 50ng/ml nocodazole. At 6.5hrs with nocodazole Hoechst33342 was added to the media at 10ug/ml to stain the nuclei at 37C for 30minutes. Mitotic cells were collected by mitotic shake off, and were released (M-released) into regular mESC media after washing off nocodazole (1X with PBS) for 20mins at 37C. Cells were gently dissociated and passed through a 40um cell strainer. M-released cells (G1t20) were kept cold from this point on, and were resuspended in PBS with 2%BSA, 5mM EDTA and 7AAD (BD 559925 at 1:100); live cells were sorted using Beckman Coulter's MoFlo based on DNA content. A fraction of G1t20 cells were washed, and resuspended gently in mESC media and release backed into G1 at 37C for 15mins. Cells were then collected for G1t35. 20K and 40K cells from each fraction (Interphase, G2M, G1t20 and G1t35) were cryopreserved in 15% FBS and 10%DMSO until processing for ATAC-seq.

ATAC-seq: ATAC-seq samples were prepared from 20,000 cells. The transposase reactions were carried as previously described (Buenrostro et al., 2015) with 10 to 13 total PCR cycles. Amplified DNA fragments were purified with QIAGEN MinElute PCR Purification Kit and size selected twice with Agencourt AMPure XP (1:1.4 and 1: 0.5 sample to beads, Beckman Coulter). Libraries were quantified with KAPA Library Quantification Kit for Illumina Sequencing Platforms (KAPA Biosystems), and size distribution was checked on a Bioanalyzer (Agilent High Sensitivity DNA chip, Agilent Technologies). Libraries were sequenced on a HiSeq2500 Paired-end 50 base (Illumina),

141

and were mapped using HISATII (Kim et al., 2015) using Gencode GRCm38 genome

assembly. Following command was used for alignment:

```
hisat2-2.0.4/hisat2 -p 16 --fr -x \ \hisat2_index/mm10_with_gencodeM10/index/index \
-1 sample1_R1 \
-2 sample1 R2 \
| /samtools-1.3.1/samtools sort - \setminus-o sample1.sort.bam
```
PCR duplicates were removed from the alignments as follows:

samtools-1.3.1/samtools rmdup -S sample1.sort.bam sample1.sort.rmdup.bam

ATAC-seq data analysis:

Global peak analysis: Macs2 peak caller (Zhang et al., 2008) was used to identify

total ATAC-seq accessible peaks. Following parameters were used:

macs2 -t \$bam_file -f BAM -s 145 -n sample_ID_narrow -g mm -p 0.01 - nomodel $-$ s 145 $-$ B $-$ outdir \$subdir narrow

Bedtools intersect (bedtools intersect –u) (Quinlan and Hall, 2010) was used to find common peaks between the two replicates for G2M, G1t20 and G1t35 samples. Bedtools was also used to find overlaps with other datasets and between samples.

PePr differential Peak Calling (final parameters): Peak-calling and Prioritization pipeline (PePr) (Zhang et al., 2014) was used to identify differentially accessible regions between different cell cycle populations. Following parameters were used:

```
python PePr.py \
-c $sample 1 rep1, $sample 1 rep2 \setminus--chip2 $sample 1 rep1, $sample 1 rep2 \
-f bam --diff -n G1t35vsG2M --peaktype sharp --normalization intra-
group \
--output-directory
```
Post-processing data analysis was performed using R (http://www.R-project.org/) (Team, 2013), individual scripts are deposited here (See R scripts). Briefly, ChIPseeker (Yu et al., 2015) was used to perform feature distribution, and peak annotation analysis for both global and differential ATAC-seq peaks. R package venneuler was used to generate venn diagrams, and UpSet (Lex et al., 2014)was used to generate UpSet plot for showing relationships between different overlapping datasets.

Post-processing (see R-scripts):

170413 ATAC ChIPseeker.R (for peak annotation and profiling)

170705_ATACseq_macs2_venndiagrams (for venn-diagrams and Upset Plots)

170712 pluripotent bookmared RNAexpr (comparison of RNA expression with Parp1, klf4 and H3K27Ac bookmarked sits)

GEO datasets used: RNA seq analysis for RA vs LIF conditions (GSE65697 (Terranova et al., 2015), analyzed as in Chapter 2.2). H3K27Ac mitotic and interphase ChIP-seq, mitotic Oct4, mitotic Klf4 and mitotic Sox2 ChIP-seq (GSE92846) (Liu et al., 2017b) and Parp1 Interphase ChIPseq (GSE81168) (Liu and Kraus, 2017).

 $q-RT-PCR$: RNA was isolated using TrizolTM LS (Thermo Fisher 10296028) according to manufacture's protocols. For nascent RNA q-RT-PCR, RNA was DNaseI treated in solution and purified using Qiagen RNeasy Micro Kit (Qiagen Cat # 74004). cDNA was prepared for 1ug of RNA using SensiFAST cDNA Synthesis Kit (Froggabio BIO-65054), and q-PCR was performed using SensiFAST SYBR No-ROX Kit (Froggabio CSA-01194). CFX ManagerTM was used to analyze the data (Biorad, Software #1845000).

Generation of Parp1 knockout mouse ES cells: Parp1 KO mouse ES lines were generated by using guide RNAs again exon 2 of mouse Parp1 gene. Guide RNAs were designed using Benchling and cloned into CRISPR/Cas9 backbone, pSpCas9(BB)-2A-Puro (PX459) V2.0 (a gift from Feng Zhang (Addgene plasmid # 62988)) (Ran et al., 2013). 10 ug of the cloned CRISPR/Cas9 plasmid was used to transfect a well of 6-well plate of wild type ES cells (p6). Cells with selected with 2ug/ml of Puromycin for 72hours, and colonies were allowed to form. Individual colonies were hand picked and screen by western blot with anti-Parp1 antibody (Abcam ab194586). Positive clones were amplified around the targeted region and sequenced using Sanger sequencing.

Colony forming assay: Cells were seeded at a density of 250cells/well onto a well of a 12 well plate with or without x-MEFs. For each experiment cells were seeded in a technical triplicate and cultured for 5days. At day 5, colonies were fixed in the dish with 250ul of 4% PFA (Electron microscopy sciences, Cat # 15710) for 1-2minutes and washed with water. Alkaline phosphatase (AP) staining was performed as described in Sigma AP staining kit (86R-1KT, Sigma). Plates were scanned on EPSON Scanner with 3200dpi and 24-bit colour and analyzed on ImageJ (Schneider et al., 2012). Dense colonies with intense AP staining were characterized as AP positive (AP+ve) while the less dense ones with dispersed pink staining around the edges were characterized as mixed colonies.

Statistical Analysis: All statistical analyses were performed using GraphPad Prism (version 6), except ATAC-seq related analyses. Unless otherwise stated error bars represent standard error of the mean and alpha of 0.05 was used as a cut-off for statistical significance.

Results

Cell cycle kinetics of ES cells

To assay the transcription profiles of mouse ES cells upon mitotic exit we first studied the kinetics of mitotic exit in ES cells. ES cells were treated with 50ng/ml of nocodazole for 7hrs, collected by mitotic shake off (t0) and released into G1, with media without nocodazole at 37C. Cell cycle profiles of mitotic-release (m-release) were established by PFA fixation and staining with mitotic marker MPM2 (Campbell et al., 2014, Westendorf et al, 1994), and the DNA dye, hoechst. At t0, majority $(\sim 80\%)$ had a 2N DNA content and about half of those were in mitosis as observed by MPM2-APC staining (Fig 1A-B). When released into media without nocodazole, they very rapidly exited out of mitosis and within 30mins of release about 10% of cells are in G1 phase of cell cycle, with numbers increasing rapidly until about 75minutes post-release when cells appear to establish a momentary equilibrium (Fig. 1A-B). Of note, from 75minutes to 120 minutes post-release, 15% of the cells still remain in G2, and a negligible percentage is in mitosis, suggesting that most of the G2 cells observed at t0 were arrested in late G2 or early metaphase, and only about 15% of the total t0 population was in early G2.

We aimed to assay the chromatin and transcription profile of the earliest stages of G1. To this end, we released nocodazole-arrested cells into G1 for 20mins (G1t20) at 37C, and FACS sorted live cells based on DNA content (Fig 1C). G1t20 cells were placed into culture and released for another 15mins at 37C, collecting a later G1t35 cell population (Fig 1C).

Assay for Transposase Accessible Chromatin (ATAC-seq) reveals putatively bookmarked gene loci

ATAC-seq was performed on interphase (asynchronous) control, G2M, early G1 $(G1t20)$ and late G1 $(G1t35)$ cells (Fig. 1C & Table S1). Samples were sequenced to a depth of over a 100 million raw reads per sample, and filtered out for quality and PCR duplicates (Table S1). Macs2 (Zhang et al., 2008) was used to call peaks for individual samples (Table S2). The number of peaks between different samples was very similar, except in interphase, which had slightly higher number of peaks (Table S2). All samples also showed a similar peak distribution centered around the transcription start site (TSS) (Fig 2A), however the interphase sample showed slightly higher number of peaks in distal-intergenic regions compared to G2M, G1t20 and G1t35 samples (Fig 2B). For further identification of putatively bookmarked gene loci, we retained peaks that were common between the two replicates for G2M, G1t20 and G1t35 samples (Table S2).

We defined mitotically bookmarked sites as genomic regions that maintain their chromatin accessibility state throughout mitosis into G1. To identify genes that were putatively bookmarked during mitosis, we compared the overlap of G2M accessible sites with other cell populations. 42% (45486/107869) of sites that were accessible in interphase retained accessibility during G2M (Fig 2C), and 29% (32127/107869) of interphase sites also open in both G1t20 and G1t35 populations (Fig 2C and E). We found that majority of the sites identified in G2M were also accessible in early and late G1 (Fig 2D), but only 9.4% (5167/54963) were accessible uniquely during mitosis (Fig. 2E). We categorize the 32127 sites that retain their chromatin state throughout interphase, mitosis and into G1 as being putatively mitotically bookmarked sites, and the remaining interphase sites (74365) as not being mitotically bookmarked (Fig 2F). Comparing the feature distribution of these two classes, we observed that a majority (47.8%: 43.5% within 1kb of TSS, 2.49% between 1-2kb and 1.85% between 2-3kb of the TSS) of the bookmarked sites are located within gene promoters, and 28% are distal intergenic (Fig 3A). Conversely, the non-mitotically bookmarked sites are mostly present in distal intergenic regions (48.16%), and only 9.96% within up to 3kb of the TSS (Fig 3A). It is worth noting that in our analysis the non-mitotically bookmarked sites were classified as shared between interphase and 2 or less of the 3 cell cycle populations tested.

Recently, Liu et al., 2017b had shown that the H3K27Ac epigenetic mark is retained on mitotic chromatin as are Oct4, Sox2, and Klf4, and could bookmark some loci. We examined the overlap of putative bookmarked sites identified using our ATACseq data with the mitotic specific sites of H3K27Ac, Oct4, Klf4, and Sox2 (Fig 3A-B, data from (Liu et al., 2017b)), as well as for the total known binding sites of the putative bookmarking factor Parp1 (Fig 3B, data from (Liu and Kraus, 2017)). Since, H3K27Ac marks enhancer elements, we also explored the putative overlap of pluripotency related super-enhancers (SE) (Fig 3B, data from (Whyte et al., 2013)). We found that mitotic H3K27Ac mark occupied 33.8% of the total putative bookmarked sites identified using our ATAC-seq data (Fig 3A-B), and only co-localized with 6.8% of the non-mitotically bookmarked sites (Fig 3A). Various combinations of the pluripotency factors occupied only small subsets of our bookmarked sites (Fig. 3B). Additionally, 41.6% (1110 out of the total 2563) super enhancer sites (Whyte et al., 2013) are bookmarked during mitosis based on our data. Majority of the H3K27Ac bookmarked sites (78%, 8504/10863), did not co-localize with any of the other marks tested, while 8% (875/10863) were occupied by Klf4 only and 6% (622/10863) putatively by Parp1 only (inset, Fig 3B). A comparison of expression of the genes bookmarked by H3K27Ac, Klf4 and Parp1 in MEF

(differentiation) vs ESC condition identifies some genes that show higher expression in pluripotency (Fig 3C). It is important to note, though, that some of the gene loci bookmarked by this group are equivalently expressed in ESC and MEF (a differentiated cell type) (Fig 3C).

Assaying the changing chromatin landscape upon mitotic exit

Differential peak analysis reveals regions of variable accessibility upon mitotic exit

To get a better resolution of the changing chromatin landscape of the bookmarked sites upon mitotic exit, we performed a pairwise differential peak analysis using Peakcalling and Prioritization Pipeline (PePr) (Zhang et al., 2014). Differential analysis showed distinct accessibility patterns between different cell cycle fractions (Fig. 4A-B). Pairwise comparisons were made between G2M and G1t20, G2M and G1t35, as well as between and G1t20 and G1t35 populations (Fig 4 $\&$ Table 1). This analysis revealed that the number of differentially accessible peaks was highest in G1t20 compared to G2M (G1t20overG2M), although is should be noted that the fold change differences were minimal. To better understand the nature of potentially bookmarked sites, we compared the differentially accessible sites to the bookmarked sites identified in Fig 2F, and found that a majority of the sites that were enriched in G2M (both G2MoverG1t20 and G2MoverG1t35; 82% and 63%, respectively) were identified as being bookmarked, while only a small subset of the G1 enriched sites were classified as being bookmarked (Table 1). Furthermore, regions that are more accessible in G2M compared to both G1 fractions (G2M over G1t20 and G2M over G1t35) are mostly present at gene promoters and flank the transcription start site (TSS) (Fig. 4B, 4C (red-box)). G2M enriched peaks also

exhibit a distinct feature distribution pattern (Fig 4B) compared to global profiles (Fig 2A-B) and regions more accessible in G1 (Fig 4A). Additionally, genomic sites more accessible in G2M (G2MoverG1t20 or G2MoverG1t35) have a broad region of accessibility around promoter sites, unlike a sharp peak normally observed at the TSS (Fig 4C, red box). We identified the sites that were enriched in G2M compared to both early and late G1 time points, 'G2M enriched common' (Table 1) and hypothesized that these sites are associated for rapid transcriptional reactivation of pluripotency genes upon mitotic exit.

G2M-enriched loci are ubiquitously occupied by H3K27Ac mark, and are associated with pluripotency-related gene expression

To characterize the genes associated with regions of differential accessibility, we overlaid the differentially accessible genomic sites with RNA expression profiles in pluripotent and RA differentiation conditions (Fig 5A). Genes associated with G2Menriched peaks had significantly higher expression profiles in pluripotent cell conditions compared to genes associated with regions more accessible in G1 (G1t20 over G2M and G1t35 over G2M) (Fig. 5A). Common G2M-enriched regions ('G2M_enriched_common') (Table S3) that are present in both 'G2M over G1t20' and 'G2M over G1t35', show a definite bias towards a pluripotency-associated gene expression signature (Fig. 5A). Although these genes (Table S3) appear to have a more pluripotent expression signature, they are involved in generic cellular pathways such as cell-cycle phase transition, DNA biosynthesis, and RNA regulation (Fig 5B).

Next, we performed a survey of the mitotic occupancy of H3K27Ac, and revealed that the majority of the G2M enriched sites (77% of G2MoverG1t20 (674/895) and 66% of G2MoverG1t35 (199/301)) are bookmarked during mitosis and interphase by H3K27Ac, while a small subset of the G1t20 and G1t35 enriched sites are occupied by this mark (Fig 6). A recent study had shown that some genomic sites undergo a spike in transcriptional activity when transitioning from mitosis to G1 (Hsiung et al., 2016), and that these sites are marked by H3K27ac during mitosis, and could play an important role in establishing the gene expression profile of the daughter cells, ergo the cell fate. To test whether the G2M-enriched sites follow this pattern, we assayed the gene expression levels of the gene associated with these sites upon mitotic exit.

Transcriptional reactivation profiles of differentially accessible sites upon mitotic exit

To assay gene transcription profiles upon mitotic exit we used a double thymidine (Fig 7A) followed by nocodazole block (Teves et al., 2016) to get mitotic populations that are slightly purer (Fig. 7B) than the ones collected after a 7hr nocodazole block (Fig 1A-B). Mitotic cells (t0) were collect by mitotic shake off (mso) and released into G1 for varying time points and collected for RNA. Mouse ES cells that were mitotically enriched by this method still followed the same kinetics of cell cycle progression upon release as for the previous enrichment protocol, with a significant number of cells entering G1 within 35minutes of release (Fig 7B and 1B). We also collected a primarily G2 fraction (postmso; post mitotic shake-off) that remained adherent after collecting cells by shake-off (Fig 7B). We assayed mature RNA transcript levels at different points upon mitotic release for genes that were enriched in G2M or genes that were more accessible in G1 compared to G2M (G1t35overG2M). Despite obvious differences in the cell cycle profile of these populations (Fig 7B) no significant gene expression differences were observed at the transcript level for these genes (Fig 7C) between different time points.

We then sought to check for transcription re-activation by measuring nascent, unspliced RNA transcripts. We designed primers (Table S4) that bind both intronic and exonic regions of genes to quantify the newly synthesized un-spliced transcripts. We compared different fractions using t0 as a control. For G2M enriched genes Eda2r and Kdm6a, nascent transcript level remained fairly constant throughout the cell cycle, while Atf5 showed greater levels of transcription in t0 and t20, and Huwe1 showed a small steady increase in expression through G1 (Fig 7D). Interestingly, Elavl2, a genomic site more accessible in G1t35 shows a spike in expression of nascent RNA levels in G2 (postmso) and interphase cells, the late stages of the cell cycle (Fig. 7D).

Assaying the mitotic bookmarking capabilities of Parp1

Knocking out Parp1 affects the self-renewal capability of ES cells without affecting their cell cycle profile

In order to ascertain the role of Parp1 in mitotic bookmarking we generated Parp1 knockout (Parp1KO) lines by using CRISPR-cas9 (Cong et al., 2013) to disrupt exon2 of Parp1 (Fig 8A). We generated two independent clones of Parp1KO mESC lines (#24, and #8), where clone Parp1KO24 had a homozygous mutation and Parp1KO8 had independent mutations in both the alleles or was a mixed clone with two independent homozygous mutations. In both cases the open reading frame of Parp1 was disrupted and lead to a complete loss of detection of the protein product (Fig 8B-C).

To test the self-renewal capability of Parp1KO cells, colony-forming unit assays were performed by looking at the formation of pluripotent alkaline phosphatase (AP) (Palmqvist et al., 2005; Pease et al., 1990) colonies. Knocking out Parp1 significantly reduces the number AP+ve pluripotent colonies formed compared to wild-type cells (Fig. 8D), suggesting a role in maintaining pluripotency. Additionally, the cell cycle profile of asynchronous Parp1KO cells is unchanged (Fig. 8E) compared to wild-type ES cells. Similarly, when arrested in mitosis and released into G1, Parp1KO cells showed similar kinetics of cell cycle progression compared to wild-types (Fig. 8E), suggesting that the association of Parp1 with mitotic chromatin is not involved in cell cycle progression.

Transcriptional reactivation of Parp1 targets upon mitotic exit

We tested nascent RNA expression of Parp1 target genes (Liu and Kraus, 2017) that were also differentially accessible by ATAC-seq in a mitotic release experiment. Since the cell cycle profile upon mitotic exit was similar between the two cell types (Fig 8F), we compared the differences in expression at each time point to its wild-type counterpart. For the G2M enriched Parp1 targets Eda2r and Kdm6a, knocking out Parp1 results in a slightly increased transcript expression in mid- to late- G1, while no significant changes were observed for Huwe1 and Stella (Fig 9). The G1t35 enriched locus, Elavl2, showed slightly increased expression in mid- to late- G1, but was significantly higher only in asynchronous control samples (Fig 9).

Discussion and conclusion

The aim of this research study was to characterize the extent of mitotic bookmarking in ES cells. To that end, we first identified mitotically bookmarked genomic sites by ATAC-seq. Mitotic bookmarking is a phenomenon that attempts to explain the preservation of cellular identity from the parent cell through mitosis and into G1 of the daughter cells (Kadauke and Blobel, 2012; Sarge and Park-Sarge, 2009; Zaret,

152

2014). To satisfy this criterion, we defined mitotically bookmarked sites as genomic sites that retain their ATAC-seq accessible nature from interphase, through mitosis and into G1. We designed a mitotic release assay (Fig 1C), and FACS isolated G2M, and early and mid- G1 populations. Interestingly, we found that majority of the sites were shared between these three populations, however, there were significant differences when compared to the number of accessible sites profiled in asynchronous interphase cell populations (Fig 2C-D).

We identified 32127 genomic sites that were shared in all four (interphase, G2M, early and mid G1) samples as being mitotically bookmarked and a larger number of interphase sites that were considered to be non-bookmarked (Fig 2F). Majority of the bookmarked sites were present in promoter regions within 3kb of the TSS and were in part occupied by H3K27Ac while the non-bookmarked sites were largely intergenic and devoid of H3K27Ac (Fig 3A-B). This is in concert with data from DNAse I hypersensitive assay performed on asynchronous and mitotic erythroid cells, where it was observed that chromatin is largely accessible at promoter regions bookmarked by H3K27Ac during mitosis, while distal regions are generally closed off (Hsiung et al., 2015). Our data, however, is somewhat in contrast with a recent study where ATAC-seq was perform in asynchronous and nocodazole arrested mouse ES cells, and it was suggested that chromatin accessibility is unchanged during mitosis (Teves et al., 2016). However, when we carried out a genomic loci comparison (as performed in our study) of their dataset, it revealed 54% of the asynchronous sites are bookmarked while the remaining 46% are specific to interphase (Table S5). Additionally, 85% of the sites common to asynchronous and mitotic datasets in their study, overlapped with our bookmarked sites (Fig 2F, Table S5).

These data suggest that while a significant portion of the genome retains the same mitotic chromatin accessibility signature, as during interphase, there is a large percentage that loses accessibility during mitosis and early G1 phases. This raises the possibility that these non-bookmarked sites are dispensable for establishing pluripotent identity, and their altered accessibility is a consequence, but not a cause, of cell fate decisions. In contrast, bookmarked sites would contribute in multiple ways to maintain the various processes that make up a pluripotent cell. It is possible that majority of these genomic loci are important for generic cellular process, while only a subset is specific to pluripotent cells. In order to identify pluripotency associated bookmarked sites, we looked for occupancy of pluripotency related factors, Oct4, Sox2 and Klf4 at these loci, and identified various sets of genes that were bookmarked by a combination of these factors (Fig 3B). We also found that about half of the total pluripotency associated super-enhancers (Whyte et al., 2013) are bookmarked during mitosis, and some of these sites are co-occupied by other pluripotency transcription factors (Fig 3B). These analyses revealed that a combination of Klf4 and Parp1 binding, in addition to H3K27Ac, identified a small subset of pluripotency genes (Fig 3C). Further experimentation, and comparison of bookmarked sites in other cell types is needed to reveal the cell type specific subset of the total bookmarked sites.

To understand the relationship of the ATAC-seq peaks with G1-phase transcription reactivation, we performed a differential peak analysis between the accessibility data obtained from the G2M, G1t20 and G1t35 cycle fractions. It has

154

previously been shown that in late M-phase some H3K27Ac bookmarked genes are occupied by RNA polII, resulting in a spike in transcriptional activity (Hsiung et al., 2016). In our differential peak analysis we identified a subset of bookmarked sites that were more accessible in G2M when compared to G1t20 and G1t35 (Fig. 4). These sites are ubiquitously occupied by H3K27Ac during mitosis, and seem to correlate with genes that have higher expression in pluripotency conditions (Fig 5). Quantitative RT-PCR for the nascent transcripts of these genes (Fig 7) shows that they are expressed at similar levels in G2M, early G1 and interphase controls. However, Elavl2, which was shown to be most accessible in G1t35, displayed a spike in transcriptional activity in interphase and G2 cells, suggesting that such loci may be primed for transcription during late M and into G1.

We checked the transcriptional profiles of Parp1 target genes (Liu and Kraus, 2017) upon mitotic release of Parp1KO cells, however minimal differences were observed. Eda2r and Kdm6a seemed to be slightly up-regulated in mid- to late-G1 (Fig 9) suggesting that perhaps Parp1 acts as a repressor of some genes upon mitotic exit into G1 for maintaining normal ES cell expression profiles.

It is worth mentioning that these genes represent a very small fraction of the total or pluripotency specific sites bookmarked, and that further characterization of other gene loci are required before ruling out the role of Parp1 as a mitotic bookmarking factor. As an attempt to address this in the near future, we are currently in the process of assaying the affects of expressing Parp1 protein fused to a mitotic degron domain (MD) that would decouple it from the chromatin during M-G1 phase transition (Kadauke et al., 2012). We will use an inactive degron unit, MD^{*} as a control. This approach has been used by recent studies to assess the role of mitotic occupancy of various bookmarking factors(Deluz et al., 2016; Kadauke et al., 2012; Liu et al., 2017b). We will assay the phenotypic affects of perturbation of Parp1 during late mitosis by Parp1-MD, and Parp1-MD* in wild-type and Parp1KO cells in a colony forming unit assay. We hypothesize that Parp1-MD* and not Parp1-MD will be able to rescue the colony forming defects observed in Parp1KO cells (Fig. 7D).

Additionally, some recent studies have shown that very minimal differences are observed in global gene expression profiles upon mitotic exit by RNA-seq (Festuccia et al., 2016). Sox2, a pluripotency factor, is now established as a mitotic bookmarking factor and its abrogation during M-G1 phase transition impairs pluripotency, however, it does not seem to disrupt gene transcription upon mitotic exit (Deluz et al., 2016; Deluz et al., 2017). We attempted to use RNAseq to profile the global RNA expression profile of ES cells in the same cell cycle phase stages that we profiled with the ATAC-seq, but no differences were observed in the mature RNA transcriptome upon mitotic exit (data not shown) and the sequencing depth limited the identification of nascent transcripts. Analysis of the replicates suggested that there were probably technical issues that confounded this experiment, but more sensitive technologies, such as GRO-seq (Core et al., 2008), could be employed for establishing the nascent RNA transcription activation profiles upon mitotic exit.

In sum, our data reveals that accessibility of majority of proximal promoters is preserved during mitosis, and suggests that global transcription is likely reset very soon in late mitosis and early G1. However, there are still a significant number of genomic sites whose chromatin state is apparently dispensable for early G1 phase reactivation, and therefore not preserved during mitosis. Various bookmarks occupy small subsets of these promoter sites, including H3K27Ac, Klf4, Parp1, Oct4 and Sox2, and are potentially involved in propagating the open chromatin state of these sites into G1. The analysis also revealed a large number of bookmarked sites that do not co-relate with occupancy of any of these factors, warranting an investigation into the preservation of these sites by other bookmarking factors, such as those identified in Chapter 2.2.

Figure 1. A.) Mitotic release time course experiment. Mitotic cells were collected with mitotic shake-off at t0 and released into G1 for 30, 45, 60, 75, 105 and 120minutes (t30, t45, t60, t75, t105 and t120 respectively). The first panel shows cell cycle profiles with DNA dye Hoechst, the line gate represents the population used in the subsequent panel; the second panel is Hoechst, on the y-axis and mitotic marker MPM2 on the x-axis. B.) Relative frequency of different cell cycle phases identified in second panel in A (n=2). C.) Schematic showing the experimental plan for the mitotic release experiment to identify mitotically bookmarked sites. Populations highlighted in green were processed for ATAC-seq.

Figure 2. Assay for Transposase Accessible Chromatin (ATAC-seq) reveals putatively bookmarked gene loci. A.) Peak distribution for different ATAC-seq sample +/-3kB from the transcription start site (TSS). B.) Feature distribution of all the peaks across the whole genome for the different samples. C.) Comparison between interphase peaks and peaks common between the two replicates of G2M, G1t20 and G1t35 samples. D.) Peak comparison between G2M and early and late G1 populations E.) Plot showing the relationship of G2M (G2M sites) sites shared between different overlapping samples. The overlaps compared are as follows: G2M sites shared with interphase $(G2M$ interphase), G2M sites shared with G1t20 (G2M G1t20), and G2M sites shared with G1t35 (G2M G1t35) and are shown at the bottom; set size refers to the number of gene loci within each corresponding overlapping sample. Filled circles represent sites shared with the respective sets, intersection size= number of overlaps represented by the filled circles. For example, 7972 sites are shared between G2M, Interphase and G1t35 but not G1t20. F.) Venn diagram of sites common between G2M, G1t20 and G1t35 (G2M&G1 common) and interphase, identifying the bookmarked and non-bookmarked sites G.) Representative signal tracks showing the three fractions identified in F.)

Figure 3. Transcription factor and epigenetic occupancy of bookmarked sites. A.) Characterization of the bookmarked and non-bookmarked sites. (top) Venn diagram showing the overlap with mitotic H3K27Ac mark and (bottom) the genomic feature distribution of genomic sites that are either bookmarked or non-bookmarked. B.) Occupancy of the bookmarked sites. UpSet plot showing the relationship between occupancy of bookmarked sites with mitotic specific binding of Oct4, Sox2, Klf4, and H3K27Ac and total binding sites for Parp1, and pluripotency specific super-enhancers (SE). Filled circles represent overlap between the different datasets. Intersection size= number of sites corresponding to each overlap. Set size= (total number of gene loci in the overlap (an aggregate of the filled circles) over total number of genes in the original dataset eg. for bookmarked oct4: 419 is the total number of our bookmarked sites occupied by Oct4, 1121 is the total number of genomic sites present in Oct4 mitotic ChIP). The inset shows the magnified version of the bookmarked sites highlighting the most predominant overlaps. C.) Relative abundance of expression of Parp1, Klf4, and H3K27Ac bookmarked genes (red circled in 3B) in MEF vs ESC conditions, cpm= counts per million

Figure 4. Differential peak analysis of accessible regions upon mitotic exit. A.) Peak distribution of differentially accessible ATAC-seq peaks within $+/-$ 3kB of TSS. B.) Genoimc feature distribution of differentially accessible ATAC-seq peaks across the whole genome C.) Representative ATAC-seq signal tracks of regions identified as being differentially accessible. The vertical grey line marks the center of the peak during interphase, the red box marks the broad region of increased accessibility often observed at G2M enriched loci.
Table 1. Summary of differentially accessible ATAC-seq peaks between different cell cycle fractions. G2M over G1t20/G1t35= peaks enriched in G2M compared to G1t20/G1t35, G1t20/G1t35 over G2M= peaks enriched in G1t20/G1t35 compared to G2M, G2M_enriched_common= common peaks that are enriched in both G2M over G1t20 and G2M over G1t35. Highlighted row represents the % of bookmarked site identified in each sample

Figure 5. G2M-enriched loci show a bias towards a pluripotency gene expression signature. A.) Gene expression profile of the genes associated with differentially accessible ATAC-seq peaks, LIFvsRA_log2FC= log 2 fold change between ESCs grown in LIF (leukemia inhibitory factor, pluripotency conditions) and RA (retinoic acid, differentiation condition). pvalues were computed using two tailed unpaired t-test. B.) Biological processes up-regulated in G2M enriched dataset using ClueGO overrepresentation test ** pvalue<0.005

differentially accessible between various populations.

Figure 7. Transcriptional profiles of selected differentially accessible gene loci upon mitotic exit: A.) Schematic of the mitotic release experiment B.) Frequency of cell cycle populations of wild-type (wt) cells profiled at each time point. Error bars= standard error of the mean (SEM) $(n=2)$. $t=0$ mitotic cells collected by mitotic shake-off, t20, t35, t45 cells collected upon 20, 35 and 45 minute release into G1, post-mso= G2 cells that remain attached to the plate after collecting mitotic cells by shake-off. C-D.) qRT-PCR of C) mature and D.) nascent RNA transcripts of G2M enriched and G1t35 over G2M gene loci. Gene expression was normalized using Rpl13a and Tbp as reference genes. Statistical significance was assessed by multiple comparisons in a 2way ANOVA test using t0 samples as control *pval < 0.05, **pval < 0.01 (n=2 for mature transcripts and n=3 for nascent RNA qRT-PCR), error bars= SEM.

Figure 8. Generation and characterization of Parp1 knock-out (Parp1KO) mouse ES lines. A.) Protein (top) and genomic structure (bottom, numbers represent the number of exons) of mmParp1 (not to scale). Red * diagrammatically represents the site targeting by CRISPR/Cas9. B.) genomic sequence of the wild-type (wt) and two Parp1KO clones generated. C.) Western blot showing the protein expression of Parp1, Oct4 and loading control histone H3 in wt, and Parp1KO lines. D.) Colony forming assay for wt, Parp1KO8 and Parp1KO24, normalized to total number of wt colonies formed. AP+ve= alkaline phosphatase positive pluripotent colonies, mixed= heterogeneous clusters of cells. ****pvalue<0.0001 using multiple comparisons by 2-way ANOVA (n=3, alpha =0.05). E.) Cell cycle profile of asynchronous wt and Parp1KO24 using Hoechst and anti-MPM2 staining (n=2) F.) Cell cycle profile of Parp1KO cells upon mitotic arrest and release compared to wt, $t=0$ mitotic cells collected by mitotic shake-off, t20, t35, t45 cells collected upon 20, 35 and 45 minute release into G1, postmso= G2 cells that remain attached to the plate after collecting mitotic cells by shake-off (n=2).

Nascent RNA transcripts

Figure 9. Transcriptional profiles of Parp1 targets upon mitotic exit in wild-type (wt) and Parp1 knock-out (parpKO8) cells. qRT-PCR of nascent RNA transcripts of G2M enriched and G1t35 over G2M gene loci. Gene expression was normalized using Rpl13a and Tbp as reference genes. $t=0$ mitotic cells collected by mitotic shake-off, t20, t35, t45 cells collected upon 20, 35 and 45 minute release into G1, post-mso= G2 cells that remain attached to the plate after collecting mitotic cells by shake-off. * Statistically significant as assessed by multiple t-tests (alpha= 0.05) (n=3 for wt and n=2 for ParpKO8).

Table S1: Summary of ATAC-seq sequencing reads for all samples. Reads were mapped to mm10 genome and fltered out for PCR duplicates

Table S2: Summary of ATAC-seq peak calling using macs2. Peaks that were common between replicas were combined for further analysis

Table S3: Top 110 ATAC-seq peaks for G2M_enriched_common sites (sorted based on signi Table S3: Top 110 ATAC-seq peaks for G2M_enriched_common sites (sorted based on significance)

on sites (sorted based on significance) (contd.) **Table S3:** Top 110 ATAC-seq peaks for G2M_enriched_common sites (sorted based on signifcance) (contd.)Table S3: Top 110 ATAC-seg peaks for G2M enriched comm

Table S4: Primers used in the study

Table S5: Bedtools comparison between the genomic intersection of asynchronous and mitotic ATAC-seq peaks identifed by Teves et al., 2016

Chapter 2.4 Conclusions and Future Directions

Section 2 of this thesis encompassed a research goal to understand and characterize the role of mitotic bookmarking in maintaining pluripotent cell fate. When we commenced this project there were no reports of mitotic bookmarking in pluripotent cells. Over the course of last year a significant development has been made in this area of research with several publications addressing the concept of mitotic bookmarking in ES cells by various pluripotency transcription factors (Deluz et al., 2016; Festuccia et al., 2016; Liu et al., 2017b; Teves et al., 2016). All these studies involve a thorough candidate based approach and have contributed to the field in multiple ways. Over the course of this chapter I will discuss how the landscape of understanding around this subject has changed and the ways in which our study contributes towards it.

The changing mitotic bookmarking landscape

Mitosis is the most visibly distinguishable phase of the cell cycle, characterized by chromosome condensation. Due to the intense condensation of chromatin during mitosis, and various early studies showing the de-coupling of transcription regulatory components from the mitotic chromatin (Luscher and Eisenman, 1992; Martinez-Balbas et al., 1995; Parsons and Spencer, 1997; Roberts et al., 1991) it was thought that: a) DNA loses accessibility during mitosis and b) it was the norm for most chromatin bound components to decouple during mitosis.

However, various subsequent studies that provided proof against those assumptions lead to the conception of the idea of mitotic bookmarking. The concept was first conceived as a mechanism to explain the co-relation of DNA sites that were single stranded during mitosis, with genes that were actively transcribed in G1 (Juan et al.,

1996; Michelotti et al., 1997). It was known at that the time that various transcription factors and members of the transcription machinery decouple from the mitotic chromatin (Luscher and Eisenman, 1992; Martinez-Balbas et al., 1995; Parsons and Spencer, 1997; Roberts et al., 1991) resulting in a transcriptionally silent state (Johnson and Holland, 1965; Taylor, 1960). So, how was it then, that during this transcriptionally dead state and amidst the loss of transcription machinery, the cells knew to keep gene loci of the genes that would be transcribed in later G1 phase, in a single stranded state during mitosis? It was proposed that certain "bookmarks" were deposited onto the chromatin in G2 to mark active genes, and were propagating through mitotic division into G1 of the daughter cells to re-establish their transcriptional identity (John and Workman, 1998; Michelotti et al., 1997).

Since then several studies have identified a wide variety of 'memory signatures' (Hsiung et al., 2015) that could act as mitotic bookmarks including, several mitotically retained transcription factors, and various epigenetic marks (Summarized in Chapter 2.1, Table 2). In contrast to these studies, we assayed the global protein occupancy of mitotic chromatin with the aim to identify putative mitotic bookmarking factors. Surprisingly, we found that a majority of the global chromatin bound factors are retained on the mitotic chromatin (Chapter 2.2). This observation, in light of the ATAC-seq data, however, is not surprising since a large portion of the genomic loci retain their chromatin accessibility during mitosis (Chapter 2.3).

Initial research that focused on mitotic bookmarking based on the mitotic retention of transcription and chromatin regulatory factors suggested that: a.) this was a rare phenomenon, b.) only a select few proteins would be capable of it, and c.) a small

subset of genomic sites would be bookmarked (Blobel et al., 2009, Zhao et al., 2011, Kaduke et al, 2012, Arora et al., 2012). Recent data including this study, however, suggest that mitotic bookmarking by chromatin bound factors is likely to be more prevalent than was previously appreciated and that a large portion of the genome is bookmarked during mitosis than what we had previously known (Teves et al., 2016, Hsuing et al., 2015, Liu et al., 2017b, Caravaca et al., 2013, Chapters 2.2-2.3). Even though mitotic bookmarking by chromatin bound factors is not considered to be rare anymore, it by no means is a characteristic of all chromatin-binding proteins. There is live cell imaging data clearly showing that not all interphase chromatin bound proteins are retained on the mitotic chromatin (Caravaca et al., 2013, Teves et al., 2016, Chapter 2.2). Similarly, a large portion but not all genomic sites retain their accessible nature during mitosis (Chapter 2.3).

Figure 1 represents the conclusion of our study. Bookmarked sites retain their accessible nature from interphase, through mitosis and into G1, and tend to be at proximal gene promoters. Subsets of these sites are occupied by H3K27Ac, Parp1 and various other factors such as Oct4, Sox2, and Klf4. We speculate that these factors, and potentially other MBFs identified here, act as bookmarks of these sites preserving their accessibility status into the G1 of daughter sites. However, the relationship between the occupancy of these MBFs during interphase, mitosis and the subsequent G1 still needs to be established on a candidate basis (Fig 1).

The genome sites that we identified as being non-bookmarked lose their accessibility from interphase to G1, and tend to be more distal inter-genic. We speculate that in ES cells these sites are dispensable for the acquisition of a pluripotent identity but

are opened up during later cell cycle phases as a result of a pluripotent state. These sites could lose their accessibility status either by loss of a euchromatic mark or by deposition of a heterochromatic mark. It is possible that a bookmark is deposited at these sites during late G2/M to actively close them off (Fig 1). A number of MBFs identified (Chapter 2.2) tend to associate with heterochromatic marks and could, therefore, be involved in maintenance of a repressive chromatin state. Mitotic bookmarking, is defined as mechanism for maintaining the transcriptional identity of a cell (Kadauke and Blobel, 2012; Sarge and Park-Sarge, 2009; Zaidi et al., 2010), however, historically has only been studied in context of preservation of active transcriptional states (Blobel et al., 2009; Caravaca et al., 2013; Festuccia et al., 2016; Lodhi et al., 2016). Considering that a significant portion of the chromatin retains accessibility during mitosis, while the rest is actively shut off perhaps maintenance of a repressive state of certain gene loci is equally as important for preserving cellular identity.

In conclusion, this study has contributed towards understanding the extent of involvement of mitotic bookmarking as a mechanism for maintaining cellular identity. We provide evidence that challenges some of the basic assumptions that have set the basis for this field of study. These data are in concert with some other recently published studies (Teves et al., 2016, Hsuing et al., 2015), and offer a means to further investigate this field of research. We provide many avenues to explore that could eventually lead to a better understanding of the mitotic bookmarking phenomenon. I'll further discuss some of the questions that can be addressed using this study as a basis and the data generated from it.

Figure 1. A summary of this study. Mitotic chromatin is ubiquitously coated with H3S10P mark. The schematic represents the different accessibility profiles observed in our study. Gene A represents a gene whose TSS is bookmarked through mitosis by a putative MBF, while the accessibility of the non-bookmarked site is not preserved through mitosis. Regions not accessible in interphase remain unchanged during mitosis.

Future Directions

In keeping with the shifting idea of a more involved role of mitotic bookmarking in maintaining cellular fate, our ChIP-MS screen (Chapter 2.2) provides a list of putative mitotic bookmarking factors (MBFs). These MBFs have not yet been studied in context of their mitosis dependent role, and an investigation can provide important gene regulatory information. We identified several categories of putative bookmarks that interact with both heterochromatin and euchromatin and therefore can act be involved in preservation of chromatin state of active and/or repressed genes during mitosis (Fig 1). We also identify a number of chromatin remodeling proteins, epigenetic regulators, and RNA binding proteins that could be involved in maintaining the accessible status of various genes during mitosis. The ATAC-seq identified potentially bookmarked and nonbookmarked sites (Chapter 2.3). It would be informative to assay the affects of perturbation of one or more MBFs, specifically those involved in chromatin remodeling, on the accessibility of bookmarked and non-bookmarked sites.

There are several questions that arise out of this data. What happens to the balance between bookmarked and non-bookmarked sites when altering a cell's fate, such as during reprogramming and differentiation? Do certain non-bookmarked sites become bookmarked upon a transition of fates? The hypothesis would be that bookmarked genomic loci would vary between different cell types, the extent to which they would vary, however, is unknown. Could we establish and use this information to better understand cellular identity at a chromatin level, and employ it for purposes of differentiation and reprogramming. Such a study would also provide concrete evidence associating mitotic bookmarking of genomic sites with cell fate.

Currently, there is a gap in understanding the relationship between bookmarked sites, the transcriptional profiles of bookmarked genes, and cellular identity. It is a challenging problem to address because of the small window for profiling transcription between early mitosis (where some transcription is still ongoing), metaphase (which is transcriptionally silent), late telophase (where transcription is reactivated) and G1 (where transcription proceeds normally). Traditional RNA-seq based assays are not sensitive enough to pick up differences in nascent RNA transcription over short periods of time, and perhaps techniques like GRO-seq can be used to address this (Core et al., 2008; Liu et al., 2017a).

Additionally, there is a lack of concrete evidence linking the mitotic association of bookmarking factors with cell fate. Several groups have provided evidence for the importance of bookmarking factors during phase transition from mitosis to G1 (Kadauke et al., 2012, Liu et al., 2017, Festucia et al., 2016, Deluz et al., 2017), but were unable to robustly conclude the importance of mitotic association of these factors. This warrants a study into the development of putative degron domains that could de-couple a protein from chromatin at the onset of mitosis until G1. Additionally, putative protein mutants for various MBFs can be identified and synthesized (such as in Rothbart et al., 2012) with the aim to specifically degrade them during mitosis.

Concluding remarks

Overall, we performed an unbiased and thorough investigation into the study of mitotic bookmarking in ES cells. Our study has furthered the understanding of the field by providing evidence that suggest a more prominent role of mitotic bookmarking in maintenance of cell identity. It has also opened up a series of questions that need to be addressed to establish a causal relationship between mitotic bookmarking and fate maintenance. The data provided here could be used as a basis to start answering those questions, and robustly classify mitotic bookmarking as a fate-determining phenomenon.

R-Scripts

170404_ATAC_ChIPseeker.R 2017-07-14, 11:13 AM

```
#170327_ATAC_PePr_bam_sharp_chipseeker analysis
library(GenomicFeatures)
library(GenomicRanges)
library(TxDb.Mmusculus.UCSC.mm10.knownGene)
library(org.Mm.eg.db)
library(ChIPseeker)
## ------------------------------------------------------------------------
## loading packages
library(ChIPseeker)
library(TxDb.Mmusculus.UCSC.mm10.knownGene)
txdb <- TxDb.Mmusculus.UCSC.mm10.knownGene
library(magrittr)
library(UpSetR)
library(dplyr)
setwd("/Users/draperlabair1/Documents/McMaster/Data folder_Backup/
    Bioinformatics/PePr_diff_peak_calling_R/PePr_results_bam_sharp/
    PePr_processed")
# get parp_chip targets
parp_beds= list.files(path="/Users/draperlabair1/Documents/McMaster/Data 
    folder_Backup/Bioinformatics/Parp1_ChipSeq_Processed/Parp1_GSE81168", 
    pattern=".bed", all.files = TRUE, full.names = TRUE)
parp_beds=parp_beds[-3]
for (i in 1:length(parp_beds)){
   new_file_name= paste0(unlist(strsplit(parp_beds[i], split="/"))[10])
   data=read.table(parp_beds[i])
   data= filter(data, V5>=200)
   write.table(data, file= paste0(new_file_name,"_filtered_signal_200"), 
      sep="\t", col.names = FALSE, row.names = FALSE)
   assign(paste(new_file_name), data)
}
parp_beds_filtered= list.files(path="/Users/draperlabair1/Documents/McMaster/
    Data folder_Backup/Bioinformatics/PePr_diff_peak_calling_R/
    PePr_results_bam_sharp/PePr_processed/",
                                pattern="*.bed", full.names=T, recursive=FALSE)
parp_beds_combined= merge(GSM1910638_ESC_WT_Parp1_new_Rep1_rm_blacklist.bed,
    GSM1910639_ESC_WT_PARP1_new_Rep2_rm_blacklist.bed, all=TRUE)
write.table(parp_beds_combined, file= 
    paste0("combined_all_parp1_filtered_signal_200.bed"), sep="\t", col.names = 
    FALSE, row.names = FALSE)
beds = list.files(path="/Users/draperlabair1/Documents/McMaster/Data 
    folder_Backup/Bioinformatics/PePr_diff_peak_calling_R/
    PePr_results_bam_sharp/PePr_bed_files/", 
                   pattern="*peaks.bed", full.names=T, recursive=FALSE)
fileNumbers = seq(beds)
df_list= list()
for (i in fileNumbers) {
```

```
170404_ATAC_ChIPseeker.R 2017-07-14, 11:13 AM
```
}

```
 sample= read.table(beds[i],comment="#", header=FALSE) 
   new_file_name = paste0(unlist(strsplit(beds[i],"/"))[12])
   assign(paste(new_file_name), sample)
   df_list= c(df_list, new_file_name)
dfs <- ls()[sapply(mget(ls(), .GlobalEnv), is.data.frame)]
dfs_parp= ls()[sapply(mget(ls(), .GlobalEnv), is.data.frame)]
dfs_parp= dfs_parp[7:8]
#sample#2 (g1t20vsg1t23_chip2) in the dfs has too low number of peaks to run 
    through, so adjusted accordingly
for (i in (3:length(dfs))) {
   data= get(dfs[i])
   graph_title= unlist(strsplit(dfs[i],".bed"))[1]
   dir.create(paste0("./",graph_title))
   print("now reading file:")
   print(graph_title)
   setwd(paste0("./",graph_title))
   colnames(data)= c('chr','start', 'end', 'id', 'signal', 'V6', 
      'FC','pval','qval')
   data_2 <- with(data, GRanges(chr, IRanges(start+1, end), id=id, V5= signal, 
      pval=pval, FC=FC, qval=qval))
   data_2$V5 = as.numeric(data_2$V5)
   #######not printing
   pdf(paste0(graph_title, "covplot%02d.pdf"))
   print("plotting coverage plot")
   print(covplot(data_2, weightCol="V5", title= graph_title))
   dev.off()
   #######not printing
   #######prints
   pdf(paste0(graph_title,"%02d.pdf"))
   #plotting tagHeatmap
   promoter <- getPromoters(TxDb=txdb, upstream=3000, downstream=3000)
   tagMatrix <- getTagMatrix(data_2, windows=promoter)
   #plotting peakHeatmap
   print("plotting peakHeatmap")
   peakHeatmap(data_2, TxDb=txdb, upstream=3000, downstream=3000, color="red", 
      title= graph_title)
   #plotting peakAnnos
   print("plotting peakAnnos")
   peakAnno <- annotatePeak(data_2, tssRegion=c(-3000, 3000),
                             TxDb=txdb, annoDb="org.Mm.eg.db")
   assign(paste0(graph_title,"annotated_peaks", sep="_"), peakAnno)
   peakAnno_filter_down= as.data.frame(peakAnno@anno) %>% filter(., 
      distanceToTSS >= 0 & distanceToTSS <= 450)
   peakAnno_filter_up= as.data.frame(peakAnno@anno) %>% filter(., distanceToTSS
```
Page 2 of 4

```
170404_ATAC_ChIPseeker.R 2017-07-14, 11:13 AM
```

```
>= -450 & distanceToTSS <= 0)
  write.table(peakAnno_filter_down, file= paste(graph_title, 
      "_distToTSS_0_downstream450bps"), sep="\t", row.names=TRUE, qmethod= 
     "double", col.names=NA)
  write.table(peakAnno_filter_up, file= paste(graph_title, 
      "_distToTSS_0_upstream450bps"), sep="\t", row.names=TRUE, qmethod= 
     "double", col.names=NA)
  write.table(peakAnno@anno, file=(paste0(graph_title,"annotated_peaks")), 
     sep="\t", row.names=TRUE, qmethod= "double", col.names=NA)
  plotAnnoPie(peakAnno)
  plotAnnoBar(peakAnno)
  vennpie(peakAnno)
  #plotting upset plots
  print("plotting upset plots")
  upsetplot(peakAnno)
  dev.off()
  pdf(paste0(graph_title,"AvgProf%02d.pdf"))
  #plotting AvgProf
  print("plotting plotAvgProf")
 print(plotAvgProf(tagMatrix, xlim=c(-3000, 3000), 
 xlab="Genomic Region (5'->3')", ylab = "Read Count 
                        Frequency"))
  print("plotting plotAvgProf2")
  print(plotAvgProf2(data_2, TxDb=txdb, upstream=3000, downstream=3000,
                      xlab="Genomic Region (5'->3')", ylab = "Read Count 
                         Frequency"))
  print(plotAvgProf(tagMatrix, xlim=c(-3000, 3000), conf = 0.95, resample = 
     1000))
  dev.off()
  pdf(paste0(graph_title,"DistoTSS%02d.pdf"))
  #plotting Dis to TSS
  print("plotting distance to TSS")
  print(plotDistToTSS(peakAnno,
                       title="Distribution of transcription factor-binding loci
                          \nrelative to TSS"))
  dev.off()
  setwd("/Users/draperlabair1/Documents/McMaster/Data folder_Backup/
     Bioinformatics/PePr_diff_peak_calling_R/PePr_results_bam_sharp/
     PePr_processed")
```
Page 3 of 4

170404_ATAC_ChIPseeker.R 2017-07-14, 11:13 AM

}

sessionInfo()

Page 4 of 4

```
170705_ATACseq_macs2_venndiagrams.R 2017-07-14, 11:08 AM
    #170705_ATACseq_macs2_venndiagrams.R
    library(plyr)
    library(dplyr)
    library(UpSetR)
    library(tidyr)
    pdf("ATACseq_macs2_bedintersects.pdf", width=3, height=4,pointsize=5)
    #G2Mvsinterphase
    G2MvsInt= venneuler(c(G2M= 9477, Int= 61211, "G2M&Int"= 45486))
    plot(G2MvsInt)
    #G1t20vsinterphase
    G1t20vsInt= venneuler(c(G1t20= 3675, Int= 70485, "G1t20&Int"= 36844))
    plot(G1t20vsInt)
    #G1t35vsinterphase
    G1t35vsInt= venneuler(c(G1t35= 7064, Int= 59283, "G1t35&Int"= 47726))
    plot(G1t35vsInt)
    #G2MvsG1t20
    G2MvsG1t20= venneuler(c(G1t20= 4943,G2M= 19890, "G2M&G1t20"= 35073))
    plot(G2MvsG1t20)
    G2MvsG1t35= venneuler(c(G1t35= 10621, G2M= 10991, "G2M&G1t35"= 43972))
    plot(G2MvsG1t35)
    pdf("G2MvsG1t35&G2MvsG1t20.pdf")
    common= venneuler(c(G2MvsG1t35= 11846, G2MvsG1t20= 2946, 
        "G2MvsG1t35&G2MvsG1t20"= 32127))
    plot(common)
    dev.off()
    #common G2MvsInterphase
    G2M_commonvsInt= venneuler(c(Int= 74365, G2M_common= 1599, "G2M_common&Int"= 
        32127))
    plot(G2M_commonvsInt)
    #common G2MvsInt== bookmarked sites
    bookmarked= venneuler(c(bookmarked= 21264, Mit_H3K27Ac= 15521, 
         "bookmarked&Mit_H3K27Ac"= 10863))
    plot(bookmarked)
    dev.off()
    pdf("parp1_200_bookmarked.pdf")
    parp1_bookmarked= venneuler(c(parp1_200= 217, bookmarked= 30828, 
        "bookmarked&parp1_200"= 1299))
    plot(parp1_bookmarked)
    dev.off()
    pdf("non-bookmarked_Mit_H3K27Ac.pdf")
    non_bookmarked= venneuler(c(non_bookmarked= 69249, Mit_H3K27Ac= 21268, 
         "non_bookmarked&Mit_H3K27Ac"= 5116))
```
Page 1 of 5

```
170705_ATACseq_macs2_venndiagrams.R 2017-07-14, 11:08 AM
```

```
plot(non_bookmarked)
dev.off()
bookmarked= venneuler(c(bookmarked= 32127, Mit_H3K27Ac= 15521, 
    "bookmarked&Mit_H3K27Ac"= 10863))
##upset
comparisons= list.files("/Users/draperlabair1/Documents/McMaster/Data 
    folder_Backup/Bioinformatics/ATACseq/170124_macs2_peakcall/", 
                         pattern= "*_common_u.bed", full.names = TRUE)
comparisons= comparisons[5:9]
for (i in (1:length(comparisons))) {
   sample= read.table(comparisons[i], header=FALSE, sep="\t")
   sample_name= paste0((unlist(strsplit(comparisons[i], "/"))[11]))
   sample_name= paste0((unlist(strsplit(sample_name, ".bed"))[1]))
   sample= select(sample, V4,V5)
   colnames(sample)= c("V4", paste0(sample_name))
   assign(paste(sample_name), sample)
}
dfs= list(G2M_macs2_common_u, G2M_interphase, G2M_G1t20, G2M_G1t35, 
   G2M_G1t20_G1t35)
upset_combined_data_2 = join_all(dfs, by= "V4", type ="left", match= "first")
# # this command will join all datasets based on the value in column V4, and 
   for all rows present in left-most data-frame in the list
row_names = upset_combined_data_2$V4
## convert to binary data
upset_combined_data_2[upset_combined_data_2>0]<-1
upset_combined_data_2[is.na(upset_combined_data_2)] <- 0
## convert to numeric
upset_combined_data_2 <- sapply(upset_combined_data_2, as.numeric )
## convert back to data.frame from a matrix
upset_combined_data_2= data.frame(upset_combined_data_2)
upset_combined_data_2$V4= row_names
write.table(upset_combined_data_2, "G2M_comparisons_upsetdata", sep="\t")
G2M_total_peaks= read.table("/Users/draperlabair1/Documents/McMaster/Data\ 
    folder_Backup/Bioinformatics/ATACseq/170705_macs2_bedintersect/
    170705_parp1_liftedover_ATACmacs2/170705_parp1_GSE81168_chipseq_ATAC/
    G2M_common_peaks_annotated_peaks", sep="\t", header=TRUE, row.names=1)
G2M_total_peaks= rename(G2M_total_peaks, V4=id)
concatenated_data= join(G2M_total_peaks, upset_combined_data_2, by ="V4", 
   type="right")
concatenated_data_with_RNAexpr= join(RNAexpr, concatenated_data, by ="SYMBOL", 
   type="right")
write.table(concatenated_data, file="G2M_comparisons_upset_concatenated", 
    sep="\t", quote= FALSE, row.names = FALSE)
```
Page 2 of 5

```
write.table(concatenated_data_with_RNAexpr, 
    file="G2M_comparisons_upset_concatenated_withRNA", sep="\t", quote= FALSE, 
    row.names = FALSE)
pdf("G2M_comparisons_upset.pdf", height=3, width=3)
upset(upset_combined_data_2, order.by= "freq")
dev.off()
#bookmarked sites
bedintersects= list.files("/Users/draperlabair1/Documents/McMaster/Data 
    folder_Backup/Bioinformatics/ATACseq/170124_macs2_peakcall/
    bedintersect_analysis/", 
                         pattern= "bookmarked_*", full.names = TRUE)
bedintersects= bedintersects[-c(5,9)]
for (i in (1:length(bedintersects))) {
   sample= read.table(bedintersects[i], header=FALSE, sep="\t")
   sample_name= paste0((unlist(strsplit(bedintersects[i], "/"))[12]))
   sample_name= paste0((unlist(strsplit(sample_name, ".bed"))[1]))
   sample= select(sample, V4,V5)
 colnames(sample)= c("V4", paste0(sample_name))
 assign(paste(sample_name), sample)
}
dfs= list(G2M_G1t20_G1t35, bookmarked_mit_H3K27Ac, bookmarked_klf4, 
    bookmarked_Oct4, bookmarked_sox2, bookmarked_superenchancers, 
           bookmarked_parp1, bookmarked_parp1_200)
upset_combined_data = join_all(dfs, by= "V4", type ="left", match= "first")
# # this command will join all datasets based on the value in column V4, and 
    for all rows present in left-most data-frame in the list
row_names = upset_combined_data$V4
## convert to binary data
upset_combined_data[upset_combined_data>0]<-1
upset_combined_data[is.na(upset_combined_data)] <- 0
## convert to numeric
upset_combined_data <- sapply(upset_combined_data, as.numeric )
## convert back to data.frame from a matrix
upset_combined_data= data.frame(upset_combined_data)
upset_combined_data$V4= row_names
write.table(upset_combined_data, "G2M_bookmarked_promoter_elements", sep="\t")
G2M_annotated_peaks= read.table("/Users/draperlabair1/Documents/McMaster/Data\ 
    folder_Backup/Bioinformatics/ATACseq/170705_macs2_bedintersect/
    170705_parp1_liftedover_ATACmacs2/170705_parp1_GSE81168_chipseq_ATAC/
    bookmarked_sites_annotated_peaks", sep="\t", header=TRUE, row.names=1)
G2M_annotated_peaks= rename(G2M_annotated_peaks, V4=id)
RNAexpr= read.table("/Users/draperlabair1/Documents/McMaster/Data\ 
    folder_Backup/Bioinformatics/DataMining/Transcriptomics/mouse_ENCODE/
    170706_mouse_ENCODE_ESCvsMEF/mouse_ENCODE_ESCvsMEF_RNAexpr", sep="\t", 
    header=TRUE)
RNAexpr= rename(RNAexpr, SYMBOL= gene_symbol)
```
Page 3 of 5

```
concatenated_data= join(G2M_annotated_peaks, upset_combined_data, by ="V4", 
    type="right")
concatenated_data_with_RNAexpr= join(RNAexpr, concatenated_data, by ="SYMBOL", 
    type="right")
write.table(concatenated_data, 
    file="G2M_bookmarked_promoter_upset_concatenated", sep="\t", quote= FALSE, 
    row.names = FALSE)
write.table(concatenated_data_with_RNAexpr, 
    file="G2M_bookmarked_promoter_upset_concatenated_withRNA", sep="\t", quote= 
    FALSE, row.names = FALSE)
pdf("G2M_bookmarked_promoter_elements2.pdf", height=5, width = 8)
upset(upset_combined_data, order.by = "freq")
upset(upset_combined_data, order.by = "freq", nsets=)
upset(upset_combined_data, order.by= "freq", sets= c("bookmarked_klf4", 
    "bookmarked_Oct4", 
                                                        "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", 
                                                       "bookmarked_parp1_200"))
upset(upset_combined_data, order.by= "freq", sets= c("G2M_G1t20_G1t35", 
    "bookmarked_klf4", "bookmarked_Oct4", 
                                                        "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", 
                                                       "bookmarked_parp1_200"))
upset(upset_combined_data, order.by= "freq", sets= c("G2M_G1t20_G1t35", 
    "bookmarked_mit_H3K27Ac","bookmarked_klf4", "bookmarked_Oct4", 
                                                        "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", 
                                                       "bookmarked_parp1_200"))
upset(upset_combined_data, order.by= "freq", sets= c("G2M_G1t20_G1t35", 
    "bookmarked_mit_H3K27Ac","bookmarked_klf4", "bookmarked_Oct4", 
                                                        "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", "bookmarked_parp1"))
upset(upset_combined_data, order.by= "freq", nintersects=20, sets= 
    c("bookmarked_mit_H3K27Ac","bookmarked_klf4", "bookmarked_Oct4", 
                                                        "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", 
                                                       "bookmarked_parp1_200"))
upset(upset_combined_data, order.by= "freq", nintersects=20, sets= 
    c("bookmarked_mit_H3K27Ac","bookmarked_klf4", "bookmarked_Oct4", 
                                                       "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", "bookmarked_parp1"))
upset(upset_combined_data, order.by= "freq",sets= 
    c("bookmarked_mit_H3K27Ac","bookmarked_klf4", "bookmarked_Oct4", 
                                                       "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", 
                                                       "bookmarked_parp1_200"))
```
Page 4 of 5

```
concatenated_data= join(G2M_annotated_peaks, upset_combined_data, by ="V4", 
    type="right")
concatenated_data_with_RNAexpr= join(RNAexpr, concatenated_data, by ="SYMBOL", 
    type="right")
write.table(concatenated_data, 
    file="G2M_bookmarked_promoter_upset_concatenated", sep="\t", quote= FALSE, 
    row.names = FALSE)
write.table(concatenated_data_with_RNAexpr, 
    file="G2M_bookmarked_promoter_upset_concatenated_withRNA", sep="\t", quote= 
    FALSE, row.names = FALSE)
pdf("G2M_bookmarked_promoter_elements2.pdf", height=5, width = 8)
upset(upset_combined_data, order.by = "freq")
upset(upset_combined_data, order.by = "freq", nsets=)
upset(upset_combined_data, order.by= "freq", sets= c("bookmarked_klf4", 
    "bookmarked_Oct4", 
                                                        "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", 
                                                       "bookmarked_parp1_200"))
upset(upset_combined_data, order.by= "freq", sets= c("G2M_G1t20_G1t35", 
    "bookmarked_klf4", "bookmarked_Oct4", 
                                                        "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", 
                                                       "bookmarked_parp1_200"))
upset(upset_combined_data, order.by= "freq", sets= c("G2M_G1t20_G1t35", 
    "bookmarked_mit_H3K27Ac","bookmarked_klf4", "bookmarked_Oct4", 
                                                        "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", 
                                                       "bookmarked_parp1_200"))
upset(upset_combined_data, order.by= "freq", sets= c("G2M_G1t20_G1t35", 
    "bookmarked_mit_H3K27Ac","bookmarked_klf4", "bookmarked_Oct4", 
                                                        "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", "bookmarked_parp1"))
upset(upset_combined_data, order.by= "freq", nintersects=20, sets= 
    c("bookmarked_mit_H3K27Ac","bookmarked_klf4", "bookmarked_Oct4", 
                                                        "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", 
                                                       "bookmarked_parp1_200"))
upset(upset_combined_data, order.by= "freq", nintersects=20, sets= 
    c("bookmarked_mit_H3K27Ac","bookmarked_klf4", "bookmarked_Oct4", 
                                                       "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", "bookmarked_parp1"))
upset(upset_combined_data, order.by= "freq",sets= 
    c("bookmarked_mit_H3K27Ac","bookmarked_klf4", "bookmarked_Oct4", 
                                                       "bookmarked_sox2", 
                                                       "bookmarked_superenchance
                                                       rs", 
                                                       "bookmarked_parp1_200"))
```
Page 4 of 5

rs", "bookmarked_parp1"))

```
upset(upset_combined_data, order.by= "freq",sets= 
    c("bookmarked_mit_H3K27Ac","bookmarked_klf4", "bookmarked_Oct4", 
                                                      "bookmarked_sox2", 
                                                      "bookmarked_superenchance
```
dev.off()

Page 5 of 5

170712_pluripotent_bookmared_RNAexpr.R 2017-07-14, 11:10 AM

```
library(plyr)
library(dplyr)
if (!require("gplots")) {
   install.packages("gplots", dependencies = TRUE)
   library(gplots)
}
if (!require("RColorBrewer")) {
   install.packages("RColorBrewer", dependencies = TRUE)
   library(RColorBrewer)
}
pluripotent_bookmarked= bookmarked_klf4_oct4_sox4_k27Ac
pluripotent_bookmarked= bookmarked_klf4_parp1_k27Ac
pluripotent_bookmarked <- na.omit(pluripotent_bookmarked)
pluripotent_bookmarked= pluripotent_bookmarked[!
    duplicated(pluripotent_bookmarked$SYMBOL),]
mat_data= data.matrix(pluripotent_bookmarked[,2:ncol(pluripotent_bookmarked)])
rownames(mat_data)= pluripotent_bookmarked$SYMBOL
write.table(as.data.frame(mat_data_log), 
    file="MBF_overlap_with_other_ChipMS_data", sep="\t", quote=FALSE, col.names 
    = NA)
my_palette <- colorRampPalette(c("white", "darkblue"))(n = 299)
col_breaks = c(seq(0,2,length=50), # for red
                  seq(2.01,18,length=150), # for yellow
                                 seq(18.01,111,length=100))
png("putative_MBFs_overlap_histone_ChIP-MS.png", # create PNG for the heat 
    map 
                            width = 6*300, # 5 x 300 pixels
     height = 8*300,
     res = 300, # 300 pixels per inch
                            pointsize = 8) # smaller font size
pdf("klf4_parp1_K27_bookmarkedsites.pdf", height=8, width=6)
png("klf4_parp1_K27_bookmarkedsites.png")
heatmap.2(mat_data,
           main = "Pluripotency related (Parp1) bookmarked sites", # heat map 
           title<br>notecol="black",
                                  # change font color of cell labels to black
          density.info="none", # turns off density plot inside color legend trace="none", # turns off trace lines inside the heat map
           trace="none", # turns off trace lines inside the heat map
           margins =c(15,10), # widens margins around plot<br>col=my_palette, # use on color palette defined
           col=my_palette, # use on color palette defined earlier
                                      dendrogram="column", # only draw a column dendrogram
           hclustfun = hclust,
           breaks= col_breaks, cexRow=0.6, cexCol=0.6, keysize=1)
```
Page 1 of 2

170712_pluripotent_bookmared_RNAexpr.R 2017-07-14, 11:10 AM

```
dev.off() # close the PNG device
pdf("klf4_parp1_K27_bookmarkedsites2.pdf")
heatmap.2(mat_data ,col=my_palette, breaks=col_breaks, scale="none",key=TRUE, 
 symkey=FALSE, symm=FALSE, density.info="none", trace="none", 
 cexRow=0.6, Rowv=TRUE, Colv=FALSE, dendrogram = c("row"), 
 cexCol=0.6, sepcolor="lightgray", 
 rowsep=FALSE, sepwidth=c(0.005,0.005), 
 margins=c(9,9), main= "Pluripotency related (Parp1) bookmarked 
            sites" ) 
dev.off()
```
Page 2 of 2

```
170524 MBF overlap with other histone ChIPMS.R 2017-07-14, 11:22 AM
```

```
library(plyr)
library(dplyr)
if (!require("gplots")) {
   install.packages("gplots", dependencies = TRUE)
   library(gplots)
}
if (!require("RColorBrewer")) {
   install.packages("RColorBrewer", dependencies = TRUE)
   library(RColorBrewer)
}
setwd("/Users/draperlabair1/Documents/McMaster/Data folder_Backup/
    Bioinformatics/DataMining/Proteomics")
library(readxl)
chip_MS_for_histone_markes_PMID25755260 <- read_excel("~/Documents/McMaster/
    Data folder_Backup/Bioinformatics/DataMining/Proteomics/
    chip_MS_for_histone_markes_PMID25755260.xls", 
skip = 1)
histone_chip_MS= select(chip_MS_for_histone_markes_PMID25755260, 1, 7:18)
S10_H3 <- read_excel("~/Google Drive/MB_project manuscript/Data/Mitotic 
    Bookmarking 290615 110515_H3_S10_IP-MS/290615 110615_PEAKS_combined/S10+H3/
    S10^H3.xlsx", 
                      skip = 17)
MBF_IDs= select(S10_H3, 39, 2)
concat_data= left_join(MBF_IDs, histone_chip_MS, by= "Gene Symbol")
heatmap_data= select(concat_data, 1,3:8)
heatmap_data[is.na(heatmap_data)] <- 0
heatmap_matrix= filter(heatmap_data, !(H3K27ac==0 & H3K4me3==0 & H3K79me2==0 & 
    H3K36me3==0 & H3K9me3==0 & H4K20me3==0))
mat_data= data.matrix(heatmap_matrix[,2:ncol(heatmap_matrix)])
rownames(mat_data)= heatmap_matrix$`Gene Symbol`
mat_data_log= log10(mat_data+1)
write.table(as.data.frame(mat_data_log), 
    file="MBF_overlap_with_other_ChipMS_data", sep="\t", quote=FALSE, col.names 
    = NA)
my_palette <- colorRampPalette(c("white", "darkblue"))(n = 299)
png("putative_MBFs_overlap_histone_ChIP-MS.png", # create PNG for the heat 
    map 
                          width = 6*300, # 5 x 300 pixels
    height = 8*300,
     res = 300, # 300 pixels per inch
                         pointsize = 8) # smaller font size
pdf("putative_MBFs_overlap_histone_ChIP-MS.pdf", height=8, width=6)
heatmap.2(mat_data_log,
```
Page 1 of 3

```
170524 MBF_overlap_with_other_histone_ChIPMS.R 2017-07-14, 11:22 AM
```

```
 notecol="black", # change font color of cell labels to black
          density.info="none", # turns off density plot inside color legend
 trace="none", # turns off trace lines inside the heat map
 margins =c(15,10), # widens margins around plot
          col=my_palette, # use on color palette defined earlier
                               dendrogram="both", # only draw a column dendrogram
          hclustfun = hclust,
          keysize=1.2, key.xlab="log10(score+1)") # turn off column 
             clustering
dev.off() # close the PNG device
### S10 only heatmap
S10_only= data.frame("Gene Symbol" = character(8), "S10_only_proteins" = 
   character(8),stringsAsFactors=FALSE)
S10_genes= c("Rld1",
 "Ddx17",
             "Glyr1",
             "Tadbp",
             "Rcc1",
             "Smc2",
             "Rs25",
             "Dkc1")
S10_only$Gene.Symbol= S10_genes
S10_proteins= c("RL1D1_MOUSE",
                "DDX17_MOUSE",
                "GLYR1_MOUSE",
                "TADBP_MOUSE",
                "RCC1_MOUSE",
                "SMC2_MOUSE",
                "RS25_MOUSE",
                "DKC1_MOUSE")
S10_only$S10_only_proteins= S10_proteins
colnames(S10_only)= c("Gene Symbol", "S10_only_proteins")
S10_only_concat= left_join(S10_only, histone_chip_MS, by= "Gene Symbol")
S10_only_heatmap= select(S10_only_concat, 1,3:8)
S10_only_heatmap[is.na(S10_only_heatmap)] <- 0
S10_only_heatmap= filter(S10_only_heatmap, !(H3K27ac==0 & H3K4me3==0 & 
    H3K79me2==0 & H3K36me3==0 & H3K9me3==0 & H4K20me3==0))
S10_only_mat_data= data.matrix(S10_only_heatmap[,2:ncol(S10_only_heatmap)])
rownames(S10_only_mat_data)= S10_only_heatmap$`Gene Symbol`
S10_only_mat_data= log10(S10_only_mat_data+1)
pdf("S10_only_overlap_histone_ChIP-MS.pdf", height=8, width=6)
heatmap.2(S10_only_mat_data,
          notecol="black", # change font color of cell labels to black
 density.info="none", # turns off density plot inside color legend
 trace="none", # turns off trace lines inside the heat map
          margins =c(15,10), # widens margins around plot
```
Page 2 of 3

```
170524_MBF_overlap_with_other_histone_ChIPMS.R 2017-07-14, 11:22 AM
```

```
 col=my_palette, # use on color palette defined earlier
                              dendrogram="both", # only draw a column dendrogram
 hclustfun = hclust,
 keysize=1.5, key.xlab="log10(score+1)") # turn off column 
             clustering
```
dev.off()

Page 3 of 3
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